

# Fort Bragg North Carolina

Solicitation Number
DACA21-03-R-0041
Force Protection Plan Phase II
Access Control Points
FY-03, Line Item 58004
Volume I of II – Sections 00010 through 00800 and Technical Provisions – Divisions 1 through 3
June 2003

THIS SOLICITATION IS UNRESTRICTED PURSUANT TO THE "BUSINESS OPPORTUNITY DEVELOPMENT REFORM ACT OF 1988" (PUBLIC LAW 100-656)

U.S. ARMY ENGINEER DISTRICT, SAVANNAH
CORPS OF ENGINEERS
100 WEST OGLETHORPE AVENUE
SAVANNAH, GEORGIA 31401-3640

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SOLICITATION, OFF	ER, 1.5	SOLICITATION NO.	2. TY	PE OF SOLICITATION	3. DATE ISSUED	PAGE OF PAGES
AND AWARD	ا ا	CA21-03-R-0041-0003		SEALED BID (IFB)	05-Jun-2003	1 OF 149
(Construction, Alteration, o	r Repair)	C/121 00 11 0041 0000		NEGOTIATED (RFP)		101 140
IMPORTANT - The "offer" s	ection on the	e reverse must be fully	complete	d by offeror.		,
4. CONTRACT NO.		5. REQUISITION/PURG	CHASE RE	QUEST NO.	6. PROJECT NO.	
7. ISSUED BY	CODE	E DACA21		8. ADDRESS OFFER TO	O (If Other Than Item 7)	CODE
US ARMY ENGINEER DISTRICT S 100 W OGLETHORPE AVE SAVANNAH GA 31401-3640	SAVANNAH			See Item 7		
TEL:	FAΣ	<b>(</b> :		TEL:	FAX:	
9. FOR INFORMATION	A. NAME			B. TELEPHONE	NO. (Include area code)	(NO COLLECT CALLS)
CALL:	LINDA G EL	LIOTT		912/652-5076		
			SOLIC	ITATION		
NOTE: In sealed bid soli	citations "o	ffer" and "offeror" me	ean "bid"	and "bidder".		
10. THE GOVERNMENT RE	QUIRES PER	RFORMANCE OF THE V	VORK DES	CRIBED IN THESE DOC	UMENTS(Title, identifying	no., date):
Contract Specialist: Linda Elliott, (912) 652-5076 e-mail: linda.g.elliott@sas02.usace.army.mil  Contracting Officer: Julie M. Oliver, (912) 652-5899 e-mail: julie.m.oliver@sao02.usace.army.mil  FORCE PROTECTION PLAN PHASE II (ACCESS CONTROL POINTS) FORT BRAGG, NORTH CAROLINA FY-03, LINE ITEM 58004  Proposal, to include all changes, is hereby incorporated by reference.						
award, X notice to pro	ceed. This pe	erformance period is	mandatory	negotiable. (See		)
12 A. THE CONTRACTOR M				CE AND PAYMENT BON	DS? 12B. CALENDA	R DAYS
(If "YES," indicate within how	many calend	lar days after award in Ite	em 12B.)		5	
13. ADDITIONAL SOLICITAT	ION REQUIF	REMENTS:				
A. Sealed offers in original and copies to perform the work required are due at the place specified in Item 8 by (hour) local time 04 Aug 2003 (date). If this is a sealed bid solicitation, offers must be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.  B. An offer guarantee X is, is not required.  C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.						
D. Offers providing less than60 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.						

			SOLICITA	ATION, OFFER	R, AND AW	ARD (Con	tinued)			
					n, Alteration, or Repair)					
					(Must be ful	ly completed	by offeror)			
14. NAME AND ADI	DRESS OF	FOFFEROR	(Include ZIP	Code)	15. TELEPHONE NO. (Include area code)					
					16. REMIT	ANCE ADDR	RESS (Include	e only if differen	t than Item	14)
					See Item	14				
CODE FACILITY CODE										
17. The offeror agree accepted by the Go the minimum require AMOUNTS SE	vernment i ements sta	n writing with	nin I3D. Failure to	calendar days a	fter the date	offers are due	. (Insert a	ny number equa	l to or gre	
18. The offeror agre	es to furnis	sh any requir	red performan	ce and payment	bonds.					
		(The offe		. ACKNOWLEDG				of each)		
AMENDMENT NO.										
DATE										
20A. NAME AND TI OFFER (Type or p		ERSON AUT	THORIZED TO	) SIGN	20B. SIGNATURE 20C. OFFER DATE					R DATE
			AW	ARD (To be co	mpleted by	Government)		· · · · · · · · · · · · · · · · · · ·		
21. ITEMS ACCEPT	ΓED:	In 1000		4000000UTU						
22. AMOUNT		23. ACCO	UNTING AND	APPROPRIATIO	ON DATA					
24. SUBMIT INVOICE	CES TO A	DDRESS SH	IOWN IN	ITEM	25. OTI	IER THAN F	JLL AND OPI	EN COMPETITION	ON PURS	UANT TO
(4 copies unless otherwi	ise specified)				10 U	J.S.C. 2304(c	)	41 U.S.C. 2	53(c)	
26. ADMINISTERE	) BY	COL	DE L		27. PA	MENT WILL	BE MADE BY	r: CODE		
28. NEGOTIATE				FICER WILL CO						
document and return copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all work, requisitions identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications or incorporated by reference in or attached to this contract.			Your offer on this solicitation, is hereby accepted as to the items listed. This award consummates the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.							
30A. NAME AND TI TO SIGN (Type or )	TLE OF Coprint)	ONTRACTO	R OR PERSO	N AUTHORIZED	31A. NA	ME OF CONTRAC	CTING OFFICER	R (Type	or print,	
30B. SIGNATURE		l	30C. DATE		TEL:		EM	AIL:		
30C. DATE				31B. UN	ITED STATE	S OF AMERI	CA	31C. AV	VARD DATE	

### Section 00010 - Solicitation Contract Form

FOB: Destination

# SUPPLIES OR SERVICES AND PRICE/COSTS SCHEDULE

### FORCE PROTECTION PLAN PHASE II (ACCESS CONTROL POINTS) FORT BRAGG, NORTH CAROLINA

		FUR I DRA	NGG, NORTH C	AROLINA	
TOTA	AL BASE BID (ITEMS 000	1 THROUGH 00	005)	\$	
ITEM NO 0001	SUPPLIES/SERVICES	QUANTITY 1	UNIT Lump Sum	UNIT PRICE \$	\$ AMOUNT
	All American Access Con Complete	trol Point Visitor	Center, Within	the 5 Foot Line,	
FOB	: Destination				
ITEM NO 0002	SUPPLIES/SERVICES	QUANTITY 1	UNIT Lump Sum	UNIT PRICE \$	\$ AMOUNT
	All American Access Con Booths, Large POV Vehic ea) and Overwatch Canop	le Inspection Car	nopy, POV Inspe	ection Station Canopy (2	
FOB	: Destination				
ITEM NO 0003	SUPPLIES/SERVICES	QUANTITY 1	UNIT Lump Sum	UNIT PRICE	\$ AMOUNT
	Supporting Facilities Asso Complete	ociated With the A	ALl American A	ccess Control Point,	

**AMOUNT** 

ITEM NO 0004	SUPPLIES/SERVICES	QUANTITY 1	UNIT Lump Sum	UNIT PRICE \$	AMOUNT \$
	Truck Inspection Plaza and	d Office Within t	he 5 Foot Line,	Complete	
FOB	: Destination				

UNIT

Lump Sum

UNIT PRICE

All Supporting Facilities Associated With the Truck Inspection Plaza, Complete

QUANTITY

FOB: Destination

SUPPLIES/SERVICES

ITEM NO

0005

### INSTRUCTIONS, CONDITIONS, AND NOTICE TO OFFERORS

### 1. PROPOSAL OVERVIEW

- **1.1 General.** Inasmuch as the proposal shall describe the capability of the offeror to perform any resultant contract, it should be specific and complete in every detail. The proposal should be prepared simply and economically, providing straightforward, concise delineation of capabilities to satisfactorily perform the contract being sought. The proposal should therefore be practical, legible, clear and coherent.
- **1.2 Proposal Submissions and the Lowest Price Technically Acceptable Process.** This process requires potential contractors to submit their performance and capability information for review and consideration by the Government. Following the review, evaluation, and rating of these proposals, the Government will evaluate price proposals for contractors that have acceptable technical proposals. The technical information contained in the proposal shall be reviewed and evaluated by the Government in accordance with the evaluation criteria set out in this section. Price information will not be rated but will be evaluated in accordance with FAR Part 15.

### 2. PROPOSAL SUBMISSION INSTRUCTIONS

- **2.1 Who May Submit.** Any legally organized Offeror may submit a proposal.
- **2.2** Where to Submit. Submit proposal packages to the Savannah District at the address shown in Block 7 of Standard Form 1442.
- **2.3 Submission Deadline.** Proposals shall be received by the Savannah District no later than the time and date specified in Block 13 of Standard Form 1442.

### 2.4 General Requirements.

- 2.4.1 In order to effectively and equitably evaluate all proposals, the Contracting Officer must receive information sufficiently detailed to allow review and evaluation by the Government.
- **2.4.2 Tabs.** Organize and tab the proposal as indicated in this section.

### 2.4.3 Size of Printed Matter Submissions.

- 2.4.3.1 Written materials shall be prepared on 8-1/2" x 11" paper.
- 2.4.3.2 Include a detailed table of contents. If more than one binder is used, include the complete table of contents in each. Place any materials submitted but not required by this RFP, such as company brochures or other information, in appendices following the tabbed sections.
- **2.4.4 Number of Copies.** Submit original and five (5) hard copies of the proposal.
- **2.4.5** Electronic Copy of Submissions. In addition to the hard copies of the proposal, submit the Proposal on a CD ROM disk. The proposal should be in MS Word, Adobe Acrobat PDF file, or WordPerfect format. The electronic version should either be a single file tabbed in the same order as the hard copy or multiple files hyperlinked to a single table of contents.

### 2.5 Submission Format

**2.5.1 Price Proposal.** The Proposal will be tabbed and submitted in three ring binders in the following format:

### **PROPOSAL**

VOLUME I TAB A SF 1442

### TAB B

Section 00600 - Representations and

TAB C

Price Proposal (Supplies or Services Price/Cost)

TAB D

Bid Bond

2.5.2 The Technical Proposal will be tabbed and submitted in a three ring binder in the following format:

**VOLUME II** 

### **TECHNICAL PROPOSAL**

TAB A - PROPOSAL DATA SHEET - include Offeror's DUNS # and Contractor's CCASS#

TAB B – FACTOR 1-1 Offeror Past Performance Information

PAST PERFORMANCE INFORMATION Sheets Certifications (to be inserted by the contract specialist)

TAB C – FACTOR 1-2 Corporate Relevant Specialized Experience

CORPORATE RELEVANT SPECIALIZED EXPERIENCE Sheets (Example Projects)

**TAB D** – Other Information

- **2.6 TAB A PROPOSAL DATA SHEET.** Complete the PROPOSAL DATA SHEET found in this section. All data requested shall be provided..
- **2.7 TAB B FACTOR 1-1 Offeror Past Performance Information.** A sample Past Performance Evaluation Questionnaire is included at the end of this section. Identify three completed (or substantially complete) projects to be used for reference and evaluation purposes and provide a questionnaire to the Point of Contact for each project. When completed, mail, or e-mail these forms to the Savannah District Contract Specialist identified in the sample transmittal letter provided. It is the offeror's responsibility to ensure that the reference documentation is provided. The Government may not make additional requests for past performance information from these references. Copies of the evaluation form shall be provided to the Savannah District directly from the reference. Projects from which questionnaires are received shall have been completed or substantially completed withinthree] years of the date of the RFP. The Government may contact sources other than those provided by the Offeror for information with respect to past performance. These other sources may include CCASS (Construction Contractor Appraisal Support System), telephone interviews with organizations familiar with the Offeror's performance, and Government personnel with personal knowledge of the Offeror's performance capability.

**2.8 TAB C – FACTOR 1-2 Corporate Relevant Specialized Experience.** Provide examples (at least three) of projects for which the offeror has been responsible. The examples should be as similar as possible to this RFP in project type and scope. Specifically, example projects should cite experience with:

construction projects with a value greater than \$10 million, and

construction projects that include both vertical construction (buildings and structures) and horizontal construction (streets, road, and utilities).

Provide references including contract names and telephone numbers for all examples cited. Each example should indicate the general character, scope, location, cost, and date of completion of the project. If the offeror represents the combining of two or more companies for the purpose of this RFP, each company shall list project examples. Example projects must have been completed or in progress not later than three years prior to the date of the RFP.

52.2219 **TAB D – OTHER INFORMATION.** Offerors shall submit any other information under TAB D. Information submitted under TAB D may not be considered by the Government

#### 3. EXCEPTIONS

Failure to conform to the contractual terms and conditions of the RFP (e.g., standard company terms and conditions) may result in a determination to reject a proposal.

### 4. RESTRICTIONS

Incomplete proposals. Failure to submit all the data indicated in this section may be cause for determining a proposal incomplete and, therefore, not considered for evaluation, and for subsequent award.

- **5. PROPOSAL EVALUATIONS.** This document establishes a uniform evaluation procedure for the technical evaluation phase of the RFP based on criterion identified in the RFP. The Source Selection Evaluation Board (SSEB) will evaluate each proposal individually using the qualitative procedures that follow. Each proposal will be reviewed and rated by each of the evaluators. During this process, discrepancies between evaluations will be discussed and resolved within the SSEB. Following the completion of the individual evaluations, a consensus evaluation will be derived.
- 5.1 Proposals which reach the evaluation stage have been reviewed by Contracting Division to ensure that they are complete and responsive. All proposals which are provided to the evaluation team will be evaluated and rated.
- 5.2 Past Performance Questionnaires. Each Offeror has been requested to have at least three questionnaires from previous projects completed and forwarded directly to the Savannah District for use by the evaluation team in past performance evaluation and risk analysis. The Government may contact the points of contact indicated on these questionnaires for additional information, and to ensure validity of the information received. The Government may contact sources other than those provided by the Offeror for information with respect to past performance. These other sources may include CCASS (Construction Contractor Appraisal Support System), telephone interviews, and Government personnel with personal knowledge of the Offeror's performance capability.

### 6. INDIVIDUAL PROPOSAL RATING WORKSHEETS

- 6.1 Worksheets are provided on the following pages that the evaluators will use to review and rate the proposals.
- 6.2 Comments are required to support all ratings.

#### 7. RATING METHODOLOGY

- 7.1 Proposals will be evaluated in each Evaluation Factor based on the following rating scheme:
- 7.2 **YES NO Ratings.** Where the specific evaluation sheets indicate a YES NO Rating these items will be treated as information items. They are included in the evaluation worksheets to ensure a similar focus among the evaluators and to ensure that individual evaluators do not overlook proposal information provided.
- 7.3 GO NO GO Ratings.
- **7.3.1 GO**. The technical proposal conforms to the solicitation requirements and presents low risk to the Government.
- **7.3.2 NO-GO.** The technical proposal does not conform to the -RFP and/or presents an unacceptably high risk to the Government.

#### 8. EVALUATION FACTORS

**8.1 FACTOR 1-1: OFFEROR PAST PERFORMANCE.** The Government will evaluate the Offeror's past performance using the sources available to it including, the example projects identified by the Offeror, Past Performance Evaluation Questionnaires received and CCASS. Offerors may be provided an opportunity to address any negative past performance information about which the Offeror has not previously had an opportunity to respond. The Government treats an Offeror's lack of past performance as neutral that is, having no positive or negative evaluation significance. The Government will evaluate past performance based on the elements listed below:

**Quality of Construction.** Based on information provided in the questionnaire and other information, the Government will assess the quality of the actual construction undertaken and the standards of workmanship exhibited by the Offeror's team.

**Timeliness of Performance.** The Government will evaluate all information available with respect to the Offeror completing past projects within the scheduled completion times.

**Documentation.** The Government will evaluate all information available with respect to the timeliness and quality of the documentation, reports, and other written materials completed by the Offeror on past projects.

**Customer Satisfaction.** The Government will evaluate all information available with respect to the Offeror's past customer satisfaction, cooperation with customers, and interaction on past projects.

**Subcontractor Management.** The Government will evaluate all information available with respect to the Offeror's management of subcontractors on past projects.

**8.2 FACTOR 1-2: CORPORATE RELEVANT SPECIALIZED EXPERIENCE.** The Government will review the example projects provided by the Offeror to evaluate and rate the recent experience of the Offeror in similar projects. The example projects should closely resemble the project identified in this RFP. Offerors are encouraged to provide descriptive analysis of why their example projects meet the criteria for corporate relevant specialized experience. If the Offeror cannot provide suitable relevant experience and the evaluators consider that the information provided indicates that the Offeror has no relevant experience, a determination will be made as to the risk this lack of corporate experience presents to the Government and the proposal may be rated NO-GO.

### 9. OVERALL PROPOSAL RATING

- 9.1 Each member of the Government evaluation team will consider all information provided in the proposal. Once these individual analyses are completed, the team will meet and determine a rating for each of the evaluation factors by consensus decision.
- 9.2 Following completion of the consensus rating, each proposal will be assigned a single overall GO or NO-GO rating. This final overall rating, along with ratings on individual factors, will be provided to the Contracting Officer/Source Selection Authority and used in making the determination of which Offerors are eligible for award.
- 9.3 It is the responsibility of the Source Selection Board to provide and document sufficient strengths, weaknesses, and omissions to support the GO or NO-GO rating for each factor as well as the overall rating. Comments are required for all ratings.
- **10. BASIS OF AWARD**. Award will be made to that Offeror whose proposal is technically acceptable and has the lowest price that is determined to be fair and reasonable.

### PROPOSAL DATA SHEET

### [PROJECT TITLE] [PROJECT LOCATION]

NOTE	$\mathbf{m}$	$\alpha$		ODG
NOTE	1()		(H.K	()KS

This OFFEROR PERFORMANCE CAPABILITY PROPOSAL DATA SHEET must be completed and attached as the first page of the body of your proposal. The information required by this data sheet may be completed directly on this form or attached to the form as supplemental data sheets.

1.	<b>NAME</b>	OF	OFFEROR.
----	-------------	----	----------

Name of Offeror(s):

If a joint venture or contractor-subcontractor association of firms, list the individual firms and briefly describe the nature of the association.

Firm 1:

Firm 2:

Nature of Association:

### 2. DUNS NUMBER FOR OFFEROR

(If more than one DUNS number is to be considered, explain affiliation to Offeror)

### 3. CCASS INDENTIFICATION FOR OFFEROR

### 4. AUTHORIZED NEGOTIATORS. FAR 52.215-11

The offeror represents that the following persons are authorized to negotiate on its behalf with the Government in connection with this Request for Proposals (RFP). List names, titles, and telephone number of the authorized negotiator.

Name of Person Authorized to Negotiate:

Negotiator's Address:

Negotiator's Telephone:

# PROPOSAL DATA SHEET (Continued)

### 5. FACTOR 1-1: PAST PERFORMANCE INFORMATION.

5.1 On an attached sheet, provide information for three in-progress or completed projects, preferably of similar design or features, constructed by the offeror to be used for reference and evaluation purposes. These should be the same projects for which Past Performance Evaluation Questionnaires have been provided. For each project provide the following information:

Project Title:
Location:
Contract number:
Procuring activity:
Procurement point of contact and telephone number:
List date of construction completion or percent completion if construction is underway:
Address of building(s):
Address and telephone number of owner:
Indicate type of project (private sector, Government, planned unit development, etc.):
General character:
Total cost:
Total cost of all modifications:

5.2 On an attached sheet, list all contracts with the Government within the last three years. Indicate Government contract number and contracting agency (with contact names and telephone numbers).

# PROPOSAL DATA SHEET (Continued)

6.1 On an attached sheet, provide information for substantially complete or completed projects within the last three years that are similar in terms of cost, complexity, design or features, that have been constructed by the offeror to be

### 6. FACTOR 1-2: CORPORATE RELEVANT SPECIALIZED EXPERIENCE.

Total cost:

used for reference and evaluation purposes. For each project provide the following information:

Project Title:

Location:

Contract number:

Nature of involvement in this project, i.e. General Contractor, subcontractor, designer:

Procuring activity:

Procurement point of contact and telephone number:

Construction period (month/year start to month/year end or percent completion if construction is underway):

Address of building(s):

Address and telephone number of owner:

Indicate type of project (private sector, Government, planned unit development, etc.):

General description of project:

# SAMPLE TRANSMITTAL LETTER AND PAST PERFORMANCE EVALUATION QUESTIONNAIRE

Date:
То:
We have listed your firm as a reference for work we have performed for you as listed below. Our firm has submitted a proposal under a project advertised by the U.S. Army Corps of Engineers, Savannah District: Force Protection Plan, Phase II, Fort Bragg, North Carolina. In accordance with Federal Acquisition Regulations (FAR), an evaluation of our firm's past performance will be completed by the Corps of Engineers. Your candid response to the attached questionnaire will assist the evaluation team in this process.
We understand that you have a busy schedule and your participation in this evaluation is greatly appreciated. Please complete the enclosed questionnaire as thoroughly as possible. Space is provided for comments. Understand that while the responses to this questionnaire may be released to the offeror, FAR 15.306 (e)(4) prohibit the release of the names of the persons providing the responses. Complete confidentiality will be maintained. Furthermore, a questionnaire has also been sent to
Please send your completed questionnaire to the following address to arrive NOT LATER THAN
Contracting Division (CESAS-CT-C) ATTN: Linda G. Elliott 100 W. Oglethorpe Ave. Savannah, GA 31401

The questionnaires can also be emailed] to <a href="mailto:linda.g.elliott@sas02.usace.army.mil">linda.g.elliott@sas02.usace.army.mil</a> If you have questions regarding the attached questionnaire, or require assistance, please contact Linda Elliott at 912-652-5076Thank you for your assistance.

## PAST PERFORMANCE EVALUATION QUESTIONNAIRE

Upon completion of this form, please send directly to the U.S. Army Corps of Engineers in the enclosed addressed envelope or e-mail to <a href="mailto:linda.g.elliott@sas02.usace.army.mil">linda.g.elliott@sas02.usace.army.mil</a>. ]. Do not return this form to our offices. Thank you.

1. Contractor/Name & Address (City and State):
2. Type of Contract: Fixed Price Cost Reimbursement Other (Specify)
3. Title of Project/Contract Number:
4. Description of Work: (Attach additional pages as necessary)
5. Complexity of Work: High Mid Routine
6. Location of Work:
7. Date of Award:
8. Status: Active (provide percent complete)
Complete (provide completion date)
9. Name, address and telephone number of Contracting Officer's Technical Representative:

## 10. QUALITY OF CONSTRUCTION:

Evaluate the contractor's performance in complying with contract requirements, quality achieved and overall technical expertise demonstrated.

To what extent did the contractor meet the contract and/or individual task order schedules if the contrac			Compliance with Contract Requirements	Quality Achieved	Technical Expertise
Satisfactory  Marginal  Unsatisfactory or Experienced Significant Problems  Remarks:  11. TIMELINESS OF PERFORMANCE:  To what extent did the contractor meet the contract and/or individual task order schedules if the contract an indefinite delivery type contract?  Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)		Outstanding			
Marginal Unsatisfactory or Experienced Significant Problems  Remarks:  11. TIMELINESS OF PERFORMANCE: To what extent did the contractor meet the contract and/or individual task order schedules if the contract an indefinite delivery type contract?  Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)		Above Average			
Unsatisfactory or Experienced Significant Problems  Remarks:		Satisfactory			
Problems  Remarks:		Marginal			
11. TIMELINESS OF PERFORMANCE:  To what extent did the contractor meet the contract and/or individual task order schedules if the contract an indefinite delivery type contract?  Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)					
Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)	Rem	arks:			
Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)					
To what extent did the contractor meet the contract and/or individual task order schedules if the contract an indefinite delivery type contract?  Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)					
To what extent did the contractor meet the contract and/or individual task order schedules if the contract an indefinite delivery type contract?  Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)					
To what extent did the contractor meet the contract and/or individual task order schedules if the contract an indefinite delivery type contract?  Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)					
Completed Substantially Ahead of Schedule (Outstanding)  Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)	11. 7	TIMELINESS OF PERFORMANCE:			
Completed on Schedule with no Time Delays (Above Average)  Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)			t and/or individual ta	sk order schedu	les if the contract was
Completed on Schedule with Minor Delays Under Extenuating Circumstances (Satisfactory)		Completed Substantially Ahead of Schedule	e (Outstanding)		
Circumstances (Satisfactory)		Completed on Schedule with no Time Delay	vs (Above Average)		
Experienced Significant Delays without Justification (Unsatisfactory)			Under Extenuating		
		Experienced Significant Delays without Jus	tification (Unsatisfac	tory)	

				C
	OCUMENTATION			
	nat extent were the contractor's reports and d y manner?	ocumentation	accurate, comp	olete and submitted in
	Outstanding Documentation			
	<b>Above Average Documentation</b>			
	Satisfactory Documentation			
	Marginal Documentation			
	Unsuccessful or Experienced Significant			
	Documentation Problems			
D	alan.	'		
Kema	rks:			
				<del></del>
				<del></del>
12 0	NICTION FED CATEGORY OF THE STATE OF THE STA			
	CUSTOMER SATISFACTION:			
10 WI	nat extent were the end users satisfied with:	I	1	I
		Quality?	Cost?	Schedule?
Ex	cceptionally Satisfied (Outstanding)			
Hi	ighly Satisfied (Above Average)			
				1

Sa	tisfied (Satisfactory)				
So	mewhat Dissatisfied (Marginal)				
Hi	ghly Dissatisfied				
Rema	rks:				
14. S	UBCONTRACTOR MANAGEMENT				
How v	well did the contractor manage and coordinate su	bcontr	actors, s	uppliers, and	d the labor force?
	Outstanding				
	Above Average				
	Satisfactory				
	Marginal				
	Unsuccessful				
Domo	rks:				
willd	110.				

15. If given the opportunity, would you work with this contractor again?						
Yes	No	Not Sure				
Remarks:						

### **16. OTHER REMARKS:**

Use the space below to provide other information related to the contractor's performance. This may include the contractor's selection and management of subcontractors, flexibility in dealing with contract challenges their overall concern for the Government's interest (if applicable), project awards received, etc.							
							_
							_
							_
							_
							_
							_
							_
							_

Offeror:	
Evaluator:	

**Factor 1-1 Summary and Overall Rating** 

Item No.	Description	Rating
1.	Were three Past Performance Questionnaires Received (if a joint venture, three Questionnaires for each member of the joint venture)?	YES/NO
2.	Do All the Questionnaires Received Reflect Projects Completed Within the Last 3 Years?	YES/NO
3.	Were CCASS Ratings available?	YES/NO
4.	Do you have any Personal Experience with the Offeror?	YES/NO
5.	PAST PERFORMANCE	
OVERA	LL FACTOR 1-1 RATING	

STRENGTHS.

WEAKNESSES.

OTHER.

Offeror:	 
Evaluator:	

## **Factor 1-2 Summary and Overall Rating**

### OFFEROR CORPORATE RELEVANT SPECIALIZED EXPERIENCE

Item No.	Description	Rating
1.	Does the Proposal Include an Example Project Listing with Suitable Explanation?	YES – NO
2.	Offeror's Relevant Experience	
	OVERALL FACTOR 1-2 RATING	

STRENGTHS.

WEAKNESSES.

OTHER.

Offeror:		_
Evaluator:		

### **Summary and Overall Rating**

Item No.	Description	Rating
1.	Factor 1-1 Offeror Past Performance	
Factor 1-2     Corporate Relevant Specialized Experience		
	OVERALL RATING	

Board Member		

Factor No.	Description	Board Member 1	Board Member 2	Board Member 3	Board Member 4	CONSENSUS
1-1	Offeror Past Performance					
1-2	Corporate Relevant Specialized Experience					
OVERA	ALL RATING					

Board Member 1	Board Member 2	
Board Member 3	Board Member 4	
Board Chairman	<del></del>	

Offeror:	
CONSE	ENSUS SUMMARY RATING
FACTOR 1-1 OFFEROR PAST PERFORM	MANCE
STRENGTHS:	
WEAKNESSES:	
OTHER COMMENTS:	

Offeror:			
CONSENSUS SUMMARY RATING (Continued)			
FACTOR 1-2 CORPORATE RELEVANT SPECIALIZED EXPERIENCE			
STRENGTHS:			
WEAKNESSES:			
OTHER COMMENTS:			
CLAUSES INCORPORATED BY FULL TEXT			
52.204-6 DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (JUN 99)			
(a) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation			

(b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one. A DUNS number will be provided immediately by telephone at no charge to the offeror. For information on obtaining a

"DUNS" followed by the DUNS number that identifies the offeror's name and address exactly as stated in the offer.

DUNS number, the offeror, if located within the United States, should call Dun and Bradstreet at 1-800-333-0505. The offeror should be prepared to provide the following information:

- (1) Company name.
- (2) Company address.
- (3) Company telephone number.
- (4) Line of business.
- (5) Chief executive officer/key manager.
- (6) Date the company was started.
- (7) Number of people employed by the company.
- (8) Company affiliation.
- (c) Offerors located outside the United States may obtain the location and phone number of the local Dun and Bradstreet Information Services office from the Internet Home Page at http://www.customerservice@dnb.com. If an offeror is unable to locate a local service center, it may send an e-mail to Dun and Bradstreet at globalinfo@mail.dnb.com.

(End of provision)

52.211-2 AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA REQUIREMENTS CONTROL LIST, DOD 5010.12-L (DEC 1999)

Copies of specifications, standards, and data item descriptions cited in this solicitation may be obtained-

- (a) From the ASSIST database via the Internet at http://assist.daps.mil; or
- (b) By submitting a request to the--Department of Defense Single Stock Point (DoDSSP), Building 4, Section D, 700 Robbins Avenue, Philadelphia, PA 19111-5094, Telephone (215) 697-2667/2179, Facsimile (215) 697-1462.

(End of provision)

### 52.211-14 NOTICE OF PRIORITY RATING FOR NATIONAL DEFENSE USE (SEP 1990)

Any contract awarded as a result of this solicitation will be DX rated order; (X) DO rated order certified for national defense use under the Defense Priorities and Allocations System (DPAS) (15 CFR 700), and the Contractor will be required to follow all of the requirements of this regulation.

(End of provision)

### 52.214-5000 APPARENT CLERICAL MISTAKES (MAR 1995)--EFARS

(a) For the purpose of initial evaluations of bids, the following will be utilized in the resolving arithmetic discrepancies found on the face of bidding schedule as submitted by the bidder:

- (1) Obviously misplaced decimal points will be corrected;
- (2) Discrepancy between unit price and extended price, the unit price will govern;
- (3) Apparent errors in extension of unit prices will be corrected;
- (4) Apparent errors in addition of lump-sum and extended prices will be corrected.
- (b) For the purpose of bid evaluation, the government will proceed on the assumption that the bidder intends his bid to be evaluated on basis of the unit prices, the totals arrived at by resolution of arithmetic discrepancies as provided above and the bid will be so reflected on the abstract of bids.
- (c) These correction procedures shall not be used to resolve any ambiguity concerning which bid is low. (End of statement)

### 52.215-1 INSTRUCTIONS TO OFFERORS--COMPETITIVE ACQUISITION (MAY 2001)

- (a) Definitions. As used in this provision--
- "Discussions" are negotiations that occur after establishment of the competitive range that may, at the Contracting Officer's discretion, result in the offeror being allowed to revise its proposal.
- "In writing or written" means any worded or numbered expression which can be read, reproduced, and later communicated, and includes electronically transmitted and stored information.
- "Proposal modification" is a change made to a proposal before the solicitation's closing date and time, or made in response to an amendment, or made to correct a mistake at any time before award.
- "Proposal revision" is a change to a proposal made after the solicitation closing date, at the request of or as allowed by a Contracting Officer as the result of negotiations.
- "Time", if stated as a number of days, is calculated using calendar days, unless otherwise specified, and will include Saturdays, Sundays, and legal holidays. However, if the last day falls on a Saturday, Sunday, or legal holiday, then the period shall include the next working day.
- (b) Amendments to solicitations. If this solicitation is amended, all terms and conditions that are not amended remain unchanged. Offerors shall acknowledge receipt of any amendment to this solicitation by the date and time specified in the amendment(s).
- (c) Submission, modification, revision, and withdrawal of proposals. (1) Unless other methods (e.g., electronic commerce or facsimile) are permitted in the solicitation, proposals and modifications to proposals shall be submitted in paper media in sealed envelopes or packages (i) addressed to the office specified in the solicitation, and (ii) showing the time and date specified for receipt, the solicitation number, and the name and address of the offeror. Offerors using commercial carriers should ensure that the proposal is marked on the outermost wrapper with the information in paragraphs (c)(1)(i) and (c)(1)(ii) of this provision.
- (2) The first page of the proposal must show--
- (i) The solicitation number;
- (ii) The name, address, and telephone and facsimile numbers of the offeror (and electronic address if available);
- (iii) A statement specifying the extent of agreement with all terms, conditions, and provisions included in the solicitation and agreement to furnish any or all items upon which prices are offered at the price set opposite each item;

- (iv) Names, titles, and telephone and facsimile numbers (and electronic addresses if available) of persons authorized to negotiate on the offeror's behalf with the Government in connection with this solicitation; and
- (v) Name, title, and signature of person authorized to sign the proposal. Proposals signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the issuing office.
- (3) Submission, modification, or revision, of proposals.
- (i) Offerors are responsible for submitting proposals, and any modifications, or revisions, so as to reach the Government office designated in the solicitation by the time specified in the solicitation. If no time is specified in the solicitation, the time for receipt is 4:30 p.m., local time, for the designated Government office on the date that proposal or revision is due.
- (ii)(A) Any proposal, modification, or revision received at the Government office designated in the solicitation after the exact time specified for receipt of offers is "late" and will not be considered unless it is received before award is made, the Contracting Officer determines that accepting the late offer would not unduly delay the acquisition; and-
- (1) If it was transmitted through an electronic commerce method authorized by the solicitation, it was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of proposals; or
- (2) There is acceptable evidence to establish that it was received at the Government installation designated for receipt of offers and was under the Government's control prior to the time set for receipt of offers; or
- (3) It is the only proposal received.
- (B) However, a late modification of an otherwise successful proposal that makes its terms more favorable to the Government, will be considered at any time it is received and may be accepted.
- (iii) Acceptable evidence to establish the time of receipt at the Government installation includes the time/date stamp of that installation on the proposal wrapper, other documentary evidence of receipt maintained by the installation, or oral testimony or statements of Government personnel.
- (iv) If an emergency or unanticipated event interrupts normal Government processes so that proposals cannot be received at the office designated for receipt of proposals by the exact time specified in the solicitation, and urgent Government requirements preclude amendment of the solicitation, the time specified for receipt of proposals will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.
- (v) Proposals may be withdrawn by written notice received at any time before award. Oral proposals in response to oral solicitations may be withdrawn orally. If the solicitation authorizes facsimile proposals, proposals may be withdrawn via facsimile received at any time before award, subject to the conditions specified in the provision at 52.215-5, Facsimile Proposals. Proposals may be withdrawn in person by an offeror or an authorized representative, if the identity of the person requesting withdrawal is established and the person signs a receipt for the proposal before award.
- (4) Unless otherwise specified in the solicitation, the offeror may propose to provide any item or combination of items.
- (5) Offerors shall submit proposals in response to this solicitation in English, unless otherwise permitted by the solicitation, and in U.S. dollars, unless the provision at FAR 52.225-17, Evaluation of Foreign Currency Offers, is included in the solicitation.

- (6) Offerors may submit modifications to their proposals at any time before the solicitation closing date and time, and may submit modifications in response to an amendment, or to correct a mistake at any time before award.
- (7) Offerors may submit revised proposals only if requested or allowed by the Contracting Officer.
- (8) Proposals may be withdrawn at any time before award. Withdrawals are effective upon receipt of notice by the Contracting Officer.
- (d) Offer expiration date. Proposals in response to this solicitation will be valid for the number of days specified on the solicitation cover sheet (unless a different period is proposed by the offeror).
- (e) Restriction on disclosure and use of data. Offerors that include in their proposals data that they do not want disclosed to the public for any purpose, or used by the Government except for evaluation purposes, shall--
- (1) Mark the title page with the following legend: This proposal includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed--in whole or in part--for any purpose other than to evaluate this proposal. If, however, a contract is awarded to this offeror as a result of--or in connection with-- the submission of this data, the Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting contract. This restriction does not limit the Government's right to use information contained in this data if it is obtained from another source without restriction. The data subject to this restriction are contained in sheets [insert numbers or other identification of sheets]; and
- (2) Mark each sheet of data it wishes to restrict with the following legend: Use or disclosure of data contained on this sheet is subject to the restriction on the title page of this proposal.
- (f) Contract award. (1) The Government intends to award a contract or contracts resulting from this solicitation to the responsible offeror(s) whose proposal(s) represents the best value after evaluation in accordance with the factors and subfactors in the solicitation.
- (2) The Government may reject any or all proposals if such action is in the Government's interest.
- (3) The Government may waive informalities and minor irregularities in proposals received.
- (4) The Government intends to evaluate proposals and award a contract without discussions with offerors (except clarifications as described in FAR 15.306(a)). Therefore, the offeror's initial proposal should contain the offeror's best terms from a cost or price and technical standpoint. The Government reserves the right to conduct discussions if the Contracting Officer later determines them to be necessary. If the Contracting Officer determines that the number of proposals that would otherwise be in the competitive range exceeds the number at which an efficient competition can be conducted, the Contracting Officer may limit the number of proposals in the competitive range to the greatest number that will permit an efficient competition among the most highly rated proposals.
- (5) The Government reserves the right to make an award on any item for a quantity less than the quantity offered, at the unit cost or prices offered, unless the offeror specifies otherwise in the proposal.
- (6) The Government reserves the right to make multiple awards if, after considering the additional administrative costs, it is in the Government's best interest to do so.
- (7) Exchanges with offerors after receipt of a proposal do not constitute a rejection or counteroffer by the Government.
- (8) The Government may determine that a proposal is unacceptable if the prices proposed are materially unbalanced between line items or subline items. Unbalanced pricing exists when, despite an acceptable total evaluated price, the

price of one or more contract line items is significantly overstated or understated as indicated by the application of cost or price analysis techniques. A proposal may be rejected if the Contracting Officer determines that the lack of balance poses an unacceptable risk to the Government.

- (9) If a cost realism analysis is performed, cost realism may be considered by the source selection authority in evaluating performance or schedule risk.
- (10) A written award or acceptance of proposal mailed or otherwise furnished to the successful offeror within the time specified in the proposal shall result in a binding contract without further action by either party.
- (11) The Government may disclose the following information in postaward debriefings to other offerors:
- (i) The overall evaluated cost or price and technical rating of the successful offeror;
- (ii) The overall ranking of all offerors, when any ranking was developed by the agency during source selection;
- (iii) A summary of the rationale for award; and
- (iv) For acquisitions of commercial items, the make and model of the item to be delivered by the successful offeror.

(End of provision)

### 52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a firm fixed price contract resulting from this solicitation.

(End of clause)

# 52.219-4001 SUBCONTRACTING PLAN FOR SMALL BUSINESS CONCERNS (SEP 2002 CESAS-CT)

- (a) In accordance with FAR Clause 52.219-9, large businesses must submit a subcontracting plan. A sample subcontracting plan is located in Section 00800.
- (b) The subcontracting targets (expressed in terms of percentages of total planned subcontracting dollars) of the Savannah District are as follows:

Small Business	-	57.2%
Small Disadvantaged Business	-	8.9%
<b>HUBZone Small Business</b>		3.0%
Women-Owned Business	-	8.1%
Veteran-Owned Small Business		0%*
Service-Disabled Veteran-Owned	-	3.0%**
C 11 D '		

**Small Business** 

If you cannot reach the above-stated targets, you must provide written justification with your subcontracting plan detailing the reasons you cannot meet the requirements.

\*(c) While Savannah District does not have a specific target for subcontracting with Veteran-Owned small businesses, this must be addressed in any subcontracting plan.

\*\*(d) Service-disabled Veteran-owned Small Business (SD/VOSB) is a composite of Veteran-Owned Small Business. The SD/VOSB target must be included in the Veteran-Owned small business target.

# 52.222-23 NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION (FEB 1999)

- (a) The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.
- (b) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for minority participation for each trade	Goals for female participation for each trade	
26.2%	6.9%	

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from any Office of Federal Contract Compliance Programs office.

- (c) The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.
- (d) The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the --
- (1) Name, address, and telephone number of the subcontractor;
- (2) Employer's identification number of the subcontractor;
- (3) Estimated dollar amount of the subcontract;
- (4) Estimated starting and completion dates of the subcontract; and
- (5) Geographical area in which the subcontract is to be performed.
- (e) As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is Fort Bragg, North

Carolina.

(End of provision)

# 52.225-12 NOTICE OF BUY AMERICAN ACT REQUIREMENT-- CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (MAY 2002)

- (a) Definitions. Construction material, designated country construction material, domestic construction material, foreign construction material, and NAFTA country construction material, as used in this provision, are defined in the clause of this solicitation entitled "Buy American Act --Construction Materials under Trade Agreements" (Federal Acquisition Regulation (FAR) clause 52.225-11).
- (b) Requests for determination of inapplicability. An offeror requesting a determination regarding the inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of FAR clause 52.225-11 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.
- (c) Evaluation of offers. (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction materials, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(4)(i) of FAR clause 52.225-11.
- (2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.
- (d) Alternate offers. (1) When an offer includes foreign construction material, other than designated country or NAFTA country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic, designated country, or NAFTA country construction material.
- (2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.
- (3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic, designated country, or NAFTA country construction material, and the offeror shall be required to furnish such domestic, designated country, or NAFTA country construction material. An offer based on use of the foreign construction material for which an exception was requested--
- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

### 52.233-2 SERVICE OF PROTEST (AUG 1996)

Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from

U.S. Army Engineer District, Savannah ATTN: CESAS-CT-C 100 West Oglethorpe Avenue Savannah, Georgia 31401-3640

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

### 52.236-27 SITE VISIT (CONSTRUCTION) (FEB 1995)

- (a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, offerors or quoters are urged and expected to inspect the site where the work will be performed.
- (b) Site visits may be arranged during normal duty hours by contacting:

Name: Mr. Ken Gray Address: P.O. Box 70247 Telephone: (910) 396-1211

(End of provision)

### 52.236-28 PREPARATION OF PROPOSALS--CONSTRUCTION (OCT 1997)

- (a) Proposals must be (1) submitted on the forms furnished by the Government or on copies of those forms, and (2) manually signed. The person signing a proposal must initial each erasure or change appearing on any proposal form.
- (b) The proposal form may require offerors to submit proposed prices for one or more items on various bases, including--
- (1) Lump sum price;
- (2) Alternate prices;
- (3) Units of construction; or
- (4) Any combination of paragraphs (b)(1) through (b)(3) of this provision.
- (c) If the solicitation requires submission of a proposal on all items, failure to do so may result in the proposal being rejected without further consideration. If a proposal on all items is not required, offerors should insert the words "no

proposal" in the space provided for any item on which no price is submitted.

(d) Alternate proposals will not be considered unless this solicitation authorizes their submission.

(End of provision)

52.236-4011 Disclosure of Magnitude of Construction (FAR 36.204 and DFARS 236.204)

The estimated price range for this project is between \$5,000,000.00 and \$10,000,000.00

Section 00600 - Representations & Certifications

#### CLAUSES INCORPORATED BY REFERENCE

52.203-2 Certificate Of Independent Price Determination APR 1985

### CLAUSES INCORPORATED BY FULL TEXT

# 52.203-11 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)

- (a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this Certification.
- (b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989,--
- (1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;
- (2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and
- (3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.
- (c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

(End of provision)

## 52.204-5 WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS) (MAY 1999)

(a) Definition. Women-owned business concern, as used in this provision, means a concern that is at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.

(b) Representation. [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, Small Business Program Representations, of this solicitation.] The offeror represents that it ( ) is a women-owned business concern.
(End of provision)
52.204-4003 TAXPAYER IDENTIFICATION
Taxpayer Identification Number (TIN)," as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.
(a) Taxpayer Identification Number (TIN).
TIN:
TIN has been applied for.
TIN is not required because:
Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;
Offeror is an agency or instrumentality of a foreign government;
Offeror is an agency or instrumentality of the Federal Government.
(b) Type of organization.
Sole proprietorship;
Partnership;
Corporate entity (not tax-exempt);
Corporate entity (tax-exempt);
Government entity (Federal, State, or local);
Foreign government;
International organization per 26 CFR 1.6049-4;
Other
(c) Common parent.

\_\_\_ Offeror is not owned or controlled by a common parent

Name and TIN of common parent:	
Name	
TIN	_
	(End of provision)

# 52.209-5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (DEC 2001)

- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that--
- (i) The Offeror and/or any of its Principals--
- (A) Are ( ) are not ( ) presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- (B) Have () have not (), within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and
- (C) Are ( ) are not ( ) presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision.
- (ii) The Offeror has ( ) has not ( ), within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.

- (b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was
placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification,
in addition to other remedies available to the Government, the Contracting Officer may terminate the contract
resulting from this solicitation for default.

(End of provision)

- (a)(1) The North American Industry Classification System (NAICS) code for this acquisition is 236220.
- (2) The small business size standard is \$28,500,000.00.
- (3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.
- (b) Representations. (1) The offeror represents as part of its offer that it ( ) is, ( ) is not a small business concern.
- (2) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, for general statistical purposes, that it ( ) is, ( ) is not a small disadvantaged business concern as defined in 13 CFR 124.1002.
- (3) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it ( ) is, ( ) is not a women-owned small business concern.
- (4) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it ( ) is, ( ) is not a veteran-owned small business concern.
- (5) (Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(4) of this provision.) The offeror represents as part of its offer that it ( ) is, ( ) is not a service-disabled veteran-owned small business concern.
- (6) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, as part of its offer, that--
- (i) It ( ) is, ( ) is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and
- (ii) It ( ) is, ( ) is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(6)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. (The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture:\_\_\_\_\_\_\_.) Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.
- (7) (Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision.) The offeror shall check the category in which its ownership falls:

Black American.
Hispanic American.
Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).
Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).
Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, or Nepal).
Individual/concern, other than one of the preceding.
(c) Definitions. As used in this provision-
Service-disabled veteran-owned small business concern-
(1) Means a small business concern
(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.
(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).
"Small business concern," means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard in paragraph (a) of this provision.
Veteran-owned small business concern means a small business concern-
(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
(2) The management and daily business operations of which are controlled by one or more veterans.
"Women-owned small business concern," means a small business concern
(1) That is at least 51 percent owned by one or more women or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; or
(2) Whose management and daily business operations are controlled by one or more women.
(d) Notice.

- (1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.
- (2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall--
- (i) Be punished by imposition of fine, imprisonment, or both;
- (ii) Be subject to administrative remedies, including suspension and debarment; and
- (iii) Be ineligible for participation in programs conducted under the authority of the Act.

(End of provision)

### 52.222-22 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)

The offeror represents that --

- (a) ( ) It has, ( ) has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;
- (b) ( ) It has, ( ) has not, filed all required compliance reports; and
- (c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

(End of provision)

## 52.222-38 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (DEC 2001)

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (i.e., if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS-100 Report required by that clause.

(End of provision)

## 52.223-4 RECOVERED MATERIAL CERTIFICATION (OCT 1997)

As required by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. 6962(c)(3)(A)(i)), the offeror certifies, by signing this offer, that the percentage of recovered materials to be used in the performance of the contract will be at least the amount required by the applicable contract specifications.

(End of provision)

## 52.223-13 CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (OCT 2000)

- (a) Submission of this certification is a prerequisite for making or entering into this contract imposed by Executive Order 12969, August 8, 1995.
- (b) By signing this offer, the offeror certifies that--
- (1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or
- (2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: (Check each block that is applicable.)
- ( ) (i) The facility does not manufacture, process or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);
- ( ) (ii) The facility does not have 10 or more full-time employees as specified in section 313.(b)(1)(A) of EPCRA 42 U.S.C. 11023(b)(1)(A);
- ( ) (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- ( ) (iv) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or
- ( ) (v) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

(End of clause)

252.209-7001 DISCLOSURE OF OWNERSHIP OR CONTROL BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

(a) "Definitions."

As used in this provision --

- (a) "Government of a terrorist country" includes the state and the government of a terrorist country, as well as any political subdivision, agency, or instrumentality thereof.
- (2) "Terrorist country" means a country determined by the Secretary of State, under section 6(j)(1)(A) of the Export Administration Act of 1979 (50 U.S.C. App. 2405(j)(i)(A)), to be a country the government of which has repeatedly provided support for such acts of international terrorism. As of the date of this provision, terrorist countries include: Cuba, Iran, Iraq, Libya, North Korea, Sudan, and Syria.

- (3) "Significant interest" means --
- (i) Ownership of or beneficial interest in 5 percent or more of the firm's or subsidiary's securities. Beneficial interest includes holding 5 percent or more of any class of the firm's securities in "nominee shares," "street names," or some other method of holding securities that does not disclose the beneficial owner;
- (ii) Holding a management position in the firm, such as a director or officer;
- (iii) Ability to control or influence the election, appointment, or tenure of directors or officers in the firm;
- (iv) Ownership of 10 percent or more of the assets of a firm such as equipment, buildings, real estate, or other tangible assets of the firm; or
- (v) Holding 50 percent or more of the indebtness of a firm.
- (b) "Prohibition on award."

In accordance with 10 U.S.C. 2327, no contract may be awarded to a firm or a subsidiary of a firm if the government of a terrorist country has a significant interest in the firm or subsidiary or, in the case of a subsidiary, the firm that owns the subsidiary, unless a waiver is granted by the Secretary of Defense.

(c) "Disclosure."

If the government of a terrorist country has a significant interest in the Offeror or a subsidiary of the Offeror, the Offeror shall disclosure such interest in an attachment to its offer. If the Offeror is a subsidiary, it shall also disclose any significant interest the government of a terrorist country has in any firm that owns or controls the subsidiary. The disclosure shall include --

- (1) Identification of each government holding a significant interest; and
- (2) A description of the significant interest held by each government.

(End of provision)

## 252.247-7022 REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (AUG 1992)

- (a) The Offeror shall indicate by checking the appropriate blank in paragraph (b) of this provision whether transportation of supplies by sea is anticipated under the resultant contract. The term supplies is defined in the Transportation of Supplies by Sea clause of this solicitation.
- (b) Representation. The Offeror represents that it:
- \_\_\_\_ (1) Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.
- \_\_\_\_ (2) Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.
- (c) Any contract resulting from this solicitation will include the Transportation of Supplies by Sea clause. If the Offeror represents that it will not use ocean transportation, the resulting contract will also include the Defense FAR Supplement clause at 252.247-7024, Notification of Transportation of Supplies by Sea.

(End of provision)

### Section 00700 - Contract Clauses

#### CLAUSES INCORPORATED BY REFERENCE

52.204-4	Printed or Copied Double-Sided on Recycled Paper	AUG 2000
52.215-21	Requirements for Cost or Pricing Data or Information Other	OCT 1997
	Than Cost or Pricing DataModifications	
52.246-12	Inspection of Construction	AUG 1996
52.246-21	Warranty of Construction	MAR 1994

### CLAUSES INCORPORATED BY FULL TEXT

### 52.202-1 DEFINITIONS (MAY 2001) -- ALTERNATE I (MAR 2001)

- (a) Agency head or head of the agency means the Secretary (Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, unless otherwise indicated, including any deputy or assistant chief official of the executive agency.
- (b) Commercial component means any component that is a commercial item.
- (c) Component means any item supplied to the Government as part of an end item or of another component, except that for use in 52.225-9, and 52.225-11 see the definitions in 52.225-9(a) and 52.225-11(a).
- (d) Contracting Officer means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (e) Nondevelopmental item means--
- (1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;
- (2) Any item described in paragraph (f)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or
- (3) Any item of supply being produced that does not meet the requirements of paragraph (f)(1) or (f)(2) solely because the item is not yet in use.
- (f) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (g) Except as otherwise provided in this contract, the term "subcontracts" includes, but is not limited to, purchase orders and changes and modifications to purchase orders under this contract.

(End of clause)

#### 52.203-3 GRATUITIES (APR 1984)

- (a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--
- (1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and
- (2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.
- (b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.
- (c) If this contract is terminated under paragraph (a) of this clause, the Government is entitled-
- (1) To pursue the same remedies as in a breach of the contract; and
- (2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)
- (d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

### 52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

- (a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.
- (b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.

"Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.

"Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.

"Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

(End of clause)

### 52.203-6 RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (JUL 1995)

- (a) Except as provided in (b) of this clause, the Contractor shall not enter into any agreement with an actual or prospective subcontractor, nor otherwise act in any manner, which has or may have the effect of restricting sales by such subcontractors directly to the Government of any item or process (including computer software) made or furnished by the subcontractor under this contract or under any follow-on production contract.
- (b) The prohibition in (a) of this clause does not preclude the Contractor from asserting rights that are otherwise authorized by law or regulation.
- (c) The Contractor agrees to incorporate the substance of this clause, including this paragraph (c), in all subcontracts under this contract which exceed \$100,000.

#### 52.203-7 ANTI-KICKBACK PROCEDURES. (JUL 1995)

#### (a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract.

"Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor," as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

- (b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from -
- (1) Providing or attempting to provide or offering to provide any kickback;
- (2) Soliciting, accepting, or attempting to accept any kickback; or

- (3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.
- (c)(1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.
- (2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.
- (3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.
- (4) The Contracting Officer may (i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or (ii) direct that the Prime Contractor withhold, from sums owed a subcontractor under the prime contract, the amount of any kickback. The Contracting Officer may order the monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.
- (5) The Contractor agrees to incorporate the substance of this clause, including this subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.

# 52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

- (a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the 1996 National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may--
- (1) Cancel the solicitation, if the contract has not yet been awarded or issued; or
- (2) Rescind the contract with respect to which--
- (i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27(a) or (b) of the Act for the purpose of either--
- (A) Exchanging the information covered by such subsections for anything of value; or
- (B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency procurement contract; or
- (ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsections 27(e)(1) of the Act.
- (b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover,

in addition to any penalty prescribed by law, the amount expended under the contract.

(c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.

(End of clause)

#### 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

- (a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract by the amount of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27 (a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.
- (b) The price or fee reduction referred to in paragraph (a) of this clause shall be-
- (1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;
- (2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;
- (3) For cost-plus-award-fee contracts--
- (i) The base fee established in the contract at the time of contract award;
- (ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.
- (4) For fixed-price-incentive contracts, the Government may--
- (i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or
- (ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.
- (5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.
- (c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.
- (d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

# 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 1997)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in 2.101.

"Covered Federal action," as used in this clause, means any of the following Federal actions:

- (1) The awarding of any Federal contract.
- (2) The making of any Federal grant.
- (3) The making of any Federal loan.
- (4) The entering into of any cooperative agreement.
- (5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

- (1) An individual who is appointed to a position in the Government under Title 5, United States Code, including a position under a temporary appointment.
- (2) A member of the uniformed services, as defined in subsection 101(3), Title 37, United States Code.
- (3) A special Government employee, as defined in section 202, Title 18, United States Code.
- (4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, Title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State," as used in this clause, means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

### (b) Prohibitions.

- (1) Section 1352 of Title 31, United States Code, among other things, prohibits a recipient of a Federal contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.
- (3) The prohibitions of the Act do not apply under the following conditions:
- (i) Agency and legislative liaison by own employees.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.
- (B) For purposes of subdivision (b)(3)(i)(A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.
- (C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:
- (1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.

- (2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.
- (D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--
- (1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;
- (2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and
- (3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.
- (E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.
- (ii) Professional and technical services.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--
- (1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.
- (2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.
- (B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.
- (C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.

- (D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.
- (E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.
- (c) Disclosure.
- (1) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.
- (2) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this clause. An event that materially affects the accuracy of the information reported includes--
- (i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or
- (ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or
- (iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.
- (3) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.
- (4) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.
- (d) Agreement. The Contractor agrees not to make any payment prohibited by this clause.
- (e) Penalties.
- (1) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.
- (2) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.
- (f) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

(End of clause)

# 52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED. SUSPENDED, OR PROPOSED FOR DEBARMENT (JUL 1995)

- (a) The Government suspends or debars Contractors to protect the Government's interests. The Contractor shall not enter into any subcontract in excess of the \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.
- (b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principles, is or is not debarred, suspended, or proposed for debarrent by the Federal Government.
- (c) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs). The notice must include the following:
- (1) The name of the subcontractor.
- (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

(End of clause)

### 52.211-15 DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS (SEP 1990)

This is a rated order certified for national defense use, and the Contractor shall follow all the requirements of the Defense Priorities and Allocations System regulation (15 CFR 700).

(End of clause)

### 52.215-2 AUDIT AND RECORDS--NEGOTIATION (JUN 1999)

- (a) As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form.
- (b) Examination of costs. If this is a cost-reimbursement, incentive, time-and-materials, labor-hour, or price redeterminable contract, or any combination of these, the Contractor shall maintain and the Contracting Officer, or an authorized representative of the Contracting Officer, shall have the right to examine and audit all records and other evidence sufficient to reflect properly all costs claimed to have been incurred or anticipated to be incurred directly or indirectly in performance of this contract. This right of examination shall include inspection at all reasonable times of the Contractor's plants, or parts of them, engaged in performing the contract.
- (c) Cost or pricing data. If the Contractor has been required to submit cost or pricing data in connection with any

pricing action relating to this contract, the Contracting Officer, or an authorized representative of the Contracting Officer, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data, shall have the right to examine and audit all of the Contractor's records, including computations and projections, related to--

- (1) The proposal for the contract, subcontract, or modification;
- (2) The discussions conducted on the proposal(s), including those related to negotiating;
- (3) Pricing of the contract, subcontract, or modification; or
- (4) Performance of the contract, subcontract or modification.
- (d) Comptroller General--(1) The Comptroller General of the United States, or an authorized representative, shall have access to and the right to examine any of the Contractor's directly pertinent records involving transactions related to this contract or a subcontract hereunder.
- (2) This paragraph may not be construed to require the Contractor or subcontractor to create or maintain any record that the Contractor or subcontractor does not maintain in the ordinary course of business or pursuant to a provision of law.
- (e) Reports. If the Contractor is required to furnish cost, funding, or performance reports, the Contracting Officer or an authorized representative of the Contracting Officer shall have the right to examine and audit the supporting records and materials, for the purpose of evaluating (1) the effectiveness of the Contractor's policies and procedures to produce data compatible with the objectives of these reports and (2) the data reported.
- (f) Availability. The Contractor shall make available at its office at all reasonable times the records, materials, and other evidence described in paragraphs (a), (b), (c), (d), and (e) of this clause, for examination, audit, or reproduction, until 3 years after final payment under this contract or for any shorter period specified in Subpart 4.7, Contractor Records Retention, of the Federal Acquisition Regulation (FAR), or for any longer period required by statute or by other clauses of this contract. In addition--
- (1) If this contract is completely or partially terminated, the Contractor shall make available the records relating to the work terminated until 3 years after any resulting final termination settlement; and
- (2) The Contractor shall make available records relating to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to this contract until such appeals, litigation, or claims are finally resolved.
- (g) The Contractor shall insert a clause containing all the terms of this clause, including this paragraph (g), in all subcontracts under this contract that exceed the simplified acquisition threshold, and--
- (1) That are cost-reimbursement, incentive, time-and-materials, labor-hour, or price-redeterminable type or any combination of these;
- (2) For which cost or pricing data are required; or
- (3) That require the subcontractor to furnish reports as discussed in paragraph (e) of this clause.

The clause may be altered only as necessary to identify properly the contracting parties and the Contracting Officer under the Government prime contract.

(End of clause)

# 52.215-11 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

- (a) This clause shall become operative only for any modification to this contract involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, except that this clause does not apply to any modification if an exception under FAR 15.403-1 applies.
- (b) If any price, including profit or fee, negotiated in connection with any modification under this clause, or any cost reimbursable under this contract, was increased by any significant amount because (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data, (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data, or (3) any of these parties furnished data of any description that were not accurate, the price or cost shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) of this clause.
- (c) Any reduction in the contract price under paragraph (b) of this clause due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which--
- (1) The actual subcontract; or
- (2) The actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.
- (d)(1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:
- (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.
- (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.
- (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.
- (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
- (2)(i) Except as prohibited by subdivision (d)(2)(ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if-
- (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
- (B) The Contractor proves that the cost or pricing data were available before the "as of" date specified on its Certificate of Current Cost or Pricing Data, and that the data were not submitted before such date.
- (ii) An offset shall not be allowed if--

- (A) The understated data were known by the Contractor to be understated before the "as of" date specified on its Certificate of Current Cost or Pricing Data; or
- (B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the "as of" date specified on its Certificate of Current Cost or Pricing Data.
- (e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid--
- (1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and

A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data that were incomplete, inaccurate, or noncurrent.

(End of clause)

### 52.215-13 SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

- (a) The requirements of paragraphs (b) and (c) of this clause shall--
- (1) Become operative only for any modification to this contract involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4; and
- (2) Be limited to such modifications.
- (b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modification involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1 applies.
- (c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in FAR 15.406-2 that, to the best of its knowledge and belief, the data submitted under paragraph (b) of this clause were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.

The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that exceeds the threshold for submission of cost or pricing data at FAR 15.403-4 on the date of agreement on price or the date of award, whichever is later.

(End of clause)

52.219-4 NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS (JAN 1999)

- (a) Definition. HUBZone small business concern, as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.
- (b) Evaluation preference. (1) Offers will be evaluated by adding a factor of 10 percent to the price of all offers, except--
- (i) Offers from HUBZone small business concerns that have not waived the evaluation preference;
- (ii) Otherwise successful offers from small business concerns;
- (iii) Otherwise successful offers of eligible products under the Trade Agreements Act when the dollar threshold for application of the Act is exceeded (see 25.402 of the Federal Acquisition Regulation (FAR)); and
- (iv) Otherwise successful offers where application of the factor would be inconsistent with a Memorandum of Understanding or other international agreement with a foreign government.
- (2) The factor of 10 percent shall be applied on a line item basis or to any group of items on which award may be made. Other evaluation factors described in the solicitation shall be applied before application of the factor.
- (3) A concern that is both a HUBZone small business concern and a small disadvantaged business concern will receive the benefit of both the HUBZone small business price evaluation preference and the small disadvantaged business price evaluation adjustment (see FAR clause 52.219-23). Each applicable price evaluation preference or adjustment shall be calculated independently against an offeror's base offer.

These individual preference amounts shall be added together to arrive at the total evaluated price for that offer.

- (c) Waiver of evaluation preference. A HUBZone small business concern may elect to waive the evaluation preference, in which case the factor will be added to its offer for evaluation purposes. The agreements in paragraph (d) of this clause do not apply if the offeror has waived the evaluation preference.
- \_\_\_\_ Offeror elects to waive the evaluation preference.
- (d) Agreement. A HUBZone small business concern agrees that in the performance of the contract, in the case of a contract for
- (1) Services (except construction), at least 50 percent of the cost of personnel for contract performance will be spent for employees of the concern or employees of other HUBZone small business concerns;
- (2) Supplies (other than procurement from a nonmanufacturer of such supplies), at least 50 percent of the cost of manufacturing, excluding the cost of materials, will be performed by the concern or other HUBZone small business concerns;
- (3) General construction, at least 15 percent of the cost of the contract performance incurred for personnel will be will be spent on the concern's employees or the employees of other HUBZone small business concerns; or
- (4) Construction by special trade contractors, at least 25 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns.
- (e) A HUBZone joint venture agrees that in the performance of the contract, the applicable percentage specified in paragraph (d) of this clause will be performed by the HUBZone small business participant or participants.
- (f) A HUBZone small business concern nonmanufacturer agrees to furnish in performing this contract only end items manufactured or produced by HUBZone small business manufacturer concerns. This paragraph does not apply in connection with construction or service contracts.

(End of clause)

### 52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (OCT 2000)

- (a) It is the policy of the United States that small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns.
- (b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

Definitions. As used in this contract--

HUBZone small business concern means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

Service-disabled veteran-owned small business concern--

- (1) Means a small business concern--
- (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
- (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.
- (2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

Small business concern means a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

Small disadvantaged business concern means a small business concern that represents, as part of its offer that-

- (1) It has received certification as a small disadvantaged business concern consistent with 13 CFR part 124, subpart B;
- (2) No material change in disadvantaged ownership and control has occurred since its certification;
- (3) Where the concern is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and

(4) It is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).

Veteran-owned small business concern means a small business concern-

- (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
- (2) The management and daily business operations of which are controlled by one or more veterans.

Women-owned small business concern means a small business concern--

- (1) That is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
- (2) Whose management and daily business operations are controlled by one or more women.
- (d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a veteran-owned small business concern, a service-disabled veteran-owned small business concern, a HUBZone small business concern, a small disadvantaged business concern, or a women-owned small business concern.

(End of clause)

### 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002)--ALTERNATE II (OCT 2001).

- (a) This clause does not apply to small business concerns.
- (b) Definitions. As used in this clause--

Commercial item means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

Commercial plan means a subcontracting plan (including goals) that covers the offeror's fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line).

Individual contract plan means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror's planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

Master plan means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

Subcontract means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) Proposals submitted in response to this solicitation shall include a subcontracting plan that separately addresses subcontracting with small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns. If the offeror is submitting an individual contract plan, the

plan must separately address subcontracting with small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate a subcontracting plan shall make the offeror ineligible for award of a contract.

- (d) The offeror's subcontracting plan shall include the following:
- (1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.
- (2) A statement of--
- (i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;
- (ii) Total dollars planned to be subcontracted to small business concerns;
- (iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;
- (iv) Total dollars planned to be subcontracted to HUBZone small business concerns;
- (v) Total dollars planned to be subcontracted to small disadvantaged business concerns; and
- (vi) Total dollars planned to be subcontracted to women-owned small business concerns.
- (3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to--
- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;
- (iii) HUBZone small business concerns;
- (iv) Small disadvantaged business concerns; and
- (v) Women-owned small business concerns.
- (4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.
- (5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRO-Net as its source list does not relieve a firm of its responsibilities (e.g., outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

- (6) A statement as to whether or not the offeror in included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—
- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;
- (iii) HUBZone small business concerns;
- (iv) Small disadvantaged business concerns; and
- (v) Women-owned small business concerns.
- (7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.
- (8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, HUBZone small business, small disadvantaged business and women-owned small business concerns have an equitable opportunity to compete for subcontracts.
- (9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.
- (10) Assurances that the offeror will--
- (i) Cooperate in any studies or surveys as may be required;
- (ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;
- (iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.
- (iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.
- (11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated)
- (i) Source lists (e.g., PRO-Net), guides, and other data that identify small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.
- (ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.
- (iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating--

- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether veteran-owned small business concerns were solicited and, if not, why not;
- (C) Whether HUBZone small business concerns were solicited and, if not, why not;
- (D) Whether small disadvantaged business concerns were solicited and, if not, why not;
- (E) Whether women-owned small business concerns were solicited and, if not, why not; and
- (F) If applicable, the reason award was not made to a small business concern.
- (iv) Records of any outreach efforts to contact--
- (A) Trade associations;
- (B) Business development organizations;
- (C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and
- (D) Veterans service organizations.
- (v) Records of internal guidance and encouragement provided to buyers through-
- (A) Workshops, seminars, training, etc.; and
- (B) Monitoring performance to evaluate compliance with the program's requirements.
- (vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.
- (e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:
- (1) Assist small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.
- (2) Provide adequate and timely consideration of the potentialities of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all ``make-or-buy" decisions.
- (3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.
- (4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owner small business, HUBZone small, small disadvantaged, or women-owned small business for the

purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

- (f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided--
- (1) the master plan has been approved, (2) the offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer, and (3) goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.
- (g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is supplying a commercial item.
- (h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.
- (i) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization Of Small Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.
- (j) The Contractor shall submit the following reports:
- (1) Standard Form 294, Subcontracting Report for Individual Contracts. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.
- (2) Standard Form 295, Summary Subcontract Report. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

### 52.219-16 LIQUIDATED DAMAGES-SUBCONTRACTING PLAN (JAN 1999)

- (a) Failure to make a good faith effort to comply with the subcontracting plan, as used in this clause, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business Subcontracting Plan," or willful or intentional action to frustrate the plan.
- (b) Performance shall be measured by applying the percentage goals to the total actual subcontracting dollars or, if a commercial plan is involved, to the pro rata share of actual subcontracting dollars attributable to Government contracts covered by the commercial plan. If, at contract completion or, in the case of a commercial plan, at the close

of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled "Small Business Subcontracting Plan," the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal.

- (c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made and to discuss the matter. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.
- (d) With respect to commercial plans, the Contracting Officer who approved the plan will perform the functions of the Contracting Officer under this clause on behalf of all agencies with contracts covered by the commercial plan.
- (e) The Contractor shall have the right of appeal, under the clause in this contract entitled Disputes, from any final decision of the Contracting Officer.
- (f) Liquidated damages shall be in addition to any other remedies that the Government may have.

(End of clause)

### 52.222-3 CONVICT LABOR (AUG 1996)

The Contractor agrees not to employ in the performance of this contract any person undergoing a sentence of imprisonment which has been imposed by any court of a State, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands. This limitation, however, shall not prohibit the employment by the Contractor in the performance of this contract of persons on parole or probation to work at paid employment during the term of their sentence or persons who have been pardoned or who have served their terms. Nor shall it prohibit the employment by the Contractor in the performance of this contract of persons confined for violation of the laws of any of the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if--

- (a)(1) The worker is paid or is in an approved work training program on a voluntary basis;
- (2) Representatives of local union central bodies or similar labor union organizations have been consulted;
- (3) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services; and
- (4) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and
- (b) The Attorney General of the United States has certified that the work-release laws or regulations of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943.

(End of clause)

# 52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION. (SEP 2000)

- (a) Overtime requirements. No Contractor or subcontractor employing laborers or mechanics (see Federal Acquisition Regulation 22.300) shall require or permit them to work over 40 hours in any workweek unless they are paid at least 1 and 1/2 times the basic rate of pay for each hour worked over 40 hours.
- (b) Violation; liability for unpaid wages; liquidated damages. The responsible Contractor and subcontractor are liable for unpaid wages if they violate the terms in paragraph (a) of this clause. In addition, the Contractor and subcontractor are liable for liquidated damages payable to the Government. The Contracting Officer will assess liquidated damages at the rate of \$10 per affected employee for each calendar day on which the employer required or permitted the employee to work in excess of the standard workweek of 40 hours without paying overtime wages required by the Contract Work Hours and Safety Standards Act.
- (c) Withholding for unpaid wages and liquidated damages. The Contracting Officer will withhold from payments due under the contract sufficient funds required to satisfy any Contractor or subcontractor liabilities for unpaid wages and liquidated damages. If amounts withheld under the contract are insufficient to satisfy Contractor or subcontractor liabilities, the Contracting Officer will withhold payments from other Federal or Federally assisted contracts held by the same Contractor that are subject to the Contract Work Hours and Safety Standards Act.
- (d) Payrolls and basic records.
- (1) The Contractor and its subcontractors shall maintain payrolls and basic payroll records for all laborers and mechanics working on the contract during the contract and shall make them available to the Government until 3 years after contract completion. The records shall contain the name and address of each employee, social security number, labor classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. The records need not duplicate those required for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis-Bacon Act.
- (2) The Contractor and its subcontractors shall allow authorized representatives of the Contracting Officer or the Department of Labor to inspect, copy, or transcribe records maintained under paragraph (d)(1) of this clause. The Contractor or subcontractor also shall allow authorized representatives of the Contracting Officer or Department of Labor to interview employees in the workplace during working hours.
- (e) Subcontracts. The Contractor shall insert the provisions set forth in paragraphs (a) through (d) of this clause in subcontracts exceeding \$100,000 and require subcontractors to include these provisions in any lower tier subcontracts. The Contractor shall be responsible for compliance by any subcontractor or lower-tier subcontractor with the provisions set forth in paragraphs (a) through (d) of this clause.

(End of clause)

### 52.222-6 DAVIS-BACON ACT (FEB 1995)

(a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the

Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- (b)(1) The Contracting Officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:
- (i) The work to be performed by the classification requested is not performed by a classification in the wage determination.
- (ii) The classification is utilized in the area by the construction industry.
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (2) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.
- (3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.
- (4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (d) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe

benefits under a plan or program; provided, That the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(End of clause)

### 52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(End of clause)

# 52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

- (a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- (b)(1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.
- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify--

- (i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;
- (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and
- (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.
- (4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.
- (c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(End of clause)

### 52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(End of clause)

## 52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(End of clause)

### 52.222-11 SUBCONTRACTS (LABOR STANDARDS (FEB 1988)

(a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act-Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination-Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.

- (b)(1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.
- (2) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

(End of clause)

### 52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

(End of clause)

### 52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

(End of clause)

### 52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

(End of clause)

## 52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

- (a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(End of clause)

#### 52.222-21 PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)

- (a) Segregated facilities, as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.
- (b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.
- (c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.

(End of clause)

#### 52.222-26 EQUAL OPPORTUNITY (APR 2002)

- (a) Definition. United States, as used in this clause, means the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.
- (b) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with paragraphs (b)(1) through (b)(11) of this clause, except for work performed outside the United States by employees who were not recruited within the United States. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.
- (1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.
- (2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to, (i) employment, (ii) upgrading, (iii) demotion, (iv) transfer, (v) recruitment or recruitment advertising, (vi) layoff or termination, (vii) rates of pay or other forms of compensation, and (viii) selection for training, including apprenticeship.
- (3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color,

religion, sex, or national origin.

- (5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.
- (8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.
- (9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.
- (10) The Contractor shall include the terms and conditions of subparagraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.
- (11) The Contractor shall take such action with respect to any subcontract or purchase order as the contracting officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance; provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.
- (c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

(End of clause)

#### 52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)

(a) Definitions. "Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.

"Deputy Assistant Secretary," as used in this clause, means Deputy Assistant Secretary for Federal Contract

Compliance, U.S. Department of Labor, or a designee.

"Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly federal tax return, U.S. Treasury Department Form 941.

"Minority," as used in this clause, means--

- (1) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- (2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);
- (3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and
- (4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).
- (b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade, each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.
- (c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.
- (d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.
- (e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.
- (f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- (g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:

- (1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.
- (2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- (3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall for referral and not referred back to the Contractor by the union or, if referred back, not employed by the Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may have taken.
- (4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- (5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.
- (6) Disseminate the Contractor's equal employment policy by--
- (i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;
- (ii) Including the policy in any policy manual and in collective bargaining agreements;
- (iii) Publicizing the policy in the company newspaper, annual report, etc.;
- (iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and
- (v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.
- (7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- (8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.
- (9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools

with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

- (10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.
- (11) Validate all tests and other selection requirements where required under 41 CFR 60-3.
- (12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.
- (13) Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.
- (14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user rest rooms and necessary dressing or sleeping areas shall be provided to assure privacy between the sexes.
- (15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- (16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.
- (h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor-
- (1) Actively participates in the group;
- (2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry:
- (3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;
- (4) Makes a good-faith effort to meet its individual goals and timetables; and
- (5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- (i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.

- (j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- (k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.
- (l) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.
- (m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.
- (n) The Contractor shall designate a responsible official to--
- (1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out;
- (2) Submit reports as may be required by the Government; and
- (3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.

Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

(End of clause)

# 52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Definitions. As used in this clause--

All employment openings means all positions except executive and top management, those positions that will be filled from within the Contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days duration, and part-time employment.

Executive and top management means any employee--

(1) Whose primary duty consists of the management of the enterprise in which the individual is employed or of a customarily recognized department or subdivision thereof;

- (2) Who customarily and regularly directs the work of two or more other employees;
- (3) Who has the authority to hire or fire other employees or whose suggestions and recommendations as to the hiring or firing and as to the advancement and promotion or any other change of status of other employees will be given particular weight;
- (4) Who customarily and regularly exercises discretionary powers; and
- (5) Who does not devote more than 20 percent or, in the case of an employee of a retail or service establishment, who does not devote more than 40 percent of total hours of work in the work week to activities that are not directly and closely related to the performance of the work described in paragraphs (1) through (4) of this definition. This paragraph (5) does not apply in the case of an employee who is in sole charge of an establishment or a physically separated branch establishment, or who owns at least a 20 percent interest in the enterprise in which the individual is employed.

Other eligible veteran means any other veteran who served on active duty during a war or in a campaign or expedition for which a campaign badge has been authorized.

Positions that will be filled from within the Contractor's organization means employment openings for which the Contractor will give no consideration to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings the Contractor proposes to fill from regularly established "recall" lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

Qualified special disabled veteran means a special disabled veteran who satisfies the requisite skill, experience, education, and other job-related requirements of the employment position such veteran holds or desires, and who, with or without reasonable accommodation, can perform the essential functions of such position.

Special disabled veteran means--

- (1) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Department of Veterans Affairs for a disability--
- (i) Rated at 30 percent or more; or
- (ii) Rated at 10 or 20 percent in the case of a veteran who has been determined under 38 U.S.C. 3106 to have a serious employment handicap (i.e., a significant impairment of the veteran's ability to prepare for, obtain, or retain employment consistent with the veteran's abilities, aptitudes, and interests); or
- (2) A person who was discharged or released from active duty because of a service-connected disability.

Veteran of the Vietnam era means a person who--

- (1) Served on active duty for a period of more than 180 days and was discharged or released from active duty with other than a dishonorable discharge, if any part of such active duty occurred--
- (i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or
- (ii) Between August 5, 1964, and May 7, 1975, in all other cases; or
- (2) Was discharged or released from active duty for a service-connected disability if any part of the active duty was performed--
- (i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

- (ii) Between August 5, 1964, and May 7, 1975, in all other cases.
- (b) General. (1) The Contractor shall not discriminate against the individual because the individual is a special disabled veteran, a veteran of the Vietnam era, or other eligible veteran, regarding any position for which the employee or applicant for employment is qualified. The Contractor shall take affirmative action to employ, advance in employment, and otherwise treat qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans without discrimination based upon their disability or veterans' status in all employment practices such as--
- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;
- (iii) Rate of pay or any other form of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeship, and on-the-job training under 38 U.S.C. 3687, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.
- (2) The Contractor shall comply with the rules, regulations, and relevant orders of the Secretary of Labor issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended (38 U.S.C. 4211 and 4212).
- (c) Listing openings. (1) The Contractor shall immediately list all employment openings that exist at the time of the execution of this contract and those which occur during the performance of this contract, including those not generated by this contract, and including those occurring at an establishment of the Contractor other than the one where the contract is being performed, but excluding those of independently operated corporate affiliates, at an appropriate local public employment service office of the State wherein the opening occurs. Listing employment openings with the U.S. Department of Labor's America's Job Bank shall satisfy the requirement to list jobs with the local employment service office.
- (2) The Contractor shall make the listing of employment openings with the local employment service office at least concurrently with using any other recruitment source or effort and shall involve the normal obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing of employment openings does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.
- (3) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State public employment agency in each State where it has establishments of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State agency, it

need not advise the State agency of subsequent contracts. The Contractor may advise the State agency when it is no longer bound by this contract clause.

- (d) Applicability. This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Virgin Islands of the United States, and Wake Island.
- (e) Postings. (1) The Contractor shall post employment notices in conspicuous places that are available to employees and applicants for employment.
- (2) The employment notices shall--
- (i) State the rights of applicants and employees as well as the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants who are special disabled veterans, veterans of the Vietnam era, and other eligible veterans; and
- (ii) Be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary of Labor), and provided by or through the Contracting Officer.
- (3) The Contractor shall ensure that applicants or employees who are special disabled veterans are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled veteran, or may lower the posted notice so that it can be read by a person in a wheelchair).
- (4) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement, or other contract understanding, that the Contractor is bound by the terms of the Act and is committed to take affirmative action to employ, and advance in employment, qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans.
- (f) Noncompliance. If the Contractor does not comply with the requirements of this clause, the Government may take appropriate actions under the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.
- (g) Subcontracts. The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor. The Contractor shall act as specified by the Deputy Assistant Secretary of Labor to enforce the terms, including action for noncompliance.

(End of clause)

#### 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)

- (a) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as--
- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or any other form of compensation and changes in compensation;

- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor, including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.
- (2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.
- (b) Postings. (1) The Contractor agrees to post employment notices stating--
- (i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and
- (ii) The rights of applicants and employees.
- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.
- (c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

# 52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the Secretary of Labor, on--

- (1) The number of disabled veterans and the number of veterans of the Vietnam era in the workforce of the contractor by job category and hiring location; and
- (2) The total number of new employees hired during the period covered by the report, and of that total, the number of disabled veterans, and the number of veterans of the Vietnam era.
- (b) The above items shall be reported by completing the form entitled "Federal Contractor Veterans' Employment Report VETS-100."
- (c) Reports shall be submitted no later than September 30 of each year beginning September 30, 1988.
- (d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date: (1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).
- (e) The count of veterans reported according to paragraph (a) of this clause shall be based on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all disabled veterans and veterans of the Vietnam era who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that the information is voluntarily provided; that the information will be kept confidential; that disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and that the information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.
- (f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary.

#### 52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (APR 1998)

- (a) Executive Order 12856 of August 3, 1993, requires Federal facilities to comply with the provisions of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA)(42 U.S.C. 11001-11050) and the Pollution Prevention Act of 1990 (PPA)(42 U.S.C. 13101-13109).
- (b) The Contractor shall provide all information needed by the Federal facility to comply with the emergency planning reporting requirements of Section 302 of EPCRA; the emergency notice requirements of Section 304 of EPCRA; the list of Material Safety Data Sheets required by Section 311 of EPCRA; the emergency and hazardous chemical inventory forms of Section 312 of EPCRA; the toxic chemical release inventory of Section 313 of EPCRA, which includes the reduction and recycling information required by Section 6607 of PPA; and the toxic chemical reduction goals requirements of Section 3-302 of Executive Order 12856.

(End of clause)

#### 52.223-6 DRUG-FREE WORKPLACE (MAY 2001)

(a) Definitions. As used in this clause --

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to deter- mine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession, or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

- (b) The Contractor, if other than an individual, shall-- within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--
- (1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;
- (2) Establish an ongoing drug-free awareness program to inform such employees about--
- (i) The dangers of drug abuse in the workplace;
- (ii) The Contractor's policy of maintaining a drug-free workplace;
- (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this clause;
- (4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--
- (i) Abide by the terms of the statement; and
- (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.
- (5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;
- (6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the

following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:

- (i) Taking appropriate personnel action against such employee, up to and including termination; or
- (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
- (7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) though (b)(6) of this clause.
- (c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.
- (d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.506, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

(End of clause)

#### 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (OCT 2000)

- (a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.
- (b) A Contractor owned or operated facility used in the performance of this contract is exempt from the requirement to file an annual Form R if--
- (1) The facility does not manufacture, process, or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);
- (2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
- (3) The facility does not meet the reporting thresholds of toxic chemicals established under of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- (4) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or
- (5) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.
- (c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any of its owned or operated facilities used in the performance of this contract is no longer exempt--

- (1) The Contractor shall notify the Contracting Officer; and
- (2) The Contractor, as owner or operator of a facility used in the performance of this contract that is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.
- (d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.
- (e) Except for acquisitions of commercial items, as defined in FAR Part 2, the Contractor shall--
- (1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and
- (2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).

### 52.225-11 BUY AMERICAN ACT--CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (JUL 2002)

(a) Definitions. As used in this clause--

Component means an article, material, or supply incorporated directly into a construction material.

Construction material means an article, material, or supply brought to the construction site by the Contractor or subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

Cost of components means--

- (1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or
- (2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

Designated country means any of the following countries: Aruba, Austria, Bangladesh, Belgium, Benin, Bhutan, Botswana, Burkina Faso, Burundi, Canada, Cape Verde, Central African Republic, Chad, Comoros, Denmark.

Djibouti, Equatorial Guinea, Finland, France, Gambia, Germany, Greece, Guinea, Guinea-Bissau, Haiti, Hong Kong, Ireland, Israel, Italy, Japan.

Kiribati, Korea, Republic of, Lesotho, Liechtenstein, Luxembourg, Malawi, Maldives, Mali, Mozambique, Nepal, Netherlands, Niger, Norway, Portugal, Rwanda.

Sao Tome and Principe, Sierra Leone, Singapore, Somalia, Spain, Sweden, Switzerland, Tanzania U.R., Togo, Tuvalu, Uganda, United Kingdom, Vanuatu, Western Samoa, Yemen.

Designated country construction material means a construction material that--

- (1) Is wholly the growth, product, or manufacture of a designated country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a designated country into a new and different construction material distinct from the materials from which it was transformed.

Domestic construction material means--

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

Foreign construction material means a construction material other than a domestic construction material.

North American Free Trade Agreement country means Canada or Mexico.

North American Free Trade Agreement country construction material means a construction material that-

- (1) Is wholly the growth, product, or manufacture of a North American Free Trade Agreement (NAFTA) country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a NAFTA country into a new and different construction material distinct from the materials from which it was transformed.

United States means the 50 States and the District of Columbia, U.S. territories and possessions, Puerto Rico, the Northern Mariana Islands, and any other place subject to U.S. jurisdiction, but does not include leased bases.

- (b) Construction materials. (1) This clause implements the Buy American Act (41 U.S.C. 10a-10d) and the Balance of Payments Program by providing a preference for domestic construction material. In addition, the Contracting Officer has determined that the Trade Agreements Act and the North American Free Trade Agreement (NAFTA) apply to this acquisition. Therefore, the Buy American Act restrictions are waived for designated country and NAFTA country construction materials.
- (2) The Contractor shall use only domestic, designated country, or NAFTA country construction material in performing this contract, except as provided in paragraphs (b)(3) and (b)(4) of this clause.
- (3) The requirement in paragraph (b)(2) of this clause does not apply to the construction materials or components listed by the Government as follows: None.
- (4) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(3) of this clause if the Government determines that--

- (i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the restrictions of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;
- (ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or
- (iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.
- (c) Request for determination of inapplicability of the Buy American Act.
- (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(4) of this clause shall include adequate information for Government evaluation of the request, including--
- (A) A description of the foreign and domestic construction materials;
- (B) Unit of measure;
- (C) Quantity;
- (D) Price;
- (E) Time of delivery or availability;
- (F) Location of the construction project;
- (G) Name and address of the proposed supplier; and
- (H) A detailed justification of the reason for use of foreign construction materials cited in accordance with paragraph (b)(3) of this clause.
- (ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.
- (iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).
- (iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.
- (2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(4)(i) of this clause.
- (3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.

(d) Data. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

Foreign and Domestic Construction Materials Price Comparison					
Construction material description					
 Item 1:					
Foreign construction material					
Domestic construction material					
Item 2:					
Foreign construction material					
Domestic construction material					
\1\ Include all delivery costs to the coentry certificate is issued).					
List name, address, telephone numbe attach summary.	er, and contact for suppl	iers surveyed. A	ttach copy of response; if oral,		
Include other applicable supporting i	nformation.				
(End of clause)					

#### 52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JUL 2000)

- (a) The Contractor shall not acquire, for use in the performance of this contract, any supplies or services originating from sources within, or that were located in or transported from or through, countries whose products are banned from importation into the United States under regulations of the Office of Foreign Assets Control, Department of the Treasury. Those countries are Cuba, Iran, Iraq, Libya, North Korea, Sudan, the territory of Afghanistan controlled by the Taliban, and Serbia (excluding the territory of Kosovo).
- (b) The Contractor shall not acquire for use in the performance of this contract any supplies or services from entities controlled by the government of Iraq.
- (c) The Contractor shall insert this clause, including this paragraph (c), in all subcontracts.

(End of clause)

#### 52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent (1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or (2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with (i) specifications or written provisions forming a part of this contract or (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier

subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold (however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.)

(End of clause)

## 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

- (a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copyright infringement based on the performance of this contract of which the Contractor has knowledge.
- (b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.
- (c) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at (FAR) 2.101.to exceed the dollar amount set forth in 13.000 of the Federal Acquisition Regulation (FAR).

(End of clause)

#### 52.228-1 BID GUARANTEE (SEP 1996)

- (a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of the bid.
- (b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids, and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.-

(c) The	amount of the bid guarantee shall be	percent of the bid price or \$	. whichever is less.
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(d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.-

(e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid, and the bid guarantee is available to offset the difference.

(End of clause)

#### 52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

- (a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government.
- (b) Any surety fails to furnish reports on its financial condition as required by the Government;
- (c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the Contracting Officer; or
- (d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting officer has the right to immediately draw on the ILC.

(End of clause)

#### 52.228-5 INSURANCE--WORK ON A GOVERNMENT INSTALLATION (JAN 1997)

- (a) The Contractor shall, at its own expense, provide and maintain during the entire performance of this contract, at least the kinds and minimum amounts of insurance required in the Schedule or elsewhere in the contract.
- (b) Before commencing work under this contract, the Contractor shall notify the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective (1) for such period as the laws of the State in which this contract is to be performed prescribe, or (2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.
- (c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

(End of clause)

#### 52.228-11 PLEDGES OF ASSETS (FEB 1992)

- (a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--
- (1) Pledge of assets; and

- (2) Standard Form 28, Affidavit of Individual Surety.
- (b) Pledges of assets from each person acting as an individual surety shall be in the form of-
- (1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;
- (2) A recorded lien on real estate. The offeror will be required to provide-
- (i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);
- (ii) Evidence of the amount due under any encumbrance shown in the evidence of title;
- (iii) A copy of the current real estate tax assessment of the property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

#### 52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS. (OCT 1995)

In accordance with Section 806(a)(3) of Pub. L. 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requester.

(End of clause)

#### 52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)

- (a) "Irrevocable letter of credit" (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.
- (b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.
- (c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--
- (1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;

(2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration date that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal at least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:					
(i) For contracts subject to the Miller Act, the later of-					
(A) One year following the expected date of final payment;					
(B) For performance bonds only, until completion of any warranty period; or					
(C) For payment bonds only, until resolution of all claims filed against the payment bond during the one-year period following final payment.					
(ii) For contracts not subject to the Miller Act, the later of					
(A) 90 days following final payment; or					
(B) For performance bonds only, until completion of any warranty period.					
(d) Only federally insured financial institutions rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the ILC had letter of credit business of less than \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of less than \$25 million in the past year.					
(e) The following format shall be used by the issuing financial institution to create an ILC:					
[Issuing Financial Institution's Letterhead or Name and Address]					
Issue Date					
IRREVOCABLE LETTER OF CREDIT NO					
Account party's name					
Account party's address					
For Solicitation No(for reference only)					
TO: [U.S. Government agency]					

1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$\_\_\_\_\_\_. This Letter of Credit is payable at [issuing financial institution's and, if any, confirming financial institution's address and, if any, confirming financial institution's address] and expires with our close of business on \_\_\_\_\_\_, or any automatically extended expiration date.

[U.S. Government agency's address]

- 2. We hereby undertake to honor your or the transferee's sight draft(s) drawn on the issuing or, if any, the confirming financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any automatically extended expiration date.
- 3. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party (and confirming financial institution, if any) by the same means of delivery.
- 4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written

direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any.
5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of [state of confirming financial institution, if any, otherwise state of issuing financial institution].
6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business.
Sincerely,
[Issuing financial institution]  (f) The following format shall be used by the financial institution to confirm an ILC:
[Confirming Financial Institution's Letterhead or Name and Address]
(Date)
Our Letter of Credit Advice Number
Beneficiary: [U.S. Government agency]
Issuing Financial Institution:
Issuing Financial Institution's LC No.:

### Gentlemen:

1. We hereby confirm the above indicated Letter of Credit, the original of which is attached, issued by \_\_\_\_\_ [name of issuing financial institution] for drawings of up to United States dollars /U.S. \$ and expiring with our close of business on \_\_\_\_\_ [the expiration date], or any automatically extended expiration date.

2. Draft(s) drawn under the Letter of Credit and this Confirmation are payable at our office located at
3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.
4. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:
(a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer, or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this confirmation extended for any such additional period; or
(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.
5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of [state of confirming financial institution].
6. If this confirmation expires during an interruption of business of this financial institution as described in Article 1' of the UCP, we specifically agree to effect payment if this credit is drawn against within 30 days after the resumption of our business.
Sincerely,
[Confirming financial institution]
(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:
SIGHT DRAFT
[City, State]
(Date)
[Name and address of financial institution]
Pay to the order of [Beneficiary Agency] the sum of United States \$  This draft is drawn under Irrevocable Letter of Credit No
[Beneficiary Agency]
By:
(End of clause)

#### 52.228-15 PERFORMANCE AND PAYMENT BONDS--CONSTRUCTION (JUL 2000)-

(a) Definitions. As used in this clause--

Original contract price means the award price of the contract; or, for requirements contracts, the price payable for the estimated total quantity; or, for indefinite-quantity contracts, the price payable for the specified minimum quantity. Original contract price does not include the price of any options, except those options exercised at the time of contract award.

- (b) Amount of required bonds. Unless the resulting contract price is \$100,000 or less, the successful offeror shall furnish performance and payment bonds to the Contracting Officer as follows:
- (1) Performance bonds (Standard Form 25). The penal amount of performance bonds at the time of contract award shall be 100 percent of the original contract price.
- (2) Payment Bonds (Standard Form 25-A). The penal amount of payment bonds at the time of contract award shall be 100 percent of the original contract price.
- (3) Additional bond protection. (i) The Government may require additional performance and payment bond protection if the contract price is increased. The increase in protection generally will equal 100 percent of the increase in contract price.
- (ii) The Government may secure the additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.
- (c) Furnishing executed bonds. The Contractor shall furnish all executed bonds, including any necessary reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.
- (d) Surety or other security for bonds. The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier's check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register or may be obtained from the U.S. Department of Treasury, Financial Management Service, Surety Bond Branch, 401 14th Street, NW, 2nd Floor, West Wing, Washington, DC 20227.
- (e) Notice of subcontractor waiver of protection (40 U.S.C. 270b(c). Any waiver of the right to sue on the payment bond is void unless it is in writing, signed by the person whose right is waived, and executed after such person has first furnished labor or material for use in the performance of the contract.

(End of clause)

#### 52.229-2 NORTH CAROLINA STATE AND LOCAL SALES AND USE TAX (APR 1984)

- (a) "Materials," as used in this clause, means building materials, supplies, fixtures, and equipment that become a part of or are annexed to any building or structure erected, altered, or repaired under this contract.
- (b) If this is a fixed-price contract, the contract price includes North Carolina State and local sales and use taxes to be paid on materials, notwithstanding any other provision of this contract. If this is a cost-reimbursement contract, any North Carolina State and local sales and use taxes paid by the Contractor on materials shall constitute an

allowable cost under this contract.

- (c) At the time specified in paragraph (d) below, the Contractor shall furnish the Contracting Officer certified statements setting forth the cost of the materials purchased from each vendor and the amount of North Carolina State and local sales and use taxes paid. In the event the Contractor makes several purchases from the same vendor, the certified statement shall indicate the invoice numbers, the inclusive dates of the invoices, the total amount of the invoices, and the North Carolina State and local sales and use taxes paid. The statement shall also include the cost of any tangible personal property withdrawn from the Contractor's warehouse stock and the amount of North Carolina State and local sales or use tax paid on this property by the Contractor. Any local sales or use taxes included in the Contractor's statements must be shown separately from the State sales or use taxes. The Contractor shall furnish any additional information the Commissioner of Revenue of the State of North Carolina may require to substantiate a refund claim for sales or use taxes. The Contractor shall also obtain and furnish to the Contracting Officer similar certified statements by its subcontractors.
- (d) If this contract is completed before the next October 1, the certified statements to be furnished pursuant to paragraph (c) above shall be submitted within 60 days after completion. If this contract is not completed before the next October 1, the certified statements shall be submitted on or before November 30 of each year and shall cover taxes paid during the 12-month period that ended the preceding September 30.
- (e) The certified statements to be furnished pursuant to paragraph (c) above shall be in the following form: I hereby certify that during the period . . . . to . . . . [insert dates], . . . . [insert name of Contractor or subcontractor] paid North Carolina State and local sales and use taxes aggregating \$ . . . . (State) and \$ . . . . (local), with respect to building materials, supplies, fixtures, and equipment that have become a part of or annexed to a building or structure erected, altered, or repaired by . . . . [insert name of Contractor or subcontractor] for the United States of America, and that the vendors from whom the property was purchased, the dates and numbers of the invoices covering the purchases, the total amount of the invoices of each vendor, the North Carolina State and local sales and use taxes paid on the property (shown separately), and the cost of property withdrawn from warehouse stock and North Carolina State and local sales or use taxes paid on this property are as set forth in the attachments.

(End of clause)

#### 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (JAN 1991)

- (a) "Contract date," as used in this clause, means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.
- "All applicable Federal, State, and local taxes and duties," as used in this clause, means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.
- "After-imposed Federal tax," as used in this clause, means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.
- "After-relieved Federal tax," as used in this clause, means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.
- (b) The contract price includes all applicable Federal, State, and local taxes and duties.

- (c) The contract price shall be increased by the amount of any after-imposed Federal tax, provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.
- (d) The contract price shall be decreased by the amount of any after-relieved Federal tax.
- (e) The contract price shall be decreased by the amount of any Federal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.
- (f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.
- (g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price and shall take appropriate action as the Contracting Officer directs.
- (h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

#### 52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (SEP 2002)

- (a) Payment of price. The Government shall pay the Contractor the contract price as provided in this contract.
- (b) Progress payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.
- (1) The Contractor's request for progress payments shall include the following substantiation:
- (i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.
- (ii) A listing of the amount included for work performed by each subcontractor under the contract.
- (iii) A listing of the total amount of each subcontract under the contract.
- (iv) A listing of the amounts previously paid to each such subcontractor under the contract.
- (v) Additional supporting data in a form and detail required by the Contracting Officer.
- (2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--
- (i) Consideration is specifically authorized by this contract; and
- (ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.
- (c) Contractor certification. Along with each request for progress payments, the Contractor shall furnish the

following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.)

I hereby certify, to the best of my knowledge and belief, that--

- (1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;
- (2) All payments due to subcontractors and suppliers from previous payments received under the contract have been made, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;
- (3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and

(4) This certification is not to be construed as final acceptance of a subcontractor's performance.	
(Name)	
(Title)	
(Date)	

- (d) Refund of unearned amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--
- (1) Notify the Contracting Officer of such performance deficiency; and
- (2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--
- (i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or
- (ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.
- (e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.

- (f) Title, liability, and reservation of rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as--
- (1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or
- (2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.
- (g) Reimbursement for bond premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.
- (h) Final payment. The Government shall pay the amount due the Contractor under this contract after-
- (1) Completion and acceptance of all work;
- (2) Presentation of a properly executed voucher; and
- (3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).
- (i) Limitation because of undefinitized work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on undefinitized contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.
- (j) Interest computation on unearned amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--
- (1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and
- (2) Deducted from the next available payment to the Contractor.

#### 52.232-17 INTEREST (JUNE 1996)

(a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until

the amount is paid. reproduce, prepare derivative works, distribute copies to the public, and (b) Amounts shall be due at the earliest of the following dates:

- (1) The date fixed under this contract.
- (2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.
- (3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.
- (4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.
- (c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

(End of clause)

#### 52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)

- (a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.
- (b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.
- (c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting Officer authorizes such action in writing.

(End of clause)

#### 52.232-27 PROMPT PAYMENT FOR CONSTRUCTION CONTRACTS (FEB 2002)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments under the terms and conditions specified in this clause. The Government considers payment as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in sections 2.101, 32.001, and 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see paragraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

(a) Invoice payments--(1) Types of invoice payments. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:

- (i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project.
- (A) The due date for making such payments is 14 days after the designated billing office receives a proper payment request. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date is the 14th day after the date of the Contractor's payment request, provided the designated billing office receives a proper payment request and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, is as specified in the contract or, if not specified, 30 days after approval by the Contracting Officer for release to the Contractor.
- (ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (e.g., each separate building, public work, or other division of the contract for which the price is stated separately in the contract).
- (A) The due date for making such payments is the later of the following two events:
- (1) The 30th day after the designated billing office receives a proper invoice from the Contractor.
- (2) The 30th day after Government acceptance of the work or services completed by the Contractor. For a final invoice when the payment amount is subject to contract settlement actions (e.g., release of claims), acceptance is deemed to occur on the effective date of the contract settlement.
- (B) If the designated billing office fails to annotate the invoice with the date of actual receipt at the time of receipt, the invoice payment due date is the 30th day after the date of the Contractor's invoice, provided the designated billing office receives a proper invoice and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (2) Contractor's invoice. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(2)(i) through (a)(2)(xi) of this clause. If the invoice does not comply with these requirements, the designated billing office must return it within 7 days after receipt, with the reasons why it is not a proper invoice. When computing any interest penalty owed the Contractor, the Government will take into account if the Government notifies the Contractor of an improper invoice in an untimely manner.
- (i) Name and address of the Contractor.
- (ii) Invoice date and invoice number. (The Contractor should date invoices as close as possible to the date of mailing or transmission.)
- (iii) Contract number or other authorization for work or services performed (including order number and contract line item number).
- (iv) Description of work or services performed.
- (v) Delivery and payment terms (e.g., discount for prompt payment terms).
- (vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).

- (vii) Name (where practicable), title, phone number, and mailing address of person to notify in the event of a defective invoice.
- (viii) For payments described in paragraph (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.
- (ix) Taxpayer Identification Number (TIN). The Contractor shall include its TIN on the invoice only if required elsewhere in this contract.
- (x) Electronic funds transfer (EFT) banking information.
- (A) The Contractor shall include EFT banking information on the invoice only if required elsewhere in this contract.
- (B) If EFT banking information is not required to be on the invoice, in order for the invoice to be a proper invoice, the Contractor shall have submitted correct EFT banking information in accordance with the applicable solicitation provision (e.g., 52.232-38, Submission of Electronic Funds Transfer Information with Offer), contract clause (e.g., 52.232-33, Payment by Electronic Funds Transfer-Central Contractor Registration, or 52.232-34, Payment by Electronic Funds Transfer-Other Than Central Contractor Registration), or applicable agency procedures.
- (C) EFT banking information is not required if the Government waived the requirement to pay by EFT.
- (xi) Any other information or documentation required by the contract.
- (3) Interest penalty. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday, the designated payment office may make payment on the following working day without incurring a late payment interest penalty.
- (i) The designated billing office received a proper invoice.
- (ii) The Government processed a receiving report or other Government documentation authorizing payment and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.
- (iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.
- (4) Computing penalty amount. The Government will compute the interest penalty in accordance with the Office of Management and Budget prompt payment regulations at 5 CFR part 1315.
- (i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in paragraph (a)(1)(ii) of this clause, Government acceptance or approval is deemed to occur constructively on the 7th day after the Contractor has completed the work or services in accordance with the terms and conditions of the contract. If actual acceptance or approval occurs within the constructive acceptance or approval period, the Government will base the determination of an interest penalty on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.
- (ii) The prompt payment regulations at 5 CFR 1315.10(c) do not require the Government to pay interest penalties if payment delays are due to disagreement between the Government and the Contractor over the payment amount or

other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. The Government and the Contractor shall resolve claims involving disputes, and any interest that may be payable in accordance with the clause at FAR 52.233-1, Disputes.

- (5) Discounts for prompt payment. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if the Government takes a discount for prompt payment improperly. The Government will calculate the interest penalty in accordance with the prompt payment regulations at 5 CFR part 1315.
- (6) Additional interest penalty. (i) The designated payment office will pay a penalty amount, calculated in accordance with the prompt payment regulations at 5 CFR part 1315 in addition to the interest penalty amount only if--
- (A) The Government owes an interest penalty of \$1 or more;
- (B) The designated payment office does not pay the interest penalty within 10 days after the date the invoice amount is paid; and
- (C) The Contractor makes a written demand to the designated payment office for additional penalty payment, in accordance with paragraph (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.
- (ii)(A) The Contractor shall support written demands for additional penalty payments with the following data. The Government will not request any additional data. The Contractor shall--
- (1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;
- (2) Attach a copy of the invoice on which the unpaid late payment interest was due; and
- (3) State that payment of the principal has been received, including the date of receipt.
- (B) If there is no postmark or the postmark is illegible--
- (1) The designated payment office that receives the demand will annotate it with the date of receipt provided the demand is received on or before the 40th day after payment was made; or
- (2) If the designated payment office fails to make the required annotation, the Government will determine the demand's validity based on the date the Contractor has placed on the demand, provided such date is no later than the 40th day after payment was made.
- (b) Contract financing payments. If this contract provides for contract financing, the Government will make contract financing payments in accordance with the applicable contract financing clause.
- (c) Subcontract clause requirements. The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:
- (1) Prompt payment for subcontractors. A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.
- (2) Interest for subcontractors. An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause--

- (i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and
- (ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.
- (3) Subcontractor clause flowdown. A clause requiring each subcontractor to use:
- (i) Include a payment clause and an interest penalty clause conforming to the standards set forth in paragraphs (c)(1) and (c)(2) of this clause in each of its subcontracts; and
- (ii) Require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.
- (d) Subcontract clause interpretation. The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that--
- (1) Retainage permitted. Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;
- (2) Withholding permitted. Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and
- (3) Withholding requirements. Permit such withholding without incurring any obligation to pay a late payment penalty if--
- (i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and
- (ii) The Contractor furnishes to the Contracting Officer a copy of any notice issued by a Contractor pursuant to paragraph (d)(3)(i) of this clause.
- (e) Subcontractor withholding procedures. If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall--
- (1) Subcontractor notice. Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;
- (2) Contracting Officer notice. Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to paragraph (e)(1) of this clause;
- (3) Subcontractor progress payment reduction. Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (e)(1) of this clause;
- (4) Subsequent subcontractor payment. Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and--

- (i) Make such payment within--
- (A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under paragraph (e)(5)(i)) of this clause; or
- (B) Seven days after the Contractor recovers such funds from the Government; or
- (ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;
- (5) Notice to Contracting Officer. Notify the Contracting Officer upon--
- (i) Reduction of the amount of any subsequent certified application for payment; or
- (ii) Payment to the subcontractor of any withheld amounts of a progress payment, specifying-
- (A) The amounts withheld under paragraph (e)(1) of this clause; and
- (B) The dates that such withholding began and ended; and
- (6) Interest to Government. Be obligated to pay to the Government an amount equal to interest on the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the withheld amounts from the Government until--
- (i) The day the identified subcontractor performance deficiency is corrected; or
- (ii) The date that any subsequent payment is reduced under paragraph (e)(5)(i) of this clause.
- (f) Third-party deficiency reports--(1) Withholding from subcontractor. If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to as a "second-tier subcontractor") a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor's performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under paragraph (e)(6) of this clause---
- (i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and
- (ii) Withhold from the first-tier subcontractor's next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (f)(1)(i) of this clause.
- (2) Subsequent payment or interest charge. As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance deficiency has been corrected, the Contractor shall--
- (i) Pay the amount withheld under paragraph (f)(1)(ii) of this clause to such first-tier subcontractor; or
- (ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments

under section 12 of the Contracts DisputesAct of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

- (g) Written notice of subcontractor withholding. The Contractor shall issue a written notice of any withholding to a subcontractor (with a copy furnished to the Contracting Officer), specifying--
- (1) The amount to be withheld;
- (2) The specific causes for the withholding under the terms of the subcontract; and
- (3) The remedial actions to be taken by the subcontractor in order to receive payment of the amounts withheld.
- (h) Subcontractor payment entitlement. The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.
- (i) Prime-subcontractor disputes. A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the Government is a party. The Government may not be interpleaded in any judicial or administrative proceeding involving such a dispute.
- (j) Preservation of prime-subcontractor rights. Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.
- (k) Non-recourse for prime contractor interest penalty. The Contractor's obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be construed to be an obligation of the Government for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.
- (1) Overpayments. If the Contractor becomes aware of a duplicate payment or that the Government has otherwise overpaid on an invoice payment, the Contractor shall immediately notify the Contracting Officer and request instructions for disposition of the overpayment.

(End of clause)

# 52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER—CENTRAL CONTRACTOR REGISTRATION (MAY 1999)

- (a) Method of payment. (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT), except as provided in paragraph (a)(2) of this clause. As used in this clause, the term "EFT" refers to the funds transfer and may also include the payment information transfer.
- (2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either-
- (i) Accept payment by check or some other mutually agreeable method of payment; or
- (ii) Request the Government to extend the payment due date until such time as the Government can make payment by EFT (but see paragraph (d) of this clause).

- (b) Contractor's EFT information. The Government shall make payment to the Contractor using the EFT information contained in the Central Contractor Registration (CCR) database. In the event that the EFT information changes, the Contractor shall be responsible for providing the updated information to the CCR database.
- (c) Mechanisms for EFT payment. The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.
- (d) Suspension of payment. If the Contractor's EFT information in the CCR database is incorrect, then the Government need not make payment to the Contractor under this contract until correct EFT information is entered into the CCR database; and any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.
- (e) Contractor EFT arrangements. If the Contractor has identified multiple payment receiving points (i.e., more than one remittance address and/or EFT information set) in the CCR database, and the Contractor has not notified the Government of the payment receiving point applicable to this contract, the Government shall make payment to the first payment receiving point (EFT information set or remittance address as applicable) listed in the CCR database.
- (f) Liability for uncompleted or erroneous transfers. (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor's EFT information incorrectly, the Government remains responsible for--
- (i) Making a correct payment;
- (ii) Paying any prompt payment penalty due; and
- (iii) Recovering any erroneously directed funds.
- (2) If an uncompleted or erroneous transfer occurs because the Contractor's EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and--
- (i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or
- (ii) If the funds remain under the control of the payment office, the Government shall not make payment, and the provisions of paragraph (d) of this clause shall apply.
- (g) EFT and prompt payment. A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.
- (h) EFT and assignment of claims. If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall register in the CCR database and shall be paid by EFT in accordance with the terms of this clause. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of paragraph (d) of this clause.
- (i) Liability for change of EFT information by financial agent. The Government is not liable for errors resulting from changes to EFT information made by the Contractor's financial agent.

(j) Payment information. The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing. However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address contained in the CCR database.

(End of Clause)

## 52.233-1 DISPUTES. (JUL 2002)

- (a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).
- (b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.
- (c) Claim, as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.
- (d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.
- (2)(i) The contractors shall provide the certification specified in subparagraph (d)(2)(iii) of this clause when submitting any claim -
- (A) Exceeding \$100,000; or
- (B) Regardless of the amount claimed, when using -
- (1) Arbitration conducted pursuant to 5 U.S.C. 575-580; or
- (2) Any other alternative means of dispute resolution (ADR) technique that the agency elects to handle in accordance with the Administrative Dispute Resolution Act (ADRA).
- (ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.
- (iii) The certification shall state as follows: "I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor.
- (3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.

- (e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.
- (f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.
- (g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative disput resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the request.
- (h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (certified, if required); or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.
- (i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

## 52.233-3 PROTEST AFTER AWARD (AUG. 1996)

- (a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--
- (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.
- (b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--
- (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
- (2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.

- (c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.
- (d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.
- (e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.
- (f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

## 52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

As prescribed in 36.502, insert the following clause in solicitations and contracts when a fixed-price construction contract or a fixed-price dismantling, demolition, or removal of improvements contract is contemplated and the contract amount is expected to exceed the small purchase limitation. The Contracting Officer may insert the clause in solicitations and contracts when a fixed-price construction or a fixed-price contract for dismantling, demolition, or removal of improvements is contemplated and the contract amount is expected to be within the small purchase limitation.

- (a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of
- (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or
- (2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.
- (b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.
- (c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.
- (d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

(End of clause)

## 52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)

- (a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to
- (1) conditions bearing upon transportation, disposal, handling, and storage of materials;
- (2) the availability of labor, water, electric power, and roads;
- (3) uncertainties of weather, river stages, tides, or similar physical conditions at the site;
- (4) the conformation and conditions of the ground; and (5) the character of equipment and facilities needed preliminary to and during work performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.
- (b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

(End of clause)

## 52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

- (a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.
- (b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.
- (c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

## 52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the worksite a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

(End of clause)

#### 52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

(End of clause)

# 52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)

- (a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.
- (b) The Contractor shall protect from damage all existing improvements and utilities
- (1) at or near the work site, and
- (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

(End of clause)

- (a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.
- (b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.
- (c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

## 52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

- (a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.
- (b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

(End of clause)

## 52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

(End of clause)

## 52.236-13 ACCIDENT PREVENTION (NOV 1991) – ALTERNATE I (NOV 1991)

- (a) The Contractor shall provide and maintain work environments and procedures which will
- (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities;
- (2) avoid interruptions of Government operations and delays in project completion dates; and
- (3) control costs in the performance of this contract.
- (b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall-
- (1) Provide appropriate safety barricades, signs, and signal lights;
- (2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and
- (3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.
- If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.
- (c) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.
- (e) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontracts.
- (f) Before commencing the work, the Contractor shall-
- (1) Submit a written proposed plan for implementing this clause. The plan shall include an analysis of the significant hazards to life, limb, and property inherent in contract work performance and a plan for controlling these hazards; and
- (2) Meet with representatives of the Contracting Officer to discuss and develop a mutual understanding relative to administration of the overall safety program.

## 52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a

practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.

- (b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.
- (c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

(End of clause)

## 52.236-17 LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

(End of clause)

## 52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

- (a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.
- (b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated",

"prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by," or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

- (c) Where "as shown," as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed".
- (d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail (1) the proposed fabrication and assembly of structural elements, and (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.
- (f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.
- (g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

(End of clause)

## 52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

(End of clause)

The contractor shall ensure products provided under this contract, to include hardware, software, firmware, and middleware, whether acting alone or combined as a system, are Year 2000 compliant as defined as follows: Year 2000 compliant means with respect to information technology, that the information technology accurately processes date/time data (including, but not limited to, calculating, comparing, and sequencing) from, into, and between the twentieth and twenty-first centuries, and the years 1999 and 2000 and leap year calculations, to the extent that other information, used in combination with the information technology being acquired, properly exchanges date/time data with it.

#### 52.239-4005 Year 2000 Compliance - Construction Contracts

a. In accordance with FAR 39.106, the contractor shall ensure that with respect to any design, construction, goods, or services under this contract as well as any subsequent task/delivery orders issued under this contract (if applicable), all information technology contained therein shall be Year 2000 compliant. Specifically:

#### The contractor shall:

- (1) Perform, maintain, and provide an inventory of all major components to include structures, equipment, items, parts, and furnishings under this contract and each task/delivery order which may be affected by the Y2K compliance requirement.
- (2) Indicate whether each component is currently Year 2000 compliant or requires an upgrade for compliance prior to government acceptance.

(End of Clause)

52.239-4006 Security Contract Language for all Corps of Engineers' Unclassified Contracts (PIL 2003-06, 19 Feb 03)

All Contractor employees (U.S. citizens and Non- U.S. citizens) working under this contract (to include grants, cooperative agreements and task orders) who require access to Automated Information Systems (AIS), (stand alone computers, network computers/systems, e-mail) shall, at a minimum, be designated into an ADP-III position (non-sensitive) in accordance with DoD 5220-22-R, Industrial Security Regulation. The investigative requirements for an ADP-III position are a favorable National Agency Check (NAC), SF-85P, Public Trust Position. The contractor shall have each applicable employee complete a SF-85P and submit to the USACE, Savannah District Security Officer, ATTN: CESAS-SL, 100 West Oglethorpe Avenue, Savannah, GA 31401 within three (3) working days after award of any contract or task order, and shall be submitted prior to the individual being permitted access to an AIS. Contractors who have a commercial or government entity (CAGE) Code and Facility Security Clearance through the Defense Security Service shall process the NACs and forward visit requests/results of NAC to the Savannah District Security Officer (address above). For those contractors who do not have a CAGE Code or Facility

Security Clearance, the Savannah District Security Office will process the investigation in coordination with the Contractor and contract employees.

In accordance with Engineering Regulation, ER 380-1-18, Section 4, foreign nationals who work on Corps of Engineers' contracts or task orders shall be approved by the HQUSACE Foreign Disclosure Officer or higher before beginning work on the contract/task order. This regulation includes subcontractor employees. (NOTE: exceptions to the above requirement include foreign nationals who perform janitorial and/or ground maintenance services.) contractor shall submit to the Division/District Contract Office, the names of all foreign nationals proposed for performance under this contract/task order, along with documentation to verify that he/she was legally admitted into the United States and has authority to work and/or go to school in the US. Such documentation may include a US passport, Certificate of US citizenship (INS Form N-560 or N-561), Certificate of Naturalization (INS Form N-550 or N-570), foreign passport with I-551 stamp or attached INS Form I-94 indicating employment authorization, Alien Registration Receipt Card with photograph (INS Form I-151 or I-551), Temporary Resident Card (INS Form I-688), Employment Authorization Card (INS Form I-688A), Reentry Permit (INS Form I-327), Refugee Travel Document (INS Form I-571), Employment Authorization Document issued by the INS which contains a photograph (INS Form I-688B).

Classified contracts require the issuance of a DD Form 254 (Department of Defense Contract Security Classification Specification).

## 52.242-13 BANKRUPTCY (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

(End of clause)

## 52.242-14 SUSPENSION OF WORK (APR 1984)

- (a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.
- (b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or

negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract. (c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

(End of clause)

## 52.243-4 CHANGES (AUG 1987)

- (a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes--
- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or
- (4) Directing acceleration in the performance of the work.
- (b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating
- (1) the date, circumstances, and source of the order and
- (2) that the Contractor regards the order as a change order.
- (c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.
- (d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.
- (e) The Contractor must assert its right to an adjustment under this clause within 30 days after
- (1) receipt of a written change order under paragraph (a) of this clause or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.
- (f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

#### 52.244-5 COMPETITION IN SUBCONTRACTING (DEC 1996)

- (a) The Contractor shall select subcontractors (including suppliers) on a competitive basis to the maximum practical extent consistent with the objectives and requirements of the contract.
- (b) If the Contractor is an approved mentor under the Department of Defense Pilot Mentor-Protege Program (Pub. L. 101–510, section 831 as amended), the Contractor may award subcontracts under this contract on a noncompetitive basis to its proteges.

(End of clause)

## 52.248-3 VALUE ENGINEERING--CONSTRUCTION (FEB 2000)

- (a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) below.
- (b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.
- "Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.
- "Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.
- "Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.
- "Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) below).
- "Value engineering change proposal (VECP)" means a proposal that--
- (1) Requires a change to this, the instant contract, to implement; and
- (2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--
- (i) In deliverable end item quantities only; or
- (ii) To the contract type only.

- (c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in subparagraphs (1) through (7) below. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:
- (1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.
- (2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.
- (3) A separate, detailed cost estimate for
- (i) the affected portions of the existing contract requirement and
- (ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) below.
- (4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.
- (5) A prediction of any effects the proposed change would have on collateral costs to the agency.
- (6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.
- (7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.
- (d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.
- (e) Government action.
- (1) The Contracting Officer will notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer will notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it shall not be liable for any delay in acting upon a VECP.

If the VECP is not accepted, the Contracting Officer will notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.

Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applies a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The decision to accept or reject all or part of any VECP is a unilateral decision made solely at the discretion of the Contracting Officer.

(f) Sharing.

- (1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings and multiplying the result by
- (i) 45 percent for fixed-price contracts or
- (ii) 75 percent for cost-reimbursement contracts.
- (2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--
- (i) Accept the VECP;
- (ii) Reduce the contract price or estimated cost by the amount of instant contract savings; and
- (iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.
- (g) Collateral savings. If a VECP is accepted, the Contracting Officer will increase the instant contract amount by 20 percent of any projected collateral savings determined to be realized in a typical year of use after subtracting any Government costs not previously offset. However, the Contractor's share of collateral savings will not exceed the contract's firm-fixed-price or estimated cost, at the time the VECP is accepted, or \$100,000, whichever is greater. The Contracting Officer is the sole determiner of the amount of collateral savings.
- (h) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) above, the Contractor's allowable development and implementation costs shall include any subcontractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.
- (i) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

"These data, furnished under the Value Engineering-- Construction clause of contract . . . . . . . . , shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations." If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

(End of clause)

# 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SEP 1996) - ALTERNATE I (SEP 1996)

(a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.

- (b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:
- (1) Stop work as specified in the notice.
- (2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.
- (3) Terminate all subcontracts to the extent they relate to the work terminated.
- (4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.
- (5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.
- (6) As directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.
- (7) Complete performance of the work not terminated.
- (8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.
- (9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b)(6) of this clause; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.
- (c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.
- (d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.
- (e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1-year period. However, if the Contracting

Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.

- (f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid or remaining to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (g) or paragraph (g) of this clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be modified, and the Contractor paid the agreed amount. Paragraph (g) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.
- (g) If the Contractor and Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:
- (1) For contract work performed before the effective date of termination, the total (without duplication of any items) of--
- (i) The cost of this work;
- (ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and
- (iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss
- (2) The reasonable costs of settlement of the work terminated, including--
- (i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;
- (ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and
- (iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.
- (h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.
- (i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.
- (j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal or request for equitable adjustment within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.

- (k) In arriving at the amount due the Contractor under this clause, there shall be deducted--
- (1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;
- (2) Any claim which the Government has against the Contractor under this contract; and
- (3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.
- (1) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.
- (m)(1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.
- (2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.
- (n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

## 52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)

- (a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.
- (b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if--
- (1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include

- (i) acts of God or of the public enemy,
- (ii) acts of the Government in either its sovereign or contractual capacity,
- (iii) acts of another Contractor in the performance of a contract with the Government,
- (iv) fires,
- (v) floods,
- (vi) epidemics,
- (vii) quarantine restrictions,
- (viii) strikes,
- (ix) freight embargoes,
- (x) unusually severe weather, or delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and
- (2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer), notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.
- (c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

## 52.253-1 COMPUTER GENERATED FORMS (JAN 1991)

- (a) Any data required to be submitted on a Standard or Optional Form prescribed by the Federal Acquisition Regulation (FAR) may be submitted on a computer generated version of the form, provided there is no change to the name, content, or sequence of the data elements on the form, and provided the form carries the Standard or Optional Form number and edition date.
- (b) Unless prohibited by agency regulations, any data required to be submitted on an agency unique form prescribed by an agency supplement to the FAR may be submitted on a computer generated version of the form provided there is no change to the name, content, or sequence of the data elements on the form and provided the form carries the agency form number and edition date.
- (c) If the Contractor submits a computer generated version of a form that is different than the required form, then the rights and obligations of the parties will be determined based on the content of the required form.

## 252.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

- (a) "Definition. Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the contracting officer to perform specific technical or administrative functions.
- (b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the contracting officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

# 252.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT-RELATED FELONIES (MAR 1999)

- (a) Definitions. As used in this clause—
- (1) "Arising out of a contract with the DoD" means any act in connection with—
- (i) Attempting to obtain;
- (ii) Obtaining, or
- (iii) Performing a contract or first-tier subcontract of any agency, department, or component of the Department of Defense (DoD).
- (2) "Conviction of fraud or any other felony" means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of *nolo contendere*, for which sentence has been imposed.
- (3) "Date of conviction" means the date judgment was entered against the individual.
- (b) Any individual who is convicted after September 29, 1988, of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--
- (1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;
- (2) On the board of directors of any DoD contractor or first-tier subcontractor;
- (3) As a consultant, agent, or representative for any DoD contractor or first-tier subcontractor; or
- (4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.
- (c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than 5 years from the date of conviction.

- (d) 10 U.S.C. 2408 provides that a defense contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly—
- (1) Employing a person under a prohibition specified in paragraph (b) of this clause; or
- (2) Allowing such a person to serve on the board of directors of the contractor or first-tier subcontractor.
- (e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as—
- (1) Suspension or debarment;
- (2) Cancellation of the contract at no cost to the Government; or
- (3) Termination of the contract for default.
- (f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify—
- (1) The person involved;
- (2) The nature of the conviction and resultant sentence or punishment imposed;
- (3) The reasons for the requested waiver; and
- (4) An explanation of why a waiver is in the interest of national security.
- (g) The Contractor agrees to include the substance of this clause, appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.
- (h) Pursuant to 10 U.S.C. 2408(c), defense contractors and subcontractors may obtain information as to whether a particular person has been convicted of fraud or any other felony arising out of a contract with the DoD by contacting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

## 252.203-7002 DISPLAY OF DOD HOTLINE POSTER (DEC 1991)

- (a) The Contractor shall display prominently in common work areas within business segments performing work under Department of Defense (DoD) contracts, DoD Hotline Posters prepared by the DoD Office of the Inspector General.
- (b) DoD Hotline Posters may be obtained from the DoD Inspector General, ATTN: Defense Hotline, 400 Army Navy Drive, Washington, DC 22202-2884.
- (c) The Contractor need not comply with paragraph (a) of this clause if it has established a mechanism, such as a hotline, by which employees may report suspected instances of improper conduct, and instructions that encourage employees to make such reports.

## 252.204-7000 DISCLOSURE OF INFORMATION (DEC 1991)

- (a) The Contractor shall not release to anyone outside the Contractor's organization any unclassified information, regardless of medium (e.g., film, tape, document), pertaining to any part of this contract or any program related to this contract, unless--
- (1) The Contracting Officer has given prior written approval; or
- (2) The information is otherwise in the public domain before the date of release.
- (b) Requests for approval shall identify the specific information to be released, the medium to be used, and the purpose for the release. The Contractor shall submit its request to the Contracting Officer at least 45 days before the proposed date for release.
- (c) The Contractor agrees to include a similar requirement in each subcontract under this contract. Subcontractors shall submit requests for authorization to release through the prime contractor to the Contracting Officer.

(End of clause)

## 252.204-7003 CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT (APR 1992)

The Contractor's procedures for protecting against unauthorized disclosure of information shall not require Department of Defense employees or members of the Armed Forces to relinquish control of their work products, whether classified or not, to the contractor.

(End of clause)

## 252.204-7004 REQUIRED CENTRAL CONTRACTOR REGISTRATION (NOV 2001)

(a) Definitions.

As used in this clause--

- (1) Central Contractor Registration (CCR) database means the primary DoD repository for contractor information required for the conduct of business with DoD.
- (2) Data Universal Numbering System (DUNS) number means the 9-digit number assigned by Dun and Bradstreet Information Services to identify unique business entities.
- (3) Data Universal Numbering System +4 (DUNS+4) number means the DUNS number assigned by Dun and Bradstreet plus a 4-digit suffix that may be assigned by a parent (controlling) business concern. This 4-digit suffix may be assigned at the discretion of the parent business concern for such purposes as identifying subunits or affiliates of the parent business concern.
- (4) Registered in the CCR database means that all mandatory information, including the DUNS number or the DUNS+4 number, if applicable, and the corresponding Commercial and Government Entity (CAGE) code, is in the

CCR database; the DUNS number and the CAGE code have been validated; and all edits have been successfully completed.

- (b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee must be registered in the CCR database prior to award, during performance, and through final payment of any contract resulting from this solicitation, except for awards to foreign vendors for work to be performed outside the United States.
- (2) The offeror shall provide its DUNS or, if applicable, its DUNS+4 number with its offer, which will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.
- (3) Lack of registration in the CCR database will make an offeror ineligible for award.
- (4) DoD has established a goal of registering an applicant in the CCR database within 48 hours after receipt of a complete and accurate application via the Internet. However, registration of an applicant submitting an application through a method other than the Internet may take up to 30 days. Therefore, offerors that are not registered should consider applying for registration immediately upon receipt of this solicitation.
- (c) The Contractor is responsible for the accuracy and completeness of the data within the CCR, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to confirm on an annual basis that its information in the CCR database is accurate and complete.
- (d) Offerors and contractors may obtain information on registration and annual confirmation requirements by calling 1-888-227-2423, or via the Internet at http://www.ccr.gov.

(End of clause)

## 252.205-7000 PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS (DEC 1991)

(a) Definition.

"Cooperative agreement holder" means a State or local government; a private, nonprofit organization; a tribal organization (as defined in section 4(c) of the Indian Self-Determination and Education Assistance Act (Pub. L. 93-268; 25 U.S.C. 450 (c))); or an economic enterprise (as defined in section 3(e) of the Indian Financing Act of 1974 (Pub. L. 93-362; 25 U.S.C. 1452(e))) whether such economic enterprise is organized for profit or nonprofit purposes; which has an agreement with the Defense Logistics Agency to furnish procurement technical assistance to business entities.

- (b) The Contractor shall provide cooperative agreement holders, upon their request, with a list of those appropriate employees or offices responsible for entering into subcontracts under defense contracts. The list shall include the business address, telephone number, and area of responsibility of each employee or office.
- (c) The Contractor need not provide the listing to a particular cooperative agreement holder more frequently than once a year.

(End of clause)

252.209-7000 ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ONSITE INSPECTION UNDER THE INTERMEDIATE-RANGE NUCLEAR FORCES (INF) TREATY (NOV 1995)

- (a) The Contractor shall not deny consideration for a subcontract award under this contract to a potential subcontractor subject to on-site inspection under the INF Treaty, or a similar treaty, solely or in part because of the actual or potential presence of Soviet inspectors at the subcontractor's facility, unless the decision is approved by the Contracting Officer.
- (b) The Contractor shall incorporate this clause, including this paragraph (b), in all solicitations and contracts exceeding the simplified acquisition threshold in part 13 of the Federal Acquisition Regulation, except those for commercial items.

## 252.209-7004 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

- (a) Unless the Government determines that there is a compelling reason to do so, the Contractor shall not enter into any subcontract in excess of \$25,000 with a firm, or subsidiary of a firm, that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country.
- (b) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country. The notice must include the name of the proposed subcontractor notwithstanding its inclusion on the List of Parties Excluded From Federal Procurement and Nonprocurement Programs.

(End of clause)

## 252.215-7000 PRICING ADJUSTMENTS (DEC 1991)

The term "pricing adjustment," as used in paragraph (a) of the clauses entitled "Price Reduction for Defective Cost or Pricing Data - Modifications," "Subcontractor Cost or Pricing Data," and "Subcontractor Cost or Pricing Data - Modifications," means the aggregate increases and/or decreases in cost plus applicable profits.

(End of clause)

## 252.219-7003 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) (APR. 1996)

This clause supplements the Federal Acquisition Regulation 52.219-9, Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, clause of this contract.

(a) *Definitions. Historically black colleges and universities*, as used in this clause, means institutions determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

*Minority institutions*, as used in this clause, means institutions meeting the requirements of section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1135d-5(3)). The term also includes Hispanic-serving institutions as defined in section 316(b)(1) of such Act (20 U.S.C. 1059c(b)(1)).

- (b) Except for company or division-wide commercial items subcontracting plans, the term *small disadvantaged business*, when used in the FAR 52.219-9 clause, includes historically black colleges and universities and minority institutions, in addition to small disadvantaged business concerns.
- (c) Work under the contract or its subcontracts shall be credited toward meeting the small disadvantaged business concern goal required by paragraph (d) of the FAR 52.219-9 clause when:
- (1) It is performed on Indian lands or in joint venture with an Indian tribe or a tribally-owned corporation, and
- (2) It meets the requirements of 10 U.S.C. 2323a.
- (d) Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 46-48), may be counted toward the Contractor's small business subcontracting goal.
- (e) A mentor firm, under the Pilot Mentor-Protege Program established under Section 831 of Pub. L. 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded--
- (f) The master plan approval referred to in paragraph (f) of the FAR 52.219-9 clause is approval by the Contractor's cognizant contract administration activity.
- (g) In those subcontracting plans which specifically identify small, small disadvantaged, and women-owned small businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small, small disadvantaged, or women-owned small businesses for the firms listed in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

(End of clause)

252.223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS (APR 1993)

(a) "Definitions".

As used in this clause --

- (1) "Storage" means a non-transitory, semi-permanent or permanent holding, placement, or leaving of material. It does not include a temporary accumulation of a limited quantity of a material used in or a waste generated or resulting from authorized activities, such as servicing, maintenance, or repair of Department of Defense (DoD) items, equipment, or facilities.
- (2) "Toxic or hazardous materials" means:
- (i) Materials referred to in section 101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980 (42 U.S.C. 9601(14)) and materials designated under section 102 of CERCLA (42 U.S.C. 9602) (40 CFR part 302);
- (ii) Materials that are of an explosive, flammable, or pyrotechnic nature; or

- (iii) Materials otherwise identified by the Secretary of Defense as specified in DoD regulations.
- (b) In accordance with 10 U.S.C. 2692, the Contractor is prohibited from storing or disposing of non-DoD-owned toxic or hazardous materials on a DoD installation, except to the extent authorized by a statutory exception to 10 U.S.C. 2692 or as authorized by the Secretary of Defense or his designee.

#### 252.225-7012 PREFERENCE FOR CERTAIN DOMESTIC COMMODITIES (FEB 2003)

- (a) Definitions. As used in this clause--
- (1) Component means any item supplied to the Government as part of an end product or of another component.
- (2) End product means supplies delivered under a line item of this contract.
- (b) The Contractor shall deliver under this contract only such of the following items, either as end products or components, that have been grown, reprocessed, reused, or produced in the United States, its possessions, or Puerto Rico:
- (1) Food.
- (2) Clothing.
- (3) Tents, tarpaulins, or covers.
- (4) Cotton and other natural fiber products.
- (5) Woven silk or woven silk blends.
- (6) Spun silk yarn for cartridge cloth.
- (7) Synthetic fabric, and coated synthetic fabric, including all textile fibers and yarns that are for use in such fabrics.
- (8) Canvas products.
- (9) Wool (whether in the form of fiber or yarn or contained in fabrics, materials, or manufactured articles).
- (10) Any item of individual equipment (Federal Supply Class 8465) manufactured from or containing fibers, yarns, fabrics, or materials listed in this paragraph (b).
- (c) This clause does not apply--
- (1) To items listed in section 25.104(a) of the Federal Acquisition Regulation (FAR), or other items for which the Government has determined that a satisfactory quality and sufficient quantity cannot be acquired as and when needed at U.S. market prices;
- (2) To end products incidentally incorporating cotton, other natural fibers, or wool, for which the estimated value of the cotton, other natural fibers, or wool--
- (i) Is not more than 10 percent of the total price of the end product; and (ii) Does not exceed the simplified acquisition threshold in FAR part 2;

- (3) To foods that have been manufactured or processed in the United States, its possessions, or Puerto Rico, regardless of where the foods (and any component if applicable) were grown or produced, except that this clause does apply to fish, shellfish, or seafood manufactured or processed in the United States and fish, shellfish, or seafood contained in foods manufactured or processed in the United States;
- (4) To chemical warfare protective clothing produced in the countries listed in subsection 225.872-1 of the Defense FAR Supplement; or
- (5) To fibers and yarns that are for use in synthetic fabric or coated synthetic fabric (but does apply to the synthetic or coated synthetic fabric itself), if--
- (i) The fabric is to be used as a component of an end product that is not a textile product. Examples of textile products, made in whole or in part of fabric, include--
- (A) Draperies, floor coverings, furnishings, and bedding (Federal Supply Group 72, Household and Commercial Furnishings and Appliances);
- (B) Items made in whole or in part of fabric in Federal Supply Group 83, Textile/leather/furs/apparel/findings/tents/flags, or Federal Supply Group 84, Clothing, Individual Equipment and Insignia;
- (C) Upholstered seats (whether for household, office, or other use); and
- (D) Parachutes (Federal Supply Class 1670); or
- (ii) The fibers and yarns are para-aramid fibers and yarns manufactured in the Netherlands.

## 252.225-7031 SECONDARY ARAB BOYCOTT OF ISRAEL (JUN 1992)

- (a) Definitions. As used in this clause--
- (1) "Foreign person" means any person other than a United States person as defined in Section 16(2) of the Export Administration Act of 1979 (50 U.S.C. App. Sec 2415).
- (2) "United States person" is defined in Section 16(2) of the Export Administration Act of 1979 and means any United States resident or national (other than an individual resident outside the United States and employed by other than a United States person), any domestic concern (including any permanent domestic establishment of any foreign concern), and any foreign subsidiary or affiliate (including any permanent foreign establishment) of any domestic concern which is controlled in fact by such domestic concerns, as determined under regulations of the President.
- (b) Certification. By submitting this offer, the Offeror, if a foreign person, company or entity, certifies that it-
- (1) Does not comply with the Secondary Arab Boycott of Israel; and
- (2) Is not taking or knowingly agreeing to take any action, with respect to the Secondary Boycott of Israel by Arab countries, which 50 U.S.C. App. Sec 2407(a) prohibits a United States person from taking.

(End of clause)

## 252.226-7001 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES-DOD CONTRACTS (SEP 2001)

(a) Definitions. As used in this clause--

"Indian" means any person who is a member of any Indian tribe, band, group, pueblo, or community that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any "Native" as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601).

"Indian organization" means the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C. Chapter 17.

"Indian-owned economic enterprise" means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership constitutes not less than 51 percent of the enterprise.

"Indian tribe" means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, that is recognized by the Federal Government as eligible for services from BIA in accordance with 25 U.S.C. 1452 (c).

"Interested party" means a contractor or an actual or prospective offeror whose direct economic interest would be affected by the award of a subcontract or by the failure to award a subcontract.

- (b) The Contract shall use its best efforts to give Indian organizations and Indian-owned economic enterprises the maximum practicable opportunity to participate in the subcontracts it awards, to the fullest extent consistent with efficient performance of the contract.
- (c) The Contracting Officer and the Contractor, acting in good faith, may rely on the representation of an Indian organization or Indian-owned economic enterprise as to its eligibility, unless and interested party challenges its status or the Contracting Officer has independent reason to question that status.
- (d) In the event of a challenge to the representation of a subcontractor, the Contracting Officer will refer the matter to the U.S. Department of the Interior, Bureau of Indian Affairs, Attn: Chief, Division of Contracting and Grants Administration, 1849 C Street NW, MS-2626-MIB, Washington, DC 20240-4000. The BIA will determine the eligibility and will notify the Contracting Officer. No incentive payment will be made--
- (1) Within 59 working days of subcontract award;
- (2) While a challenge is pending; or
- (3) If a subcontractor is determined to be an ineligible participant.
- (e)(1) The Contractor, on its own behalf or on behalf of a subcontractor at any tier, may request an adjustment under the Indian Incentive Program to the following:
- (i) The estimated cost of cost-type contract.
- (ii) The target cost of a cost-plus-incentive-fee contract.
- (iii) The target cost and ceiling price of a fixed-price incentive contract.
- (iv) The price of a firm-fixed-price contract.

- (2) The amount of the adjustment that may be made to the contract is 5 percent of the estimated cost, target cost, or firm-fixed price included in the subcontract initially awarded to the Indian organization or Indian-owned economic enterprise.
- (3) The Contractor has the burden of proving the amount claimed and must assert its request for an adjustment prior to completion of contract performance.
- (4) The Contracting Officer, subject to the terms and conditions of the contract and the availability of funds, will authorize an incentive payment of 5 percent of the amount paid to the subcontractor.
- (5) If the Contractor requests and receives an adjustment on behalf of a subcontractor, the Contractor is obligated to pay the subcontractor the adjustment.
- (f) The Contractor shall insert the substance of this clause, including this paragraph (f), in all subcontracts that-
- (1) Are for other than commercial items; and
- (2) Are expected to exceed the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation.

#### 252.227-7022 GOVERNMENT RIGHTS (UNLIMITED) (MAR 1979)

The Government shall have unlimited rights, in all drawings, designs, specifications, notes and other works developed in the performance of this contract, including the right to use same on any other Government design or construction without additional compensation to the Contractor. The Contractor hereby grants to the Government a paid-up license throughout the world to all such works to which he may assert or establish any claim under design patent or copyright laws. The Contractor for a period of three (3) years after completion of the project agrees to furnish the original or copies of all such works on the request of the Contracting Officer.

(End of clause)

#### 252.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)

- (a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail (i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

## 252.236-7000 MODIFICATION PROPOSALS - PRICE BREAKDOWN. (DEC 1991)

(a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.

(b) The price breakdown				
(1) Must include sufficient detail to permit an analysis of profit, and of all costs for				
(i) Material;				
(ii) Labor;				
(iii) Equipment;				
(iv) Subcontracts; and				
(v) Overhead; and				
(2) Must cover all work involved in the modification, whether the work was deleted, added, or changed.				
(c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.				
(d) The Contractor's proposal shall include a justification for any time extension proposed.				
252.242-7000 POSTAWARD CONFERENCE (DEC 1991)				
The Contractor agrees to attend any postaward conference convened by the contracting activity or contract administration office in accordance with Federal Acquisition Regulation subpart 42.5.				
(End of clause)				
252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)				
When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR part 31 and DFARS part 231, in effect on the date of this contract, apply.				
252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)				
(a) The amount of any request for equitable adjustment to contract terms shall accurately reflect the contract adjustment for which the Contractor believes the Government is liable. The request shall include only costs for performing the change, and shall not include any costs that already have been reimbursed or that have been separately claimed. All indirect costs included in the request shall be properly allocable to the change in accordance with applicable acquisition regulations.				
(b) In accordance with 10 U.S.C. 2410(a), any request for equitable adjustment to contract terms that exceeds the simplified acquisition threshold shall bear, at the time of submission, the following certificate executed by an individual authorized to certify the request on behalf of the Contractor:				
I certify that the request is made in good faith, and that the supporting data are accurate and complete to the best of my knowledge and belief.				
(Official's Name)				

(Title)

- (c) The certification in paragraph (b) of this clause requires full disclosure of all relevant facts, including--
- (1) Cost or pricing data if required in accordance with subsection 15.403-4 of the Federal Acquisition Regulation (FAR); and
- (2) Information other than cost or pricing data, in accordance with subsection 15.403-3 of the FAR, including actual cost data and data to support any estimated costs, even if cost or pricing data are not required.
- (d) The certification requirement in paragraph (b) of this clause does not apply to----
- (1) Requests for routine contract payments; for example, requests for payment for accepted supplies and services, routine vouchers under a cost-reimbursement type contract, or progress payment invoices; or
- (2) Final adjustment under an incentive provision of the contract.

#### 252.247-7023 TRANSPORTATION OF SUPPLIES BY SEA (MAY 2002)

- (a) Definitions. As used in this clause --
- (1) "Components" means articles, materials, and supplies incorporated directly into end products at any level of manufacture, fabrication, or assembly by the Contractor or any subcontractor.
- (2) "Department of Defense" (DoD) means the Army, Navy, Air Force, Marine Corps, and defense agencies.
- (3) "Foreign flag vessel" means any vessel that is not a U.S.-flag vessel.
- (4) "Ocean transportation" means any transportation aboard a ship, vessel, boat, barge, or ferry through international waters.
- (5) "Subcontractor" means a supplier, materialman, distributor, or vendor at any level below the prime contractor whose contractual obligation to perform results from, or is conditioned upon, award of the prime contract and who is performing any part of the work or other requirement of the prime contract.
- (6) "Supplies" means all property, except land and interests in land, that is clearly identifiable for eventual use by or owned by the DoD at the time of transportation by sea.
- (i) An item is clearly identifiable for eventual use by the DoD if, for example, the contract documentation contains a reference to a DoD contract number or a military destination.
- (ii) "Supplies" includes (but is not limited to) public works; buildings and facilities; ships; floating equipment and vessels of every character, type, and description, with parts, subassemblies, accessories, and equipment; machine tools; material; equipment; stores of all kinds; end items; construction materials; and components of the foregoing.
- (7) "U.S.-flag vessel" means a vessel of the United States or belonging to the United States, including any vessel registered or having national status under the laws of the United States.
- (b)(1) The Contractor shall use U.S.-flag vessels when transporting any supplies by sea under this contract.
- (2) A subcontractor transporting supplies by sea under this contract shall use U.S.-flag vessels if--
- (i) This contract is a construction contract; or

- (ii) The supplies being transported are--
- (A) Noncommercial items; or
- (B) Commercial items that--
- (1) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it contracts for f.o.b. destination shipment);
- (2) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or
- (3) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.
- (c) The Contractor and its subcontractors may request that the Contracting Officer authorize shipment in foreign-flag vessels, or designate available U.S.-flag vessels, if the Contractor or a subcontractor believes that --
- (1) U.S.-flag vessels are not available for timely shipment;
- (2) The freight charges are inordinately excessive or unreasonable; or
- (3) Freight charges are higher than charges to private persons for transportation of like goods.
- (d) The Contractor must submit any request for use of other than U.S.-flag vessels in writing to the Contracting Officer at least 45 days prior to the sailing date necessary to meet its delivery schedules. The Contracting Officer will process requests submitted after such date(s) as expeditiously as possible, but the Contracting Officer's failure to grant approvals to meet the shipper's sailing date will not of itself constitute a compensable delay under this or any other clause of this contract. Requests shall contain at a minimum --
- (1) Type, weight, and cube of cargo;
- (2) Required shipping date;
- (3) Special handling and discharge requirements;
- (4) Loading and discharge points;
- (5) Name of shipper and consignee;
- (6) Prime contract number; and
- (7) A documented description of efforts made to secure U.S.-flag vessels, including points of contact (with names and telephone numbers) with at least two U.S.-flag carriers contacted. Copies of telephone notes, telegraphic and facsimile message or letters will be sufficient for this purpose.
- (e) The Contractor shall, within 30 days after each shipment covered by this clause, provide the Contracting Officer and the Maritime Administration, Office of Cargo Preference, U.S. Department of Transportation, 400 Seventh Street SW., Washington, DC 20590, one copy of the rated on board vessel operating carrier's ocean bill of lading, which shall contain the following information:
- (1) Prime contract number;

(2) Name of vessel;			
(3) Vessel flag of registry;			
(4) Date of loading;			
(5) Port of loading;			
(6) Port of final discharge;			
(7) Description of commodity;			
(8) Gross weight in pounds and cub	ic feet if available;		
(9) Total ocean freight in U.S. dolla	rs; and		
(10) Name of the steamship compan	y.		
(f) The Contractor shall provide wit knowledge and belief	h its final invoice under	this contract a representation	that to the best of its
(1) No ocean transportation was use	d in the performance of	this contract;	
(2) Ocean transportation was used a	nd only U.Sflag vessels	s were used for all ocean ship	oments under the contract;
(3) Ocean transportation was used, a U.Sflag ocean transportation; or	and the Contractor had th	e written consent of the Con	tracting Officer for all non-
(4) Ocean transportation was used a written consent of the Contracting C			
ITEM DESCRIPTION	CONTRACT LINE ITEMS	QUANTITY	
TOTAL			
(g) If the final invoice does not inclu Contractor as an improper invoice for			

- has been unauthorized use of non-U.S.-flag vessels in the performance of this contract, the Contracting Officer is entitled to equitably adjust the contract, based on the unauthorized use.
- (h) In the award of subcontracts for the types of supplies described in paragraph (b)(2) of this clause, the Contractor shall flow down the requirements of this clause as follows:
- (1) The Contractor shall insert the substance of this clause, including this paragraph (h), in subcontracts that exceed the simplified acquisition threshold in part 2 of the Federal Acquisition Regulation.
- (2) The Contractor shall insert the substance of paragraphs (a) through (e) of this clause, and this paragraph (h), in subcontracts that are at or below the simplified acquisition threshold in part 2 of the Federal Acquisition Regulation.

## 252.247-7024 NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)

- (a) The Contractor has indicated by the response to the solicitation provision, Representation of Extent of Transportation by Sea, that it did not anticipate transporting by sea any supplies. If, however, after the award of this contract, the Contractor learns that supplies, as defined in the Transportation of Supplies by Sea clause of this contract, will be transported by sea, the Contractor --
- (1) Shall notify the Contracting Officer of that fact; and
- (2) Hereby agrees to comply with all the terms and conditions of the Transportation of Supplies by Sea clause of this contract.
- (b) The Contractor shall include this clause; including this paragraph (b), revised as necessary to reflect the relationship of the contracting parties--
- (1) In all subcontracts under this contract, if this contract is a construction contract; or
- (2) If this contract is not a construction contract, in all subcontracts under this contract that are for-
- (i) Noncommercial items; or
- (ii) Commercial items that--
- (A) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it subcontracts for f.o.b. destination shipment);
- (B) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or
- (C) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.

(End of clause)

Section 00800 - Special Contract Requirements

#### CLAUSES INCORPORATED BY FULL TEXT

## 52.211-10 COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984)

The Contractor shall be required to (a) commence work under this contract within five calendar days after the date the Contractor receives the notice to proceed, (b) prosecute the work diligently, and (c) complete the entire work ready for use not later than 630 calendar days. The time stated for completion shall include final cleanup of the premises.

(End of clause)

## 52.211-12 LIQUIDATED DAMAGES--CONSTRUCTION (SEP 2000)

- (a) If the Contractor fails to complete the work within the time specified in the contract, the Contractor shall pay liquidated damages to the Government in the amount of \$711.66 for each calendar day of delay until the work is completed or accepted.
- (b) If the Government terminates the Contractor's right to proceed, liquidated damages will continue to accrue until the work is completed. These liquidated damages are in addition to excess costs of repurchase under the Termination clause.

(End of clause)

## 52.219-4002 REPORTING REQUIREMENTS--SUBCONTRACTING PLAN (CESAD-CT JUL 1993)

- (a) Retainage will be withheld from progress payments in an amount sufficient to protect the Government's ability to assess Liquidated Damages in accordance with FAR clause 52.219-0016 for failure to submit timely SF 294 and SF 295 Reports. The amount of retainage will be determined in accordance with the following formula:
- (b) Total dollar amount proposed for subcontracting to small business multiplied by percentage of actual progress on the contract, up to a maximum of 10% of the given progress payment, shall be withheld from the next progress payment due after a contractor fails to submit a required report. If one or more reports have been submitted before such failure, formula for determining the amount of retainage will be adjusted by deducting any amounts reported as subcontracted to small business from the total dollar amount proposed to be subcontracted and the difference multiplied by the percent of actual progress, up to a maximum of 10% of the given progress payment.

(End of clause)

# 52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPADESIGNATED PRODUCTS (AUG 2000)

(a) Definitions. As used in this clause--

Postconsumer material means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of "recovered material."

Recovered material means waste materials and by-products recovered or diverted from solid waste, but the term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.

- (b) The Contractor, on completion of this contract, shall--
- (1) Estimate the percentage of the total recovered material used in contract performance, including, if applicable, the percentage of postconsumer material content; and
- (2) Submit this estimate to U.S. Army Engineer District, Savannah ATTN: CESAS-CT-C 100 West Oglethorpe Avenue Savannah, Georgia 31401-3640

(End of clause)

## 52.223-4002 U.S. ARMY CORPS OF ENGINEERS SAFETY AND HEALTH REQUIREMENTS MANUAL, EM 385-1-1

This paragraph applies to contracts and purchase orders that require the contractor to comply with EM 385-1-1 (e.g., contracts that include the Accident Prevention clause at FAR 52.236-13 and/or other safety provisions). EM 385-1-1 and its changes are available at <a href="http://www.hq.usace.army.mil">http://www.hq.usace.army.mil</a>. (At the HQ homepage, select Safety and Occupational Health.) The Contractor shall be responsible for complying with the current edition and all changes posted on the web through the date that is 10 calendar days prior to the date offers are due. If the solicitation is amended to extend the time set for receipt of offers, the 10 calendar days rule stated above shall be applied against the amended date. (For example, if offers are due on 10 April, all changes posted on or before 31 March shall apply to the contract. If the time for receipt of offers is extended from 10 April to 20 April, all changes posted on or before 10 April shall apply to the contract.)

## 52.228-4002 REQUIRED INSURANCE (FEB 1987 SAS) (Ref. FAR 28.307)

(a) The Contractor shall procure and maintain during the entire period of his performance under this contract the following minimum insurance:

Comprehensive and Employer's Liability Insurance in the amount required by the State law in which the work is to be performed under this contract.

Comprehensive General Liability Insurance in an amount not less than \$500,000 per accident.

Automobile Liability Insurance: \$200,000 per person and \$500,000 per accident for bodily injury liability and \$20,000 property damage liability.

- (b) Prior to the commencement of work hereunder, the Contractor shall furnish to the Contracting Officer a certificate or written statement of the above-required insurance. The policies evidencing required insurance shall contain an endorsement to the effect that cancellation, or any material change in the policies adversely affecting the interests of the Government in such insurance, shall not be effective for such period as may be prescribed by the laws of the State in which this contract is to be performed and in no event less than 30 days after written notice thereof to the Contracting Officer.
- (c) The Contractor agrees to insert the substance of this clause, including this subparagraph (c), in all subcontracts hereunder.

(End of clause)

# 52.231-5000 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE MAR 1995)--EFARS

- (a) This clause does not apply to terminations. See 52.249-5000, Basis for Settlement of Proposals and FAR Part 49.
- (b) Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by a contractor or subcontractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial and series for which the Government can determine both ownership and operating costs from the contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment or groups of similar serial or series equipment from the contractor's accounting records, costs for that equipment shall be based upon the applicable provisions of EP 1110-1-8, Construction Equipment Ownership and Operating Expense Schedule, Region \_\_\_\_\_. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the contracting officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be used or a rate may be developed using the formula provided in the schedule. For forward pricing, the schedule in effect at the time of negotiations shall apply. For retroactive pricing, the schedule in effect at the time the work was performed shall apply.
- (c) Equipment rental costs are allowable, subject to the provisions of FAR 31.105(d)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-leaseback arrangements, will be determined using the schedule, except that actual rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.
- (d) When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the contracting officer shall request the contractor to submit either certified cost or pricing data, or partial/limited data, as appropriate. The data shall be submitted on Standard Form 1411, Contract Pricing Proposal Cover Sheet.

(End of clause)

52.232-4007 ACCOUNTING AND APPROPRIATION DATA (APR 1989 CESAS-RM)

213 2050 308 8021 P1000 3230 S09133

(End of clause)

52.232-4008 DESIGNATED BILLING OFFICE (APR 1989 CESAS-RM)

Invoices will be mailed to: Ken Gray

Fort Bragg Area Office P.O. Box 70247

Ft. Bragg, NC 28307-0247

(End of Clause)

### 52.232-4009 DESIGNATED PAYMENT OFFICE (AUG 1998 CESAS-RM-F)

Payment will be made by:

U.S. Army Corps of Engineers Finance Center ATTN: CEFC-AO-P 5720 Integrity Drive Millington, TN 38054-5005 (End of clause)

### 52.232-5000 PAYMENT FOR MATERIALS DELIVERED OFF-SITE (MAR 1995)—EFARS

- (a) Pursuant to FAR clause 52.232-5, Payments Under Fixed Priced Construction Contracts, materials delivered to the contractor at locations other than the site of the work may be taken into consideration in making payments if included in payment estimates and if all the conditions of the General Provisions are fulfilled. Payment for items delivered to locations other than the work site will be limited to: (1) materials required by the technical provisions; or (2) materials that have been fabricated to the point where they are identifiable to an item of work required under this contract.
- (b) Such payment will be made only after receipt of paid or receipted invoices or invoices with canceled check showing title to the items in the prime contractor and including the value of material and labor incorporated into the item. In addition to petroleum products, payment for materials delivered off-site is limited to the following items:

(End of clause)

### 52.236-1 PERFORMANCE OF WORK BY THE CONTRACTOR (APR 1984)

The Contractor shall perform on the site, and with its own organization, work equivalent to at least 20% (twenty) percent of the total amount of work to be performed under the contract. This percentage may be reduced by a supplemental agreement to this contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government.

(End of clause)

#### 52.236-4 PHYSICAL DATA (APR 1984)

Data and information furnished or referred to below is for the Contractor's information. The Government shall not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor.

- (a) The indications of physical conditions on the drawings and in the specifications are the result of site investigations by soil test borings. Locations and drilling logs of the borings are shown on the contract drawings.
- (b) Weather conditions. . . See Clause Time Extensions for Unusually Severe Weather Section 00800 52.249-4001.
- (c) Transportation facilities . . . The site of the work is available by Public Highway.

(End of clause)

# 52.236-4013 CONTRACTOR-PREPARED NETWORK ANALYSIS SYSTEM (January 2002 SAS) (Ref. DFARS 236.273)

The progress chart to be prepared by the contractor pursuant to FAR 52.236-15, Schedules for Construction Contracts, shall utilize the Critical Path Method (CPM) of network calculation. (See Attachment 1 to Section 00800).

### 52.236-4015 PRECONSTRUCTION CONFERENCE (OCT 1988 SAS) (Ref. FAR 36.305)

- (a) A preconstruction conference will be arranged by the Area/Resident Engineer after award of contract and before commencement of work. The Area/Resident Engineer will notify the Contractor of the time and date set for the meeting. At this conference, the Contractor shall be oriented with respect to Government procedures and line of authority, contractual, administrative, and construction matters.
- (b) The Contractor shall bring to this conference, in completed form, a Certificate of Insurance, plus the following items in either completed or draft form:

Accident Prevention Plan (5 copies)
(use format shown in Attachment 1 to SECTION 00800)
Quality Control Plan (5 copies)
Letter Appointing Superintendent
Transmittal Register
Power of Attorney and Certified Copy of Resolution
Network Analysis System, when applicable
List of Subcontractors

(c) A letter of record will be written documenting all items discussed at the conference, and a copy will be furnished by the Area/Resident Engineer to all in attendance.

(End of clause)

### 52.236-4016 VIDEO TAPING OPERATING AND MAINTENANCE INSTRUCTIONS (MAR 1987 SASCD-SQ)

For all of the operating and maintenance instructions which are required in the contract specifications, the Contractor shall video tape these instructions as they are presented to the Government representatives. These tapes shall provide clear and understandable detailed instructions for all items required by the contract specifications. The tapes shall be prepared by an experienced video director/cameraman using good quality half-inch VHS color tape with correct sound equipment, lighting, and backdrop. The sound and picture quality shall be high and subject to approval by the Contracting Officer. The tapes are intended as followup training for other Government representatives at a later date. They must be suitable for this purpose. The Contractor shall be responsible for the contents of the instructions and shall verify that they are correct prior

to taping. The Contractor may submit individual equipment manufacturer's instructional tape(s), provided they meet the above qualifications and cover the actual equipment that is installed. The tape(s) shall be for specific equipment identified by contents and contract name and number. The Contractor shall submit one copy of the tape(s) to the Contracting Officer for review and approval. Unacceptable tapes are to be corrected by the Contractor as indicated by the Contracting Officer at no additional cost to the Government.

(End of clause)

# 52.236-4017 SUBMITTAL OF MODIFICATION COST ESTIMATE PROPOSALS (MAR 1992 SAS) (Ref. DFARS 52.236-7000)

When submittals of Cost Estimate Proposals are required for additions or deletions to work under this contract by modification, the Contractor shall use DA Form 5418-R titled "Cost Estimate Analysis" (see Attachment 1 to SECTION 00800). A separate assemblage will be prepared for submittal by each trade affected by the proposed work. (End of clause)

### 52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)

- (a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.
- (b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.
- (c) Government inspections and tests are for the sole benefit of the Government and do not--
- (1) Relieve the Contractor of responsibility for providing adequate quality control measures;
- (2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
- (3) Constitute or imply acceptance; or
- (4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) of this section.
- (d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.
- (e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not

unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

- (f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.
- (g) If the Contractor does not promptly replace or correct rejected work, the Government may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor or (2) terminate for default the Contractor's right to proceed.
- (h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.
- (i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

(End of clause)

#### 52.246-21 WARRANTY OF CONSTRUCTION (MAR 1994)

- (a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.
- (b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.
- (c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--
- (1) The Contractor's failure to conform to contract requirements; or
- (2) Any defect of equipment, material, workmanship, or design furnished.
- (d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.
- (e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.

- (f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.
- (g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--
- (1) Obtain all warranties that would be given in normal commercial practice;
- (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
- (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.
- (h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.
- (i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.
- (j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.

(End of clause)

# 52.249-4001 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER (APR 1991 OCE) (Ref. FAR 52.249-10)

- (a) This provision specifies the procedure for the determination of time extensions for unusually severe weather in accordance with the contract clause entitled DEFAULT (FIXED-PRICE CONSTRUCTION). In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:
- (1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.
- (2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the Contractor.
- (b) The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The Contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY
WORKDAYS BASED ON 5-DAY WORK WEEK

JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC

10 9 6 4 4 6 8 7 4 4 5 9

(c) Upon acknowledgment of the Notice to Proceed and continuing through-out the contract, the Contractor will record on the daily Contractor Quality Control report the occurrence of adverse weather and resultant impact to normally scheduled work. Actual adverse weather delay days must prevent work on critical activities for 50 percent or more of the Contractor's scheduled workday. The number of actual adverse weather delay days shall include days

impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the last day in each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph (b) above, the Contracting Officer will convert any qualifying delays to calendar days, giving full consideration for equivalent fair weather workdays, and issue a modification in accordance with the contract clause entitled DEFAULT (FIXED PRICE CONSTRUCTION). (End of clause)

#### 52.249-5000 BASIS FOR SETTLEMENT OF PROPOSALS - EFARS

Actual costs will be used to determine equipment costs for a settlement proposal submitted on the total cost basis under FAR 49.206-2(b). In evaluating a terminations settlement proposal using the total cost basis, the following principles will be applied to determine allowable equipment costs:

- (1) Actual costs for each piece of equipment, or groups of similar serial or series equipment, need not be available in the contractor's accounting records to determine total actual equipment costs.
- (2)If equipment costs have been allocated to a contract using predetermined rates, those charges will be adjusted to actual costs.
- (3) Recorded job costs adjusted for unallowable expenses will be used to determine equipment operating expenses.
- (4) Ownership costs (depreciation) will be determined using the contractor's depreciation schedule (subject to the provisions of FAR 31.205-11).
- (5) License, taxes, storage and insurance costs are normally recovered as an indirect expense and unless the contractor charges these costs directly to contracts, they will be recovered through the indirect expense rate. (End of Clause)

### ATTACHMENT 1 TO SECTION 00800

#### LIST OF ATTACHMENTS

1. Contract Drawings:

File No. 851-10-12, Sheets 1 through 132

- 2. Rates of Wages:
- 3. Formats:

Sign

Project Sign Erection Detail

Corps of Engineers Logo

Accident Prevention Plan (Ref. FAR 52.236-13 and EM 385-1-1)

Construction Quality Control Report

Small and Disadvantaged Business Subcontracting Plan

Weekly Temporary Electrical Inspection

- 4. Minimum Standard for Temporary Electrical Service (Ref. FAR 52.236-14)
- 5. Forms:

SAS Form 9 - Activity Hazard Analysis

SAD Form 1666a-R - Safety Checklist for Crawler, Truck & Wheel Mounted Cranes

SAD Form 1666b-R - Safety Checklist for Portal, Tower, and Pillar Cranes

SAD Form 1666c-R - Safety Checklist for Rigging

SAD Form 1666d-R - Safety Checklist for Motor Vehicles, Trailers and Trucks

SAD Form 1666e-R - Safety Checklist for Crawler Tractors and Dozers

SAD Form 1666f-R - Safety Checklist for Scrapers, Motor Graders, and Other Mobile Equipment

SAD Form 1666g-R - Safety Checklist for Material Hoists

SAD Form 1666h-R - Safety Checklist for Earth Drilling Equipment

ENG Form 4025 - Transmittal of Shop Drawings, Equipment Data, Material Samples, or Manufacturer's Certificates of Compliance

DA Form 5418-R - Cost Estimate Analysis

DD Form 1354 - Transfer and Acceptance of Military Real Property

Standard Form LLL-A - Disclosure of Lobbying Activities

Landfill Permit Application

Real Property Inventory

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### **General Decision Number NC030032**

General Decision Number NC030032

Superseded General Decision No. NC020032

State: North Carolina Construction Type:

BUILDING

County(ies):

CUMBERLAND

BUILDING CONSTRUCTION PROJECTS (does not include residential construction consisting of single family homes and apartments up to and including 4 stories).

Modification Number Publication Date 0 06/13/2003

COUNTY(ies):
CUMBERLAND

SUNC1027A 10/24/1994

	Rates	Fringes
BRICKLAYERS/BLOCKLAYERS	12.50	
CARPENTERS (Including drywall		
hanging, acoustical tile		
installation and batt insulation	9.08	
CEMENT MASONS/CONCRETE FINISHERS	8.43	
ELECTRICIANS	9.71	
GLAZIERS	8.77	
HVAC MECHANIC (HVAC pipe only)	9.26	
INSULATORS (pipe)	10.42	.63
IRONWORKERS, STRUCTURAL	10.76	
LABORERS:		
Unskilled	6.23	
PAINTERS (Brush)	7.90	.04
PLUMBERS	10.28	
ROOFERS	6.75	
SHEET METAL WORKERS (Including		
HVAC Duct Work)	9.36	
SOFT FLOOR LAYERS/CARPET LAYERS	12.00	
TRUCK DRIVERS	7.10	

WELDERS - receive rate prescribed for craft performing operation

to which welding is incidential.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

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In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- \* an existing published wage determination

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- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations

Wage and Hour Division

U. S. Department of Labor

200 Constitution Avenue, N. W.

Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator

U.S. Department of Labor

200 Constitution Avenue, N. W.

Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

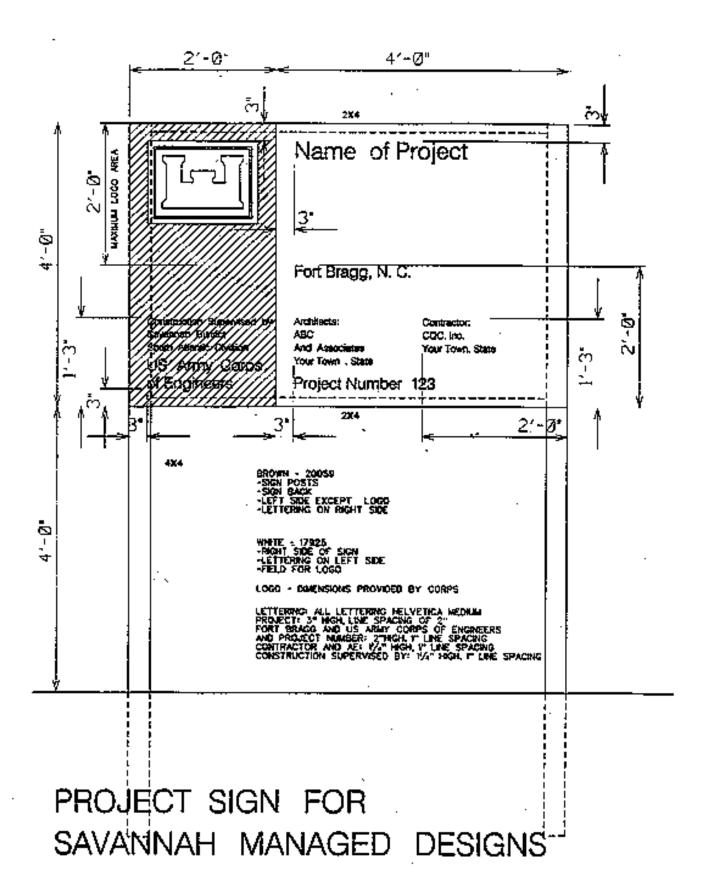
Administrative Review Board

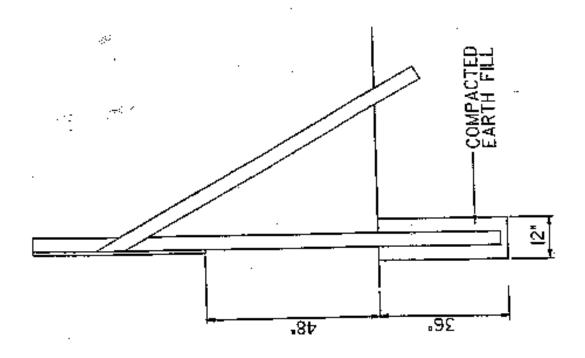
U. S. Department of Labor

200 Constitution Avenue, N. W.

Washington, D. C. 20210

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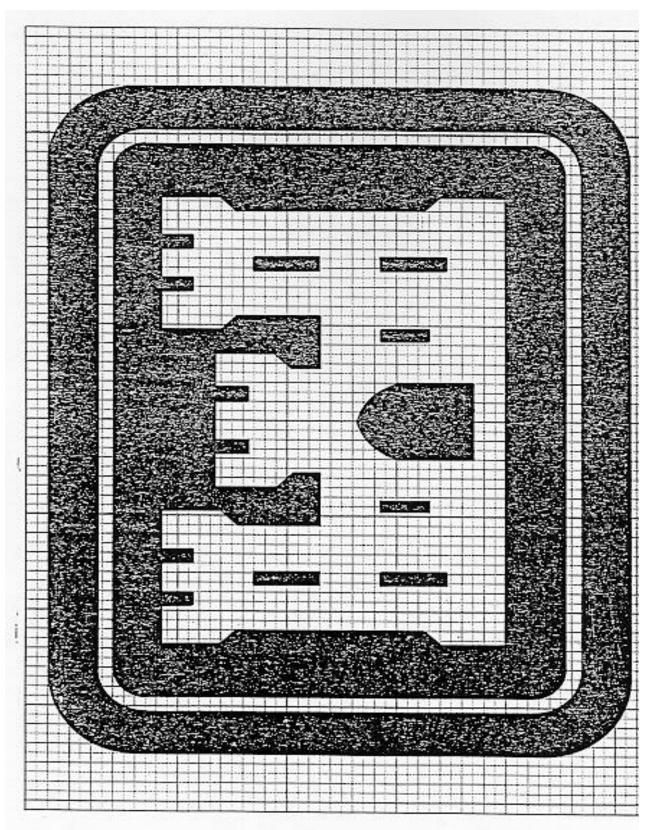




CONSTRUCTION IDENTIFICATION SIGNAGE

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CORPS OF ENGINEERS LOGO HALF SIZE

#### FORMAT

# (Ref. FAR 52.236-13 and EM 385-1-1 dated 3 Sep 96) ACCIDENT PREVENTION PLAN

#### MINIMUM BASIC OUTLINE FOR ACCIDENT PREVENTION PLAN

An accident prevention plan is, in essence, a safety and health policy and program document. The following areas are typically addressed in an accident prevention plan, but a plan shall be job specific and shall also address any unusual or unique aspects of the project or activity for which it is written. The accident prevention plan shall interface with the employer's overall safety and health program. Any portions of the overall safety and health program that are referenced in the accident prevention plan shall be included as appropriate.

- 1. SIGNATURE SHEET. Title, signature, and phone number of the following:
  - a. Plan preparer (corporate safety staff person, QC);
- b. Plan approval, e.g., owner, company president, regional vice president (HTRW activities require approval of a Certified Industrial Hygienist (or qualified Industrial Hygiene personnel for in-house USACE activities; a Certified Safety Professional (or qualified USACE safety personnel for in-house work) may approve the plan for operations involving UST removal where contaminants are known to be petroleum, oils, or lubricants);
- c. Plan concurrence (provide concurrence of other applicable corporate and project personnel (contractor)), e.g., Corporate Chief of Operations, Corporate Chief of Safety, Corporate Industrial Hygienist, project manager or superintendent, project safety professional, project QC. The plan will be developed by qualified personnel (plan preparer) and will be signed by a competent person (plan concurrence) and a representative of the prime contractor's project management team (plan approval).
- 2. BACKGROUND INFORMATION. List the following:
  - a. Contractor;
  - b. Contract number;
  - c. Project name;
- d. Brief project description, description of work to be performed, and location (map);
- e. Contractor accident experience (provide information such as EMR, OSHA 200 Forms, corporate safety trend analyses);
- f. Listing of phases of work and hazardous activities requiring activity hazards analyses.
- 3. STATEMENT OF SAFETY AND HEALTH POLICY. (In addition to the corporate policy statement, a copy of the corporate safety program may provide a

significant portion of the information required by the accident prevention plan.)

- 4. RESPONSIBILITIES AND LINES OF AUTHORITIES.
- a. Identification and accountability of personnel responsible for safety at both corporate and project level (contracts specifically requiring safety or industrial hygiene personnel should include a copy of their resume the District Safety and Occupational Health Office will review the qualifications for acceptance). For items in EM 385-1-1 which require the use of a competent person or a qualified person, the contractor is to maintain documentation demonstrating the competence or qualification of that individual.
  - b. Lines of authority
- 5. SUBCONTRACTORS AND SUPPLIERS. Provide the following:
  - a. Identification of subcontractors and suppliers (if known);
  - b. Means for controlling and coordinating subcontractors and suppliers;
  - c. Safety responsibilities of subcontractors and suppliers.
- 6. TRAINING.
  - a. List subjects to be discussed with employees in safety indoctrination.
- b. List mandatory training and certifications which are applicable to this project (e. g., explosive actuated tools, confined space entry, crane operator, diver, vehicle operator, HAZWOPER training and certification, personal protective equipment) and any requirements for periodic retraining/recertification.
  - c. Identify requirements for emergency response training.
- d. Outline requirements (who attends, when given, who will conduct etc.) for supervisory and employee safety meetings.
- e. Identify location at the project site where the records will be maintained.
- 7. SAFETY AND HEALTH INSPECTIONS. Provide details on:
- a. Who will conduct safety inspections (e.g., project manager, safety professional, QC, supervisors, employees, etc.), when inspections will be conducted, how the inspections will be recorded, deficiency tracking system, follow-up procedures, etc;
- b. Any external inspections/certifications which may be required (e.g.,  ${\it Coast Guard}$ ).
- 8. SAFETY AND HEALTH EXPECTATIONS, INCENTIVE PROGRAMS, AND COMPLIANCE.
- a. The company's written safety program goals, objectives, and accident experience goals for this contract should be provided.

- b. A brief description of the company's safety incentive programs (if any) should be provided.
- c. Policies and procedures regarding noncompliance with safety requirements (to include disciplinary actions for violation of safety requirements) should be identified.
- d. Provide written company procedures for holding managers and supervisors accountable for safety.
- 9. ACCIDENT REPORTING. The contractor shall identify who shall complete the following, how, and when:
  - a. Exposure data (man-hours worked);
  - b. Accident investigations, reports and logs;
  - c. Immediate notification of major accidents.
- 10. MEDICAL SUPPORT. Outline on-site medical support and off-site medical arrangements.
- 11. PERSONAL PROTECTIVE EQUIPMENT. Outline procedures (who, when, how) for conducting hazard assessments and written certifications for use of personal protective equipment.
- 12. PLANS (PROGRAMS, PROCEDURES) REQUIRED BY THE SAFETY MANUAL (as applicable).
  - a. Hazard communication program (01.B.04);
  - b. Emergency response plans:
    - procedures and tests (01.E.01)
    - spill plans (01.E.01, 06.A.02)
    - fire fighting plan (01.E.01, 19.A.04)
    - posting of emergency telephone numbers (01.E.04)
    - wildfire prevention plan (09.K.01)
    - man overboard/abandon ship (19.A.04)
  - c. Layout plans (04.A.01);
  - d. Respiratory protection plan (05.E.01);
  - e. Health hazard control program (06.A.02);
  - f. Lead abatement plan (06.B.05 & specifications);
  - g. Asbestos abatement plan (06.B.05 & specifications);
  - h. Abrasive blasting (06.H.01);
  - i. Confined space (06.1);
  - j. Hazardous energy control plan (12.A.07);
  - k. Critical lift procedures (16.C.17);

- 1. Contingency plan for severe weather (19.A.03);
- m. Access and haul road plan (22.1.10);
- n. Demolition plan (engineering and asbestos surveys) (23.A.01);
- o. Emergency rescue (tunneling) (26.A.05);
- p. Underground construction fire prevention and protection plan (26.D.01);
- q. Compressed air plan (26.1.01);
- r. Formwork and shoring erection and removal plans (27.B.02);
- s. Lift slab plans (27.D.01);
- t. SHP and SSHP (for HTRW work an SSHP must be submitted and shall contain all information required by the accident prevention plan two documents are not required (28.B.01);
  - u. Blasting plan (29.A.01);
  - v. Diving plan (30.A.13);
- w. Plan for prevention of alcohol and drug abuse (Defense Federal Acquisition Regulation Supplement Subpart 252.223-7004, Drug-Free Work Force).
- 13. The Contractor shall provide information on how they will meet the requirements of major sections of EM 385-1-1 in the accident prevention plan. Particular attention shall be paid to excavations, scaffolding, medical and first aid requirements, sanitation, personal protective equipment, fire prevention, machinery and mechanized equipment, electrical safety, public safety requirements, and chemical, physical agent, and biological occupational exposure prevention requirements. Detailed site-specific hazards and controls shall be provided in the activity hazard analysis for each phase of the operation. Site-specific hazards are those hazards which would be reasonably be anticipated to occur on the construction site of concern and will be identified through analysis of the activities to be performed. The controls are measures which will be implemented by the contractor to eliminate or reduce each hazard to an acceptable level.

### F O R M A T

# CONTRACTOR'S NAME (Address)

## CONSTRUCTION QUALITY CONTROL REPORT

		Date:	Report No
Contract	No.:		
Descript	ion and Location of Wo	rk:	
WEATHER:	(Clear)(P. Cloudy)(Cl RainfallInches	oudy); Temperatur	ce:Min,Max;
a b c d e f	or/Subcontractors and		
(Ind	Performed Today: icate location and des d by prime and/or subc		performed. Refer to work tter in table above.)
(Ind		aratory, I-Initia	al, or F-Followup and include with action to be taken.)
3. Test Tests:	Required by Plans and	or Specification	ns Performed and Results of

4.	Monitoring of Materials and Equipment:	
5.	Offsite Surveillance Activities:	
6.	Job Safety:	
	(Daily comment required.)	
7.	Remarks:	
	a. (Cover any conflicts in plans, specificat	ions or instructions.)
	b. (Action taken in review of submittal.)	
	c. (Verbal instructions received.)	
		Inspector
CON	TRACTOR'S VERIFICATION:	
and	e above report is complete and correct and all a d work performed during this reporting period a atract plans and specifications except as noted	re in compliance with the
		Contractor's Approved Authorized Representative

## **SAMPLE**

### SMALL BUSINESS SUBCONTRACTING PLAN

### BETTER BUILDERS, INC.

DATE: April 29, 2002

SOLICITATION NO. DACA21-0X-X-XXXX

TITLE: Barracks Complex, Fort Swampy, Georgia

Type of Work: Design and Construction

In accordance with applicable contract clauses of the solicitation noted above, Better Builders, Inc. submits the following Small Business Subcontracting Plan (includes small disadvantaged business, HUBZone small business, women-owned small business, veteran-owned small business, service-disabled veteran-owned small business, and other small business concerns).

It is company policy to follow all public laws including P.L. 99-661, Section 1207, P.L. 100-180, Section 806, P.L. 105-135 and P.L. 106-50. We have informed all purchasers to follow these laws in hiring subcontractors and buying materials.

- 1. The following targets (expressed in terms of percentages of the total dollars available for subcontract/purchase order award) would be applicable to a contract awarded under the cited solicitation. You must also provide the dollar amounts for each of the targets listed below.
- a. Total Proposed Contract Amount: \$26,961,000
- b. Total amount available for Subcontract award: \$18,300,000
- c. Large Business: \$5,288,700 28.9%
- d. Total amount to be subcontracted to all small business: \$13,011,300 71.1%
- e. Small Disadvantaged Business: \$1,866,600 10.2%
- f. HUBZone Small Business: \$549,000 3%
- g. Women-Owned Small Business: \$1,939,800 10.6%

- h. Veteran Owned Small Business:
   (There is not an assigned target. However, SD/VOSB is a composite of VOSB.
   Therefore, your VOSB goal should be at least 3%, the same as your SD/VOSB, or more)
- i. Service-Disabled Veteran-Owned Small Business: \$549,000 3%
- j. All other small business concerns: \$8,106,900 44.3%
- k. There are no options in this solicitation. (NOTE: If there are options in the solicitation you must provide the same information as listed in paragraph 1 a-j for each option year/period.)
- 1. Indirect and overhead costs have not been included in the targets specified in this section for amounts available for subcontract/purchase order award.
- m. Consideration was given to HCBU/MI's but no opportunities were found to be included in the small disadvantaged business target.
- 2. The following principal products and/or services will be subcontracted under this contract, and the distribution among all business concerns are as follows:

Large Business - Earthwork

Small Disadvantaged Business -- Vinyl Siding, Insulation, Gutters
HUBZone Small Business -- Window Treatment, HVAC, Concrete
Women Owned Small Business - Carpentry, Ceramic Tile, Fencing
Veteran Owned Small Business - Materials, Equipment
Service Disabled Veteran Owned Small Business - Asphalt, Electrical, Doors
And Other Small Business - Windows, Storm Doors, Recreation, Site Utilities, Plumbing

### NOTE: Company names should be provided for each product and/or service listed.

The following method was used in developing our subcontracting targets: (1) all areas of potential subcontract work were determined to be available for subcontract award to all types of small business concerns, and (2) will be actively recruited for participation through the many sources described hereinafter.

3. The following individual will administer this Subcontracting Plan on behalf of Better Builders, Inc.:

Name: Freddie Better Title: Executive Vice President

Address and Telephone Number: 4845 Tonka Drive

Fair Haven, CT 27413

800-621-4845

The individual's specific duties with regard to the conduct of our firm's Subcontracting Plan will include, but will not be limited to the following:

- a. Developing and maintaining bidders lists of all types of small business concerns using sources such as the Pronet System developed by the Small Business Administration, the Minority Business Development Agency of the U.S. Department of Commerce, Local Minority Business Development Centers and Minority Contractor Associations, and the General Business Services Center in the project's Standard Metropolitan Statistical Area.
- b. Assuring the inclusion of all types of small business concerns in all solicitations for products or services which they are capable of providing; and ensuring that all solicitations are structured to permit the maximum possible participation by all types of small business concerns.
- c. Establishing and maintaining records of all solicitations and subcontract awards to all types of small business concerns to ensure that the members of the firm who review bidders proposals document their reasons for selecting or not selecting a bid.
- d. Preparing and submitting the Subcontracting Report for Individual Contracts (SF 294) and the Summary Subcontract Report (SF 295) in accordance with the instructions provided on the forms, and coordinating and preparing for all compliance reviews by Federal agencies.
- e. Conducting or arranging for all other activities necessary to further the intent and attainment of targets of the Plan to include motivational training of the firm's purchasing personnel attendance at workshop, seminars and trade fairs conducted by or on behalf of all types of small business concerns, and general cooperation with members of these concerns or their representatives.
- 4. The following steps will be taken to ensure that all types of small business concerns receive notice and have an equitable opportunity to compete for intended awards of subcontracts and/or purchase orders for the products and/or services described in paragraph 2 above:
  - a. Sources will be requested through the SBA's ProNet system, business

development organizations, small business trade associations and at small business procurement conferences; sources will be contacted and bidding materials will be provided to all responding parties with interest.

- b. Internally, motivational training will be conducted to guide and encourage purchasing personnel; source lists and guides to all types of small business concerns will be maintained and utilized by purchasing personnel while soliciting subcontracts and purchase orders; activities will be monitored to ensure sufficient time is allowed for interested bidders to prepare their bids and to evaluate continuing compliance with this Subcontracting Plan.
- 5. Better Builders, Inc. agrees that the clause entitled "Utilization of Small Business Concerns" will be included in all subcontracts which offer further subcontracting opportunities. All subcontractors, except small business concerns, who receive subcontracts in excess of \$500,000 (\$1,000,000 in the case of construction) will be required to adopt and comply with a subcontracting plan similar to this one. Such plans will be reviewed to assure that all minimum requirements of an acceptable subcontracting plan have been satisfied.

The acceptability of targets shall be determined on a case-by-case basis depending on the supplies/services involved, the availability of all potential small business and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports or, as time and availability of funds permit, periodic visits to subcontractor's facilities to review applicable records and subcontracting program progress.

- 6. Better Builders, Inc. agrees to submit such periodic reports and cooperate in any studies or surveys as may be required by the Contracting agency or the Small Business Administration in order to determine the extent of compliance by the offeror with the subcontracting plan and with the clause entitled "Utilization of Small Business Concerns" contained in the solicitation.
- 7. Better Builders, Inc. agrees to maintain at least the following types of records to document compliance with this Subcontracting Plan:
- a. The names of all organizations, agencies, and associations contacted for all small business sources, along with records of attendance at conferences, seminars and trade fairs where additional sources were developed.
  - b. Source lists, guides, and other data identifying all types of small business concerns
- c. Records on all subcontract solicitations, on a contract-by-contract basis, indicating (1) whether all types of small business concerns were solicited, and if not, why not; and (2) the reasons for the failure of all solicited small businesses to receive a subcontract award.

- d. Records of all subcontract award data, to include subcontractor's name and address, to be kept on a contract-by-contract basis.
- e. Minutes of internal motivational and training meetings held for the guidance and encouragement of purchasing personnel, and records of all monitoring activities performed for compliance evaluation.
- f. Copies of SF 294 and SF 295 showing date and place of filing and copies of all other reports or results of reviews conducted by the contracting agency or other interested agencies of the Federal government to monitor our compliance with this Subcontracting Plan.

In closing Better Builders, Inc. states that it will be the policy of Better Builders, Inc. to afford every practicable opportunity to all types of small business concerns to participate in construction contracts awarded to Better Builders, Inc. by the Federal Government to ensure that equitable opportunity is provided to all types of small business concerns to compete for award of subcontracts and purchase orders, and to diligently pursue the achievement of our floors by participation of all types of small business concerns in the dollars available for subcontract/purchase order award under the solicitation.

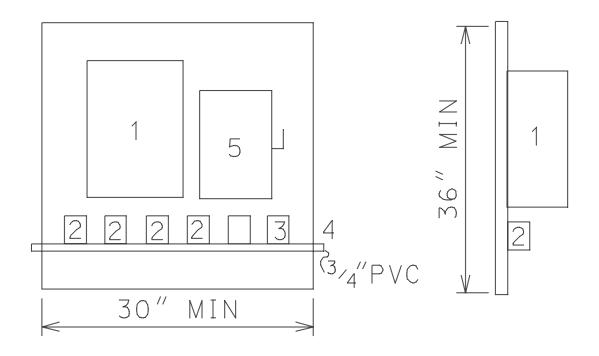
BY	DATE
Signature	
Title, and Company Name	
Contract Specialist	DATEApproval Recommended
SADBU	DATEApprove/Disapprove

	DATE
Contracting Officer	Approve/Disapprove
	DATE
Procurement Center Representative Small Business Administration	

### WEEKLY TEMPORARY ELECTRICAL INSPECTION

	week ending
Cont	cract No
Cont	cract Description
Elec	following items were inspected in accordance with requirements in National ctrical Code and Corps of Engineers Safety and Health Requirements Manual, 385-1-1.
1.	Wire (size, type, condition).
	Systems and devices (polarity, continuity of ground, resistance to and).
3.	Resistance of ground rods (25 OHMS) measured and recorded.
4.	Check GFI for 15/20 amp 120 volt circuits.
5.	Plugs and receptacles (type, NEMA rating).
6.	Circuit breakers and disconnect (size, type, weatherproof).
7.	Extension cords (type, UL listed, insulation condition, splices, location).
8.	Open wiring on insulators, nonmetallic sheathed cable, outside clearance (600 volts or less), Festoon lighting (as applicable).
	Signature Electrician/Electrical Engineer
	Digitatale dicotification difficet

### MINIMUM STANDARD FOR TEMPORARY ELECTRICAL SERVICE



### (DIMENSIONS ARE APPROXIMATE)

- A. The backboard for temporary service shall consist of not less than 1/2 inch plywood of exterior grade.
- B. Numbers above correspond to the item below:
- Item 1 NEMA 3R circuit breaker type panelboard. This panelboard shall consist of 1 two-pole 60 amp main circuit breaker,  $4^*$  one pole 20 AMP branch circuit breakers, and  $1^*$  two pole 20 AMP branch circuit breaker. Breakers shall meet Federal Specifications Standards for Class 1A breakers and shall be plug-in type. (\*Number of breakers to be adjusted to suit the job requirements.)
- Item 2 Duplex grounding type convenience outlets in standard utility type outlet boxes with covers, meeting the NEC and NEMA requirements for wet locations. Connections to the branch circuit breakers shall be grounded by two conductors #12 NMC cable.
- Item 3 (Optional) A single three-conductor grounding type outlet rated for 250 volt service meeting the NEC and NEMA requirements for wet locations. Connections from this outlet to the two pole breaker shall be by two conductor grounded type NMC cable.
- Item 4 3/4 inch PVC. This shall be used to support extension cords.
- Item 5 NEMA 3R service disconnect safety switch 60 amp minimum.
- C. The panelboard shall be grounded by #6 copper wire connected to a 3/4 inch by 10-foot long ground rod.
- D. Service to the panel shall consist of three copper conductor #6 minimum service entrance cable. This cable may enter the top or side of the panelboard.

- E. Periodic inspections of systems and devices will be made by the Contractor at intervals not to exceed 1 week, and a report will be submitted indicating the results.
- F. All receptacle outlets that provide temporary electrical power during construction, remodeling, maintenance, repair, or demolition shall have ground-fault circuit-interrupter (CFCI) protection for personnel. GFCI protection shall be provided on all circuits serving portable electric hand tools or semi-portable electric power tools (such as block/brick saws, table saws, air compressors, welding machines, and drill presses). See EM 385-1-1 for exceptions.
- G. Per EM 385-1-1 all temporary power distribution systems shall be submitted to the field office before installation.

### ACTIVITY HAZARD ANALYSIS

	ACTIVITI HAZAKD ANALISIS	
1. Phase of Construction		
2. Location	3. Contract No.	4. Project
5. Prime Contractor	6. Date of Preparatory	7. Estimated Start Date
Potential Safety Hazard	Procedure to Control Hazard	
8. Contractor's Representative (signature)	9.	

SAS Form 9

1 Jan 82

## SAFETY CHECKLIST FOR CRAWLER, TRUCK & WHEEL MOUNTED CRANES Contract # and title: Equipment name & number: owned or leased? Contractor: Subcontractor: Contract Inspector: Date inspected: Yes No N/A 1. Unless the manufacture has specified an on-rubber rating, outriggers will be fully extended and down? (16.D.10)Are lattice boom cranes equipped with a boom angle indicator, load indicating device, or a load moment indicator? (16.D.01) 3. Are lattice boom and hydraulic cranes equipped with a means for the operator to visually determine levelness? (16.D.02) Are lattice boom and hydraulic cranes, except articulating booms cranes, equipped with drum rotation indicators located for use for the operator? (16.D.03)5. Are lattice boom and hydraulic mobile cranes equipped with a boom angle or radius indicator within the operator's view? (16.D.04) 6. Are lattice boom cranes, with exception of duty cycle cranes, equipped with an anti-two blocking device? (16.D.05) When duty cycle machines are required to make a non-duty lift, is the crane equipped with an international orange warning device and is a signal person present? (16.D 05) Are the following with the crane at all times: (16.C.02)a. the manufacturer's operating manual? b. the load rating chart? the crane's log book documenting use, maintenance, inspections and tests? operating manual for crane operator aids used on the crane.

	Yes	No	N/A
9. Are the following on the project site:     a. completed periodic inspection report prior to initial work? (16.C.12)     b. pre-operational checklist used for daily inspection? (16.C.12)     c. written reports of the operational performance test? (16.C.13)     d. written reports of the load performance test? (16.C.13)			
10. Are all operators physically qualified to perform work? (16.C.05)			
11. Are all operators qualified by written and practical exam or by appropriate licensing agency for the type crane they are to operate? (16.C.05)			
12. Is the crane designed and constructed IAW the standards listed in Table 16-1? (16.C.06)			
13. Is a hazard analysis for set-up and set-down available? (16.C.08)			
14. Are accessible areas within the swing radius of the rear of the crane barricaded? (16.C.09)			
15. Are there at least 3 wraps of cable on the drum? (16.C.10)			
16. Are the hoisting ropes installed IAW the manufacturer's recommendations? (16.C.10)			
17. Are critical lift plans available? (16.C.18)			
18. Are minimum clearance distance for high voltage lines posted at the operator's position? (11.E.04)			
19. Do older lattice boom cranes with anti-two block warning devices in lieu of anti-two block prevention devices have a written exemption? (16.D.05)			
20. Is the slow moving emblem used on all vehicles which by design move at 25 MPH or less on public roads? (08.A.04)			
21. Are all vehicles which will be parked or moving slower than normal traffic on haul roads equipped with a yellow flashing light or flasher visible from all directions? (16.A.13)			

	Yes	No	N/A
22. Is all equipment to be operated on public roads provided with: (16A.07)	ies	NO	N/A
a. headlights? b. brake lights?			
c. taillights?			
d. back-up lights?			
e. front and rear turn signals?			
23. Are seat and seat belts provided for the operator and each rider on equipment? (16.A.07 and 16.B.08)			
24. Is all equipment with windshields equipped with powered wipers and defogging or defrosting devices? (16.A.07)			
25. Is the glass in the windshield or other windows clear and unbroken to provide adequate protection and visiblity for the operator? (16.A.07, 16.B.10)			
26. Is all equipment equipped with adequate service brake system and emergency brake system? (16.A.18)			
27. Are areas on equipment where employees walk or climb equipped with platforms, footwalks, steps, handholds, guardrails, toeboards and non-slip surfaces? (16.B.03)			
28. Is all self propelled equipment equipped with automatic, audible, reverse signal alarms? (16.B.01)			
29. Is there a record of manufacturer's approval of any modification of equipment which affects its capacity or safe operation? (16.A.18)			
30. Are truck and crawler cranes attached to a barge or pontoon by a slack tiedown system? (16.F.06)			
31. Have the following conditions been met for land cranes mounted on barges or pontoons: (16.F.04)  a. Have load ratings been modified to reflect			
the increased loading from list, trim, wave, and wind action?			
<ul><li>b. Are all deck surfaces above the water?</li><li>c. Is the entire bottom area of the barge or</li></ul>			
pontoon submerged? d. Are tie downs available?			
e. Are cranes blocked and secured?			
32. Are all belts, gears, shafts, spindles, drums,			
flywheels, or other rotating parts of equipment guarded where is a potential for exposure to workers?			
(16.B.03)			
· · · · · · · · · · · · · · · · · · ·		1	l

	Yes	No	N/A
33. Is the area where the crane is to work level, firm and secured? (16.A.10)			
34. Is a dry chemical or carbon dioxide fire extinguisher rated at least 5-B:C on the crane? (16.A.26)			
35. Are trucks, for truck mounted cranes, equipped with a working reverse signal alarm? (16.B.01)			
36. Is a signal person provided where there is danger from swinging loads, buckets, booms, etc.? (16.B.13)			
37. Is there adequate clearance from overhead structures and electrical sources for the crane to be operated safely? (16.C.09)			
38. Is there adequate lighting for night operations? (16.C.19)			
39. Has the the boom stop test on cable-supported booms been performed? (16.D.06)			
40. Is the boom disenaging device functioning as required? (16.D.06)			
41. Has all rigging and wire rope been inspected? (Section 15)			
Remarks: (Enter actions taken for all "no" answers.)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

## SAFETY CHECKLIST FOR PORTAL, TOWER, AND **PILLAR CRANES** Contract # and Title: Equipment name & number: owned or leased? Contractor: Subcontractor: Date Inspected: Contract Inspector: Yes No N/A1. Are the following available: (16.E.02) written erection instructions? listing of the weight of each component? c. an activity hazard analysis for the erection? does the activity hazard analysis contain (1.) location of crane and adjacent structures? (2.) foundation design and construction requirements? (3.) clearance and bracing requirements? Is there a boom angle indicator within the operator's view? (16.E.04) 3. Are luffing jib cranes equipped with: (16.E.05) shock absorbing jib stops? jib hoist limit switch? b. jib angle indicator visible to operator? If used, do rail clamps have slack between the point of attachment to the rail and the end fastened to the crane? (16E.06) 5. Are the following with the crane at all times: (16.C.02)a. the manufacturer's operating manual? b. the load rating chart? the crane's log book documenting use, maintenance, inspections and tests? the operating manual for crane operational aids used on the crane?

	Yes	No	N/A
6. Are the following on the project site:     a. completed periodic inspection report prior to initial work? (16.C.12)     b. pre-operational checklist used for daily inspections? (16.C.12)     c. written reports of the operational performance tests? (16.C.13)     d. written reports of the load performance tests? (16.C.13)			
7. Is every crane operator certified by a physician to be physically qualified to perform work? (16.C.05)			
8. Are all operators qualified by written and practical exam or by appropriate licensing agency for the type crane they are to operate? (16.C.05)			
9. Is the crane designed and constructed IAW the standards listed in Table 16-1? (16.C.05)			
10. Is a hazard analysis for set-up and set-down available? (16.C.08)			
11. Are there at least 3 wraps of cable on the drum? (16.C.10)			
12. Are the hoisting ropes installed IAW the manufacturer's recommendations? (16.C.10)			
13. Is the a record of manufacturer's approval of any modification of equipment which affects its capacity or safe operation? (16.A.07)			
5. Remarks: (Enter actions taken)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

SAFETY CHEC	KLIST FOR RIGGING			
Contract # and title:				
Equipment name & number: owned or leased?				
Contractor	Subcontractor:			
Contractor inspector:	Date inspected:	77	27.	27 / 7
1. Has all defective rigging	been removed? (15.A.01)	Yes	No	N/A
2. Is rigging stored properly	? (15.A.01)			
3. Are running lines within 6 working level guarded? (15.A.0	_			
4. Are all eye splices made i with rope thimbles? (sling eye				
5. Are positive latching deviloads? (15.A.05)	ces used to secure			
6. Are all custom lifting acc indicate their safe working lo				
7. Are all custom designed li proof-tested to 125% of their	_			
8. Are the following conditio (15.B.01-09)  a. Are they free of rust  b. Are defective ropes cu	or broken wires?			
unusable?  c. Do rope clips attached U-bolts on the dead end or sho d. Are protruding ends of slings and bridles covered or e. Except for eye splices for all endless wire rope slin used in hoisting, lowering, or continuous piece, free of knot	rt end of the rope? strands in splices on blunted? in the end of wires and gs, are all wire ropes pulling loads one			

	Yes	No	N/A
f. Do all eye splices have at least 5 full tucks?	105	110	14/11
g. If used, are wedge sockets fastening attached			
without attached the dead end of the wire rope to the			
live rope?			
h. Are they free of eyes or splices formed by			
wire rope clips or knots?			
9. Are the following conditions met for chain?			
(15.C.01-04)			
a. Are all chains alloyed?			
b. Do all coupling links or other attachments			
have rated capacities at least equal to that of the			
chain.			
c. Are makeshift fasteners restricted from use?			
10. Are the following conditions met for fiber			
rope: (15.D.01-07)			
a. Are all ropes protected from freezing,			
excessive heat or corrosive materials?			
b. Are all ropes protected from abrasion?			
c. Are splices made IAW manufacture's recommendations?			
d. Do all eye splices in manila rope contain at			
least 3 full tucks and do all short splices contain			
at least 6 full tucks(3 on each side of the			
centerline of the splice)?			
e. Do all splices in layed synthetic fiber rope			
contain at least 4 full tucks and do short splices			
contain at least 8 full tucks ( 4 on each side of the			
centerline of the splice)?			
f. Do the tails of fiber rope splices extend at			
least 6 rope diameters (for rope 1" diameter or			
greater) past the last full tuck?			
g. Are all eye splices large enough to provide			
an included angle of not greater than 60* at the			
splice when the eye is placed over the load or			
support?			
11. Are the following conditions met for all			
slings: (15.E.01-06)			
a. Is protection provided between the sling and			
sharp surfaces? b. Do all rope slings have minimum clear length			
of 40 times the diameter of component ropes between			
each end fitting or eye splice?			
c. Do all braided slings have a minimum clear			
length of 40 times the diameter of component ropes			
between each end fitting or eye splice?			
CAD Form 1666a B. Drovious editions may be used for as			

	Yes	No	N/A
d. Do all welded alloy steel chain slings have affixed permanent identification stating size, grade, rated capacity and manufacturer?  e. Is each synthetic web sling marked or coded to identify its manufacturer, rated capacities for each type hitch and the type material?	ies	NO	N/A
12. Are drums, sheaves, and pulley smooth and free of surface defects? (15.F.01)			
13. Is the ratio of the diameter of the rigging and the drum, block sheave or pulley thread diameter such that the rigging will adjust without excessive wear, deformation, or damage? (15F.02)			
14. Have all damaged drums, sheaves and pulleys been removed from service? (15.F.04)			
15. Are all connections, fittings, fastenings, and attachments of good quality, proper size and strength, and installed IAW manufacturer's recommendations? (15.F.05)			
16. Are all shackles and hooks sized properly? (15.F.06 & .07)			
17. Are hoisting hooks rated at 10 tons or greater provided with safe handling means? (15.F.07)			
18. Do all drums have sufficient rope capacity? (15.F.08)			
19. Is the drum end of the rope anchored by a clamp securely attached to the drum in a manner approved by the manufacturer? (15.F.08)			
20. Do grooved drums have the correct groove pitch for the diameter of the rope and is the groove depth correct? (15.F.08)			
21. Do the flanges on grooved drums project beyond the last layer of rope at a distance of either 2" or twice the diameter of the rope, whichever is greater? (15.F.08)			
22. Do the flanges on ungrooved drums project beyond the last layer of rope a distance of either 2.5" or twice the diameter of the rope, which ever is greater.			
CAD Form 1666g_B Drowing oditions may be used for so		<u> </u>	

23. Are the sheaves compatible with the size of rope used and as specified by the manufacture? (15F.09)	Yes	No	N/A
24. Are sheaves properly aligned, lubricated, and in good condition? (15.F.09)			
25. When rope is subject to riding or jumping off a sheave, are sheaves equipped with cablekeepers? 915.F.09)			
26. Are eye bolts loaded in the plane of the eye and at angles less than 45* to the horizontal? (15.F.10)			
27. Remarks: (Enter actions taken for "no" answers.)			
Contractor inspector signature			
Contractor QC/safety/project manager signature			

SAD Form 1666c-R Previous editions may be used for contracts Mar 97 referencing the 1992 edition of EM 385-1-1. page 4 of 4

# SAFETY CHECKLIST FOR MOTOR VEHICLES, TRAILERS AND TRUCKS

Contract # and title: owned or leased?				
Equipment name & number:				
Contractor:	Subcontractor:			
Contractor inspector:	Date inspected:	ı		
1. Are records of safety inspect vehicles available? (18.A.02)	tions of all	Yes	No	N/A
<pre>2. Are all vehicles to be operated between sunset and sunrise equipped with: (18.A.04)     a. 2 headlights?     b. taillights and brake lights?     c. front and back turn signals?     d. 3 emergency flares, reflective markers, or equivalent portable warning devices?</pre>				
3. Are vehicles, except trailers having a gross weight of 5000 lbs equipped with service brakes and parking brakes? (18.A.05)	s or less,			
4. Are service brakes on trailers and semitrailers controlled from the driver's seat of the prime mover? (18A.06)				
5. Does the vehicle have: (18.A a. a speedometer? b. a fuel gage? c. an audible warning device d. a windshield & adequate we. an operable defroster and device? f. an adequate rearview mirrog. a cab, cab shield, and of protect the driver from the eleme or shifting materials? h. non-slip surfaces on step I. a power-operated starting	e (horn)? windshield wiper? d defogging  ror? ther protection to ents and falling			

	Yes	No	N/A
6. Is all the glass safety glass and is all broken or cracked glass replace? (18.A.07)			
7. Do trailers meet the following: (18A.08) a. Are all towing devices adequate for the weight drawn? b. Are all towing devices properly mounted? c. Are locking devices or a double safety system provided on every 5th wheel mechanism and tow bar arrangement to prevent accidental separation? d. Are trailers coupled with safety chains or cables to the towing vehicle? e. Are trailers equipped with the power brakes equipped with a break-away device which will lock-up the brakes in the event the trailer separates from the towing vehicle?			
8. Are all dump trucks:(18.A.10)  a. equipped with a holding device to prevent accidental lowering of the body?  b. equipped with a hoist lever secured to prevent accidental starting or tipping?  c. equipped with means to determine (from the operator's position) if the dump box is lowered?  d. equipped with trip handles for tailgates that allow the operator to be clear?			
9. Are all buses, trucks and combination of vehicles with a carrying capacity of 1.5 tons or more, to be operated on public roads equipped with: (18.A.11)  a. 3 reflective markers?  b. 2 wheel chocks for each vehicle?  c. at least one 2A:10B:C fire extinguisher?  d. at least two properly rated fire extinguishers (for vehicles carrying flammable cargo)?  e. a red flag not less than 1 foot square.			
10. Is vehicle exhaust controlled so as not to present a hazard to personnel? (18.A.13)			
11. Are all rubber tired motor vehicles equipped with fenders or with mud flaps if the vehicle is not designed for fenders? (18.A.14)			

	Yes	No	N/A
	100	140	14/17
12. Are all vehicles, except buses, equipped with seat belts? (18.B.02)			
13. Does all self-propelled construction and industrial equipment have a working reverse signal alarm? (16.B.01)			
14. Are all hot surfaces of equipment, including exhaust pipes or other lines, guarded or insulated to prevent injury or fire? (16.B.03)			
15. If an off the road vehicle, is it equipped with rollover protective structures? (16.B.12)			
16. Remarks: (Enter actions taken for "no" answers)			
Contractor inspector signature			
Contractor QC/safety officer/project manager signature			

# SAFETY CHECKLIST FOR CRAWLER TRACTORS AND DOZERS Contract # and title: Equipment name & number: owned or leased? Contractor: Subcontractor: Contractor inspector: Date inspected: Yes N/A 1. Are initial and daily/shift inspection records available? (16.A.01& .02) Are only qualified operators assigned to operate mechanized equipment? (16.A.04) 3. Are sufficient lights provided for night operations? (16.A.11) 4. Is the unit shut down before refueling? (16.A.14)5. Does the unit have as a minimum a 5-B:C fire extinguisher? (16.A.26) 6. Is there an effective, working reverse alarm? (16.B.01)7. Are moving parts, shafts, sprockets, belts, etc., guarded? (16.B.03,07, and 13) 8. Is protections against hot surfaces, exhausts, etc., provided? (16.B.03 and .13) 9. Are fuel tanks located in a manner to prevent spills or overflows from running onto engine exhaust or electrical equipment?

SAD Form 1666e-R Previous editions may be used for contracts Mar 97 referencing the 1992 edition of EM 385-1-1.

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10. Are exhaust discharges directed so they do not endanger person of obstruct operator vision?(16.B.05)	Yes	No	N/A
11. Are seat belts provided? (16B.08)			
12. Is protection (grills, canopies, screens) provided to shield operator from falling or flying objects? (16.B.10 and .11)			
13. Is roll over protection provided? (16.B.12)			
14. Remarks: (Enter actions taken for "no" answers)			
Contractor inspector signature Contractor QC/safety officer/project manager signature			

# SAFETY CHECKLIST FOR SCRAPERS, MOTOR GRADERS, AND OTHER MOBILE EQUIPMENT Contract # and title: Equipment name and number: owned or leased? Contractor: Subcontractor: Contractor inspector: Date inspected: Yes No N/A 1. Are initial and daily/shift inspection records available? (16.A.01 & .02) 2. Are only qualified operators assigned to operate equipment? (16.A.04) 3. Are sufficient lights provided for night operations? (16.A.11) Does the unit have as a minimum a 5-B:C fire extinguisher? (16.A.26) Is there an effective working reverse alarm? (16.B.01)6. Is the unit shut down for refueling? (16.A.14) 7. Are moving parts, shafts, sprockets, belts, etc., guarded? (16.B.03, .07 and .13) 8. Is protection against hot surfaces, exhausts, etc., provided? (16.B.03 and .13) 9. Are fuel tanks located in a manner to prevent spills or overflow from running onto engine exhaust or electrical equipment? (16.B.04) 10. Are exhaust discharges directed so they do not endanger persons or obstruct operator vision? (16.B.05)

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	Yes	No	N/A
11. Are seat belts provided for each person required to ride on the equipment? (16.B.08)			
12. Is protection (grills, canopies, screens) provided to shield operators from falling or flying objects? (16.B.10 and .11)			
13. Is roll over protection provided? (16.B.12)			
14. Is a safe means of access to the cab provided (steps, grab bars, non-slip surfaces)? (16.B.03)_			
15. Are adequate head and tail lights provided? (16.A.07)			
16. Have brakes been tested and found satisfactory? (16.A.07)			
17. Does the unit have an emergency brake which will automatically stop the equipment upon brake failure? Is this system manually operable from the drivers position? (16.A.07)			
18. Is all equipment with windshields equipped with powered wipers and defogging or defrosting system? (16.A.07)			
19. Are all vehicles which will be parked or moving slower than normal traffic on haul roads equipped with a yellow flashing light or flasher visible from all directions? (16.A.13)			
20. Is the slow moving emblem used on all vehicles which by design move at 25 MPH or less on public roads? (08A.04)			

21. Have air tanks been tested and certified? (20.A.01)	Yes	No	N/A
22. Is an air pressure gage in working condition installed on the unit? (20.A.12)			
23. Does the air tank have an accessible drain valve? (20.B.17)			
24. Remarks: (Enter action taken for all "no" answers)			
Contractor inspector signature			
Contractor QC/safety officer/project manager			

SAFETY CHECKLIST FOR MATERIAL HOISTS				
Contract # and title:				
Equipment name & number:				
Contractor:	Subcontractor:			
Contract Inspector:	Date inspected:			
		Yes	No	N/A
1. Are all hoist towers, masts, counterweights, drive machinery susports, platforms, supporting staccessories designed by a licensed (16.K.02)	ipports, sheave tructures, and			
2. Is a copy of the hoist operation available? (16.K.04)	ing manual			
3. Do all floors and platforms have slip-resistant surfaces? (16.K.08)				
4. Are landings and runways adequately barricaded and is overhead protection provided where needed? (16.K.08)				
5. Are hoisting ropes installed IAW manufacturer's instructions? (16.K.10)				
6. Are operating rules posted at operator's station? (16.K.14)	the hoist			
7. Are air powered hoists connected to an air supply of sufficient capacity and pressure to safely operate the hoist? (16.K.15)				
8. Are pneumatic hoses secured by some positive means to prevent accidental disconnection? (16.K.15)				
9. Remarks: (Enter actions taken fanswers.)	For all "no"			
Contractor inspector signature				
Contractor QC/safety officer/projesignature	ect manager			

SAD Form 1666g-R Previous editions may be used for contracts Mar 97 referencing the 1992 edition of EM 385-1-1.

SAFETY CHECKLIST FOR EAR	TH DRILLING	G EQU	<b>IPME</b>	NT
Contract # and title:				
Equipment name & number:				
Contractor:	Subcontractor	:		
Contractor inspector:	Date inspecte	ed:		
		Yes	No	N/A
1. Is a copy of the manual for all	drilling			
equipment available? (16.M.01)	driffing			
2. Have all overhead electrical h	azards and			
potential ground hazards been ident	ified in a			
site layout plan and addressed in a hazard analysis? (16.M.02)	n activity			
3. Are MSDSs for all drilling flui (16.M.05)	ds available?			
4. Does the drilling equipment hav accessible emergency shut down devi				
the operator and one for the helper				
5. Is the equipment posted with a	warning of			
electrical hazards? (16.M.06)				
6. Is there a spotter or an electri	cal proximity			
warning device available to ensure	safe			
<pre>distances from power lines are main (16.M.06)</pre>	itained?			
	No. of H			
7. Remarks: (Enter actions taken fo answers)	or "no"			
Contractor inspector signature				
Contractor QC/safety officer/project	u manager			

SAD Form 1666h-R Previous editions may be used for contracts Mar 97 referencing the 1992 edition of EM 385-1-1.

7		UIPMENT DATA, MATERIAL S IFICATES OF COMPLIANCE se side prior to initiating this form)	AMPLES, OR	DATE			TRANSMITTA	L NO.	
TO:	SECTION I - REQUEST	FOR APPROVAL OF THE FOLL FROM:	OWING ITEMS (Thi	s section CONTRA		ed by the conti	CHECK ONE: THIS IS A THIS IS A TRANSMIT	RESUBMITT.	
	ICATION SEC. NO. (Cover only one section with ansmittal)	PROJECT TITLE AND LOCATION					CHECK ONE: TI		
ITEM NO.	DESCRIPTION OF ITEM S (Type size, model num		MFG OR CONTR. CAT., CURVE DRAWING OR BROCHURE NO. (See instruction no. 8)	NO. OF COPIES		REFERENCE JMENT DRAWING SHEET NO.	FOR CONTRACTOR USE CODE	VARIATION	
a.	b.		c.	d.	e.	f.	g.	h.	i.
REMAR	KS				in detail and	are correct and	mitted items had in strict confo	rmance with	n the
					NA	ME AND SIGN	ATURE OF CON	ITRACTOR	
ENCLO:	SURES RETURNED (List by Item No.)	SECTION II - APPI NAME, TITLE A	ROVAL ACTION ND SIGNATURE OF API	PROVING	AUTHORITY		DATE		

#### **INSTRUCTIONS**

- 1. Section I will be initiated by the Contractor in the required number of copies.
- 2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
- 3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
- 4. Submittals requiring expeditious handling will be submitted on a separate form.
- 5. Separate transmittal form will be used for submittals under separate sections of the specifications.
- 6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
- 7. Form is self-transmittal, letter of transmittal is not required.
- 8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
- 9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

#### THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

A -- Approved as submitted. E -- Disapproved (See attached).

B -- Approved, except as noted on drawings. F -- Receipt acknowledged.

C -- Approved, except as noted on drawings. FX -- Receipt acknowledged, does not comply Refer to attached sheet resubmission required. FX -- Receipt acknowledged, does not comply as noted with contract requirements.

D -- Will be returned by separate correspondence. G -- Other (Specify)

10. Approval of items does not relieve the contractor from complying with all the requirements of the contract plans and specifications.

(Reverse of ENG Form 4025-R)

CODE JOHEN TO THE STRUCTOR OF	COST ESTIMATE ANALYSIS	TE ANAL	YSIS	1	٠	INVIȚATI	INVITATIONGONTHACTOR		. EPFECTIVÉ PRICINO ÓAȚE ;	PRICING D	AŢE .	DA75 PREPARED	1ED	
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DA FORM 5418-R, Apr 85

# TRANSFER AND ACCEPTANCE OF MILITARY REAL PROPERTY

Form Approved OMB No. 0704-0188

PAGE OF PAGES

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, Va 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188). Washington, DC 20503.

Red	duction Project	(0704-0188), Washington, DC 20503.		•		`		·	•				Ü		
		tion/Activity/Service and Zip code)	2. OPERA UNIT		3. DISTE		ERATING 5.				OB NUMBER		AL NUMBER	8. CONTRACT NUMBER	
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ITEM NO.	CATEGORY CODE	FACILITY (Category description)	NO. OF UNITS	TYPE	UNIT OF MEAS.	QUA	OTAL NTITY		COST		DRAWIN NUMBER	G !S		REMARKS	
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27.	RRED BY <i>(Sigi</i>	nature)		DATE			28. ACCEPT	TED BY <i>(Sign</i>	ature)				D	ATE	
TITLE (Ar	rea Engr./Base E	ingr./DPWO)				-	TITLE (Pos	st Engr./Base	Civ. Engr./Navy	y Rep.)			2	9. PROPERTY VOUCH NUMBER	IER

30. CONSTRUCTION	N DEFICIENCIES
31. REMARKS	N DEFICIENCIES
INSTRU	CTIONS
This form has been designed and issued for use in connection with the transfer of military real property between the military departments and to or from other government agencies. It supersedes ENG Forms 290 and 290B (formerly used by the Army and Air Force) and NAVDOCKS Form 2317 (formerly used by the Navy).  Existing instructions issued by the military departments relative to the	extent that the various items and columns on the superseded forms have been retained. Additional instructions, as appropriate, will be promulgated by the military departments in connection with any new items appearing hereon.  With the issuance of this DD form, it is not intended that the departments shall revise and reprint manuals and directives simply to show the number of this DD form. Such action can be accomplished through the normal course of
preparation of the three superseded forms are applicable to this form to the	revision for other reasons.

# Approved by OM 0348-0046

# **DISCLOSURE OF LOBBYING ACTIVITIES**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance  4. Name and Address of Reporting Entit  Prime Subawarde Tier		plication d	For Ma yea date y in No. 4 i	ype:  nitial filing naterial change  Interial Change Only:  In  quarter  e of last report  S Subawardee, Enter Name
Congressional District, if known:		Congressional Dis	strict, if known	:
6. Federal Department/Agency:		7. Federal Program I		
		CFDA Number, if a	applicable:	
8. Federal Action Number, if known:		9. Award Amount, if	known:	
		\$		
10. a. Name and Address of Lobbying En (if individual, last name, first name, MI)	r	b. Individuals Perfor different from No. 10 (last name, first nam	0a)	es (including address if
11. Amount of Payment (check all that apply		13. Type of Payment	t (check all tha	at apply):
\$	<u> </u>	□ a. retainer □ b. one-time □ c. commiss □ d. continger □ e. deferred □ f. other; spo	ion nt fee	
14. Brief Description of Services Performed or Member(s) contacted, for Payment	indicated in Item 11:	and Date(s) of Serversels	vice, includir	ng officer(s), employee(s),
15. Continuation Sheet(s) SF-LLL-A attacl	•	□ No		
16. Information requested through this form is au section 1352. This disclosure of lobbyig representation of fact upon which reliance was placed by the transaction was made or entered into. This distorate to the section information will be reported.	thorized by title 31 U.S.C activities is a materia ne tier above when this aclosure is required pursuant. I to the Congress semi-	Signature:		Date:
annually and will be available for public inspection	n. Any person who fails to	TOTOPHONE NO.		
Federal Use Only:				Authorized for Local Reproduction

# INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
  - (b) Enter the full names of the individuals(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- 12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- 13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.

Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

# DISCLOSURE OF LOBBYING ACTIVITIES CONTINUATION SHEET

Reporting Entity:	Page	of	

Date:	

#### MEMORANDUM FOR DEH ENVIRONMENTAL OFFICE

SUB	JECT	: Landfill Permit Application
l.	Fil	l in the following information for each Contractor vehicle:
	a.	Landfill to Be Used: Sanitary Demolition Both
	b.	Company Name:
	c.	Contract Number:
	d.	Project Title:
	e.	Project Location:
	f.	Date of Notice to Proceed:
	g.	Project Length (In Days):
	h.	Vehicle Make:
	i.	Vehicle License Plate Number:
	i	Contract Inspector:

<sup>2.</sup> Note: Applications must be forwarded to the Environmental Office by a Government Official (e.g., Contracting Officer's Representative or Project Inspector). Applications delivered on any working day will be processed and available for pickup the following workday by 0830.

# REAL PROPERTY INVENTORY

ITEM		Т	ALLY		TOTAL
COMMODES					
LAVATORIES					
URINALS					
EXHAUST FAN (9")					
EXHAUST FAN (OTHER)					
WATER COOLER					
HOTWATER HEATER					
MOP SINK					
AC PLANT	LS 5 TN.	5-25 TN.	25-100 TN.	OVER 100 TN.	
AS (WINDOW TYPE)					
FIRE ALARM SYSTEM	MANUAL	HALON	SPRINKLER		
EMERGENCY LIGHTS					
UNIT HEATER					
STRIP HEATER					
COOLING TOWER					
WALK-IN COOLER					
AIR CURTAIN					
EYE WASH					
SHOWERS					
BOILER	GAS FIRED	OIL FIRED	STEA	M	
FUEL TANK	UNDERGROUND		OUT	CSIDE	

# REAL PROPERTY INVENTORY

ITEM	TALLY	TOTAL
WASH BASIN		
AIR COMPRESSOR		
HOISTS		
INVENTORY BY:		DATA:
RECONCILED BY:		DATA:

# REAL PROPERTY INVENTORY

ITEM	TALLY	TOTAL
INVENTORIED BY:		DATE:
RECONCILED BY:		DATE:

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- 01355A ENVIRONMENTAL PROTECTION
- 01420 SOURCES FOR REFERENCE PUBLICATIONS
- 01451A CONTRACTOR QUALITY CONTROL
- 01500 TEMPORARY CONSTRUCTION FACILITIES
- 01572 CONSTRUCTION AND DEMOLITION WASTE MANAGEMENT \*1
- 01670 RECYCLED / RECOVERED MATERIALS
- 01780A CLOSEOUT SUBMITTALS
- 01781 OPERATION AND MAINTENANCE DATA

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- 02300a EARTHWORK
- 02315a EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS
- 02316a EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS
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- 02532a FORCE MAINS AND INVERTED SIPHONS; SEWER
- 02547 BITUMINOUS PAVEMENT WITH BASE COURSE
- 02630a STORM-DRAINAGE SYSTEM
- 02754a CONCRETE PAVEMENTS FOR SMALL PROJECTS
- 02763a PAVEMENT MARKINGS
- 02770a CONCRETE SIDEWALKS AND CURBS AND GUTTERS
- 02840a ACTIVE VEHICLE BARRIERS
- 02922a SODDING
- 02936 TURF BERMUDA GRASS SEEDING (For Fort Bragg and Pope AFB)
- 02975 GUARDRAIL

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- 03150a EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS
- 03200a CONCRETE REINFORCEMENT
- 03300 CAST-IN-PLACE STRUCTURAL CONCRETE

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# 04200 MASONRY

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#### DIVISION 06 - WOODS & PLASTICS

#### 06100a ROUGH CARPENTRY

06650 SOLID POLYMER (SOLID SURFACING) FABRICATIONS

#### DIVISION 07 - THERMAL & MOISTURE PROTECTION

- Force Protection Plan Phase II (Access Control Points) DACA21-03-R-0041 Fort Bragg, NC 07112N BITUMINOUS DAMPPROOFING 07190N WATER REPELLENTS 07220A ROOF INSULATION 07240 EXTERIOR INSULATION AND FINISH SYSTEMS 07311A ROOFING, STRIP SHINGLES 07413A METAL SIDING 07416a STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM 07530A ELASTOMERIC ROOFING (EPDM) 07600a SHEET METALWORK, GENERAL 07720A ROOF VENTILATORS, GRAVITY-TYPE 07840a FIRESTOPPING 07900a JOINT SEALING DIVISION 08 - DOORS & WINDOWS 08110 STEEL DOORS AND FRAMES 08210 WOOD DOORS 08702 BUILDERS' HARDWARE (FORT BRAGG PROJECTS) 08810a GLASS AND GLAZING DIVISION 09 - FINISHES 09100N METAL SUPPORT ASSEMBLIES 09250 GYPSUM BOARD 09310 CERAMIC TILE 09510 ACOUSTICAL CEILINGS 09650 RESILIENT FLOORING 09720 WALLCOVERINGS 09900 PAINTS AND COATINGS 09915 COLOR SCHEDULE DIVISION 10 - SPECIALTIES 10100A VISUAL COMMUNICATIONS SPECIALTIES 10153 TOILET PARTITIONS 10430 EXTERIOR SIGNAGE 10440 INTERIOR SIGNAGE 10605N WIRE MESH PARTITIONS/LOCKERS
  - DIVISION 11 EQUIPMENT

10800 TOILET ACCESSORIES

- 11035 BULLET-RESISTANT COMPONENTS 11312N PACKAGE GRINDER PUMP STATION
- DIVISION 12 FURNISHINGS
- 12320A CABINETS AND COUNTERTOPS 12490A WINDOW TREATMENT
- DIVISION 13 SPECIAL CONSTRUCTION
- 13046 PREFABRICATED PRE-ENGINEERED GUARD BOOTHS
- 13080 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT
- 13100A LIGHTNING PROTECTION SYSTEM
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- 13851A FIRE DETECTION AND ALARM SYSTEM, ADDRESSABLE
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#### SECTION 01330

# SUBMITTAL PROCEDURES 05/02

#### PART 1 GENERAL

#### 1.1 SUMMARY

#### 1.1.1 Government-Furnished Information

Submittal register will be delivered to the contractor, by contracting officer on 3 1/2 inch disk. Register will have the following fields completed, to the extent that will be required by the Government during subsequent usage.

- Column (c): Lists specification section in which submittal is required.
- Column (d): Lists each submittal description (SD No. and type, e.g. SD-04 Drawings) required in each specification section.
- Column (e): Lists one principal paragraph in specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting project requirements.
- Column (f): Indicate approving authority for each submittal. A "G" indicates approval by contracting officer; a blank indicates approval by QC manager.

# 1.2 DEFINITIONS

# 1.2.1 Submittal

Shop drawings, product data, samples, operation and maintenance data, and administrative submittals presented for review and approval. Contract Clauses "FAR 52.236-5, Material and Workmanship," paragraph (b) and "FAR 52.236-21, Specifications and Drawings for Construction," paragraphs (d), (e), and (f) apply to all "submittals."

# 1.2.2 Types of Submittals

All submittals are classified as indicated in paragraph "Submittal Descriptions (SD)". Submittals also are grouped as follows:

- a. Shop drawings: As used in this section, drawings, schedules, diagrams, and other data prepared specifically for this contract, by contractor or through contractor by way of subcontractor, manufacturer, supplier, distributor, or other lower tier contractor, to illustrate portion of work.
- b. Product data: Preprinted material such as illustrations, standard schedules, performance charts, instructions, brochures, diagrams, manufacturer's descriptive literature, catalog data, and other data to illustrate portion of work, but not prepared exclusively

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for this contract.

- c. Samples: Physical examples of products, materials, equipment, assemblies, or workmanship that are physically identical to portion of work, illustrating portion of work or establishing standards for evaluating appearance of finished work or both.
- d. Operation and Maintenance (O&M) Data: Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item. The data is required when the item is delivered to the project site.
- e. Administrative submittals: Data presented for reviews and approval to ensure that administrative requirements of project are adequately met but not to ensure directly that work is in accordance with design concept and in compliance with contract documents.

#### 1.3 SUBMITTAL IDENTIFICATION (SD)

Submittals required are identified by SD numbers and titles as follows:

#### SD-01 Preconstruction Submittals

Certificates of insurance.
Surety bonds.
List of proposed subcontractors.
List of proposed products.
Construction Progress Schedule.
Submittal register.
Schedule of values.
Health and safety plan.
Work plan.
Quality control plan.
Environmental protection plan.

# SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

# SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials or equipment for some portion of the work.

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Samples of warranty language when the contract requires extended product warranties.

# SD-04 Samples

Physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards by which the ensuring work can be judged. Includes assemblies or portions of assemblies which are to be incorporated into the project and those which will be removed at conclusion of the work.

#### SD-05 Design Data

Calculations, mix designs, analyses or other data pertaining to a part of work.

#### SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. (Testing must have been within three years of date of contract award for the project.)

Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily checklists

Final acceptance test and operational test procedure

# SD-07 Certificates

Statements signed by responsible officials of manufacturer of product, system or material attesting that product, system or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a supplier, installer or subcontractor through Contractor, the purpose of which is to further quality of orderly progression of a portion of the work by documenting procedures, acceptability of methods or personnel qualifications.

Confined space entry permits.

# SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Material Safety Data sheets concerning impedances, hazards and safety precautions.

# SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative to confirm compliance with manufacturer's standards or instructions.

Factory test reports.

# SD-10 Operation and Maintenance Data

Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

#### SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

# 1.3.1 Approving Authority

Person authorized to approve submittal.

#### 1.3.2 Work

As used in this section, on- and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction.

#### 1.4 SUBMITTALS

Submit the following in accordance with the requirements of this section.

SD-01 Preconstruction Submittals

Submittal register; G, RE

#### 1.5 OMITTED

#### 1.6 PROCEDURES FOR SUBMITTALS

# 1.6.1 Reviewing, Certifying, Approving Authority

QC organization shall be responsible for reviewing and certifying that submittals are in compliance with contract requirements. Approving authority on submittals is QC manager unless otherwise specified for specific submittal. At each "Submittal" paragraph in individual specification sections, a notation "G," following a submittal item, indicates contracting officer is approving authority for that submittal item.

#### 1.6.2 Constraints

- a. Submittals listed or specified in this contract shall conform to provisions of this section, unless explicitly stated otherwise.
- b. Submittals shall be complete for each definable feature of work; components of definable feature interrelated as a system shall be submitted at same time.
- c. When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, submittal will be returned without review.
- d. Approval of a separate material, product, or component does not imply approval of assembly in which item functions.

# 1.6.3 Scheduling

- a. Coordinate scheduling, sequencing, preparing and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow for potential requirements to resubmit.
- b. Except as specified otherwise, allow review period, beginning with receipt by approving authority, that includes at least 15 working days for submittals for QC Manager approval and 20 working days for submittals for contracting officer approval. Period of review for submittals with contracting officer approval begins when Government receives submittal from QC organization. Period of review for each resubmittal is the same as for initial submittal.
- c. For submittals requiring review by fire protection engineer, allow review period, beginning when government receives submittal from QC organization, of 30 working days for return of submittal to the contractor. Period of review for each resubmittal is the same as for initial submittal.

#### 1.6.4 Variations

Variations from contract requirements require Government approval pursuant to contract Clause entitled "FAR 52.236-21, Specifications and Drawings for Construction" and will be considered where advantageous to government.

# 1.6.4.1 Considering Variations

Discussion with contracting officer prior to submission, will help ensure functional and quality requirements are met and minimize rejections and resubmittals. When contemplating a variation which results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

# 1.6.4.2 Proposing Variations

When proposing variation, deliver written request to the contracting officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to government. If lower cost is a benefit, also include an estimate of the cost saving. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

## 1.6.4.3 Warranting That Variations Are Compatible

When delivering a variation for approval, contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

### 1.6.4.4 Review Schedule Is Modified

In addition to normal submittal review period, a period of 10 working days will be allowed for consideration by the Government of submittals with variations.

### 1.6.5 Contractor's Responsibilities

- a. Determine and verify field measurements, materials, field construction criteria; review each submittal; and check and coordinate each submittal with requirements of the work and contract documents.
- b. Transmit submittals to QC organization in accordance with schedule on approved Submittal Register, and to prevent delays in the work, delays to government, or delays to separate contractors.
- c. Advise contracting officer of variation, as required by paragraph entitled "Variations."
- d. Correct and resubmit submittal as directed by approving authority. When resubmitting disapproved transmittals or transmittals noted for resubmittal, the contractor shall provide copy of that previously submitted transmittal including all reviewer comments for use by approving authority. Direct specific attention in writing or on resubmitted submittal, to revisions not requested by approving authority on previous submissions.
- e. Furnish additional copies of submittal when requested by contracting officer, to a limit of 20 copies per submittal.
- f. Complete work which must be accomplished as basis of a submittal in time to allow submittal to occur as scheduled.
- g. Ensure no work has begun until submittals for that work have been returned as "approved," or "approved as noted", except to the extent that a portion of work must be accomplished as basis of submittal.

## 1.6.6 QC Organization Responsibilities

- a. Note date on which submittal was received from contractor on each submittal.
- b. Review each submittal; and check and coordinate each submittal with requirements of work and contract documents.
- c. Review submittals for conformance with project design concepts and compliance with contract documents.
- d. Act on submittals, determining appropriate action based on QC organization's review of submittal.

- (1) When QC manager is approving authority, take appropriate action on submittal from the possible actions defined in paragraph entitled, "Actions Possible."
- (2) When contracting officer is approving authority or when variation has been proposed, forward submittal to Government with certifying statement or return submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of submittal determines appropriate action.
- e. Ensure that material is clearly legible.
- f. Stamp each sheet of each submittal with QC certifying statement or approving statement, except that data submitted in bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.
  - (1) When approving authority is contracting officer, QC organization will certify submittals forwarded to contracting officer with the following certifying statement:
- "I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with contract Number \_\_\_\_\_, is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer(Signature when applicable)	, Date
Certified by QC Manager(Signature)	, Date"
(2) When approving authority is QC Manager the following approval statement when retur contractor as "Approved" or "Approved as No	rning submittals to

"I hereby certify that the (material) (equipment) (article) shown and marked in this submittal and proposed to be incorporated with contract Number \_\_\_\_, is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is \_\_\_\_\_ approved for use.

Certified by Submittal Reviewer \_\_\_\_\_\_, Date \_\_\_\_\_\_, (Signature when applicable)

Approved by QC Manager \_\_\_\_\_\_, Date \_\_\_\_\_\_, (Signature)

- g. Sign certifying statement or approval statement. The person signing certifying statements shall be QC organization member designated in the approved QC plan. The signatures shall be in original ink. Stamped signatures are not acceptable.
- h. Update submittal register as submittal actions occur and maintain the submittal register at project site until final acceptance of all work by contracting officer.

i. Retain a copy of approved submittals at project site, including contractor's copy of approved samples.

### 1.6.7 Government's Responsibilities

When approving authority is Contracting Officer, the Government will:

- a. Note date on which submittal was received from QC manager, on each submittal for which the contracting officer is approving authority.
- b. Review submittals for approval within scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph entitled "Actions Possible" and with markings appropriate for action indicated.

### 1.6.8 Actions Possible

Submittals will be returned with one of the following notations:

- a. Submittals marked "not reviewed" will indicate submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by contractor or for being incomplete, with appropriate action, coordination, or change.
- b. Submittals marked "approved" "approved as submitted" authorize contractor to proceed with work covered.
- c. Submittals marked "approved as noted" or "approval except as noted; resubmission not required" authorize contractor to proceed with work as noted provided contractor takes no exception to the notations.
- d. Submittals marked "revise and resubmit" or "disapproved" indicate submittal is incomplete or does not comply with design concept or requirements of the contract documents and shall be resubmitted with appropriate changes. No work shall proceed for this item until resubmittal is approved.

### 1.7 FORMAT OF SUBMITTALS

### 1.7.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels, to office of approving authority. Transmit submittals with transmittal form prescribed by Contracting Officer and standard for project. The transmittal form shall identify Contractor, indicate date of submittal, and include information prescribed by transmittal form and required in paragraph entitled "Identifying Submittals." Process transmittal forms to record actions regarding sample panels and sample installations.

## 1.7.2 Identifying Submittals

Identify submittals, except sample panel and sample installation, with the

following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

- a. Project title and location.
- b. Construction contract number.
- c. Section number of the specification section by which submittal is required.
- d. Submittal description (SD) number of each component of submittal.
- e. When a resubmission, add alphabetic suffix on submittal description, for example, SD-10A, to indicate resubmission.
- f. Name, address, and telephone number of subcontractor, supplier, manufacturer and any other second tier contractor associated with submittal.
- g. Product identification and location in project.

### 1.7.3 Format for Shop Drawings

- a. Shop drawings shall not be less than 8 1/2 by 11 inches nor more than 30 by 42 inches.
- b. Present 8 1/2 by 11 inches sized shop drawings as part of the bound volume for submittals required by section. Present larger drawings in sets.
- c. Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph entitled "Identifying Submittals."
- d. Dimension drawings, except diagrams and schematic drawings; prepare drawings demonstrating interface with other trades to scale. Shop drawing dimensions shall be the same unit of measure as indicated on the contract drawings. Identify materials and products for work shown.

### 1.7.4 Format of Product Data

- a. Present product data submittals for each section as a complete, bound volume. Include table of contents, listing page and catalog item numbers for product data.
- b. Indicate, by prominent notation, each product which is being submitted; indicate specification section number and paragraph number to which it pertains.
- c. Supplement product data with material prepared for project to satisfy submittal requirements for which product data does not exist. Identify this material as developed specifically for project.

## 1.7.5 Format of Samples

a. Furnish samples in sizes below, unless otherwise specified or

unless the manufacturer has prepackaged samples of approximately same size as specified:

- (1) Sample of Equipment or Device: Full size.
- (2) Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- (3) Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- (4) Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- (5) Sample of Non-Solid Materials: Pint. Examples of non-solid materials are sand and paint.
- (6) Color Selection Samples: 2 by 4 inches.
- (7) Sample Panel: 4 by 4 feet.
- (8) Sample Installation: 100 square feet.
- b. Samples Showing Range of Variation: Where variations are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range.
- c. Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples shall be in undamaged condition at time of use.
- d. Recording of Sample Installation: Note and preserve the notation of area constituting sample installation but remove notation at final clean up of project.
- When color, texture or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.
- 1.7.6 Format of Operation and Maintenance (O&M) Data
  - a. O&M Data format shall comply with the requirements specified in Section 01781, Operation and Maintenance Data"
- Format of Administrative Submittals
  - a. When submittal includes a document which is to be used in project or become part of project record, other than as a submittal, do not apply contractor's approval stamp to document, but to a separate sheet accompanying document.
- 1.8 QUANTITY OF SUBMITTALS
- 1.8.1 Number of Copies of Shop Drawings
  - a. Submit six copies of submittals of shop drawings requiring review and approval only by QC organization and seven copies of shop

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drawings requiring review and approval by Contracting Officer.

#### 1.8.2 Number of Copies of Product Data

Submit product data in compliance with quantity requirements specified for shop drawings.

#### Number of Samples 1.8.3

- a. Submit two samples, or two sets of samples showing range of variation, of each required item. One approved sample or set of samples will be retained by approving authority and one will be returned to contractor.
- b. Submit one sample panel. Include components listed in technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of non-solid materials.

## 1.8.4 Number of Copies of Operation and Maintenance Data

Submit Five copies of O&M Data to the Contracting Officer for review and approval

#### 1.8.5 Number of Copies of Administrative Submittals

a. Unless otherwise specified, submit administrative submittals compliance with quantity requirements specified for shop drawings.

#### 1.9 FORWARDING SUBMITTALS

#### 1.9.1 Submittals Required from the Contractor

As soon as practicable after award of contract, and before procurement of fabrication, forward to the Contracting Officer, submittals required in the technical sections of this specification, including shop drawings, product data and samples. One copy of the transmittal form for all submittals shall be forwarded to the Resident Officer in Charge of Construction.

The Resident Engineer or Architect-Engineer will review and provide surveillance for the Contracting Officer to verify Contractor-approved submittals comply with the contract requirements.

The Resident Engineer or Architect-Engineer will review and approve for the Contracting Officer those submittals reserved for Contracting Officer approval to verify submittals comply with the contract requirements.

#### 1.9.1.1 O&M Data

The Resident Engineer or Architect-Engineer will review and approve for the Contracting Officer O&M Data to verify the submittals comply with the contract requirements.; submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

a. In the event the Contractor fails to deliver O&M Data within the time limits specified, the Contracting Officer may withhold from Force Protection Plan Phase II (Access Control Points)
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progress payments 50 percent of the price of the item with which such O&M Data are applicable.

### 1.9.1.2 Omitted

### 1.9.1.3 Omitted

### 1.10 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

### 1.10.1 Designer of Record Approved

Designer of Record approval is required for extensions of design, critical materials, any deviations from the solicitation, the accepted proposal, or the completed design, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction", they are considered to be "shop drawings". The Contractor shall provide the Government the number of copies designated hereinafter of all Designer of Record approved submittals. The Government may review any or all Designer of Record approved submittals for conformance to the Solicitation and Accepted Proposal. The Government will review all submittals designated as deviating from the Solicitation or Accepted Proposal, as described below. Design submittals shall be in accordance with Section 01012 DESIGN AFTER AWARD. Generally, design submittals should be identified as SD-05 DESIGN DATA submittals.

### 1.10.2 Government Approved

Government approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Government approval is required for any deviations from the Solicitation or Accepted Proposal and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," they are considered to be "shop drawings."

## 1.10.3 Omitted

### 1.10.4 Information Only

All submittals not requiring Government approval will be for information only. All submittals not requiring Designer of Record or Government approval will be for information only. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above. All submittals not requiring Government approval will be for information only. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above.

### 1.11 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory design, general method of construction, materials, detailing and other information appear to meet the Solicitation and Accepted Proposal. Approval will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality

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Control (CQC) requirements of this contract is responsible for dimensions, the design of adequate connections and details, and the satisfactory construction of all work. After submittals have been approved by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

#### 1.12 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the Contracting Officer and promptly furnish a corrected submittal in the form and number of copies specified for the initial submittal. The Contractor shall make all corrections required by the Contracting Officer, obtain the Designer of Record's approval when applicable, and promptly furnish a corrected submittal in the form and number of copies specified for the initial submittal. Any "information only" submittal found to contain errors or unapproved deviations from the Solicitation or Accepted Proposal shall be resubmitted as one requiring "approval" action, requiring both Designer of Record and Government approval. If the Contractor considers any correction indicated on the submittals to constitute a change to the contract, a notice in accordance with the Contract Clause "Changes" shall be given promptly to the Contracting Officer.

#### 1.13 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained. No payment for materials incorporated in the work will be made if all required Designer of Record or required Government approvals have not been obtained. No payment will be made for any materials incorporated into the work for any conformance review submittals or information only submittals found to contain errors or deviations from the Solicitation or Accepted Proposal.

#### 1.14 GENERAL

The Contractor shall make submittals as required by the specifications. The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Units of weights and measures used on all submittals shall be the same as those used in the contract drawings. Each submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements. Prior to submittal, all items shall be checked and approved by the Contractor's Quality Control (CQC), , if applicable, and each item shall be stamped, signed, and dated by the CQC System Manager indicating action taken. Proposed deviations from the contract requirements shall be clearly identified. Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals. Submittals requiring Government approval shall be scheduled and made prior to the acquisition of the material or equipment covered thereby. Samples remaining upon completion of the work shall be picked up and disposed of in accordance with manufacturer's Material Safety Data Sheets (MSDS) and in compliance with existing laws and regulations.

#### SUBMITTAL REGISTER 1.15

At the end of this section is a submittal register showing items of equipment and materials for which submittals are required by the specifications; this list may not be all inclusive and additional submittals may be required.

#### 1.16 SCHEDULING

Submittals covering component items forming a system or items that are interrelated shall be scheduled to be coordinated and submitted concurrently. Certifications to be submitted with the pertinent drawings shall be so scheduled. Adequate time (a minimum of 15 calendar days exclusive of mailing time) shall be allowed and shown on the register for review and approval. No delay damages or time extensions will be allowed for time lost in late submittals.

#### 1.17 TRANSMITTAL FORM (ENG FORM 4025)

The sample transmittal form (ENG Form 4025) attached to this section shall be used for submitting both Government approved and information only submittals in accordance with the instructions on the reverse side of the form. These forms will be furnished to the Contractor. This form shall be properly completed by filling out all the heading blank spaces and identifying each item submitted. Special care shall be exercised to ensure proper listing of the specification paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item.

#### 1.18 SUBMITTAL PROCEDURES

Submittals shall be made as follows:

### 1.18.1 Procedures

The Government will further discuss detailed submittal procedures with the Contractor at the Preconstruction Conference.

#### 1.18.2 Deviations

For submittals which include proposed deviations requested by the Contractor, the column "variation" of ENG Form 4025 shall be checked. Contractor shall set forth in writing the reason for any deviations and annotate such deviations on the submittal. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted deviations.

#### 1.19 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

#### 1.20 GOVERNMENT APPROVED SUBMITTALS

Upon completion of review of submittals requiring Government approval, the submittals will be identified as having received approval by being so stamped and dated. Two copies of the submittal will be returned to the Contractor. If the Government performs a conformance review of other Designer of Record approved submittals, the submittals will be so identified and returned, as described above.

#### 1.21 INFORMATION ONLY SUBMITTALS

Normally submittals for information only will not be returned. Approval of the Contracting Officer is not required on information only submittals. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

### 1.22 STAMPS

Stamps used by the Contractor on the submittal data to certify that the submittal meets contract requirements shall be similar to the following:

CONTRACTOR
(Firm Name)
Approved
Approved with corrections as noted on submittal data and/or attached sheets(s).
SIGNATURE:
TITLE:
DATE:

For design-build construction, both the Contractor Quality Control System Manager and the Designer of Record shall stamp and sign to certify that the submittal meets contract requirements.

## PART 2 PRODUCTS

Not used.

## PART 3 EXECUTION

Not used.

-- End of Section --

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	01	1330	SD-01 Preconstruction Submittals														
	$\perp$		Submittal register		G RE												
	01	1355A	SD-01 Preconstruction Submittals														
			Environmental Protection Plan	1.7	G												
			RE														
	01	1500	SD-06 Test Reports														
			Termiticide Application Report		G RE												
	01	1780A	SD-02 Shop Drawings														
			As-Built Drawings	1.2.1	G RE												
			SD-03 Product Data														
			As-Built Record of Equipment and	1.2.2	G RE												
			Materials														
			Warranty Management Plan	1.3.1	G RE												
	$\perp$		Warranty Tags	1.3.5	G RE												
			Final Cleaning	1.6	G RE												
	02	2220	SD-03 Product Data														
	$\perp$		Work Plan		G RE												
			SD-07 Certificates														
	$\perp$		Demolition plan	1.10	G RE												
	$\perp$		Notifications		G RE												
			Notification of Demolition and		G RE												
	$\perp$		Renovation forms														
			SD-11 Closeout Submittals														
			Receipts														
	02	2231	SD-03 Product Data														
	Т		Earthwork														

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	02231	SD-04 Samples														
		Tree wound paint	2.1													
		Herbicide	2.2													
		SD-06 Test Reports														
		Testing														
		SD-07 Certificates														
		Testing														
	02300	SD-05 Design Data														
		Earthwork														
		SD-06 Test Reports														
		Testing	3.13													
		SD-07 Certificates														
		Testing	3.13	G RE												
	02315	SD-05 Design Data														
		Testing		G RE												
		SD-06 Test Reports														
		Field Density Tests														
		Testing of Fill and Backfill														
		Materials														
		Inspection, Equipment and														
		Corrective Action Reports														
		SD-07 Certificates														
		Certificates of Compliance														
	02316	SD-04 Samples														
		Tracer Wire														
		GA RE														

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	02316	SD-06 Test Reports														
		Field Density Tests	3.4.3													
		Testing of Fill and Backfill														
		Materials														
		SD-07 Certificates														
		Testing		G RE												
	02364a	SD-03 Product Data														
		Termiticide Application Plan														
		Termiticides	2.1													
		Foundation Exterior	3.2.3													
		Utilities and Vents	3.2.4													
		Verification of Measurement	3.5													
		Application Equipment	3.4.1													
		SD-04 Samples														
		Termiticides	2.1													
		SD-06 Test Reports														
		Equipment Calibration and Tank	3.4.1													
		Measurement														
		Soil Moisture	3.3.1													
		SD-07 Certificates														
		Qualifications	1.2													
	02510a	SD-03 Product Data														
		Installation	3.1													
		Satisfactory Installation														
		SD-06 Test Reports														
		Bacteriological Disinfection														

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	02510a	SD-07 Certificates														
		Manufacturer's Representative	1.4													
		Installation	3.1													
	02531	SD-02 Shop Drawings														
		Precast concrete manhole														
		Metal items	2.3.4													
		Frames, covers, and gratings	2.3.4.1													
		SD-03 Product Data														
		Pipeline materials	2.1													
		SD-07 Certificates														
		Portland Cement														
		Joints														
	02532a	SD-06 Test Reports														
		Hydrostatic Tests	3.2			<u> </u>										
	02547	SD-06 Test Reports														
		Tests				<u> </u>										
	02630a	SD-03 Product Data				<u> </u>										
		Placing Pipe	3.3			<u> </u>										
		SD-04 Samples														
		Pipe for Culverts and Storm	2.1													
		Drains														
		SD-07 Certificates														
		Pipeline Testing														
		Determination of Density	3.7.5													
		Frame and Cover for Gratings	2.3.7													
	02754a	SD-03 Product Data														

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	(	02754a	Equipment	1.7													
	$\perp$		Paving	3.4													
	$\perp$		Mixture Proportions	2.11	G AE												
		02763a	SD-03 Product Data														
	$\perp$		Equipment	1.5	G RE												
			Composition Requirements	2.2.1													
			SD-06 Test Reports														
			Sampling and Testing	2.6													
			SD-07 Certificates														
			Volatile Organic Compound	2.2.3													
			(VOC)														
		02770a	SD-03 Product Data														
			Concrete	2.1													
			SD-06 Test Reports														
	$\Box$		Field Quality Control	3.8													
		02840a	SD-02 Shop Drawings														
	T		Installation	3.1	G RE												
	丁		Equipment		G RE												
	一		SD-03 Product Data														
	一		Vehicle Barriers														
	一		Spare Parts	1.6													
	丁		SD-06 Test Reports														
	寸		Field Testing	3.4													
	十		SD-10 Operation and Maintenance														
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	_	02840a	GRE														
	┙		Operating and Maintenance	3.5													
	┙		Instructions														
	╝	02922a	SD-03 Product Data														
	╝		Equipment	3.1.3													
			Chemical Treatment Material	1.4.3.2													
			Delivery	1.4.1													
			Finished Grade and Topsoil	3.2.1													
			Topsoil	2.2													
			Quantity Check	3.5													
	T		Sod Establishment Period	3.9													
	T		Maintenance Record	3.9.3.5													
	T		Application of Pesticide	3.6													
	╗		SD-04 Samples														
	$\neg$		Delivered Topsoil	1.4.1.2													
	ı		Soil Amendments	2.3													
	┪		Temporary Seeding	3.4	G RE												
	1		SD-06 Test Reports														
	┪		Equipment Calibration	3.1.3													
	┪		Soil Test	3.1.4													
	┪		SD-07 Certificates														
	┪		Sod	2.1													
	┪		Topsoil	2.2													
	┪		pH Adjuster	2.3.1							1						
$\neg$	$\dashv$		Fertilizer	2.3.2													
	$\dashv$		Organic Material	2.3.4													
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	02922a	Soil Conditioner														
			2.5													
$\bot$	02936	SD-06 Test Reports														
		Records and Test Data														
		SD-07 Certificates														
		Seed														
		Fertilizer														
		Agricultural Limestone														
		Asphalt Adhesive														
		Topsoil														
	02975	SD-03 Product Data														
		Guardrail Products														
		Connections, Anchors and Details														
		SD-04 Samples														
		Paint Materials		G												
		RE														
$\neg$		SD-06 Test Reports														
$\neg$		Records and Test Data (Quality														
$\neg$		Control)														
$\neg$	03100a	SD-02 Shop Drawings														
$\neg$	30.000		3.1.1	G RE	1					1						
$\neg$		SD-03 Product Data		- · · · <u>-</u>												
$\neg$		Design	1.3	G RE												
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+		SD-07 Certificates	£.1.1													

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		03100a																
	_	03150a	SD-02 Shop Drawings															
			Waterstops	2.4	G RE													
			SD-03 Product Data															
			Preformed Expansion Joint Filler	2.2	G RE													
			Sealant	2.3	G RE													
	$\Box$		Waterstops	2.4	G RE													
			SD-07 Certificates															
			Preformed Expansion Joint Filler	2.2	G RE													
			Sealant	2.3	G RE													
			Waterstops	2.4	G RE													
		03200a	SD-02 Shop Drawings															
			Reinforcement	3.1	G AE													
			SD-07 Certificates	1		$\perp$												
$\bot$	_		Reinforcing Steel	2.3														
$\perp$		03300	SD-03 Product Data	1														
$\bot$	_		Mixture Proportions	1.9	G RE													
$\bot$	_		SD-06 Test Reports															
$\perp$			Testing and Inspection for	3.17	G RE													
$\perp$	_		Contractor Quality Control	1														
$\perp$		04200	SD-02 Shop Drawings	1														
$\perp$			Masonry Work	1														
$\perp$			SD-03 Product Data	1														
$\bot$			Clay or Shale Brick	2.2		$\perp$												
			Insulation	2.15														
			Flashing	2.17	G RE													

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		04200	Water-Repellant Admixture	2.9													
			Cold Weather Installation	3.1.2													
			SD-04 Samples														
			Concrete Masonry Units (CMU)	2.4													
			Clay or Shale Brick	2.2													
			Anchors, Ties, and Bar	2.11													
			Positioners														
			Expansion-Joint Materials	2.16													
$\rightarrow$			Joint Reinforcement	2.12													
$\rightarrow$			Insulation	2.15													
$\perp$			SD-05 Design Data														
$\perp$			Pre-mixed Mortar	2.8.5													
			Unit Strength Method														
$\perp$			SD-06 Test Reports						_								
$\perp$			Efflorescence Test	3.23.3					_								
$\perp$			Field Testing of Mortar	3.26.1					$\vdash$								
$\perp$			Field Testing of Grout	3.23.2					_								
$\perp$			Prism tests						_								
$\perp$			Masonry Cement	2.8.4					_								
$\perp$			SD-07 Certificates						_								
$\perp$			Clay or Shale Brick	2.2					$oxed{oxed}$								
$\perp$			Concrete Masonry Units (CMU)	2.4			ļ		$oxed{oxed}$								
$\perp$			Control Joint Keys	2.14			<u> </u>										
$\perp$			Anchors, Ties, and Bar	2.11			<u> </u>										
$\perp$			Positioners				<u> </u>										
			Expansion-Joint Materials	2.16													

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	_	04200	Joint Reinforcement	2.12					$oxed{oxed}$								
			Reinforcing Steel Bars and Rods	2.13													
	_		Masonry Cement	2.8.4													
	_		Mortar Coloring	2.8.2													
	_		Insulation	2.15													
	_		Insulation	2.15													
	_		Admixtures for Masonry Mortar	2.8.1													
	_		Admixtures for Grout	2.10.1													
	_		SD-08 Manufacturer's Instructions														
	_		Masonry Cement	2.8.4													
	4	05120	SD-02 Shop Drawings														
	_		Erection drawings		G AE												
	_		Fabrication drawings	1.7.1	G AE												
	4		SD-03 Product Data						$\vdash$								
	4		Shop primer	2.4					$\vdash$					_			
	_		Load indicator washers	2.2.5					_								
	_		SD-06 Test Reports						_								
	_		Class B coating	2.4					_								
	_		Bolts, nuts, and washers	2.2					_								
	_		SD-07 Certificates						_								
	_		Steel	2.1					$oxed{oxed}$								
	_		Bolts, nuts, and washers	2.2					$oxed{oxed}$								
			Shop primer	2.4					$oxed{oxed}$								
			Welding electrodes and rods	2.3.1													
			Nonshrink grout	2.3.2													
			AISC Quality Certification	1.5													

	D LOCATION				CONTRAC	TOR										
Fort Br	agg Acce	ss Control	-	1				_								
				G O		CONTRACTO		CON	NTRACTOR ACTION		APF	PROVING AL	JTHOF	RITY		
A A C S M I I T T A L L N O O	S P E C S E C	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	C L A S S I F I C A T I O N	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	FROM	DATE FWD	DATE RCD FROM OTH REVIEWER	D	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b)		(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(p)	(r)
	05120	Welding procedures and	1.7.2.3													
		qualifications														
	05300A	SD-02 Shop Drawings											_			
		Deck Units	2.1	G AE									_			
		Accessories	2.5	G AE									_			
		Attachments	3.3	G AE									_			
		Holes and Openings	3.4	G AE									<u> </u>			
		SD-03 Product Data											<u> </u>			
		Deck Units	2.1													
		Attachments	3.3													
		SD-07 Certificates														
		Deck Units	2.1													
		Attachments	3.3													
	05400A	SD-02 Shop Drawings														
		Framing Components	2.1	G AE												
		SD-07 Certificates														
		Mill Certificates														
		Welds	3.2.1													
	05500a	SD-02 Shop Drawings														
		Miscellaneous Metal Items	1.6	G AE												
	06100a	SD-02 Shop Drawings														
		Structural Wood Members	2.1.6													
		Installation of Framing	3.1													
		Nailers and Nailing Strips	3.6.4													
		SD-03 Product Data											Ì			
	1	Structural Wood Members	2.1.6					l								

TITLE AN	ND I	LOCATION				CONTRAC	TOR										
Fort Br	raç	gg Acces	ss Control														
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A A N C ST M I I T T T L N O O	2 1 2 1	<b>%РЕС %ЕСТ</b>	DESCRIPTION ITEM SUBMITTED	P A R A G # A P H	CLASSIFICATION	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b)	)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
	╝	06100a	Product Installations														
	╝		SD-07 Certificates														
			Grading and Marking	2.1.1													
	╝		Insulation	2.3													
		06650	SD-02 Shop Drawings														
	╝		Shop Drawings		G RE												
			SD-03 Product Data														
			Solid polymer material	2.1													
			Fabrications	2.3													
			SD-04 Samples														
			Material	2.1													
			Counter and Vanity Tops	2.3.6													
			SD-06 Test Reports														
			Solid polymer material	2.1													
			SD-07 Certificates														
			Fabrications	2.3													
			SD-10 Operation and Maintenance														
			Data														
			Solid polymer material	2.1													
			Clean-up														
		07190N	SD-03 Product Data														
			Water repellents	2.2													
	T		SD-06 Test Reports														
	T		Water absorption	1.3.2													
	╗		Water absorption	2.3.2													
	┪		Accelerated weathering	2.3.2													

TITLE ANI	LOCATION				CONTRAC	TOR										
		ss Control														
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T R A N S M I V T T A L N O O	SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G # A P H	C L A S S I F I C A F I O N	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	A C T I O N C O D E	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
	07190N	Resistance to chloride ion	2.3.2													
		penetration														
		Moisture vapor transmission	1.3.2													
		Moisture vapor transmission	2.3.2													
		Scaling resistance	2.3.2													
		Water Penetration and Leakage	1.3.2													
$\perp$		SD-07 Certificates														
		Manufacturer's qualifications	1.3.1													
		Applicator's qualifications	1.3.1													
		Evidence of acceptable variation	1.3.3													
		Warranty	1.12													
		SD-08 Manufacturer's Instructions														
$\underline{}$		Application	3.4													
		material safety data sheets	1.7.1													
	07220A	SD-03 Product Data														
$\underline{}$		Application of Insulation	3.7													
		Inspection	3.8													
		SD-07 Certificates														
		Insulation	2.2													
		Glass Roofing Felt	2.5													
		Organic Roofing Felt														
	07240	SD-02 Shop Drawings														
		Shop drawings	3.3	G AE												
		SD-03 Product Data														
$\top$		Sheathing board	2.2													
$\top$		Thermal insulation	2.6													

TITLE AN	ND I	LOCATION				CONTRAC	TOR										
			ss Control														
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A N C S T N I I I I I I I I I I I I I I I I I I	7 4 7 6 5 1 T T 4 L	SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G # A P H	C L A S S I F I C A T I O N	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACT-ON CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (t	_	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(p)	(r)
	_	07240	Mechanical Fasteners	2.5													
	_		Accessories	2.13													
	_		Base coat	2.7													
	_		Portland cement	2.8													
	_		Reinforcing fabric	2.9													
	_		Finish coat	2.11													
	_		Joint Sealant	2.14													
	_		Primer	2.12													
	4		Bond breaker	2.15													
	4		Backer Rod	2.16													
	4		Insulation Board	1.4.4													
	4		Warranty	1.7													
	4		SD-04 Samples														
$\perp$	_		Sample Boards	1.2.3.7	G	1	1				1						
$\perp$	_		RE	1	<u> </u>	1	1				1						
$\perp$	_		Mock-up Installation of EIFS	1.2.1.4	G RE	1	1				1						
$\perp$	_		SD-05 Design Data	1		1	1				1						
	4		Wind load	1.2.1.2													
	_		Moisture analysis	1.2.4		1	1				1						
	_		SD-06 Test Reports	1		1	1				1						
	4		Abrasion resistance	1.2.3.1													
	4		Accelerated weathering	1.2.3.2													
$\perp$	_		Impact resistance	1.2.2.3													
$\perp$	_		Water Penetration	1.2.1.1													
	_		Water Resistance	1.2.3.5										_			
			Mildew resistance	1.2.3.3													

TITLE A	.ND	LOCATION				CONTRAC	TOR										
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A C T I V I T Y N	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	CLASSIFICATION	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	A C T I O N C O D E	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACT-OZ CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (	b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
		07240	Salt spray resistance	1.2.3.4													
			Absorption-freeze-thaw	1.2.3.6													
			Surface Burning Characteristics	1.2.2.1													
			Radiant heat	1.2.2.2													
				3.1													
			SD-07 Certificates														
			Qualifications of EIFS	1.4.1													
			Manufacturer														
			Qualification of EIFS Installer	1.4.2													
			Qualification of Sealant Applicator														
			Inspection Check List	3.5.2	G RE												
			SD-08 Manufacturer's Instructions														
			Installation	3.3													
			SD-10 Operation and Maintenance														
			Data														
			EIFS	1.7													
		07311A	SD-03 Product Data														
			Application of Roofing Materials	3.2													
			SD-04 Samples														
			Shingles	2.1.6	G												
			RE														
		07413A	SD-02 Shop Drawings														
			Siding	2.1													
			SD-04 Samples														
			Accessories	2.3													
			Siding	2.1													

TITLE AN	ND LOCAT	ATION			CONTRAC	TOR										
		Access Control														
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A N N C S T N T T A L N N N N N O C C	RAN SAN SAN SAN SAN SAN SAN SAN SAN SAN S	P E C C DESCRIPTION C	P A R A G # A P H	C L A S S I F I C A T I O N R	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b			(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
	074		2.4													
$\perp$		Gaskets and Insulating	2.9													
$\perp$		Compounds														
$\perp$		Sealant	2.4.5													
$\perp$		SD-07 Certificates														
$\perp$		Siding	2.1													
		Installation	3.1													
		Accessories	2.3													
		Insulation														
$\bot$	074	116a SD-02 Shop Drawings														
		Structural Standing Seam Metal		G RE												
		Roof System														
		SD-03 Product Data														
$\bot$		Design Analysis		G RE	1											
$\perp$		SD-04 Samples														
$\bot$		Accessories	2.3		1											
$\bot$		Roof Panels	2.1													
$\bot$		Factory Color Finish	2.6													
$\bot$		Fasteners	2.4													
$\bot$		Insulation														
		Concealed Anchor Clips	2.2													
		Subpurlins	2.5													
		EPDM Rubber Boots														
		SD-06 Test Reports														
		Test Report for Uplift Resistance		G RE												
		of the SSSMR														

TITLE A	ND	LOCATION				CONTRAC	TOR										
			ss Control														
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A C T I V I T Y N	TRANSMITTAL NO	S P E C S E C T	DESCRIPTION ITEM SUBMITTED	P A R A G # R A P H	CLASSIFICATION	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (	b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
		07416a	SD-07 Certificates														
			Structural Standing Seam Metal														
			Roof System														
			Insulation														
$\rightarrow$		07530A	SD-02 Shop Drawings														
			Roofing System	1.3.2													
_			SD-03 Product Data														
_			Installation	3.3													
_			Protection of Finished Roofing	3.5													
$\rightarrow$			Inspection	3.6													
-			SD-07 Certificates														
$\rightarrow$			Materials	1.3.4							-						
$\rightarrow$		07600a	SD-02 Shop Drawings								-						
+			Materials	2.1	G RE												
+		07720A	SD-02 Shop Drawings				ļ	-									
+		070 10	Roof Ventilators	1.6	G RE												
+		07840a	SD-02 Shop Drawings		-	-		-				-	-				
+			Firestopping Materials	2.1			1										
+			SD-07 Certificates		-	-		-				-	-				
+			Firestopping Materials	2.1	-	-		-				-	-				
+			Installer Qualifications	1.5			1										
+		07000	Inspection	3.3		-	1				1						
+		07900a	SD-03 Product Data	0.4			}										
+			Backing	2.1		-	1				1						
+			Bond-Breaker	2.2		-	1				1						
		<u> </u>	Sealant	2.5	<u> </u>	<u> </u>	<u> </u>	<u> </u>		<u> </u>	<u> </u>	<u> </u>	<u> </u>				

TITLE AN	ND LOCA	TION			CONTRAC	TOR										
		ccess Control														
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A	1	DESCRIPTION	P A R A G R A P H	C L A S S I F I C A T I O W R	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b			(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
$\bot$	079	00a SD-07 Certificates														
		Sealant	2.5													
	081	10 SD-02 Shop Drawings														
		Doors	2.1													
		Doors	2.1													
		Frames	2.7													
		Frames	2.7													
		Accessories	2.5													
		SD-03 Product Data														
		Doors	2.1													
		Frames	2.7													
		Accessories	2.5													
	082	10 SD-02 Shop Drawings														
		Doors	2.1													
$\bot$		SD-03 Product Data														
		Doors	2.1													
		Accessories														
		warranty	1.4													
		SD-06 Test Reports														
		Split resistance														
		Cycle-slam														
		Hinge loading resistance														
	087															
		Hardware and Accessories														
		Hardware Schedule														
		Keying Schedule		G RE												

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Fort Br	agg Acce	ss Control														
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A A N C S M I I I V T T A L N O O	SPEC SEC	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	VT OR A/E REVWR	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	FROM	DATE FWD	DATE RCD FROM OTH REVIEWER	ACT-ON CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b)		(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
	08702	SD-07 Certificates														
		Hardware and Accessories														
		SD-11 Closeout Submittals														
		Bitting List														
	08810a	SD-02 Shop Drawings														
		Installation	3.2													
		SD-03 Product Data														
		Insulating Glass	2.3													
		Glazing Accessories	2.11													
		SD-07 Certificates														
		Insulating Glass	2.3													
	09100N	SD-02 Shop Drawings														
		Metal support systems	2.1	G RE												
	09250	SD-03 Product Data														
		Water-Resistant Gypsum Board														
		Accessories														
		SD-07 Certificates														
		Asbestos Free Materials	2.1	G RE												
	09310	SD-03 Product Data														
		Tile	2.1													
		Setting Bed	2.2													
		Mortar, Grout, and Adhesive	2.4													
		SD-04 Samples														
	1	Tile	2.1													
		Marble Thresholds	2.5													
	1	SD-06 Test Reports						l								

TITLE A	ND I	LOCATION				CONTRAC	TOR										
			ss Control														
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A N C S T N I I I I I I I I I I I I I I I I I I		SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	CLASSIFICATION	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACT-ON CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (t	_	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
	_	09310	Testing						_								
	_		SD-07 Certificates														
	_		Tile	2.1													
	_			2.4													
	_	09510	SD-03 Product Data														
	_		Acoustical Ceiling Systems														
	_		SD-04 Samples														
	_		Acoustical Units	2.1													
	$\Box$		SD-07 Certificates														
	$\Box$		Acoustical Units	2.1													
	$\Box$	09650	SD-02 Shop Drawings														
	_		Tile Flooring	2.2													
			SD-03 Product Data														
			Tile Flooring	2.2													
			Wall Base	2.5													
			Adhesive for Vinyl Composition	2.2.6													
			Tile														
			Adhesive for Wall Base	2.2.7													
			SD-06 Test Reports														
			Moisture Test	3.3													
			SD-08 Manufacturer's Instructions														
				2.2													
			SD-10 Operation and Maintenance														
			Data														
			Data Package 1														
		09720	SD-03 Product Data														

	D LOCATION				CONTRAC	TOR				<u> </u>						
ort Bra	agg Acce	ss Control		G		CONTRACTO		CON	NTRACTOR ACTION		APF	PROVING AL	JTHOF	LITY		
T R A N S M I T T A L N O	SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	O V T O R A / E R E V W R	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	A C T I O N C O D E	DATE OF ACTION	FROM	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	D	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
i) (b)		(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
+	09720	Wallcoverings	2.1													
_		Installation	3.3										<u> </u>			
		Maintenance						_					_			
4		Clean-Up	3.4										<u> </u>			
_		SD-04 Samples											<u> </u>			
		Wallcoverings	2.1					_					_			
_		SD-07 Certificates						_					_			
_		Wallcoverings	2.1					_					_			
_		SD-08 Manufacturer's Instructions						_					_			
		Wallcoverings	2.1					_					_			
_		SD-10 Operation and Maintenance						_					_			
		Data						<u> </u>					<u> </u>			
		Wallcoverings	2.1					<u> </u>					<u> </u>			
4	09900	SD-02 Shop Drawings											_			
4_		Piping identification	3.12			<u> </u>		_					_			
		stencil	3.12			<u> </u>		_					_			
		SD-03 Product Data				<u> </u>		_					_			
4		Coating	2.1	G RE									_			
1		Manufacturer's Technical Data	2.1										_			
		Sheets											_			
		SD-04 Samples											_			
		Color	1.9	G RE									_			
_	1	SD-07 Certificates				ļ										
		Applicator's qualifications	1.3			<u> </u>							_			
		Qualification Testing	1.4.1.2	G		<u> </u>							_			
		SD-08 Manufacturer's Instructions	<u></u>	<u> </u>		<u></u>	<u> </u>	L		<u> </u>	<u> </u>	<u> </u>	L			

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			s Control														
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(a) (b		c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(p)	(r)
$-\!$	099	900	Application instructions						_								
			Mixing	3.8.2													
	_		Manufacturer's Material Safety	1.7.2													
	_		Data Sheets														
_			SD-10 Operation and Maintenance														
			Data														
			Coatings:	2.1	G RE												
	099	915	SD-04 Samples														
			Color Schedule	2.2													
	101	00A	SD-03 Product Data														
			Visual Display Boards														
			SD-04 Samples														
			Materials	2.2													
			07 Certificates														
			Visual Display Boards														
	101	53	SD-02 Shop Drawings														
			Toilet Partition System														
			SD-03 Product Data														
			Toilet Partition System														
	104	130	SD-02 Shop Drawings														
			Approved Detail Drawings	3.1	G RE												
			SD-03 Product Data														
			Exterior Signage System														
			Installation	3.1													
			Exterior Signs														
			Wind Load Requirements	1.3													

TITLE AN	D LOCATION	ı			CONTRAC	TOR				•						
Fort Br	agg Acce	ess Control														
				G O	CONTRACTO SCHEDULE DA		R: TES	CONTRACTOR ACTION			APPROVING AUTHORITY					
A N C S T M I T T T L N O O	SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G # R A P H	OVT OR A/E REVWR	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	A C T I O N C O D E	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD	DATE RCD FROM OTH REVIEWER	ACT-ON CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a) (b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(p)	(r)
	10430	SD-10 Operation and Maintenance														
		Data														
		Protection and Cleaning	3.1.2													
	10440	SD-02 Shop Drawings														
		Detail Drawings	3.1													
		SD-03 Product Data														
		Installation	3.1													
		SD-10 Operation and Maintenance														
		Data														
		Approved Manufacturer's	3.1													
		Instructions														
		Protection and Cleaning	3.1.2													
	106051	SD-02 Shop Drawings														
		Wire mesh partitions/Lockers														
$\bot$	1	SD-03 Product Data														
$\bot$	1	Wire mesh partitions/Lockers				<u> </u>										
	10800	SD-03 Product Data														
	1	Finishes	2.1.2													
	1	Accessory Items	2.2			<u> </u>										
		SD-07 Certificates														
	1	Accessory Items	2.2													
	11035	SD-02 Shop Drawings														
		Installation	3.3	G RE												
		SD-03 Product Data														
		Bullet Resistant Components	1.5	G RE												
		SD-07 Certificates														

TITLE	TITLE AND LOCATION						CONTRACTOR											
			ss Control															
				P A R A G R A P H	G O	CONTRACTOR: SCHEDULE DATES				NTRACTOR ACTION		APF	APPROVING AUTHORITY					
A C T I V I T Y	T R A N S M I T T A L NO	S P E C S E C T	DESCRIPTION ITEM SUBMITTED		C L A S S I F I C A F E V W R	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	A C T I O N C O D E	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE EWD	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)	
$\perp$		11035	Bullet Resistant Components	1.5														
			SD-10 Operation and Maintenance															
			Data															
			Bullet Resistant Components	1.5	G RE													
		11312N	SD-03 Product Data															
			Pipe and fittings	2.1	G RE													
			Check valves	2.2.2	G RE													
			Gate valves	2.2.1	G RE													
			Submersible sewage grinder	2.3	G RE													
			pumps															
			Pump motor	2.4	G RE													
			Flexible flanged coupling	2.1.5	G RE													
			SD-10 Operation and Maintenance															
			Data															
			Submersible Sewage Grinder	2.3	G RE													
			Pumps															
$\Box$		12320A	SD-02 Shop Drawings															
$\Box$			Installation	3.1														
$\Box$			SD-03 Product Data															
$\neg$			Cabinets	2.1														
$\neg$			SD-06 Test Reports															
$\neg$			Cabinets	2.1														
$\neg$		12490A	SD-02 Shop Drawings															
$\neg$		1	Approved Detail Drawings	3.2														
$\neg$			SD-03 Product Data															
$\neg$			Window Treatments	3.2														
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TITLE AN	D LOCATION				CONTRAC	TOR				L						
		ss Control														
			P A F C G # 7 A P C C C C C C C C C C C C C C C C C C	G O	CONTRACTOR SCHEDULE DATI				CONTRACTOR ACTION		APPROVING AUTHORITY					
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(a) (b		(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
	12490A	Hardware	1.3													
	13046	SD-02 Shop Drawings														
		Installation	3.1	G RE												
	_	Equipment		G RE												
		SD-03 Product Data														
		Guard Booths														
		SD-06 Test Reports														
		Field Testing	3.2													
		SD-10 Operation and Maintenance														
		Data														
		Guard Booths		G RE												
		Operating and Maintenance	3.3													
_		Instructions														
	13080	SD-02 Shop Drawings														
-		Bracing	3.1							-						
	_	Resilient Vibration Isolation	3.4							-						
		Devices														
$-\!\!\!\!+$		Equipment Requirements	1.4			<u> </u>				1						
$-\!\!\!\!+$		SD-03 Product Data	ļ			<u> </u>				1						
$-\!\!\!\!+$		Bracing	3.1	G RE		<u> </u>										
_	1	Equipment Requirements	1.4	G RE		<u> </u>				1						
-	13100A	SD-02 Shop Drawings				<u> </u>				1						
$-\!\!\!\!+$		Drawings		G RE		<u> </u>				1						
		SD-07 Certificates														
$-\!\!\!\!+$		Materials	2.1	G RE												
	13120A	SD-02 Shop Drawings														

T R A N C S T M I I I	g Access Control		G O V C T		CONTRACTO			NTRACTOR		APF	PROVING AU	THOR	ITY		
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A N C S T M I			V					ACTION			11011110710				
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(a) (b)	(c) (d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
1;	13120A Drawings		G AE												
$\perp$	SD-03 Product Data														
$\perp$	Design Analysis	1.5	G AE												
$\perp$	Instruction Manuals		G RE												
$\perp$	Erection	3.1	G RE												
$\perp$	Qualifications	1.3.2	G AE												
$\rightarrow$	SD-04 Samples														
	Accessories	2.3.7													
	Roofing and Siding	2.3													
	Fasteners	2.5													
	Insulation	2.14													
	Gaskets and Insulating	2.16													
	Compounds														
	Sealant	2.15													
	Wall Liners/Soffit Panels														
	SD-07 Certificates														
	Metal Building Systems		G AE												
1:	13851A SD-02 Shop Drawings														
	Fire Alarm Reporting System	1.4.1	G RE.												
	SD-03 Product Data														
	Storage Batteries	2.2	G RE												
	Voltage Drop		G RE												
	Special Tools and Spare Parts	2.7.4													
	Technical Data and Computer	1.4	G RE												
	Software														
	Training	3.6													

Access Control  S P E C DESCRIPTION C ITEM SUBMITTED  (c) (d)	P A R A G R A P H	GOVT OR A/E REVWR	( SC	CONTRACTO CHEDULE DA	R: TES	A C T	ITRACTOR ICTION		APF	PROVING AU	A C	ITY		
S P E C S E DESCRIPTION C T ITEM SUBMITTED (c) (d)	A R A G # R A P H	OVT OR A/E REVW	SC	CONTRACTO CHEDULE DA	R: TES	A C T			APF	ROVING AU	A C	ITY		
P E C C S DESCRIPTION C ITEM SUBMITTED (c) (d)	A R A G # R A P H	VT OR A / E REVW				C T I					С			
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	3.5													
SD-06 Test Reports														
	3.5	G RE												
	1.3.7	G RE												
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				1										
	1.4	G RE		1										
				<b>.</b>										
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				1	1	$\vdash$								
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		0 15	1	1										
				1		$\vdash$								
	2.40			1		$\vdash$								
	3.10			+	1									
Final Acceptance Test		G AE		+		$\vdash$								
3399	SD-07 Certificates  Equipment Qualifications SD-10 Operation and Maintenance Data Technical Data and Computer Software 330A SD-02 Shop Drawings As-Built Shop Drawings As-Built Shop Drawings SD-03 Product Data Fire Protection Related Submittals Load Calculations for Sizing Sway Bracing Components and Equipment Data Hydraulic Calculations Spare Parts Preliminary Tests Procedures Final Acceptance Test Procedures On-site Training Schedule	SD-07 Certificates  Equipment 1.4.6  Qualifications 1.3.7  SD-10 Operation and Maintenance  Data  Technical Data and Computer 1.4  Software  330A SD-02 Shop Drawings  Sprinkler System Shop Drawings  As-Built Shop Drawings  SD-03 Product Data  Fire Protection Related Submittals 3.1  Load Calculations for Sizing Sway  Bracing  Components and Equipment Data  Hydraulic Calculations 1.7  Spare Parts  Preliminary Tests Procedures  Final Acceptance Test Procedures  On-site Training Schedule  Preliminary Tests 3.10	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software 330A SD-02 Shop Drawings Sprinkler System Shop Drawings G AE As-Built Shop Drawings SD-03 Product Data Fire Protection Related Submittals 3.1 Load Calculations for Sizing Sway Bracing Components and Equipment Data G AE Hydraulic Calculations 1.7 G AE Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests SD-03 RE G AE G AE G AE G AE	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software  330A SD-02 Shop Drawings Sprinkler System Shop Drawings G AE As-Built Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Components and Equipment Data G AE Hydraulic Calculations 1.7 G AE Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests  3.10 G AE	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software Software Sprinkler System Shop Drawings Sprinkler System Shop Drawings As-Built Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Fire Protection Related Submittals Components and Equipment Data Hydraulic Calculations Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests  Preliminary Tests  3.10 G AE	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software Software Sprinkler System Shop Drawings Sprinkler System Shop Drawings As-Built Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Components and Equipment Data Hydraulic Calculations Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests  1.3.7 G AE  SAE  A AE  A A	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software Software Software Sprinkler System Shop Drawings Sprinkler System Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Fire Protection Related Submittals Components and Equipment Data Hydraulic Calculations To G AE Hydraulic Calculations Tests Procedures Final Acceptance Test Procedures G AE On-site Training Schedule Preliminary Tests 3.10 G AE	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software Solvare Solvare Sprinkler System Shop Drawings Sprinkler System Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Spracing Components and Equipment Data Hydraulic Calculations Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests Spend RE Spare Parts Preliminary Tests Spend RE Spare Parts On-site Training Schedule Preliminary Tests Spare Parts Spare Parts On-site Training Schedule Preliminary Tests Spare Parts Spare Parts Spare Parts On-site Training Schedule Spare Parts Spare Parts On-site Training Schedule Spare Parts Spare Parts On-site Training Schedule Spare Parts Spare Parts Spare Parts On-site Training Schedule Spare Parts Spar	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE SD-10 Operation and Maintenance Data Technical Data and Computer Software Software Software Software Sorinkler System Shop Drawings Sprinkler System Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Saracing Components and Equipment Data Hydraulic Calculations Sprace Parts Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests  1.4 G RE  RE  ARE  ARE  ARE  ARE  ARE  ARE  A	SD-07 Certificates  Equipment 1.4.6 G RE  Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE  Software  Software  Software  Sprinkler System Shop Drawings Sprinkler System Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Fire Protection Related Submittals Components and Equipment Data G AE Hydraulic Calculations 1.7 G AE Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule G AE Preliminary Tests 3.10 G AE	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE SD-10 Operation and Maintenance Data Technical Data and Computer 1.4 G RE Software Software Sortware Sprinkler System Shop Drawings Sprinkler System Shop Drawings Sprinkler System Shop Drawings Sprinkler System Shop Drawings SD-03 Product Data Fire Protection Related Submittals Load Calculations for Sizing Sway Bracing Components and Equipment Data Hydraulic Calculations 1.7 G AE Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule Preliminary Tests 3.10 G AE	SD-07 Certificates  Equipment 1.4.6 G RE Qualifications 1.3.7 G RE  SD-10 Operation and Maintenance Data Technical Data and Computer Software  300A SD-02 Shop Drawings Sprinkler System Shop Drawings As-Built Shop Drawings SD-03 Product Data Fire Protection Related Submittals Fire Protection Related Submittals Load Calculations for Sizing Sway Bracing Components and Equipment Data Hydraulic Calculations 1.7 G AE Spare Parts Preliminary Tests Procedures G AE On-site Training Schedule G AE Preliminary Tests J.10 G AE  Preliminary Tests J.10 G AE  Preliminary Tests J.10 G AE  Preliminary Tests J.10 G AE	SD-07 Certificates	SD-07 Certificates

TITLE A	AND	LOCATION				CONTRAC	TOR										
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A C T I V I T Y N	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	P A R A G # A P H	C L A S S I F I C A T I O N R	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	FROM	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	D	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
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$\perp$		13930A	Fire Protection Specialist		G												
$\perp$			Qualifications														
			AE														
			Sprinkler System Installer	1.9	G AE												
			Qualifications														
			SD-06 Test Reports														
			Preliminary Tests Report		G												
			AE														
_			Final Acceptance Test Report		G AE												
			SD-07 Certificates														
			Fire Protection Specialist		G AE												
			Inspection														
			SD-10 Operation and Maintenance														
$\perp$			Data														
$\perp$			Wet Pipe Sprinkler System				ļ		L								
$\perp$			FIO				ļ		L								
$\perp$		15070A	SD-02 Shop Drawings						_								
			Coupling and Bracing	3.1		1	<u> </u>		<u> </u>								
$\perp$			Flexible Couplings or Joints	3.3					_								
$\perp$			Equipment Requirements	1.3			ļ		L								
$\perp$			Contractor Designed Bracing	1.2.4	G RO		ļ		L								
$\perp$			SD-03 Product Data				ļ		<u> </u>								
$\perp$			Coupling and Bracing	3.1	G RO												
$\perp$			Equipment Requirements	1.3	G RO		ļ		L								
$\perp$			Contractor Designed Bracing	1.2.4	G RO												
			SD-07 Certificates														

TITLE A	AND	LOCATION				CONTRAC	TOR										
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A C T I V I T Y N	T R A N S M I T T A L NO	S P E C S E C T	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	CLASSIFICATION	SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER	DATE RCD FROM OTH REVIEWER	ACT-ON CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
		15070A	Flexible Ball Joints	2.2													
		15080A	SD-02 Shop Drawings														
			Mica Plates	3.2.2.4													
			SD-03 Product Data														
			General Materials	2.1													
		15182A	SD-03 Product Data														
			Refrigerant Piping System	2.3													
		15400A	SD-02 Shop Drawings														
			Plumbing System	3.9.1													
			FIO														
			Electrical Work	1.4													
			SD-03 Product Data														
			Welding	1.5.1													
			FIO]														
			Plumbing Fixture Schedule	3.10													
			FIO														
			Vibration-Absorbing Features														
			Plumbing System	3.9.1													
			SD-06 Test Reports														
			Tests, Flushing and Disinfection	3.9													
			FIO														
			Test of Backflow Prevention	3.9.1.1													
			Assemblies														
$\neg$			FIO.														
$\dashv$			SD-07 Certificates				İ										
$\top$			Materials and Equipment	1.3													
		<u> </u>	Materials and Equipment	11.0	I	<u> </u>		<u> </u>		<u> </u>	<u> </u>		L				

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			s Control														
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(a) (b	_	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)	(r)
		15400A	FIO]														
			Bolts	2.1.1													
			FIO														
			SD-10 Operation and Maintenance														
			Data														
			Plumbing System	3.9.1													
			FIO														
		15700A	SD-03 Product Data														
			Unitary Equipment	2.6													
			Spare Parts Data														
			SD-07 Certificates														
			Unitary Equipment	2.6													
			Service Organization	2.1													
			SD-10 Operation and Maintenance														
			Data														
			Operation Manuals														
			Maintenance Manuals														
	1	15895	SD-03 Product Data														
	T		Components and Equipment	2.1													
			SD-10 Operation and Maintenance														
			Data														
	T		Operating and Maintenance	3.9													
			Instructions														
	1	15990A	SD-02 Shop Drawings														
			TAB Schematic Drawings and	3.3	G RE.												
	T		Report Forms														

TITLE AI	ND L	OCATION				CONTRAC	TOR										
			ss Control														
	Ĭ				G O		CONTRACTO			NTRACTOR ACTION		APF	PROVING AU	THOR	IITY		
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		15990A	SD-03 Product Data														
	$\perp$		TAB Related HVAC Submittals	3.2													
$\perp$	_		TAB Procedures	3.5.1	G RE.												
	_		Calibration	1.4													
$\perp$	4		Systems Readiness Check	3.5.2													
$\perp$	4		TAB Execution	3.5.1	G RE.												
	4		TAB Verification	3.5.4	G RE.												
	_		SD-06 Test Reports														
	_		Design Review Report	3.1	G RE.												
	_		Systems Readiness Check	3.5.2	G RE.												
	_		TAB Report	3.5.3	G RE.												
	_		TAB Verification Report	3.5.4	G RE.												
	_		SD-07 Certificates														
$\bot$	4		TAB Firm	1.5.1	G RE.												
$\bot$	$\dashv$		TAB Specialist	1.5.2	G RE.												
	_	16070A	SD-02 Shop Drawings														
	$\dashv$		Lighting Fixtures in Buildings	3.2													
$\bot$	$\dashv$		Equipment Requirements	1.4													
$\bot$	$\dashv$		SD-03 Product Data														
$\bot$	$\dashv$		Lighting Fixtures in Buildings	3.2	G RE												
$\bot$	_		Equipment Requirements	1.4	G RE												
$\perp$	$\dashv$		Contractor Designed Bracing	1.3.4	G RE												
$\bot$		16264A	SD-02 Shop Drawings														
$\bot$	_		Layout		G RE												
$\bot$	$\dashv$		Drawings		G RE									_			
			Acceptance	3.9	G RE												

TITLE AN	ND I	LOCATION				CONTRAC	TOR										
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### SECTION 01355A

### ENVIRONMENTAL PROTECTION 02/02

### PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

U.S. ARMY (DA)

AR 200-5 Pest Management

### U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

33 CFR 328	Definitions
40 CFR 68	Chemical Accident Prevention Provisions
40 CFR 152 - 186	Pesticide Programs
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 279	Standards for the Management of Used Oil
40 CFR 302	Designation, Reportable Quantities, and Notification
40 CFR 355	Emergency Planning and Notification
49 CFR 171 - 178	Hazardous Materials Regulations
U.S. ARMY CORPS OF ENGI	NEERS (USACE)

### 1.2 DEFINITIONS

WETLAND MANUAL

EM 385-1-1

(1996) U.S. Army Corps on Engineers Safety

Corps of Engineers Wetlands Delineation

and Health Requirements Manual

Manual Technical Report Y-87-1

### 1.2.1 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally and/or historically.

#### 1.2.2 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

#### 1.2.3 Contractor Generated Hazardous Waste

Contractor generated hazardous waste means materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene etc.), waste thinners, excess paints, excess solvents, waste solvents, and excess pesticides, and contaminated pesticide equipment rinse water.

#### 1.2.4 Installation Pest Management Coordinator

Installation Pest Management Coordinator (IPMC) is the individual officially designated by the Installation Commander to oversee the Installation Pest Management Program and the Installation Pest Management Plan.

#### 1.2.4 Project Pesticide Coordinator

The Project Pesticide Coordinator (PPC) is an individual that resides at a Civil Works Project office and that is responsible for oversight of pesticide application on Project grounds.

#### 1.2.5 Land Application for Discharge Water

The term "Land Application" for discharge water implies that the Contractor shall discharge water at a rate which allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" shall occur. Land Application shall be in compliance with all applicable Federal, State, and local laws and regulations.

#### 1.2.6 Pesticide

Pesticide is defined as any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant or desiccant.

### 1.2.7 Pests

The term "pests" means arthropods, birds, rodents, nematodes, fungi, bacteria, viruses, algae, snails, marine borers, snakes, weeds and other organisms (except for human or animal disease-causing organisms) that adversely affect readiness, military operations, or the well-being of personnel and animals; attack or damage real property, supplies, equipment, or vegetation; or are otherwise undesirable.

#### 1.2.8 Surface Discharge

The term "Surface Discharge" implies that the water is discharged with possible sheeting action and subsequent soil erosion may occur. Waters that are surface discharged may terminate in drainage ditches, storm sewers, creeks, and/or "waters of the United States" and would require a permit to discharge water from the governing agency.

#### 1.2.9 Waters of the United States

All waters which are under the jurisdiction of the Clean Water Act, as defined in 33 CFR 328.

#### 1.2.10 Wetlands

Wetlands means those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, and bogs. Official determination of whether or not an area is classified as a wetland must be done in accordance with WETLAND MANUAL.

#### 1.3 GENERAL REQUIREMENTS

The Contractor shall minimize environmental pollution and damage that may occur as the result of construction operations. The environmental resources within the project boundaries and those affected outside the limits of permanent work shall be protected during the entire duration of this contract. The Contractor shall comply with all applicable environmental Federal, State, and local laws and regulations. The Contractor shall be responsible for any delays resulting from failure to comply with environmental laws and regulations.

#### SUBCONTRACTORS

The Contractor shall ensure compliance with this section by subcontractors.

#### PAYMENT 1.5

No separate payment will be made for work covered under this section. Contractor shall be responsible for payment of fees associated with environmental permits, application, and/or notices obtained by the Contractor. All costs associated with this section shall be included in the contract price. The Contractor shall be responsible for payment of all fines/fees for violation or non-compliance with Federal, State, Regional and local laws and regulations.

#### 1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation;

Force Protection Plan Phase II (Access Control Points)
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submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Environmental Protection Plan; G, RE

The environmental protection plan.

### 1.7 ENVIRONMENTAL PROTECTION PLAN

Prior to commencing construction activities or delivery of materials to the site, the Contractor shall submit an Environmental Protection Plan for review and approval by the Contracting Officer. The purpose of the Environmental Protection Plan is to present a comprehensive overview of known or potential environmental issues which the Contractor must address during construction. Issues of concern shall be defined within the Environmental Protection Plan as outlined in this section. The Contractor shall address each topic at a level of detail commensurate with the environmental issue and required construction task(s). Topics or issues which are not identified in this section, but which the Contractor considers necessary, shall be identified and discussed after those items formally identified in this section. Prior to submittal of the Environmental Protection Plan, the Contractor shall meet with the Contracting Officer for the purpose of discussing the implementation of the initial Environmental Protection Plan; possible subsequent additions and revisions to the plan including any reporting requirements; and methods for administration of the Contractor's Environmental Plans. The Environmental Protection Plan shall be current and maintained onsite by the Contractor.

## 1.7.1 Compliance

No requirement in this Section shall be construed as relieving the Contractor of any applicable Federal, State, and local environmental protection laws and regulations. During Construction, the Contractor shall be responsible for identifying, implementing, and submitting for approval any additional requirements to be included in the Environmental Protection Plan.

### 1.7.2 Contents

The environmental protection plan shall include, but shall not be limited to, the following:

- a. Name(s) of person(s) within the Contractor's organization who is(are) responsible for ensuring adherence to the Environmental Protection Plan.
- b. Name(s) and qualifications of person(s) responsible for manifesting hazardous waste to be removed from the site, if applicable.
- c. Name(s) and qualifications of person(s) responsible for training the Contractor's environmental protection personnel.
- d. Description of the Contractor's environmental protection personnel training program.

- e. An erosion and sediment control plan which identifies the type and location of the erosion and sediment controls to be provided. The plan shall include monitoring and reporting requirements to assure that the control measures are in compliance with the erosion and sediment control plan, Federal, State, and local laws and regulations.
- f. Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, material storage areas, structures, sanitary facilities, and stockpiles of excess or spoil materials including methods to control runoff and to contain materials on the site.
- g. Traffic control plans including measures to reduce erosion of temporary roadbeds by construction traffic, especially during wet weather. Plan shall include measures to minimize the amount of mud transported onto paved public roads by vehicles or runoff.
- h. Work area plan showing the proposed activity in each portion of the area and identifying the areas of limited use or nonuse. Plan should include measures for marking the limits of use areas including methods for protection of features to be preserved within authorized work areas.
- i. Drawing showing the location of borrow areas.
- j. The Spill Control plan shall include the procedures, instructions, and reports to be used in the event of an unforeseen spill of a substance regulated by 40 CFR 68, 40 CFR 302, 40 CFR 355, and/or regulated under State or Local laws and regulations. The Spill Control Plan supplements the requirements of EM 385-1-1. This plan shall include as a minimum:
  - 1. The name of the individual who will report any spills or hazardous substance releases and who will follow up with complete documentation. This individual shall immediately notify the Contracting Officer, Facility Fire Department and Facility Environmental Office in addition to the legally required Federal, State, and local reporting channels (including the National Response Center 1-800-424-8802) if a reportable quantity is released to the environment. The plan shall contain a list of the required reporting channels and telephone numbers.
  - 2. The name and qualifications of the individual who will be responsible for implementing and supervising the containment and cleanup.
  - 3. Training requirements for Contractor's personnel and methods of accomplishing the training.
  - 4. A list of materials and equipment to be immediately available at the job site, tailored to cleanup work of the potential hazard(s) identified.
  - 5. The names and locations of suppliers of containment materials and locations of additional fuel oil recovery, cleanup, restoration, and material-placement equipment available in case of an unforeseen spill emergency.
  - 6. The methods and procedures to be used for expeditious contaminant cleanup.

- k. A non-hazardous solid waste disposal plan identifying methods and locations for solid waste disposal including clearing debris. The plan shall include schedules for disposal. The Contractor shall identify any subcontractors responsible for the transportation and disposal of solid waste. Licenses or permits shall be submitted for solid waste disposal sites that are not a commercial operating facility. Evidence of the disposal facility's acceptance of the solid waste shall be attached to this plan during the construction. The Contractor shall attach a copy of each of the Non-hazardous Solid Waste Diversion Reports to the disposal plan. The report shall be submitted on the first working day after the first quarter that non-hazardous solid waste has been disposed and/or diverted and shall be for the previous quarter (e.g. the first working day of January, April, July, and October). The report shall indicate the total amount of waste generated and total amount of waste diverted in cubic yards or tons along with the percent that was diverted.
- 1. A recycling and solid waste minimization plan with a list of measures to reduce consumption of energy and natural resources. The plan shall detail the Contractor's actions to comply with and to participate in Federal, State, Regional, and local government sponsored recycling programs to reduce the volume of solid waste at the source.
- m. An air pollution control plan detailing provisions to assure that dust, debris, materials, trash, etc., do not become air borne and travel off the project site.
- n. A contaminant prevention plan that: identifies potentially hazardous substances to be used on the job site; identifies the intended actions to prevent introduction of such materials into the air, water, or ground; and details provisions for compliance with Federal, State, and local laws and regulations for storage and handling of these materials. In accordance with EM 385-1-1, a copy of the Material Safety Data Sheets (MSDS) and the maximum quantity of each hazardous material to be on site at any given time shall be included in the contaminant prevention plan. As new hazardous materials are brought on site or removed from the site, the plan shall be updated.
- o. A waste water management plan that identifies the methods and procedures for management and/or discharge of waste waters which are directly derived from construction activities, such as concrete curing water, clean-up water, dewatering of ground water, disinfection water, hydrostatic test water, and water used in flushing of lines. If a settling/retention pond is required, the plan shall include the design of the pond including drawings, removal plan, and testing requirements for possible pollutants. If land application will be the method of disposal for the waste water, the plan shall include a sketch showing the location for land application along with a description of the pretreatment methods to be implemented. If surface discharge will be the method of disposal, a copy of the permit and associated documents shall be included as an attachment prior to discharging the waste water. If disposal is to a sanitary sewer, the plan shall include documentation that the Waste Water Treatment Plant Operator has approved the flow rate, volume, and type of discharge.
- p. A historical, archaeological, cultural resources biological resources and wetlands plan that defines procedures for identifying and protecting historical, archaeological, cultural resources, biological

resources and wetlands known to be on the project site: and/or identifies procedures to be followed if historical archaeological, cultural resources, biological resources and wetlands not previously known to be onsite or in the area are discovered during construction. The plan shall include methods to assure the protection of known or discovered resources and shall identify lines of communication between Contractor personnel and the Contracting Officer.

q. A pesticide treatment plan shall be included and updated, as information becomes available. The plan shall include: sequence of treatment, dates, times, locations, pesticide trade name, EPA registration numbers, authorized uses, chemical composition, formulation, original and applied concentration, application rates of active ingredient (i.e. pounds of active ingredient applied), equipment used for application and calibration of equipment. The Contractor is responsible for Federal, State, Regional and Local pest management record keeping and reporting requirements as well as any additional Installation Project Office specific requirements. The Contractor shall follow AR 200-5 Pest Management, Chapter 2, Section III "Pest Management Records and Reports" for data required to be reported to the Installation.

#### 1.7.3 Appendix

Copies of all environmental permits, permit application packages, approvals to construct, notifications, certifications, reports, and termination documents shall be attached, as an appendix, to the Environmental Protection Plan.

#### 1.8 PROTECTION FEATURES

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, the Contractor and the Contracting Officer shall make a joint condition survey. Immediately following the survey, the Contractor shall prepare a brief report including a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. This survey report shall be signed by both the the Contractor and the Contracting Officer upon mutual agreement as to its accuracy and completeness. The Contractor shall protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference which their preservation may cause to the Contractor's work under the contract.

#### 1.9 OMITTED

#### ENVIRONMENTAL ASSESSMENT OF CONTRACT DEVIATIONS 1.10

Any deviations, requested by the Contractor, from the drawings, plans and specifications which may have an environmental impact will be subject to approval by the Contracting Officer and may require an extended review, processing, and approval time. The Contracting Officer reserves the right to disapprove alternate methods, even if they are more cost effective, if the Contracting Officer determines that the proposed alternate method will have an adverse environmental impact.

#### 1.11 NOTIFICATION

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with Federal, State or local environmental laws or regulations, permits, and other elements of the Contractor's Environmental Protection plan. The Contractor shall, after receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions shall be granted or equitable adjustments allowed to the Contractor for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

### PART 2 PRODUCTS (NOT USED)

#### PART 3 EXECUTION

### 3.1 ENVIRONMENTAL PERMITS AND COMMITMENTS

The Contractor shall be responsible for obtaining and complying with all environmental permits and commitments required by Federal, State, Regional, and local environmental laws and regulations.

### 3.2 LAND RESOURCES

The Contractor shall confine all activities to areas defined by the drawings and specifications. Prior to the beginning of any construction, the Contractor shall identify any land resources to be preserved within the work area. Except in areas indicated on the drawings or specified to be cleared, the Contractor shall not remove, cut, deface, injure, or destroy land resources including trees, shrubs, vines, grasses, topsoil, and land forms without approval. No ropes, cables, or guys shall be fastened to or attached to any trees for anchorage unless specifically authorized. The Contractor shall provide effective protection for land and vegetation resources at all times as defined in the following subparagraphs. Stone, soil, or other materials displaced into uncleared areas shall be removed by the Contractor.

## 3.2.1 Work Area Limits

Prior to commencing construction activities, the Contractor shall mark the areas that need not be disturbed under this contract. Isolated areas within the general work area which are not to be disturbed shall be marked or fenced. Monuments and markers shall be protected before construction operations commence. Where construction operations are to be conducted during darkness, any markers shall be visible in the dark. The Contractor's personnel shall be knowledgeable of the purpose for marking and/or protecting particular objects.

### 3.2.2 Landscape

Trees, shrubs, vines, grasses, land forms and other landscape features indicated and defined on the drawings to be preserved shall be clearly identified by marking, fencing, or wrapping with boards, or any other approved techniques. The Contractor shall restore landscape features damaged or destroyed during construction operations outside the limits of

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the approved work area.

## Erosion and Sediment Controls

The Contractor shall be responsible for providing erosion and sediment control measures in accordance with Federal, State, and local laws and regulations. The erosion and sediment controls selected and maintained by the Contractor shall be such that water quality standards are not violated as a result of the Contractor's construction activities. The area of bare soil exposed at any one time by construction operations should be kept to a minimum. The Contractor shall construct or install temporary and permanent erosion and sediment control best management practices (BMPs) as indicated on the drawings. BMPs may include, but not be limited to, vegetation cover, stream bank stabilization, slope stabilization, silt fences, construction of terraces, interceptor channels, sediment traps, inlet and outfall protection, diversion channels, and sedimentation basins. The Contractor's best management practices shall also be in accordance with the NCDNER National Pollutant Discharge Elimination System (NPDES) Storm Water Pollution Prevention Plan (SWPPP) which may be reviewed at the Fort Bragg Environmental Office. Any temporary measures shall be removed after the area has been stabilized.

#### 3.2.4 Contractor Facilities and Work Areas

The Contractor's field offices, staging areas, stockpile storage, and temporary buildings shall be placed in areas designated on the drawings or as directed by the Contracting Officer. Temporary movement or relocation of Contractor facilities shall be made only when approved. Erosion and sediment controls shall be provided for on-site borrow and spoil areas to prevent sediment from entering nearby waters. Temporary excavation and embankments for plant and/or work areas shall be controlled to protect adjacent areas.

#### 3.3 WATER RESOURCES

The Contractor shall monitor construction activities to prevent pollution of surface and ground waters. Toxic or hazardous chemicals shall not be applied to soil or vegetation unless otherwise indicated. All water areas affected by construction activities shall be monitored by the Contractor. For construction activities immediately adjacent to impaired surface waters, the Contractor shall be capable of quantifying sediment or pollutant loading to that surface water when required by State or Federally issued Clean Water Act permits.

#### 3.3.1 Cofferdams, Diversions, and Dewatering Operations

Construction operations for dewatering shall be controlled at all times to maintain compliance with existing State water quality standards and designated uses of the surface water body. The Contractor shall comply with North Carolina water quality standards and anti-degradation provisions.

#### 3.3.2 Omitted

#### 3.3.3 Omitted

#### 3.4 AIR RESOURCES

Equipment operation, activities, or processes performed by the Contractor shall be in accordance with all Federal and State air emission and

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performance laws and standards.

### 3.4.1 Particulates

Dust particles; aerosols and gaseous by-products from construction activities; and processing and preparation of materials, such as from asphaltic batch plants; shall be controlled at all times, including weekends, holidays and hours when work is not in progress. The Contractor shall maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates which would cause the Federal, State, and local air pollution standards to be exceeded or which would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp at all times. The Contractor must have sufficient, competent equipment available to accomplish these tasks. Particulate control shall be performed as the work proceeds and whenever a particulate nuisance or hazard occurs. The Contractor shall comply with all State and local visibility regulations.

## 3.4.2 Odors

Odors from construction activities shall be controlled at all times. The odors shall not cause a health hazard and shall be in compliance with State regulations and/or local ordinances.

### 3.4.3 Sound Intrusions

The Contractor shall keep construction activities under surveillance and control to minimize environment damage by noise. The Contractor shall comply with the provisions of the State of North Carolina rules.

### 3.4.4 Burning

Burning shall be prohibited on the Government premises.

### 3.5 OMITTED

### 3.6 CHEMICAL MATERIALS MANAGEMENT AND WASTE DISPOSAL

Disposal of wastes shall be as directed below, unless otherwise specified in other sections and/or shown on the drawings.

### 3.6.1 Solid Wastes

Solid wastes (excluding clearing debris) shall be placed in containers which are emptied on a regular schedule. Handling, storage, and disposal shall be conducted to prevent contamination. Segregation measures shall be employed so that no hazardous or toxic waste will become co-mingled with solid waste. The Contractor shall transport solid waste off Government property and dispose of it in compliance with Federal, State, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill shall be the minimum acceptable off-site solid waste disposal option. The Contractor shall verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate.

### 3.6.2 Chemicals and Chemical Wastes

Chemicals shall be dispensed ensuring no spillage to the ground or water. Periodic inspections of dispensing areas to identify leakage and initiate corrective action shall be performed and documented. This documentation will be periodically reviewed by the Government. Chemical waste shall be collected in corrosion resistant, compatible containers. Collection drums shall be monitored and removed to a staging or storage area when contents are within 6 inches of the top. Wastes shall be classified, managed, stored, and disposed of in accordance with Federal, State, and local laws and regulations.

#### 3.6.3 Contractor Generated Hazardous Wastes/Excess Hazardous Materials

Hazardous wastes are defined in 40 CFR 261, or are as defined by applicable State and local regulations. Hazardous materials are defined in 49 CFR 171 - 178. The Contractor shall, at a minimum, manage and store hazardous waste in compliance with 40 CFR 262 and shall manage and store hazardous waste in accordance with the Installation. The Contractor shall take sufficient measures to prevent spillage of hazardous and toxic materials during dispensing. The Contractor shall segregate hazardous waste from other materials and wastes, shall protect it from the weather by placing it in a safe covered location, and shall take precautionary measures such as berming or other appropriate measures against accidental spillage. The Contractor shall be responsible for storage, describing, packaging, labeling, marking, and placarding of hazardous waste and hazardous material in accordance with 49 CFR 171 - 178, State, and local laws and regulations. The Contractor shall transport Contractor generated hazardous waste off Government property within 60 days in accordance with the Environmental Protection Agency and the Department of Transportation laws and regulations. The Contractor shall dispose of hazardous waste in compliance with Federal, State and local laws and regulations. Spills of hazardous or toxic materials shall be immediately reported to the Contracting Officer and the Facility Environmental Office. Cleanup and cleanup costs due to spills shall be the Contractor's responsibility. The disposition of Contractor generated hazardous waste and excess hazardous materials are the Contractor's responsibility.

#### 3.6.4 Fuel and Lubricants

Storage, fueling and lubrication of equipment and motor vehicles shall be conducted in a manner that affords the maximum protection against spill and evaporation. Fuel, lubricants and oil shall be managed and stored in accordance with all Federal, State, Regional, and local laws and regulations. Used lubricants and used oil to be discarded shall be stored in marked corrosion-resistant containers and recycled or disposed in accordance with 40 CFR 279, State, and local laws and regulations. Storage of fuel on the project site shall be accordance with all Federal, State, and local laws and regulations.

#### 3.6.5 Waste Water

Disposal of waste water shall be as specified below.

a. Waste water from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, forms, etc. shall not be allowed to enter water ways or to be discharged prior to being treated to remove pollutants. The Contractor shall dispose of the construction related waste water off-Government property in

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accordance with all Federal, State, Regional and Local laws and regulations.

- b. For discharge of ground water, the Contractor shall surface discharge in accordance with all Federal, State, and local laws and regulations.
- c. Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing discharged into the sanitary sewer with prior approval and/or notification to the Waste Water Treatment Plant's Operator.

### 3.7 RECYCLING AND WASTE MINIMIZATION

The Contractor shall participate in State and local government sponsored recycling programs. The Contractor is further encouraged to minimize solid waste generation throughout the duration of the project.

### 3.8 NON-HAZARDOUS SOLID WASTE DIVERSION REPORT

The Contractor shall maintain an inventory of non-hazardous solid waste diversion and disposal of construction and demolition debris. The Contractor shall submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that non-hazardous solid waste has been generated. The following shall be included in the report:

- a. Construction and Demolition (C&D) Debris Disposed = in cubic yards or tons, as appropriate.
- b. Construction and Demolition (C&D) Debris Recycled = in cubic yards or tons, as appropriate.
- c. Total C&D Debris Generated = in cubic yards or tons, as appropriate.
- d. Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount) = in cubic yards or tons, as appropriate.

### 3.9 HISTORICAL, ARCHAEOLOGICAL, AND CULTURAL RESOURCES

If during excavation or other construction activities any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, all activities that may damage or alter such resources shall be temporarily suspended. Resources covered by this paragraph include but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, the Contractor shall immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. The Contractor shall cease all activities that may result in impact to or the destruction of these resources. The Contractor shall secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources.

### 3.10 BIOLOGICAL RESOURCES

The Contractor shall minimize interference with, disturbance to, and damage to fish, wildlife, and plants including their habitat. The Contractor shall be responsible for the protection of threatened and endangered animal and plant species including their habitat in accordance with Federal, State, Regional, and local laws and regulations.

### 3.11 INTEGRATED PEST MANAGEMENT

In order to minimize impacts to existing fauna and flora, the Contractor, through the Contracting Officer, shall coordinate with the Installation Pest Management Coordinator (IPMC) or Project Pesticide Coordinator (PPC) at the earliest possible time prior to pesticide application. The Contractor shall discuss integrated pest management strategies with the IPMC or PPC and receive concurrence from the IPMC or PPC through the COR prior to the application of any pesticide associated with these specifications. Installation Project Office Pest Management personnel shall be given the opportunity to be present at all meetings concerning treatment measures for pest or disease control and during application of the pesticide. The use and management of pesticides are regulated under 40 CFR 152 - 186.

### 3.11.1 Pesticide Delivery and Storage

Pesticides shall be delivered to the site in the original, unopened containers bearing legible labels indicating the EPA registration number and the manufacturer's registered uses. Pesticides shall be stored according to manufacturer's instructions and under lock and key when unattended.

### 3.11.2 Oualifications

For the application of pesticides, the Contractor shall use the services of a subcontractor whose principal business is pest control. The subcontractor shall be licensed and certified in the state where the work is to be performed.

### 3.11.3 Pesticide Handling Requirements

The Contractor shall formulate, treat with, and dispose of pesticides and associated containers in accordance with label directions and shall use the clothing and personal protective equipment specified on the labeling for use during all phases of the application. Material Safety Data Sheets (MSDS)shall be available for all pesticide products.

### 3.11.4 Application

Pesticides shall be applied by a State Certified Pesticide Applicator in accordance with EPA label restrictions and recommendation. The Certified Applicator shall wear clothing and personal protective equipment as specified on the pesticide label. Water used for formulating shall only come from locations designated by the Contracting Officer. The Contractor shall not allow the equipment to overflow. Prior to application of pesticide, all equipment shall be inspected for leaks, clogging, wear, or damage and shall be repaired prior to being used.

### 3.12 PREVIOUSLY USED EQUIPMENT

The Contractor shall clean all previously used construction equipment prior

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to bringing it onto the project site. The Contractor shall ensure that the equipment is free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. The Contractor shall consult with the USDA jurisdictional office for additional cleaning requirements.

#### 3.13 MAINTENANCE OF POLLUTION FACILITIES

The Contractor shall maintain permanent and temporary pollution control facilities and devices for the duration of the contract or for that length of time construction activities create the particular pollutant.

### 3.14 MILITARY MUNITIONS

In the event the Contractor discovers or uncovers military munitions as defined in 40 CFR 260, the Contractor shall immediately stop work in that area and immediately inform the Contracting Officer.

#### 3.15 TRAINING OF CONTRACTOR PERSONNEL

The Contractor's personnel shall be trained in all phases of environmental protection and pollution control. The Contractor shall conduct environmental protection/pollution control meetings for all Contractor personnel prior to commencing construction activities. Additional meetings shall be conducted for new personnel and when site conditions change. The training and meeting agenda shall include: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, wetlands, and endangered species and their habitat that are known to be in the area.

#### 3.16 OMITTED

#### 3.17 POST CONSTRUCTION CLEANUP

The Contractor shall clean up all areas used for construction in accordance with Contract Clause: "Cleaning Up". The Contractor shall, unless otherwise instructed in writing by the Contracting Officer, obliterate all signs of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. The disturbed area shall be graded, filled and the entire area seeded unless otherwise indicated.

-- End of Section --

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SECTION 01420

SOURCES FOR REFERENCE PUBLICATIONS

08/02

## PART 1 GENERAL

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### SECTION 01420

# SOURCES FOR REFERENCE PUBLICATIONS 08/02

### PART 1 GENERAL

#### 1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization, (e.g. ASTM B 564 Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

### 1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided. Documents listed in the specifications with numbers which were not assigned by the standards producing organization should be ordered from the source by title rather than by number.

ACI INTERNATIONAL (ACI)

P.O. Box 9094

Farmington Hills, MI 48333-9094

Ph: 248-848-3700 Fax: 248-848-3701

Internet: http://www.aci-int.org

AIR CONDITIONING AND REFRIGERATION INSTITUTE (ARI)

4301 North Fairfax Dr., Suite 425

ATTN: Pubs Dept.
Arlington, VA 22203
Ph: 703-524-8800
Fax: 703-528-3816
E-mail: ari@ari.org

Internet: http://www.ari.org

AIR CONDITIONING CONTRACTORS OF AMERICA (ACCA)

2800 Shirlington Road, Suite 300

Arlington, VA 22206 Ph: 703-575-4477 FAX: 703-575-4449

Internet: http://www.acca.org

AIR DIFFUSION COUNCIL (ADC)

1000 East Woodfield Road, Suite 102

Shaumburg, IL 60173-5921

Ph: 847-706-6750 Fax: 847-706-6751

Internet: http://www.flexibleduct.org

AIR MOVEMENT AND CONTROL ASSOCIATION (AMCA)

30 W. University Dr.

Arlington Heights, IL 60004-1893

Ph: 847-394-0150 Fax: 847-253-0088

Internet: http://www.amca.org

ALUMINUM ASSOCIATION (AA)

900 19th Street N.W. Washington, DC 20006 Ph: 202-862-5100

Ph: 202-862-5100 Fax: 202-862-5164

Internet: http://www.aluminum.org

AMERICAN ARCHITECTURAL MANUFACTURERS ASSOCIATION (AAMA)

1827 Walden Ofc. Sq.

Suite 104

Schaumburg, IL 60173-4268

Ph: 847-303-5664 Fax: 847-303-5774

Internet: http://www.aamanet.org

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS

(AASHTO)

444 N. Capital St., NW, Suite 249

Washington, DC 20001

Ph: 800-231-3475 202-624-5800 Fax: 800-525-5562 202-624-5806 Internet: http://www.aashto.org

AMERICAN ASSOCIATION OF TEXTILE CHEMISTS AND COLORISTS (AATCC)

P.O. Box 12215

Research Triangle Park, NC 27709-2215

Ph: 919-549-8141 Fax: 919-549-8933

Internet: http://www.aatcc.org

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

2025 M Street, NW, Suite 800

Washington, DC 20036 Ph: 202-367-1155 Fax: 202-367-2155

Internet: http://www.abma-dc.org

AMERICAN BOILER MANUFACTURERS ASSOCIATION (ABMA)

4001 North 9th Street, Suite 226

Arlington, VA 22203-1900

Ph: 703-522-7350 Fax: 703-522-2665

Internet: http://www.abma.com

AMERICAN CONCRETE PIPE ASSOCIATION (ACPA)

222 West Las Colinas Blvd., Suite 641

Irving, TX 75039-5423

Ph: 972-506-7216 or 800-290-2272

Fax: 972-506-7682

Internet: http://www.concrete-pipe.org

e-mail: info@concrete-pipe.org

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

1330 Kemper Meadow Dr.

Suite 600

Cincinnati, OH 45240 513-742-2020 Ph: Fax: 513-742-3355

Internet: http://www.acgih.org

E-mail: pubs@acgih.org

AMERICAN FOREST & PAPER ASSOCIATION (AF&PA)

American Wood Council ATTN: Publications Dept.

1111 Nineteenth St. NW, Suite 800

Washington, DC 20036

Ph: 800-294-2372 or 202-463-2700

Fax: 202-463-2471

Internet: http://www.afandpa.org/awc/

AMERICAN GAS ASSOCIATION (AGA)

400 N. Capitol St. N.W.Suite 450

Washington, D.C. 20001

Ph: 202-824-7000 Fax: 202-824-7115

Internet: http://www.aga.org

AMERICAN GAS ASSOCIATION LABORATORIES (AGAL)

400 N. Capitol St. N.W.Suite 450

Washington, D.C. 20001

Ph: 202-824-7000 Fax: 202-824-7115

Internet: http://www.aga.org

AMERICAN GEAR MANUFACTURERS ASSOCIATION (AGMA)

1500 King St., Suite 201

Alexandria, VA 22314-2730

703-684-0211 Fax: 703-684-0242

Internet: http://www.agma.org

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

One East Wacker Dr., Suite 3100

Chicago, IL 60601-2001

Ph: 312-670-2400

Publications: 800-644-2400

Fax: 312-670-5403

Internet: http://www.aisc.org

AMERICAN INSTITUTE OF TIMBER CONSTRUCTION (AITC)

7012 So. Revere Parkway, Suite 140

Englewood, CO 80112 303-792-9559 Ph:

Fax: 303-792-0669

Internet: http://www.aitc-glulam.org

AMERICAN IRON AND STEEL INSTITUTE (AISI)

1101 17th St., NW Suite 1300

Washington, DC 20036

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Ph: 202-452-7100

Internet: http://www.steel.org

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

1819 L Street, NW, 6th Floor

Washington, DC 20036 Ph: 202-293-8020 Fax: 202-293-9287

Internet: http://www.ansi.org/

Note --- Documents beginning with the letter "S" can be ordered

from:

Acoustical Society of America

Standards and Publications Fulfillment Center

P. O. Box 1020

Sewickley, PA 15143-9998

Ph: 412-741-1979 Fax: 412-741-0609

Internet: http://asa.aip.org
General e-mail: asa@aip.org

Publications e-mail: asapubs@abdintl.com

AMERICAN NURSERY AND LANDSCAPE ASSOCIATION (ANLA)

1250 I St., NW, Suite 500 Washington, DC 20005-3922

Ph: 202-789-2900 FAX: 202-789-1893

Internet: http://www.anla.org

AMERICAN PETROLEUM INSTITUTE (API)

1220 L St., NW

Washington, DC 20005-4070

Ph: 202-682-8000 Fax: 202-682-8223

Internet: http://www.api.org

AMERICAN PUBLIC HEALTH ASSOCIATION (APHA)

800 I Street, NW Washington, DC 20001 PH: 202-777-2742 FAX: 202-777-2534

Internet: http://www.apha.org

AMERICAN RAILWAY ENGINEERING & MAINTENANCE-OF-WAY ASSOCIATION

(AREMA)

8201 Corporate Dr., Suite 1125

Landover, MD 20785-2230

Ph: 301-459-3200 Fax: 301-459-8077

Internet: http://www.arema.org

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)

1711 Arlingate Lane

P.O. Box 28518

Columbus, OH 43228-0518

Ph: 800-222-2768 Fax: 614-274-6899

Internet: http://www.asnt.org

AMERICAN SOCIETY FOR QUALITY (ASQ)

600 North Plankinton Avenue Milwaukee, WI 53202-3005

Ph: 800-248-1946 Fax: 414-272-1734

Internet: http://www.asq.org

ASTM INTERNATIONAL (ASTM)

100 Barr Harbor Drive

West Conshohocken, PA 19428-2959

Ph: 610-832-9585 Fax: 610-832-9555

Internet: http://www.astm.org

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

1801 Alexander Bell Drive Reston, VA 20191-4400

Ph: 703-295-6300 - 800-548-2723

Fax: 703-295-6222

Internet: http://www.asce.org
e-mail: marketing@asce.org

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AMERICAN WOOD-PRESERVERS' ASSOCIATION (AWPA)

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Newark, NY 14513-0539

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NOTE --- ML/SFA has merged with NAAMM.

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UFGS-01451A (May 2002)

#### SECTION 01451A

# CONTRACTOR QUALITY CONTROL 05/02

# PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

# AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 3740	(2001) Minimum Requirements for Agencies
	Engaged in the Testing and/or Inspection
	of Soil and Rock as Used in Engineering
	Design and Construction
ASTM E 329	(2000b) Agencies Engaged in the Testing
	and/or Inspection of Materials Used in
	Construction

# 1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program, and all costs associated therewith shall be included in the applicable unit prices or lump-sum prices contained in the Bidding Schedule.

PART 2 PRODUCTS (Not Applicable)

# PART 3 EXECUTION

# 3.1 GENERAL REQUIREMENTS

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause titled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product which complies with the contract requirements. The system shall cover all construction operations, both onsite and offsite, and shall be keyed to the proposed construction sequence. The site project superintendent will be held responsible for the quality of work on the job and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the contract. The site project superintendent in this context shall be the highest level manager responsible for the overall construction activities at the site, including quality and production. The site project superintendent shall maintain a physical presence at the site at all times, except as otherwise acceptable to the Contracting Officer, and shall be responsible for all construction and construction related activities at the site.

# 3.2 QUALITY CONTROL PLAN

The Contractor shall furnish for review by the Government, not later than 30 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause titled "Inspection of Construction." The plan shall identify personnel, procedures, control, instructions, tests, records, and forms to be used. The Government will consider an interim plan for the first 30 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

# 3.2.1 Content of the CQC Plan

The CQC Plan shall include, as a minimum, the following to cover all design and constructionconstruction operations, both onsite and offsite, including work by subcontractors, fabricators, suppliers, and purchasing agents subcontractors, designers of record, consultants, architect/engineers (AE), fabricators, suppliers, and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC System Manager who shall report to the project superintendent.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agentssubcontractors, designers of record, consultants, architect engineers (AE), offsite fabricators, suppliers, and purchasing agents. These procedures shall be in accordance with Section 01330 SUBMITTAL PROCEDURES.
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities must be approved by the Contracting Officer.)
- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests

including documentation.

- g. Procedures for tracking constructiondesign and construction deficiencies from identification through acceptable corrective action. These procedures shall establish verification that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and may be identified by different trades or disciplines, or it may be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

#### 3.2.2 Omitted

### 3.2.3 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of constructiondesign and construction. Acceptance is conditional and will be predicated on satisfactory performance during the constructiondesign and construction. The Government reserves the right to require the Contractor to make changes in his CQC Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

# 3.2.4 Notification of Changes

After acceptance of the CQC Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

# 3.3 COORDINATION MEETING

After the Preconstruction Conference, and prior to acceptance by the Government of the CQC Plan, the Contractor shall meet with the Contracting Officer or Authorized Representative and discuss the Contractor's quality control system. The CQC Plan shall be submitted for review a minimum of 15 calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC operationsoperations, design activities, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures which may require corrective action by the Contractor.

# 3.4 QUALITY CONTROL ORGANIZATION

# 3.4.1 Personnel Requirements

The requirements for the CQC organization are a CQC System Manager, and sufficient number of additional qualified personnel to ensure safety and contract compliance. The Safety and Health Manager shall receive direction and authority from the CQC System Manager and shall serve as a member of the CQC staff. Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff shall maintain a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure contract compliance. The CQC staff shall be subject to acceptance by the Contracting Officer. The Contractor shall provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Complete records of all letters, material submittals, show drawing submittals, schedules and all other project documentation shall be promptly furnished to the CQC organization by the Contractor. The CQC organization shall be responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

# 3.4.2 CQC System Manager

The Contractor shall identify as CQC System Manager an individual within the onsite work organization who shall be responsible for overall management of CQC and have the authority to act in all CQC matters for the Contractor. The CQC System Manager shall be a graduate engineer, graduate architect, or a graduate of construction management, with a minimum of 2 years construction experience on construction similar to this contract. This CQC System Manager shall be on the site at all times during construction and shall be employed by the prime Contractor. The CQC System Manager shall be assigned no other duties. An alternate for the CQC System Manager shall be identified in the plan to serve in the event of the System Manager's absence. The requirements for the alternate shall be the same as for the designated CQC System Manager.

# 3.4.3 CQC Personnel

In addition to CQC personnel specified elsewhere in the contract, the Contractor shall provide as part of the CQC organization specialized personnel to assist the CQC System Manager for the following areas: electrical, civil, architectural, submittals clerk. These individuals shall be directly employed by the prime Contractor and may not be employed by a supplier or sub-contractor on this project; be responsible to the CQC System Manager; be physically present at the construction site during work on their areas of responsibility; have the necessary education and/or experience in accordance with the experience matrix listed herein. These individuals may perform other duties but must be allowed sufficient time to perform their assigned quality control duties as described in the Quality Control Plan.

# Experience Matrix

Area Qualifications

a. Civil

Graduate Civil Engineer with 2 years experience in the type of work being performed on this project or technician with 5 yrs related experience

### Experience Matrix

	Area	Qualifications
b.	Omitted	
С.	Electrical	Graduate Electrical Engineer with 2 yrs related experience or person with 5 yrs related experience
d.	Omitted	
e.	Architectural	Graduate Architect with 2 yrs experience or person with 5 yrs related experience
f.	Omitted	
g.	Submittals	Submittal Clerk with 1 yr experience
h.	Omitted	
i.	Concrete, Pavements and Soils	Materials Technician with 2 yrs experience for the appropriate area
j.	Omitted.	
k.	Design Quality Control Manager	Registered Architect or Professional Engineer

#### 3.4.4 Additional Requirement

In addition to the above experience and education requirements the CQC System Manager shall have completed the course entitled "Construction Quality Management For Contractors".

#### 3.4.5 Organizational Changes

The Contractor shall maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, the Contractor shall revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

# SUBMITTALS AND DELIVERABLES

Submittals, if needed, shall be made as specified in Section 01330 SUBMITTAL PROCEDURES. The CQC organization shall be responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 15950A HEATING, VENTILATING AND AIR CONDITIONING (HVAC) CONTROL SYSTEMS; 15951A DIRECT DIGITAL CONTROL FOR HVAC; 15990A TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS; or 15995A COMMISSIONING OF HVAC SYSTEMS are included in the contract, the submittals Force Protection Plan Phase II (Access Control Points) DACA21-03-R-0041 Fort Bragg, NC

required by those sections shall be coordinated with Section 01330 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal required.

#### CONTROL 3.6

Contractor Quality Control is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control shall be conducted by the CQC System Manager for each definable feature of the construction work as follows:

#### 3.6.1 Preparatory Phase

This phase shall be performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase shall include:

- a. A review of each paragraph of applicable specifications, reference codes, and standards. A copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field shall be made available by the Contractor at the preparatory inspection. These copies shall be maintained in the field and available for use by Government personnel until final acceptance of the work.
- b. A review of the contract drawings.
- c. A check to assure that all materials and/or equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. A review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. A check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- The Government shall be notified at least 72 hours in advance of beginning the preparatory control phase. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the

Force Protection Plan Phase II (Access Control Points)
Fort Bragg, NC

foreman responsible for the definable feature. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report. The Contractor shall instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

#### 3.6.2 Initial Phase

This phase shall be accomplished at the beginning of a definable feature of work. The following shall be accomplished:

- a. A check of work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing.
- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government shall be notified at least 72 hours in advance of beginning the initial phase. Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.
- g. The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

# 3.6.3 Follow-up Phase

Daily checks shall be performed to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. The checks shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work which may be affected by the deficient work. The Contractor shall not build upon nor conceal non-conforming work.

# 3.6.4 Additional Preparatory and Initial Phases

Additional preparatory and initial phases shall be conducted on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

# 3.7 TESTS

### 3.7.1 Testing Procedure

The Contractor shall perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, the Contractor shall furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and/or acceptance tests when specified. The Contractor shall procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. The Contractor shall perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Results of all tests taken, both passing and failing tests, shall be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test shall be given. If approved by the Contracting Officer, actual test reports may be submitted later with a reference to the test number and date taken. An information copy of tests performed by an offsite or commercial test facility shall be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

# 3.7.2 Testing Laboratories

# 3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

# 3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge of \$100.00 to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor.

### 3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the

Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

# 3.7.4 Furnishing or Transportation of Samples for Testing

Costs incidental to the transportation of samples or materials shall be borne by the Contractor. Samples of materials for test verification and acceptance testing by the Government shall be delivered to the Corps of Engineers Division Laboratory, f.o.b., at the following address:

For delivery by mail: P.O. Box 57, Marrietta, GA 30061,

For other deliveries: Engineers Division Laboratory, 611 Cobb Drive, Marrietta, GA 30060-3112

Coordination for each specific test, exact delivery location, and dates will be made through the Area Office.

### 3.8 COMPLETION INSPECTION

# 3.8.1 Punch-Out Inspection

Near the end of the work, or any increment of the work established by a time stated in the Special Clause, "Commencement, Prosecution, and Completion of Work", or by the specifications, the CQC Manager shall conduct an inspection of the work. A punch list of items which do not conform to the approved drawings and specifications shall be prepared and included in the CQC documentation, as required by paragraph DOCUMENTATION. The list of deficiencies shall include the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the facility is ready for the Government Pre-Final inspection.

# 3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. The Contractor's CQC System Manager shall ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Any items noted on the Pre-Final inspection shall be corrected in a timely manner. These inspections and any deficiency corrections required by this paragraph shall be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

# 3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative shall be in attendance at the final acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands may also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notice shall be given to the Contracting Officer at least 14 days prior to the final acceptance inspection and shall include

the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the contract clause titled "Inspection of Construction".

#### 3.9 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and/or control activities performed with results and references to specifications/drawings requirements. The control phase shall be identified (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals and deliverables reviewed, with contract reference, by whom, and action taken.
- g. Offsite surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- i. Instructions given/received and conflicts in plans and/or specifications.
- j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in the work and workmanship comply with the contract. The original and one copy of these records in report form shall be furnished to the Government daily within 24 hours after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, one report shall be prepared and submitted for every 7 days of no work and on the last day of a no work period. All calendar days shall be accounted for throughout the life of

the contract. The first report following a day of no work shall be for that day only. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

#### 3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

#### NOTIFICATION OF NONCOMPLIANCE 3.11

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

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### SECTION 01500

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CEGS-01500/S (February 1997)

### SECTION 01500

# TEMPORARY CONSTRUCTION FACILITIES 02/97

### PART 1 GENERAL

### 1.1 GENERAL REQUIREMENTS

### 1.1.1 Site Plan

The Contractor shall prepare a site plan indicating the proposed location and dimensions of any area to be fenced and used by the Contractor, the number of trailers to be used, avenues of ingress/egress to the fenced area and details of the fence installation. Any areas which may have to be graveled to prevent the tracking of mud shall also be identified. The Contractor shall also indicate if the use of a supplemental or other staging area is desired.

### 1.1.2 Identification of Employees

The Contractor shall be responsible for furnishing to each employee, and for requiring each employee engaged on the work to display, identification as approved and directed by the Contracting Officer. Prescribed identification shall immediately be delivered to the Contracting Officer for cancellation upon release of any employee. When required, the Contractor shall obtain and provide fingerprints of persons employed on the project. Contractor and subcontractor personnel shall wear identifying markings on hard hats clearly identifying the company for whom the employee works.

# 1.1.3 Employee Parking

Contractor employees shall park privately owned vehicles in an area designated by the Contracting Officer. This area will be within reasonable walking distance of the construction site. Contractor employee parking shall not interfere with existing and established parking requirements of the military installation.

### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

# SD-06 Test Reports

Termiticide Application Report; G, RE

Completed Fort Bragg Termiticide Application Report (no form number) for each structure receiving termiticide treatment.

# 1.3 AVAILABILITY AND USE OF UTILITY SERVICES (FORT BRAGG)

### 1.3.1 Payment for Utility Services

The Government will make all reasonably required utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to and paid for by the Contractor at the prevailing rates. The rates listed below are current as of January 1, 2003 and are subject to change. The Contractor shall carefully conserve all utilities furnished. The electrical services at Fort Bragg have been privatized and it shall be the Contractor's responsibility to coordinate all work and utility fees associated with utility outages and hook ups. The electrical service is managed by Sandhills Utility Services, phone number (910) 497-7399.

# 1.3.2 Meters and Temporary Connections

The Contractor, at its expense and in a manner satisfactory to the Contracting Officer, shall provide and maintain necessary temporary connections, distribution lines, and meters required to measure the amount of each utility used for the purpose of determining charges. The Contractor shall notify the Contracting Officer's Representative, in writing, no less than 10 working days before the temporary connection is made. The Contracting Officers Representative will then provide the contractor with the name and phone number of the utility provider. The contractor will be responsible for contacting the utility provider and making arrangements for connections and billing. For temporary electrical connections the utility provider will provide the meter (meter base provided by contractor) and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. The Contractor shall not make the final electrical connection. For temporary water and sewer connections the contractor will provide the meter and after inspection/approval by the Contracting Officer's Representative make the final connection at the contractor's expense.

### 1.3.3 Use of Permanent Building Utility Connections

Utilities consumed by the contractor from permanent building utility connections shall also be metered and paid for by the contractor. When the permanent system is activated the initial meter reading shall be recorded and reported as specified below. On building renovation projects the initial meter reading shall be recorded when the contractor is given possession of the building to perform the work. The Contractor shall pay for utilities consumed through the permanent building connection until the work has been completed or the Government has occupied the facility, which ever occurs first.

### 1.3.4 Initial Meter Readings

Upon installation of the meter, the initial reading shall be recorded (in the presence of the Contracting Officer's Representative) and forwarded to the point of contact for utility service with a copy to the Contracting Officer's Representative.

### 1.3.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, the Contractor shall notify the Contracting Officer and the applicable utility provider, in writing, 10 working days before termination

is desired. The Government or applicable utility provider will take a final meter reading. Electric service will be disconnected by the provider. Water and sewer connections will be disconnected by the contractor, at his expenses and by a method approved by the Contracting Officer's Representative. The Contractor shall then remove all the temporary distribution lines, meters, meter bases, and associated paraphernalia. The Contractor shall pay all outstanding utility bills before final acceptance of the work by the Government.

#### 1.3.6 Requirement for Backflow Prevention on Temporary/Permanent Potable Water Connections

The contractor shall install a backflow prevention device on all connections to the potable water system. The backflow prevention device shall be a reduced pressure or double check type, meeting all the State code requirements for backflow preventers on potable water. If the contractor requests the use of a fire hydrant and receives approval from the Contracting Officer's Representative a backflow prevention device and meter shall be installed prior to each use.

# 1.3.7 Utilities Charge Rates

Water ----- \$1.9585 per 1,000 gallons Electricity ----- \$0.0752 per KW hour Sewer ----- \$10.00/month for each connected trailer up to single wide size. The rate for larger trailers will be determined by the utility provider, however; this rate

will not exceed \$20.00/month per trailer.

#### 1.3.8 Sanitation

The Contractor shall provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer. Government toilet facilities will not be available to Contractor's personnel. Utility locations for water and sewer shall be requested through the work order process 3 weeks in advance of the required excavation date.

#### 1.3.9 Telephone

The Contractor shall make arrangements and pay all costs for telephone facilities desired.

#### BULLETIN BOARD, PROJECT SIGN, AND PROJECT SAFETY SIGN 1.4

# 1.4.1 Bulletin Board

Immediately upon beginning of work, the Contractor shall provide a weatherproof glass-covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, and other information approved by the Contracting Officer. The bulletin board shall be located at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer. Legible copies of the aforementioned data shall be displayed until work is completed. Upon completion of work the bulletin board shall be removed by and remain the property of the Contractor.

#### 1.4.2 Project Signs

The Contractor shall furnish and install a project sign at the location selected by the Contracting Officer. The project sign shall be painted on 1/2 inch thick exterior grade plywood. The sign layout shall be in accordance with the graphic format shown in Attachment 1 to Section 00800.

#### 1.5 PROTECTION AND MAINTENANCE OF TRAFFIC

During construction the Contractor shall provide access and temporary relocated roads as necessary to maintain traffic. The Contractor shall maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment and the work, and the erection and maintenance of adequate warning, danger, and direction signs, shall be as required by the State and local authorities having jurisdiction. The traveling public shall be protected from damage to person and property. The Contractor's traffic on roads selected for hauling material to and from the site shall interfere as little as possible with public traffic. Contractor shall investigate the adequacy of existing roads and the allowable load limit on these roads. The Contractor shall be responsible for the repair of any damage to roads caused by construction operations.

All commercial vehicles larger than a pickup to include panel vans with no side or rear windows are to enter Fort Bragg, NC, through one of two locations (Knox Street or Longstreet Road) where the vehicles will be "scanned."

#### 1.5.1 Haul Roads

The Contractor will be required to use the haul routes shown on the plans unless otherwise permitted in writing by the Contracting Officer. When haul routes are not designated on the plans, the Contractor must obtain approval of the Contracting Officer of haul routes he intends to use. The Contractor shall maintain the haul routes and shall keep the dust problem under control by wetting the surface as needed. Sweeping and cleaning of pavements will be done as necessary to remove spillage resulting from the hauling operations. After all hauling has been completed, the Contractor shall restore the earth areas used for the haul routes to original condition by final grading, shaping, compacting, and grassing, and shall clean and sweep all paved areas as required. Any pavement damaged as a result of hauling operations under this contract for both the earth and other materials shall be promptly repaired by the Contractor, as approved by the Contracting Officer. The cost of maintenance and repair of the haul routes, as mentioned above, shall be considered as a subsidiary obligation of the Contractor. The axle load of earth hauling equipment operating on paved streets shall not exceed 18,000 pounds.

#### 1.5.2 Barricades

The Contractor shall erect and maintain temporary barricades to limit public access to hazardous areas. Such barricades shall be required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Barricades shall be securely placed, clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

#### CONTRACTOR'S TEMPORARY FACILITIES 1.6

### 1.6.1 Administrative Field Offices

The Contractor shall provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

#### 1.6.2 Storage Area

The Contractor shall construct a temporary 6 foot high chain link fence around trailers and materials. The fence shall include plastic strip inserts, colored brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Trailers, materials, or equipment shall not be placed or stored outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the military boundaries. Trailers, equipment, or materials shall not be open to public view with the exception of those items which are in support of ongoing work on any given day. Materials shall not be stockpiled outside the fence in preparation for the next day's work. Mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment, shall be parked within the fenced area at the end of each work day.

#### 1.6.3 Supplemental Storage Area

Upon Contractor's request, the Contracting Officer will designate another or supplemental area for the Contractor's use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but shall be within the military boundaries. Fencing of materials or equipment will not be required at this site; however, the Contractor shall be responsible for cleanliness and orderliness of the area used and for the security of any material or equipment stored in this area. Utilities will not be provided to this area by the Government.

#### 1.6.4 Appearance of Trailers

Trailers utilized by the Contractor for administrative or material storage purposes shall present a clean and neat exterior appearance and shall be in a state of good repair. Trailers which, in the opinion of the Contracting Officer, require exterior painting or maintenance will not be allowed on the military property.

#### 1.6.5 Maintenance of Storage Area

Fencing shall be kept in a state of good repair and proper alignment. Should the Contractor elect to traverse, with construction equipment or other vehicles, grassed or unpaved areas which are not established roadways, such areas shall be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation shall be at the Contractor's discretion. Grass located within the boundaries of the construction site shall be mowed for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers shall be edged or trimmed neatly.

#### 1.6.6 New Building

In the event a new building is constructed for the temporary project field office, it shall be a minimum 12 feet in width, 16 feet in length and have a minimum of 7 feet headroom. It shall be equipped with approved electrical wiring, at least one double convenience outlet and the required switches and fuses to provide 110-120 volt power. It shall be provided with a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building shall be waterproof, shall be supplied with heater, shall have a minimum of two doors, electric lights, a telephone, a battery operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Approved sanitary facilities shall be furnished. The windows and doors shall be screened and the doors provided with dead bolt type locking devices or a padlock and heavy duty hasp bolted to the door. Door hinge pins shall be non-removable. The windows shall be arranged to open and to be securely fastened from the inside. Glass panels in windows shall be protected by bars or heavy mesh screens to prevent easy access to the building through these panels. In warm weather, air conditioning capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F, shall be furnished. Any new building erected for a temporary field office shall be maintained by the Contractor during the life of the contract and upon completion and acceptance of the work shall become the property of the Contractor and shall be removed from the site. All charges for telephone service for the temporary field office shall be borne by the Contractor, including long distance charges up to a maximum of \$75.00 per month.

#### 1.6.7 Security Provisions

Adequate outside security lighting shall be provided at the Contractor's temporary facilities. The Contractor shall be responsible for the security of its own equipment; in addition, the Contractor shall notify the appropriate law enforcement agency requesting periodic security checks of the temporary project field office.

#### 1.7 OMITTED

#### 1.8 PLANT COMMUNICATION

Whenever the Contractor has the individual elements of its plant so located that operation by normal voice between these elements is not satisfactory, the Contractor shall install a satisfactory means of communication, such as telephone or other suitable devices. The devices shall be made available for use by Government personnel.

#### 1.9 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, the Contractor shall furnish and erect temporary project safety fencing at the work site. The safety fencing shall be a high visibility orange colored, high density polyethylene grid or approved equal, a minimum of 42 inches high, supported and tightly secured to steel posts located on maximum 10 foot centers, constructed at the approved location. The safety fencing shall be maintained by the Contractor during the life of the contract and, upon completion and acceptance of the work, shall become the property of the Contractor and shall be removed from the work site.

#### 1.10 PARTNERING

Following contract award, the Government intends to propose a voluntary partnering relationship with the Contractor. This partnering relationship will attempt to draw on strengths of each organization to facilitate communications and minimize delays to achieve a quality product, within budget, and on schedule. Participation in such partnering activities may include attendance at coordination meetings and cooperation in other efforts to promote the partnering relationship. The Government and the Contractor will each bear their own costs for participation in the partnering relationship, with no change in the contract price. Participation will not result in any change in the terms or price of the contract.

#### TERMITICIDE APPLICATION REPORT 1.11

A Termiticide Application Report (no form number) shall be completed for each structure receiving termiticide treatment. The Contractor shall obtain the form from the Fort Bragg PWBC pest management coordinator through the Contracting Officer. All requested information shall be provided in detail. The location of application shall be clearly designated, e.g., building number, name, or address. If necessary, provide a map showing location of structure with the report.

#### INSTALLATION REGULATIONS 1.12

The employees of the Contractor will be required to abide by all installation regulations as published by the Commanding Officer. A copy of these regulations can be obtained from the Area/Resident Engineer at the installation. All costs in connection therewith shall be included in the contract price for the work.

#### 1.13 TESTING LABORATORIES

Testing is required to be performed by the Contractor as part of his Quality Control Program to verify contract compliance. This Quality Control Testing is to be conducted by a project or commercial laboratory which has been found adequate and qualified by a Corps of Engineers Division Laboratory Inspection Team.

#### 1.13.1 Approved Testing Laboratories

A composite listing of approved testing laboratories within the Savannah District is available upon request. The Contractor should engage the services of a laboratory contained in the composite list. Contractors may obtain the list by calling (678) 354-0310. Fax requests can be made to number (678) 354-0330.

### 1.13.2 Other Laboratory Services

The Contractor may engage the services of a laboratory other than those approved by Corps of Engineers District Laboratory Inspection Team if they comply with the following:

> a. The Contractor identifies and proposes the unapproved laboratory a minimum of 90 days prior to the start of testing. This time is necessary to allow for scheduling an inspection by a Corps of Engineers District Laboratory team. The time for

Government inspection will not be the basis for an increase in the contract performance period.

- b. All costs of Government inspection shall be the responsibility of the Contractor.
- c. The Contractor may request Government inspection and approval prior to award by forwarding a written request to:

US Army Engineer District, Savannah Environmental and Materials Unit 200 North Cobb Parkway Building 400, Suite 404 Marietta, GA 30062

# 1.14 ENVIRONMENTAL EVALUATION FOR SITE CONTAMINATION - CATEGORY I

### 1.14.1 Site Evaluation

The job site has been evaluated for potential site contamination. The site is located in a traditionally nonhazardous location. The installation has no reason to suspect contamination.

1.14.2 Contractual Responsibilities of All Parties in the Event of Encounter with Contamination

If the Contractor encounters materials or conditions which indicate that there may be contamination on the site, the Contractor shall stop all work on the job site and report the discovery of the contaminants to the Contracting Officer's Representative (COR). The Contracting Officer, will issue a written order to the Contractor to resume work or to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government as provided in FAR 52.242-14 - SUSPENSION OF WORK. The Government will be responsible for making an assessment of the contaminated site if this course of action is determined to be appropriate. After the assessment has been completed, the Government reserves the right to the following courses of action:

- a. Direct the Contractor to resume work.
- b. Clean up the contaminated site prior to directing the Contractor to resume work. The COR will determine whether the cleanup is to be accomplished by others or the Contractor.
- c. Relocate the project site.
- d. Terminate the contract for the convenience of the Government as provided in FAR 52.249-1 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) or FAR 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) ALTERNATE I as applicable.
- 1.15 CONSTRUCTION SCHEDULE RESTRAINTS FORT BRAGG, NC

### 1.15.1 Occupancy

The work to be performed is to be accomplished at existing roads which will be in normal usage during the course of construction. It is the intent of these provisions to provide for maximum coordination between construction

Force Protection Plan Phase II (Access Control Points)
Fort Bragg, NC

activities pursuant to this contract and concurrent ongoing routine traffic activities of base personnel. Interference with and inconvenience to the highway traffic or routine of the checkpoint facilities shall be held to an absolute minimum.

### 1.15.2 Protection

Contractor is responsible to provide such covering, shields and barricades as required to protect incoming vehicular traffic from dust, debris, or other cause of damage resulting from construction.

### 1.15.3 Phasing and Sequence

### 1.15.3.1 General

In addition to the submittals required by clause SCHEDULES FOR CONSTRUCTION CONTRACTS (see SECTION 00700, FAR 52.236-15) the Contractor shall submit for approval a summary work schedule setting forth schedule dates for initiation and completion of construction in each work area. No work shall be performed prior to approval of this schedule and all work shall be performed in strict adherence thereto. If departures from this schedule appear to be required or desired, the Contracting Officer shall be promptly notified and his approval will be required prior to implementation of said departure(s).

### 1.15.3.2 Special Work Restraints

Contractor shall plan for and follow phasing plan shown in the contract drawings. During all phases of construction for the All American Access Control Plaza as indicated on the drawings, a minimum of two inbound lanes and two outbound lanes shall remain in operation during the hours of 5:00 a.m. to 6:00 p.m. During the hours of 6:00 p.m.to 5:00 a.m. the minimum number of inbound and outbound lanes may be reduced to one in each direction.

### 1.15.4 Time of Performance

### 1.15.4.1 Omitted

# 1.15.4.2 Work Requiring Outages

Work requiring outages of utilities or building systems will be accomplished in accordance with prior approved schedule(s).

# 1.15.5 Contractor Vehicle/Equipment Access to Fort Bragg

All Contractor-owned and privately owned vehicles requiring access to Fort Bragg on a regular basis are required to be registered. Vehicles not registered will have limited access points to the installation and will be searched. Registration procedures will be in accordance with Fort Bragg Regulation 190-5. Registration for privately owned vehicles will require a letter from the General Contractor for each individual employee and vehicle needing registration. Passes for subcontractor employees will have to have letters from the General Contractor. The format of the letter is in Appendix B of FB Regulation 190-5 and is also available in MS-Word format from the Corps of Engineers Field Office. Registration for Contractor owned vehicles requires a sponsorship letter from the Area Engineer. The format for the sponsorship letter is in Appendix C of FB Regulation 190-5 and is also available in MS-Word format from the Corps of Engineers Field

Office. The Contractor shall prepare the sponsorship letters for each vehicle and submit them to Corps of Engineers Quality Assurance Representative to obtain required signature. The Quality Assurance Representative will return them after they have been signed. Once a General Contractor letter or sponsorship letter has been obtained the vehicle driver must go to the registration center, Building 8-1078, on Randolph Street to register the vehicle. To register the driver must bring a drivers license, State registration, proof of insurance, and proof of SSN if not on drivers license. The driver will have to complete FB Form 2229 'Vehicle Registration Worksheet' which is available at the registration center. Drivers will also have to sign an agreement for a criminal background check.

Contractor-owned vehicles will be given a temporary pass that can only be used by the registered driver/vehicle combination. All trucks larger than a pickup are only allowed access through Access Control Points #8 (Knox Street) and #1 (Long Street). See the Fort Bragg Vicinity Map for locations of other access points.

### 1.15.6 Outages

Contractor's work requiring outages of utility systems or building systems will require 2 weeks' advance notice and will be subject to the approval of the Contracting Officer. Contractor will be held responsible for unauthorized utility disruptions that cause damage or loss to the Government's real property, equipment, or operations. The Contractor will be held responsible for utility disruptions that extend beyond this period.

# Limits of Duration:

```
Water ----- 4 hours
Sewer ----- 4 hours
Electricity ----- 4 hours
Natural Gas: Seasons to be determined by Fort Bragg PWBC
 During heating season -- 3 hours
 During cooling season -- 6 hours
LP Gas: Seasons to be determined by Fort Bragg PWBC
 During heating season -- 3 hours
 During cooling season -- 6 hours
#2 Fuel Oil: Seasons to be determined by Fort Bragg PWBC
 During heating season -- 3 hours
 During cooling season -- 6 hours
High Temperature Water (HTW): Seasons to be determined by Fort Bragg
                          PWBC
 During heating season -- 3 hours
 During cooling season -- 6 hours
Steam: Seasons to be determined by Fort Bragg PWBC
 During heating season -- 3 hours
 During cooling season -- 6 hours
Chilled Water: Seasons to be determined by Fort Bragg PWBC
 During heating season -- 3 hours
 During cooling season -- 6 hours
```

\*The cooling season at Fort Bragg is 1 May through 1 October. The heating season at Fort Bragg is 1 October through 1 May.

The Contractor shall provide temporary utilities systems for any utility outage longer than the limits of duration shown above.

#### 1.15.7 Continuity

All tools, labor and materials required to complete any item of work within a given work area or requiring an outage of any building utility or system, shall be available at the site prior to commencement thereof. Once work has commenced on an item of work, said work shall be continuously and diligently performed to completion and acceptance. Breaks in work to be negotiated with the Contracting Officers Representative if other then Holidays.

#### 1.15.8 Permits

#### Excavation Permits 1.15.8.1

An Excavation Permit, FB Form 1605, shall be presented to the Resident Engineer and approved by the Facilities Engineer 7 working days prior to any excavation that penetrates the ground by 6 or more inches. A sample of this form is included in Attachment 1 to Section 00800 or can be obtained from the Resident Office upon request. The Contractor shall contact the Resident Engineer's Office for an appointment for spotting of utility lines. A signed copy of the digging permit shall be kept on site at all times.

#### 1.15.8.2 Disposal Permits

A permit is required to use the installation land clearing and inert debris and demolition landfills. Landfill permits shall be processed with the Environmental Branch of the PWBC Environmental and Natural Resources Division through the Contracting Officer. Permits are issued for the life of the specific contract only. Only materials produced on the project for which the permits are issued may be disposed of in the land clearing and inert debris and demolition landfills. The Contractor shall keep a copy of the completed permit with the vehicle throughout the contract disposal operation. Copies of the disposal permit forms will be provided at the Preconstruction Conference. The land clearing and inert debris and demolition debris disposal site locations are shown on the drawings.

#### 1.15.8.3 Borrow Permits

A permit is required to use the Fort Bragg borrow material pits. Borrow pit permits shall be processed with the Environmental Branch of the PWBC Environmental and Natural Resources Division through the Contracting Officer. Permits are issued for the life of the specific contract only. Borrow materials may only be used on the project for which the permits are issued. The Contractor shall keep a copy of the completed permit with the vehicle throughout the contract borrow operation. Copies of the borrow permit forms will be provided at the Preconstruction Conference. The borrow pit location is shown on the drawings.

#### 1.15.9 Omitted

### 1.15.10 Landfills

### 1.15.10.1 Land Clearing and Inert Debris (LCID) Landfill

The land clearing and inert debris (LCID) landfill is permitted for disposal of yard waste (pine needles, limbs, trees, untreated wood, unpainted wood), inert debris (bricks, concrete, rubble, glass, concertina wire), and uncontaminated soil.

### 1.15.10.2 Demolition Landfill

The demolition landfill is permitted for disposal of construction and renovation debris: buildings, asphalt, painted and treated wood, incidental scrap metals, shingles, and debris incidental to construction such as cement or joint compound bags, plastic pails or metal cans or drums, insulation, and wallboard.

### 1.15.10.3 Transfer Station

White goods (appliances), tires, aluminum cans, and municipal solid waste (such as paper, plastic, cardboard, or household garbage) must be disposed of at the transfer station. Special arrangements must be made with the Environmental Branch of the PWBC Environmental and Natural Resources Division through the Contracting Officer to dispose of liquids, hazardous waste, and tires.

### 1.15.10.4 Disposal of Asbestos

Non-friable asbestos can be disposed of in the demolition landfill. Friable asbestos must be double bagged and disposed of in the section of the demolition landfill designated for that purpose.

### 1.15.10.5 Municipal Solid Waste (MSW)

Municipal solid waste (MSW) shall be disposed of in dumpsters (either Fort Bragg's or the contractor's) designated for MSW or at the Fort Bragg transfer station. Operating hours for the transfer station are 7:30 a.m. to 3:00 p.m. MSW shall be defined as any wastes other than those described above, to include garbage, vegetable waste and containers thereof resulting from the handling, preparation, cooking and consumption of foods, and excess quantities of paper, plastic, and cardboard (construction material packaging is acceptable).

### 1.15.10.6 Trash Containers

All trash containers on the job site must be covered at all times to ensure that trash does not blow around. In addition, all light/loose material will be secured such that it will not blow around during windy weather.

# 1.15.10.7 Construction Debris Leaving Site

All construction debris/trash that leaves the project site will be covered from the time that it leaves the construction site. Any mud or soil which leaves the project site will be cleaned up by the Contractor immediately upon discovery or notification of such an occurrence.

### 1.15.11 Landforms

Contractor will be required to maintain existing landforms, drainage patterns, and healthy, mature vegetation to the maximum extent possible and will replace damaged vegetation, sod, and ground cover.

# 1.15.12 Topsoil

Any suitable topsoil stripped from the site during the course of work will be stockpiled onsite for reuse. Any excess topsoil remaining upon completion of project will be stockpiled in the DPW compound.

#### Unforeseen Site Conditions 1.15.13

Any unforeseen site conditions, unmapped utility systems, or historical/archeological items encountered during site surveys, soil borings, or construction excavation will be reported to the Contracting Officer.

#### 1.15.14 Replacement

The Contractor shall be held responsible for the replacement of any utility systems, facilities, or Government equipment damaged during the course of the contract.

#### 1.15.15 Mowing

The Contractor will mow the grass on the construction site weekly or when the following conditions warrant: centipede grass will be maintained to a maximum height of 2 inches and a minimum height of 1 inch; all other grasses will be moved to keep the height of the grass to a maximum of 4 inches and a minimum of 2 inches.

#### 1.15.16 Communications Systems

The Director of Information Management will be notified through the Contracting Officer's Representative of the preparatory meeting for the communications system.

- 1.16 OMITTED
- 1.17 OMITTED
- 1.18 OMITTED

#### 1.19 REQUEST FOR INFORMATION (RFI) SYSTEM

The Government has developed an electronic database, the Request for Information (RFI) System, to track and answer Contractor questions, requests for information and clarifications during construction. The use of the RFI System for all requests (the Contractor's as well as the subcontractors'/suppliers') is a contractual requirement for this project. The Contractor will enter the system over the Internet using a WEB browser such as Internet Explorer 5.0 or newer or Netscape 4.7 or newer and any Internet service provider. The Government will provide the Contractor a user identification and password for the system that will only allow the Contractor to enter and view the requests for this project. The Contractor will provide the Government the E-mail address for the individual(s) inputting into the system in order that E-mail messages can be sent from the Government to the Contractor indicating a response to the request. Government will provide instructions in the use of the RFI system. The Contractor must fill in seven fields in the Contractor Data portion of the RFI form, which include Date Required, Priority, Short Description, Problem Description, Recommended Action, Cost, and Time. The Government will be notified through an E-mail message that the Contractor has entered a request into the system. When the Government has answered the request, an E-mail message will be sent informing the Contractor that the answer to the request is in the system. The Contractor will enter the system to retrieve the answer using the same procedure to enter the question. The RFI System assigns a unique number to each request. The Contractor will not be reimbursed separately for the required use of this system. The Contractor shall include any costs associated with the use of this system into their

bid.

#### 1.20 PROGRESS PHOTOGRAPHS

The Contractor shall, during the progress of the project, furnish the Contracting Officer progress photographs and color slides to depict progress of construction. The photographic work shall be performed by a qualified, established, commercial photographer. The photographs and slides shall be taken between the 1st and 5th day of each month and be delivered to the Contracting Officer not later than the 20th day of the same month taken. The photographs and slides shall be taken from not less than six positions for each month as selected by the Contracting Officer. They shall show, inasmuch as practicable, work accomplished during the previous month. The photographs shall be 8-inch by 10-inch color glossy prints and the slides 35 millimeter color slides. Each photograph shall be identified showing date made, contract title and number and a brief description of work depicted and shall be sequentially numbered. The identifying data shall be placed on the back of the prints. Slides shall have a number placed on the frame corresponding to the appropriate identified print, the name of the project, the date and a brief description of work depicted. No identifying data shall appear on the face of prints or in the viewing area of slides. One copy of each photograph and the corresponding negative and slide shall be furnished to the Contracting Officer by the time stipulated above. No separate payment will be made for these services and all costs in connection therewith shall be considered incidental to costs of the overall project.

#### 1.21 CLEANUP

Construction debris, waste materials, packaging material and the like shall be removed from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways shall be cleaned away. Materials resulting from demolition activities which are salvageable shall be stored within the fenced area described above or at the supplemental storage area. Stored material not in trailers, whether new or salvaged, shall be neatly stacked when stored.

#### 1.22 RESTORATION OF STORAGE AREA

Upon completion of the project and after removal of trailers, materials, and equipment from within the fenced area, the fence shall be removed and will become the property of the Contractor. Areas used by the Contractor for the storage of equipment or material, or other use, shall be restored to the original or better condition. Gravel used to traverse grassed areas shall be removed and the area restored to its original condition, including top soil and seeding as necessary.

- PART 2 PRODUCTS (NOT APPLICABLE)
- PART 3 EXECUTION (NOT APPLICABLE) -- End of Section --

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# DIVISION 01 - GENERAL REQUIREMENTS

### SECTION 01572

# CONSTRUCTION AND DEMOLITION WASTE MANAGEMENT \*1

# 02/03

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- MANAGEMENT 1.2
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  - 1.6.3 Waste.
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### SECTION 01572

# CONSTRUCTION AND DEMOLITION WASTE MANAGEMENT \*1 02/03

### PART 1 GENERAL

### 1.1 GOVERNMENT POLICY

Government policy is to apply sound environmental principles in the design, construction and use of facilities. As part of the implementation of that policy the Contractor shall: (1) practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction and demolition waste from landfills and incinerators and to facilitate their recycling or reuse.

#### 1.2 MANAGEMENT

The Contractor shall take a pro-active, responsible role in the management of construction and demolition waste and require all subcontractors, vendors, and suppliers to participate in the effort. Construction and demolition waste includes products of demolition or removal, excess or unusable construction materials, packaging materials for construction products, and other materials generated during the construction process but not incorporated into the work. In the management of waste consideration shall be given to the availability of viable markets, the condition of the material, the ability to provide the material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates. The Contractor shall be responsible for implementation of any special programs involving rebates or similar incentives related to recycling of waste. Revenues or other savings obtained for salvage, or recycling shall accrue to the Contractor. Firms and facilities used for recycling, reuse, and disposal shall be appropriately permitted for the intended use to the extent required by federal, state, and local regulations.

#### 1.3 PLAN

A waste management plan shall be submitted within 15 days after notice to proceed and prior to initiating any site preparation work. The plan shall include the following:

- a. Name of individuals on the Contractor's staff responsible for waste prevention and management.
- b. Actions that will be taken to reduce solid waste generation.
- c. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas and equipment to be used for processing, sorting, and temporary storage of wastes.
- d. Characterization, including estimated types and quantities, of the waste to be generated.
- e. Name of landfill and/or incinerator to be used and the estimated

costs for use, assuming that there would be no salvage or recycling on the project.

- f. Identification of local and regional reuse programs, including non-profit organizations such as schools, local housing agencies, and organizations that accept used materials such as materials exchange networks and Habitat for Humanity.
- g. List of specific waste materials that will be salvaged for resale, salvaged and reused, or recycled. Recycling facilities that will be used shall be identified.
- h. Identification of materials that cannot be recycled/reused with an explanation or justification.
- i. Anticipated net cost savings determined by subtracting Contractor program management costs and the cost of disposal from the revenue generated by sale of the materials and the incineration and/or landfill cost avoidance.

### 1.4 RECORDS

Records shall be maintained to document the quantity of waste generated; the quantity of waste diverted through sale, reuse, or recycling; and the quantity of waste disposed by landfill or incineration. The records shall be made available to the Contracting Officer during construction, and a copy of the records shall be delivered to the Contracting Officer upon completion of the construction.

### 1.5 COLLECTION

The necessary containers, bins and storage areas to facilitate effective waste management shall be provided and shall be clearly and appropriately identified. Recyclable materials shall be handled to prevent contamination of materials from incompatible products and materials and separated by one of the following methods:

# 1.5.1 Source Separated Method.

Waste products and materials that are recyclable shall be separated from trash and sorted into appropriately marked separate containers and then transported to the respective recycling facility for further processing.

# 1.5.2 Co-Mingled Method.

Waste products and recyclable materials shall be placed into a single container and then transported to a recycling facility where the recyclable materials are sorted and processed.

### 1.5.3 Other Methods.

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

### 1.6 DISPOSAL

Except as otherwise specified in other sections of the specifications, disposal shall be in accordance with the following:

### 1.6.1 Reuse.

First consideration shall be given to salvage for reuse since little or no re-processing is necessary for this method, and less pollution is created when items are reused in their original form. Sale or donation of waste suitable for reuse shall be considered. Salvaged materials, other than those specified in other sections to be salvaged and reinstalled, shall not be used in this project.

# 1.6.2 Recycle.

Waste materials not suitable for reuse, but having value as being recyclable, shall be made available for recycling whenever economically feasible. Contractor shall recycle and/or salvage 50 percent (by weight) of construction demolition and land clearing waste.

# 1.6.3 Waste.

Materials with no practical use or economic benefit shall be disposed at a landfill or incinerator.

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### SECTION 01670

# RECYCLED / RECOVERED MATERIALS

# 12/01

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### SECTION 01670

### RECYCLED / RECOVERED MATERIALS

### 12/01

### PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

# U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 247

Comprehensive Procurement Guideline for Products Containing Recovered Materials

#### 1.2 OBJECTIVES

Government procurement policy is to acquire, in a cost effective manner, items containing the highest percentage of recycled and recovered materials practicable consistent with maintaining a satisfactory level of competition without adversely affecting performance requirements or exposing suppliers' employees to undue hazards from the recovered materials. The Environmental Protection Agency (EPA) has designated certain items which must contain a specified percent range of recovered or recycled materials. EPA designated products specified in this contract comply with the stated policy and with the EPA guidelines. The Contractor shall make all reasonable efforts to use recycled and recovered materials in providing the EPA designated products and in otherwise utilizing recycled and recovered materials in the execution of the work.

#### 1.3 EPA DESIGNATED ITEMS INCORPORATED IN THE WORK

Various sections of the specifications contain requirements for materials that have been designated by EPA as being products which are or can be made with recovered or recycled materials. These items, when incorporated into the work under this contract, shall contain at least the specified percentage of recycled or recovered materials unless adequate justification (non-availability) for non-use is provided. When a designated item is specified as an option to a non-designated item, the designated item requirements apply only if the designated item is used in the work.

#### EPA PROPOSED ITEMS INCORPORATED IN THE WORK 1.4

Products other than those designated by EPA are still being researched and are being considered for future Comprehensive Procurement Guideline (CPG) designation. It is recommended that these items, when incorporated in the work under this contract, contain the highest practicable percentage of recycled or recovered materials, provided specified requirements are also met.

#### EPA LISTED ITEMS USED IN CONDUCT OF THE WORK BUT NOT INCORPORATED IN 1.5

THE WORK

There are many products listed in 40 CFR 247 which have been designated or proposed by EPA to include recycled or recovered materials that may be used by the Contractor in performing the work but will not be incorporated into the work. These products include office products, temporary traffic control products, and pallets. It is recommended that these non-construction products, when used in the conduct of the work, contain the highest practicable percentage of recycled or recovered materials and that these products be recycled when no longer needed.

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### SECTION 01780A

### CLOSEOUT SUBMITTALS

### 05/02

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SECTION 01780A

# CLOSEOUT SUBMITTALS 05/02

### PART 1 GENERAL

#### 1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

As-Built Drawings; G, RE

Drawings showing final as-built conditions of the project. The final CADD as-built drawings shall consist of one set of electronic CADD drawing files in the specified format, one set of mylar drawings, 2 sets of blue-line prints of the mylars, and one set of the approved working as-built drawings.

SD-03 Product Data

As-Built Record of Equipment and Materials; G, RE

Two copies of the record listing the as-built materials and equipment incorporated into the construction of the project.

Warranty Management Plan; G, RE

One set of the warranty management plan containing information relevant to the warranty of materials and equipment incorporated into the construction project, including the starting date of warranty of construction. The Contractor shall furnish with each warranty the name, address, and telephone number of each of the guarantor's representatives nearest to the project location.

Warranty Tags; G, RE

Two record copies of the warranty tags showing the layout and design.

Final Cleaning; G, RE

Two copies of the listing of completed final clean-up items.

#### 1.2 PROJECT RECORD DOCUMENTS

### 1.2.1 As-Built Drawings

This paragraph covers as-built drawings complete, as a requirement of the contract. The terms "drawings," "contract drawings," "drawing files," "working as-built drawings" and "final as-built drawings" refer to contract drawings which are revised to be used for final as-built drawings.

#### Government Furnished Materials 1.2.1.1

One set of electronic CADD files in the specified software and format revised to reflect all bid amendments will be provided by the Government at the preconstruction conference for projects requiring CADD file as-built drawings.

#### 1.2.1.2 Working As-Built and Final As-Built Drawings

The Contractor shall revise 2 sets of paper drawings by red-line process to show the as-built conditions during the prosecution of the project. These working as-built marked drawings shall be kept current on a weekly basis and at least one set shall be available on the jobsite at all times. Changes from the contract plans which are made in the work or additional information which might be uncovered in the course of construction shall be accurately and neatly recorded as they occur by means of details and notes. Final as-built drawings shall be prepared after the completion of each definable feature of work as listed in the Contractor Quality Control Plan (Foundations, Utilities, Structural Steel, etc., as appropriate for the project). The working as-built marked prints and final as-built drawings will be jointly reviewed for accuracy and completeness by the Contracting Officer and the Contractor prior to submission of each monthly pay estimate. If the Contractor fails to maintain the working and final as-built drawings as specified herein, the Contracting Officer will deduct from the monthly progress payment an amount representing the estimated cost of maintaining the as-built drawings. This monthly deduction will continue until an agreement can be reached between the Contracting Officer and the Contractor regarding the accuracy and completeness of updated drawings. The working and final as-built drawings shall show, but shall not be limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, the as-built drawings shall show, by offset dimensions to two permanently fixed surface features, the end of each run including each change in direction. Valves, splice boxes and similar appurtenances shall be located by dimensioning along the utility run from a reference point. The average depth below the surface of each run shall also be recorded.
- b. The location and dimensions of any changes within the building structure.
- c. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- d. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor; including but not limited to fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment foundations, etc.

- e. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
  - f. Changes or modifications which result from the final inspection.
- g. Where contract drawings or specifications present options, only the option selected for construction shall be shown on the final as-built prints.
- h. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, the Contractor shall furnish a contour map of the final borrow pit/spoil area elevations.
- i. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- j. Modifications (change order price shall include the Contractor's cost to change working and final as-built drawings to reflect modifications) and compliance with the following procedures.
  - (1) Directions in the modification for posting descriptive changes shall be followed.
  - (2) A Modification Circle shall be placed at the location of each deletion.
  - (3) For new details or sections which are added to a drawing, a Modification Circle shall be placed by the detail or section title.
  - (4) For minor changes, a Modification Circle shall be placed by the area changed on the drawing (each location).
  - (5) For major changes to a drawing, a Modification Circle shall be placed by the title of the affected plan, section, or detail at each location.
  - (6) For changes to schedules or drawings, a Modification Circle shall be placed either by the schedule heading or by the change in the schedule.
  - (7) The Modification Circle size shall be 1/2 inch diameter unless the area where the circle is to be placed is crowded. Smaller size circle shall be used for crowded areas.

#### 1.2.1.3 Drawing Preparation

The as-built drawings shall be modified as may be necessary to correctly show the features of the project as it has been constructed by bringing the contract set into agreement with approved working as-built prints, and adding such additional drawings as may be necessary. These working as-built marked prints shall be neat, legible and accurate. These drawings are part of the permanent records of this project and shall be returned to the Contracting Officer after approval by the Government. Any drawings damaged or lost by the Contractor shall be satisfactorily replaced by the Contractor at no expense to the Government.

Computer Aided Design and Drafting (CADD) Drawings 1.2.1.4

Only personnel proficient in the preparation of CADD drawings shall be

employed to modify the contract drawings or prepare additional new drawings. Additions and corrections to the contract drawings shall be equal in quality and detail to that of the originals. Line colors, line weights, lettering, layering conventions, and symbols shall be the same as the original line colors, line weights, lettering, layering conventions, and symbols. If additional drawings are required, they shall be prepared using the specified electronic file format applying the same graphic standards specified for original drawings. The title block and drawing border to be used for any new final as-built drawings shall be identical to that used on the contract drawings. Additions and corrections to the contract drawings shall be accomplished using CADD files. The Contractor will be furnished "as-designed" drawings in Microstation J format compatible with a Windows NT operating system. The electronic files will be supplied on compact disc, read-only memory (CD-ROM). The Contractor shall be responsible for providing all program files and hardware necessary to prepare final as-built drawings. The Contracting Officer will review final as-built drawings for accuracy and the Contractor shall make required corrections, changes, additions, and deletions.

- a. CADD colors shall be the "base" colors of red, green, and blue. Color code for changes shall be as follows:
  - (1) Deletions (red) Deleted graphic items (lines) shall be colored red with red lettering in notes and leaders.
  - (2) Additions (Green) Added items shall be drawn in green with green lettering in notes and leaders.
  - (3) Special (Blue) Items requiring special information, coordination, or special detailing or detailing notes shall be in blue.
- b. The Contract Drawing files shall be renamed in a manner related to the contract number (i.e., 98-C-10.DGN) as instructed in the Pre-Construction conference. Marked-up changes shall be made only to those renamed files. All changes shall be made on the layer/level as the original item. There shall be no deletions of existing lines; existing lines shall be over struck in red. Additions shall be in green with line weights the same as the drawing. Special notes shall be in blue on layer #63.
- c. When final revisions have been completed, the cover sheet drawing shall show the wording "RECORD DRAWING AS-BUILT" followed by the name of the Contractor in letters at least 3/16 inch high. All other contract drawings shall be marked either "AS-Built" drawing denoting no revisions on the sheet or "Revised As-Built" denoting one or more revisions. Original contract drawings shall be dated in the revision block.
- d. Within 20 days after Government approval of all of the working as-built drawings for a phase of work, the Contractor shall prepare the final CADD as-built drawings for that phase of work and submit two sets of blue-lined prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 10 days the Contractor shall revise the CADD files accordingly at no additional cost and submit one set of final prints for the completed phase of work to the Government. Within 20 days of substantial completion of all phases of work, the Contractor shall submit the final as-built drawing package for the entire project. The submittal shall consist of one set of electronic files on compact disc, read-only

memory (CD-ROM)], one set of mylars, two sets of blue-line prints and one set of the approved working as-built drawings. They shall be complete in all details and identical in form and function to the contract drawing files supplied by the Government. Any transactions or adjustments necessary to accomplish this is the responsibility of the Contractor. Government reserves the right to reject any drawing files it deems incompatible with the customer's CADD system. Paper prints, drawing files and storage media submitted will become the property of the Government upon final approval. Failure to submit final as-built drawing files and marked prints as specified shall be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final as-built drawings shall be accomplished before final payment is made to the Contractor.

#### 1.2.1.5 Omitted

#### 1.2.1.6 Payment

No separate payment will be made for as-built drawings required under this contract, and all costs accrued in connection with such drawings shall be considered a subsidiary obligation of the Contractor.

#### 1.2.2 As-Built Record of Equipment and Materials

The Contractor shall furnish one copy of preliminary record of equipment and materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 2 days after final inspection with Government comments. Two sets of final record of equipment and materials shall be submitted 10 days after final inspection. The designations shall be keyed to the related area depicted on the contract drawings. The record shall list the following data:

# RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA

Description	Specification	Manufacturer	Composition	Where
	Section	and Catalog,	and Size	Used
		Model, and		
		Serial Number		

#### 1.2.3 Final Approved Shop Drawings

The Contractor shall furnish final approved project shop drawings 30 days after transfer of the completed facility.

#### 1.2.4 Construction Contract Specifications

The Contractor shall furnish final as-built construction contract specifications, including modifications thereto, 30 days after transfer of the completed facility.

#### 1.2.5 Real Property Equipment

The Contractor shall furnish a list of installed equipment furnished under this contract. The list shall include all information usually listed on manufacturer's name plate. The "EQUIPMENT-IN-PLACE LIST" shall include, as applicable, the following for each piece of equipment installed: description of item, location (by room number), model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog,

and warranty. A draft list shall be furnished at time of transfer. The final list shall be furnished 30 days after transfer of the completed facility.

#### WARRANTY MANAGEMENT 1.3

#### 1.3.1 Warranty Management Plan

The Contractor shall develop a warranty management plan which shall contain information relevant to the clause Warranty of Construction for the project. At least 30 days before the planned pre-warranty conference, the Contractor shall submit the warranty management plan for Government approval. The warranty management plan shall include all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan shall be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below shall include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase shall be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Approved information shall be assembled in a binder and shall be turned over to the Government upon acceptance of the work. The construction warranty period shall begin on the date of project acceptance and shall continue for the full product warranty period. A joint 4 month and 9 month warranty inspection shall be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Information contained in the warranty management plan shall include, but shall not be limited to, the following:

- a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.
- c. A list for each warranted equipment, item, feature of construction or system indicating:
  - 1. Name of item.
  - 2. Model and serial numbers.
  - 3. Location where installed.
  - 4. Name and phone numbers of manufacturers or suppliers.
  - 5. Names, addresses and telephone numbers of sources of spare
  - 6. Warranties and terms of warranty. This shall include one-year overall warranty of construction. Items which have extended warranties shall be indicated with separate warranty expiration
  - 7. Cross-reference to warranty certificates as applicable.
  - 8. Starting point and duration of warranty period.
  - 9. Summary of maintenance procedures required to continue the warranty in force.
  - 10. Cross-reference to specific pertinent Operation and Maintenance manuals.

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- 11. Organization, names and phone numbers of persons to call for warranty service.
- 12. Typical response time and repair time expected for various warranted equipment.
- d. The Contractor's plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
- e. Procedure and status of tagging of all equipment covered by extended warranties.
- f. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

#### 1.3.2 Performance Bond

The Contractor's Performance Bond shall remain effective throughout the construction period.

- In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.
- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction warranty repair work, the Contractor shall respond in a timely manner. Written verification will follow oral instructions. Failure of the Contractor to respond will be cause for the Contracting Officer to proceed against the Contractor.

#### 1.3.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, the Contractor shall meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty shall be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, the Contractor shall furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, shall be continuously available, and shall be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.3.4 Contractor's Response to Construction Warranty Service Requirements

Following oral or written notification by the Contracting Officer, the Contractor shall respond to construction warranty service requirements in accordance with the "Construction Warranty Service Priority List" and the three categories of priorities listed below. The Contractor shall submit a report on any warranty item that has been repaired during the warranty period. The report shall include the cause of the problem, date reported, corrective action taken, and when the repair was completed. If the Contractor does not perform the construction warranty within the timeframes specified, the Government will perform the work and backcharge the construction warranty payment item established.

- a. First Priority Code 1. Perform onsite inspection to evaluate situation, and determine course of action within 4 hours, initiate work within 6 hours and work continuously to completion or relief.
- b. Second Priority Code 2. Perform onsite inspection to evaluate situation, and determine course of action within 8 hours, initiate work within 24 hours and work continuously to completion or relief.
- c. Third Priority Code 3. All other work to be initiated within 3 work days and work continuously to completion or relief.
  - d. The "Construction Warranty Service Priority List" is as follows:

# Code 1-Air Conditioning Systems

- (1) Recreational support.
- (2) Air conditioning leak in part of building, if causing damage.
- (3) Air conditioning system not cooling properly.

### Code 1-Doors

- (1) Overhead doors not operational, causing a security, fire, or safety problem.
- (2) Interior, exterior personnel doors or hardware, not functioning properly, causing a security, fire, or safety problem.

### Code 3-Doors

- (1) Overhead doors not operational.
- (2) Interior/exterior personnel doors or hardware not functioning properly.

### Code 1-Electrical

- (1) Power failure (entire area or any building operational after 1600 hours).
- (2) Security lights
- (3) Smoke detectors

### Code 2-Electrical

- (1) Power failure (no power to a room or part of building).
- (2) Receptacle and lights (in a room or part of building).

# Code 3-Electrical

Street lights.

### Code 1-Gas

- (1) Leaks and breaks.
- (2) No gas to family housing unit or cantonment area.

# Code 1-Heat

(1). Area power failure affecting heat.

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(2). Heater in unit not working.
Code 2-Kitchen Equipment (1) Dishwasher not operating properly. (2) All other equipment hampering preparation of a meal.
Code 1-Plumbing (1) Hot water heater failure. (2) Leaking water supply pipes.
Code 2-Plumbing (1) Flush valves not operating properly. (2) Fixture drain, supply line to commode, or any water pipe leaking. (3) Commode leaking at base.
Code 3 -Plumbing Leaky faucets.
Code 3-Interior (1) Floors damaged. (2) Paint chipping or peeling. (3) Casework.
Code 1-Roof Leaks Temporary repairs will be made where major damage to property is occurring.
Code 2-Roof Leaks Where major damage to property is not occurring, check for location of leak during rain and complete repairs on a Code 2 basis.
Code 2-Water (Exterior) No water to facility.
Code 2-Water (Hot) No hot water in portion of building listed.
Code 3-All other work not listed above.
1.3.5 Warranty Tags
At the time of installation, each warranted item shall be tagged with a durable, oil and water resistant tag approved by the Contracting Officer. Each tag shall be attached with a copper wire and shall be sprayed with a silicone waterproof coating. The date of acceptance and the QC signature shall remain blank until project is accepted for beneficial occupancy. The tag shall show the following information.

a.	Type of product/material
b.	Model number
c.	Serial number
d.	Contract number
e.	Warranty periodfromto

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f.	Inspector's signature	
g.	Construction Contractor	
	Address	
	Telephone number	
h	Warranty contact	
	Address	
	Telephone number	
i.	Warranty response time priority code	
j DURING	. WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE THE WARRANTY PERIOD.	G
1.4	MECHANICAL TESTING, ADJUSTING, BALANCING, AND COMMISSIONING	
repor adjus submi	to final inspection and transfer of the completed facility; all ts, statements, certificates, and completed checklists for testing, sting, balancing, and commissioning of mechanical systems shall be teted to and approved by the Contracting Officer as specified in cable technical specification sections.	
1.5	DPERATION AND MAINTENANCE MANUALS	
Opera	ation manuals and maintenance manuals shall be submitted as specified. Ation manuals and maintenance manuals provided in a common volume shal Learly differentiated and shall be separately indexed.	
1.6 H	FINAL CLEANING	
tempo shall condi be re areas shall shall const	premises shall be left broom clean. Stains, foreign substances, and brary labels shall be removed from surfaces. Carpet and soft surfaces be vacuumed. Equipment and fixtures shall be cleaned to a sanitary tion. Filters of operating equipment shall be cleaned. Debris shall moved from roofs, drainage systems, gutters, and downspouts. Paved shall be swept and landscaped areas shall be raked clean. The site have waste, surplus materials, and rubbish removed. The project are have temporary structures, barricades, project signs, and ruction facilities removed. A list of completed clean-up items shall abmitted on the day of final inspection.	· ea
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PART 3 EXECUTION (NOT USED)

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### SECTION 01781

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  - 1.3.5 Data Package 5

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UFGS-01781 (December 2001)

### SECTION 01781

# OPERATION AND MAINTENANCE DATA 12/01

### PART 1 GENERAL

#### SUBMISSION OF OPERATION AND MAINTENANCE DATA 1.1

Submit Operation and Maintenance (O&M) Data specifically applicable to this contract and a complete and concise depiction of the provided equipment, product, or system. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01330, "Submittal Procedures."

#### 1.1.1 Package Quality

Documents must be fully legible. Poor quality copies and material with hole punches obliterating the text or drawings will not be accepted.

# 1.1.2 Package Content

Data package content shall be as shown in the paragraph titled "Schedule of Operation and Maintenance Data Packages." Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission.

#### Changes to Submittals 1.1.3

Manufacturer-originated changes or revisions to submitted data shall be furnished by the Contractor if a component of an item is so affected subsequent to acceptance of the O&M Data. Changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data, shall be submitted by the Contractor within 30 calendar days of the notification of this change requirement.

#### 1.2 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

# 1.2.1 Operating Instructions

Include specific instructions, procedures, and illustrations for the following phases of operation:

# 1.2.1.1 Safety Precautions

List personnel hazards and equipment or product safety precautions for all operating conditions.

### 1.2.1.2 Operator Prestart

Include procedures required to set up and prepare each system for use.

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# 1.2.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

# 1.2.1.4 Normal Operations

Provide narrative description of Normal Operating Procedures. Include Control Diagrams with data to explain operation and control of systems and specific equipment.

### 1.2.1.5 Emergency Operations

Include Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Include Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of all utility systems including required valve positions, valve locations and zones or portions of systems controlled.

# 1.2.1.6 Operator Service Requirements

Include instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gage readings.

### 1.2.1.7 Environmental Conditions

Include a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

### 1.2.2 Preventive Maintenance

Include the following information for preventive and scheduled maintenance to minimize corrective maintenance and repair.

# 1.2.2.1 Lubrication Data

Include preventative maintenance lubrication data, in addition to instructions for lubrication provided under paragraph titled "Operator Service Requirements":

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

### 1.2.2.2 Preventive Maintenance Plan and Schedule

Include manufacturer's schedule for routine preventive maintenance, inspections, tests and adjustments required to ensure proper and economical operation and to minimize corrective maintenance. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly,

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monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

# 1.2.3 Corrective Maintenance (Repair)

Include manufacturer's recommended procedures and instructions for correcting problems and making repairs.

# 1.2.3.1 Troubleshooting Guides and Diagnostic Techniques

Include step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

# 1.2.3.2 Wiring Diagrams and Control Diagrams

Wiring diagrams and control diagrams shall be point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

# 1.2.3.3 Maintenance and Repair Procedures

Include instructions and alist of tools required to repair or restore the product or equipment to proper condition or operating standards.

# 1.2.3.4 Removal and Replacement Instructions

Include step-by-step procedures and a list required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions shall include a combination of text and illustrations.

# 1.2.3.5 Spare Parts and Supply Lists

Include lists of spare parts and supplies required for maintenance and repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

### 1.2.4 Corrective Maintenance Work-Hours

Include manufacturer's projection of corrective maintenance work-hours including requirements by type of craft. Corrective maintenance that requires completion or participation of the equipment manufacturer shall be identified and tabulated separately.

# 1.2.5 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

### 1.2.6 Parts Identification

Provide identification and coverage for all parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing shall show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Parts shown in the listings shall be grouped by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog

#### 1.2.6.1 Warranty Information

List and explain the various warranties and include the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components such as the compressor of air conditioning system.

#### 1.2.6.2 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

#### 1.2.6.3 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components.

#### 1.2.6.4 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

### SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:

### 1.3.1 Data Package 1

- a. Safety precautions
- b. Maintenance and repair procedures

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- c. Warranty information
- d. Contractor information
- e. Spare parts and supply list

# 1.3.2 Data Package 2

- a. Safety precautions
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan and schedule
- f. Maintenance and repair procedures
- g. Removal and replacement instructions
- h. Spare parts and supply list
- i. Parts identification
- j. Warranty information
- k. Contractor information

# 1.3.3 Data Package 3

- a. Safety precautions
- b. Normal operations
- c. Emergency operations
- d. Environmental conditions
- e. Lubrication data
- f. Preventive maintenance plan and schedule
- g. Troubleshooting guides and diagnostic techniques
- Wiring diagrams and control diagrams h.
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- 1. Parts identification
- m. Warranty information
- n. Testing equipment and special tool information

o. Contractor information

# 1.3.4 Data Package 4

- a. Safety precautions
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Operator service requirements
- g. Environmental conditions
- h. Lubrication data
- i. Preventive maintenance plan and schedule
- j. Troubleshooting guides and diagnostic techniques
- k. Wiring diagrams and control diagrams
- 1. Maintenance and repair procedures
- m. Removal and replacement instructions
- n. Spare parts and supply list
- o. Corrective maintenance man-hours
- p. Parts identification
- q. Warranty information
- r. Personnel training requirements
- s. Testing equipment and special tool information
- t. Contractor information

# 1.3.5 Data Package 5

- a. Safety precautions
- b. Operator prestart
- c. Start-up, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan and schedule

- g. Troubleshooting guides and diagnostic techniques
- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Spare parts and supply list
- k. Testing equipments and special tools
- 1. Warranty information
- m. Contractor information

# PART 2 PRODUCTS

Not used.

# PART 3 EXECUTION

Not used.

-- End of Section --

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# DIVISION 02 - SITE WORK

# SECTION 02220

### DEMOLITION

# 05/02

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  - 3.1.6 Concrete
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- 3.2 FILLING
- 3.3 DISPOSITION OF MATERIAL
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-- End of Section Table of Contents --

UFGS-02220 (May 2002)

SECTION 02220

DEMOLITION 05/02

PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(1996) U.S. Army Corps of Engineers Safety and Health Requirements Manual

# 1.2 GENERAL REQUIREMENTS

Do not begin demolition until authorization is received from the Contracting Officer. Remove rubbish and debris from the project site. The work includes demolition, salvage of identified items and materials, and removal of resulting rubbish and debris. Rubbish and debris shall be removed from Government property daily, unless otherwise directed, to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Contracting Officer. In the interest of occupational safety and health, the work shall be performed in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections. In the interest of conservation, salvage shall be pursued to the maximum extent possible.

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Work Plan; G, RE

The procedures proposed for the accomplishment of the work. The procedures shall provide for safe conduct of the work, including procedures and methods to provide necessary supports, lateral bracing and shoring when required, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress, and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations in accordance with EM 385-1-1.

SD-07 Certificates

Demolition plan; G, RE

Notifications; G, RE

Notification of Demolition and Renovation forms; G, RE

Submit proposed demolition and removal procedures to the Contracting Officer for approval before work is started.

SD-11 Closeout Submittals

Receipts

### 1.4 OMITTED

### 1.5 DUST AND DEBRIS CONTROL

Prevent the spread of dust and debris and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Vacuum and dust the work area daily.

### 1.6 PROTECTION

# 1.6.1 Traffic Control Signs

Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Notify the Contracting Officer prior to beginning such work.

# 1.6.2 Existing Work

Before beginning any demolition work, the Contractor shall survey the site and examine the drawings and specifications to determine the extent of the work. The Contractor shall take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government; any damaged items shall be repaired or replaced as approved by the Contracting Officer. The Contractor shall coordinate the work of this section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed under this contract. Do not overload pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition or removal work. Repairs, reinforcement, or structural replacement must have Contracting Officer approval.

# 1.6.3 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas so as to ensure effectiveness and to prevent displacement.

### 1.6.4 Trees

Trees within the project site which might be damaged during demolition, and which are indicated to be left in place, shall be protected by a 6 foot high fence. The fence shall be securely erected a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Any tree designated to remain that is damaged during the work under this contract shall be replaced in kind or as approved by the Contracting Officer.

### 1.6.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, shall remain standing without additional bracing, shoring, of lateral support until demolished, unless directed otherwise by the Contracting Officer. The Contractor shall ensure that no elements determined to be unstable are left unsupported and shall be responsible for placing and securing bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, or demolition work performed under this contract.

### 1.6.6 Protection of Personnel

During the demolition work the Contractor shall continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the demolition site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

# 1.7 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

# 1.8 OMITTED

# 1.9 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair items to be relocated which are damaged or replace damaged items with new undamaged items as approved by the Contracting Officer.

# 1.10 Required Data

Demolition plan shall include procedures for coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations.

# 1.11 Environmental Protection

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The work shall comply with the requirements of Section 01355A ENVIRONMENTAL PROTECTION.

# 1.12 USE OF EXPLOSIVES

Use of explosives will not be permitted.

### 1.13 AVAILABILITY OF WORK AREAS

Areas in which the work is to be accomplished will be available in accordance with the following:

(See Phasing Plan in Drawings)

### PART 2 PRODUCTS

Not used.

### PART 3 EXECUTION

### 3.1 EXISTING FACILITIES TO BE REMOVED

### 3.1.1 Structures

Existing structures indicated shall be removed to 2 feet below grade.

# 3.1.2 Utilities and Related Equipment

Remove existing utilities, as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered that are not indicated on the drawings, the Contracting Officer shall be notified prior to further work in that area. If utility lines are encountered that are not shown on drawings, contact the Contracting Officer for further instructions.

# 3.1.3 Paving and Slabs

Remove concrete and asphaltic concrete paving and slabs as indicated to a depth of 24 inches below existing adjacent new finish grade. Provide neat sawcuts at limits of pavement removal as indicated.

### 3.1.4 Omitted

### 3.1.5 Omitted

### 3.1.6 Concrete

Saw concrete along straight lines to a depth of not less than 2 inches. Make each cut in walls perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the concrete provided that the broken area is concealed in the finished work, and the remaining concrete is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete.

### 3.1.7 Omitted

# 3.1.8 Patching

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Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Finished surfaces of patched area shall be flush with the adjacent existing surface and shall match the existing adjacent surface as closely as possible as to texture and finish. Patching shall be as specified and indicated, and shall include:

- a. Holes and depressions caused by previous physical damage or left as a result of removals in existing masonry walls to remain shall be completely filled with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.
- b. Where existing partitions have been removed leaving damaged or missing resilient tile flooring, patch to match the existing floor tile.
- c. Patch acoustic lay-in ceiling where partitions have been removed. The transition between the different ceiling heights shall be effected by continuing the higher ceiling level over to the first runner on the lower ceiling and closing the vertical opening with a painted sheet metal strip.

#### 3.2 FILLING

Holes, open basements and other hazardous openings shall be filled in accordance with Section 02300.

#### 3.3 DISPOSITION OF MATERIAL

#### 3.3.1 Title to Materials

Except where specified in other sections, all materials and equipment removed, and not reused, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition and removal procedures, and authorization by the Contracting Officer to begin demolition. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Materials and equipment shall not be viewed by prospective purchasers or sold on the site.

- 3.3.2 Omitted
- 3.3.3 Omitted
- 3.3.4 Omitted
- 3.3.5 Omitted
- 3.3.6 Omitted

#### 3.3.7 Unsalvageable Material

Concrete, masonry, and other noncombustible material, except concrete permitted to remain in place, shall be disposed of in the disposal area located off post at contractor's expense.

#### 3.4 CLEANUP

Debris and rubbish shall be removed from basement and similar excavations. Debris shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Local regulations regarding hauling and disposal shall apply.

#### 3.4.1 Debris and Rubbish

Debris and rubbish shall be removed from basement and similar excavations. Debris shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Local regulations regarding hauling and disposal shall apply.

-- End of Section --

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# SECTION 02231

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# 07/02

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UFGS-02231 (July 2002)

### SECTION 02231

# CLEARING AND GRUBBING 07/02

### PART 1 GENERAL

### 1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Earthwork

Procedure and location for disposal of unused satisfactory material proposed source of borrow material.

Written permission to dispose of such products on private property shall be filed with the Contracting Officer.

SD-04 Samples

Tree wound paint

Herbicide

Submit samples in cans with manufacturer's label.

SD-06 Test Reports

Testing.

Within 24 hours of conclusion of physical tests, 3 copies of test results, including calibration curves and results of calibration tests.

SD-07 Certificates

Testing.

Qualifications of the commercial testing laboratory or Contractor's testing facilities.

# DELIVERY, STORAGE, AND HANDLING

Deliver materials to, store at the site, and handle in a manner which will maintain the materials in their original manufactured or fabricated condition until ready for use.

# PART 2 PRODUCTS

### 2.1 TREE WOUND PAINT

Bituminous based paint of standard manufacture specially formulated for tree wounds.

### 2.2 HERBICIDE

Comply with Federal Insecticide, Fungicide, and Rodenticide Act (Title 7 U.S.C. Section 136) for requirements on contractor's licensing, certification and record keeping. Contact the command Pest Control Coordinator prior to starting work.

### PART 3 EXECUTION

### 3.1 PROTECTION

### 3.1.1 Roads and Walks

Keep roads and walks free of dirt and debris at all times.

# 3.1.2 Trees, Shrubs, and Existing Facilities

Trees and vegetation to be left standing shall be protected from damage incident to clearing, grubbing, and construction operations by the erection of barriers or by such other means as the circumstances require.

### 3.1.3 Utility Lines

Protect existing utility lines that are indicated to remain from damage. Notify the Contracting Officer immediately of damage to or an encounter with an unknown existing utility line. The Contractor shall be responsible for the repairs of damage to existing utility lines that are indicated or made known to the Contractor prior to start of clearing and grubbing operations. When utility lines which are to be removed are encountered within the area of operations, the Contractor shall notify the Contracting Officer in ample time to minimize interruption of the service.

# 3.2 CLEARING

Clearing shall consist of the felling, trimming, and cutting of trees into sections and the satisfactory disposal of the trees and other vegetation designated for removal, including downed timber, snags, brush, and rubbish occurring within the areas to be cleared. Trees, stumps, roots, brush, and other vegetation in areas to be cleared shall be cut off flush with or below the original ground surface, except such trees and vegetation as may be indicated or directed to be left standing. Trees designated to be left standing within the cleared areas shall be trimmed of dead branches 1-1/2 inches or more in diameter and shall be trimmed of all branches the heights indicated or directed. Limbs and branches to be trimmed shall be neatly cut close to the bole of the tree or main branches. Cuts more than 1-1/2 inches in diameter shall be painted with an approved tree-wound paint.

# 3.3 TREE REMOVAL

Where indicated or directed, trees and stumps that are designated as trees shall be removed from areas outside those areas designated for clearing and grubbing. This work shall include the felling of such trees and the removal of their stumps and roots as specified in paragraph GRUBBING. Trees shall be disposed of as specified in paragraph DISPOSAL OF MATERIALS.

#### 3.4 PRUNING

Prune trees designated to be left standing within the cleared areas of dead branches 1 1/2 inches or more in diameter; and trim branches to heights and in a manner as indicated. Neatly cut limbs and branches to be trimmed close to the bole of the tree or main branches. Paint cuts more than 1 1/4 inches in diameter with an approved tree wound paint.

#### 3.5 GRUBBING

Grubbing shall consist of the removal and disposal of stumps, roots larger than 3 inches in diameter, and matted roots from the designated grubbing

Material to be grubbed, together with logs and other organic or metallic debris not suitable for foundation purposes, shall be removed to a depth of not less than 18 inches below the original surface level of the ground in areas indicated to be grubbed and in areas indicated as construction areas under this contract, such as areas for buildings, and areas to be paved. Depressions made by grubbing shall be filled with suitable material and compacted to make the surface conform with the original adjacent surface of the ground.

#### 3.6 DISPOSAL OF MATERIALS

#### 3.6.1 Omitted

#### 3.6.2 Nonsaleable Materials

Logs, stumps, roots, brush, rotten wood, and other refuse from the clearing and grubbing operations, except for salable timber, shall be disposed of outside the limits of Government-controlled land at the Contractor's responsibility, except when otherwise directed in writing. Such directive will state the conditions covering the disposal of such products and will also state the areas in which they may be placed.

-- End of Section --

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# SECTION 02300A

# EARTHWORK 12/97

# PART 1 GENERAL

# 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

> AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO T 180	(1997) Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and an 457 mm (18-in) Drop
AASHTO T 224	(1996) Correction for Coarse Particles in

the Soil Compaction Test

# AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM D 422	(1963; R 1998) Particle-Size Analysis of Soils
ASTM D 1140	(1997) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
ASTM D 1556	(1990; R 1996el) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996el) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 4318	(1998) Liquid Limit, Plastic Limit, and Plasticity Index of Soils

# 1.2 OMITTED

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#### 1.3 OMITTED

### 1.4 DEFINITIONS

#### 1.4.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL, ML, CL-ML. Satisfactory materials for grading shall be comprised of stones less than 3 inches, except for fill material for pavements and railroads which shall be comprised of stones less than 3 inches in any dimension.

#### 1.4.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. The Contracting Officer shall be notified of any contaminated materials.

#### 1.4.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Testing required for classifying materials shall be in accordance with ASTM D 4318, ASTM C 136, ASTM D 422, and ASTM D 1140.

#### 1.4.4 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557abbreviated as a percent of laboratory maximum density. Since ASTM D 1557 applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve shall be expressed as a percentage of the maximum density in accordance with AASHTO T 180Method D and corrected with AASHTO T 224. To maintain the same percentage of coarse material, the "remove and replace" procedure as described in the NOTE 8 in Paragraph 7.2 of AASHTO T 180 shall be used.

#### 1.4.5 Omitted

#### 1.4.6 Topsoil

Material suitable for topsoils obtained from offsite areas and is defined in Section 02921 SEEDING.

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Earthwork

Procedure and location for disposal of unused satisfactory material. Blasting plan when blasting is permitted. Proposed source of borrow material.

Notification of encountering rock in the project. Advance notice on shoulder construction for rigid pavements.

SD-06 Test Reports

Testing.

Within 24 hours of conclusion of physical tests, 6 copies of test results, including calibration curves and results of calibration tests.

SD-07 Certificates

Testing.

Qualifications of the commercial testing laboratory or Contractor's testing facilities.

### 1.6 SUBSURFACE DATA

Subsurface soil boring logs are shown on the drawings. The subsoil investigation report and samples of materials taken from subsurface investigations may be examined at Fort Bragg Area Office. These data represent the best subsurface information available; however, variations may exist in the subsurface between boring locations.

# 1.7 CLASSIFICATION OF EXCAVATION

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation.

# 1.8 BLASTING

Blasting will not be permitted.

# 1.9 UTILIZATION OF EXCAVATED MATERIALS

Unsatisfactory materials removed from excavations shall be disposed of in designated waste disposal or spoil areas. Satisfactory material removed from excavations shall be used, insofar as practicable, in the construction of fills, embankments, subgrades, shoulders, bedding (as backfill), and for similar purposes. No satisfactory excavated material shall be wasted without specific written authorization. Satisfactory material authorized to be wasted shall be disposed of in designated areas approved for surplus material storage or designated waste areas as directed. Newly designated waste areas on Government-controlled land shall be cleared and grubbed before disposal of waste material thereon. Coarse rock from excavations shall be stockpiled and used for constructing slopes or embankments adjacent to streams, or sides and bottoms of channels and for protecting against erosion. No excavated material shall be disposed of to obstruct the flow of any stream, endanger a partly finished structure, impair the

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efficiency or appearance of any structure, or be detrimental to the completed work in any way.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

### 3.1 STRIPPING OF TOPSOIL

Where indicated or directed, topsoil shall be stripped to a depth of 6 inches. Topsoil shall be spread on areas already graded and prepared for topsoil, or transported and deposited in stockpiles convenient to areas that are to receive application of the topsoil later, or at locations indicated or specified. Topsoil shall be kept separate from other excavated materials, brush, litter, objectionable weeds, roots, stones larger than 2 inches in diameter, and other materials that would interfere with planting and maintenance operations. Any surplus of topsoil from excavations and grading shall be stockpiled.

### 3.2 GENERAL EXCAVATION

The Contractor shall perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Grading shall be in conformity with the typical sections shown and the tolerances specified in paragraph FINISHING. Satisfactory excavated materials shall be transported to and placed in fill or embankment within the limits of the work. Unsatisfactory materials encountered within the limits of the work shall be excavated below grade and replaced with satisfactory materials as directed. Such excavated material and the satisfactory material ordered as replacement shall be included in excavation. Surplus satisfactory excavated material not required for fill or embankment shall be disposed of in areas approved for surplus material storage or designated waste areas. Unsatisfactory excavated material shall be disposed of in designated waste or spoil areas. During construction, excavation and fill shall be performed in a manner and sequence that will provide proper drainage at all times. Material required for fill or embankment in excess of that produced by excavation within the grading limits shall be excavated from the borrow areas indicated or from other approved areas selected by the Contractor as specified.

# 3.2.1 Ditches, Gutters, and Channel Changes

Excavation of ditches, gutters, and channel changes shall be accomplished by cutting accurately to the cross sections, grades, and elevations shown. Ditches and gutters shall not be excavated below grades shown. Excessive open ditch or gutter excavation shall be backfilled with satisfactory, thoroughly compacted, material or with suitable stone or cobble to grades shown. Material excavated shall be disposed of as shown or as directed, except that in no case shall material be deposited less than 4 feet from the edge of a ditch. The Contractor shall maintain excavations free from detrimental quantities of leaves, brush, sticks, trash, and other debris until final acceptance of the work.

# 3.2.2 Drainage Structures

Excavations shall be made to the lines, grades, and elevations shown, or as directed. Trenches and foundation pits shall be of sufficient size to permit the placement and removal of forms for the full length and width of

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structure footings and foundations as shown. Rock or other hard foundation material shall be cleaned of loose debris and cut to a firm, level, stepped, or serrated surface. Loose disintegrated rock and thin strata shall be removed. When concrete or masonry is to be placed in an excavated area, the bottom of the excavation shall not be disturbed. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed.

#### 3.3 SELECTION OF BORROW MATERIAL

Borrow material shall be selected to meet the requirements and conditions of the particular fill or embankment for which it is to be used. Borrow material shall be obtained from approved private sources. Unless otherwise provided in the contract, the Contractor shall obtain from the owners the right to procure material, pay royalties and other charges involved, and bear the expense of developing the sources, including rights-of-way for hauling.

### OPENING AND DRAINAGE OF EXCAVATION AND BORROW PITS

Except as otherwise permitted, borrow pits and other excavation areas shall be excavated providing adequate drainage. Overburden and other spoil material shall be transported to designated spoil areas or otherwise disposed of as directed. Borrow pits shall be neatly trimmed and drained after the excavation is completed. The Contractor shall ensure that excavation of any area, operation of borrow pits, or dumping of spoil material results in minimum detrimental effects on natural environmental conditions.

#### 3.5 GRADING AREAS

Where indicated, work will be divided into grading areas within which satisfactory excavated material shall be placed in embankments, fills, and required backfills. The Contractor shall not haul satisfactory material excavated in one grading area to another grading area except when so directed in writing.

#### 3.6 BACKFILL

Backfill adjacent to any and all types of structures shall be placed and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials to prevent wedging action or eccentric loading upon or against the structure. Ground surface on which backfill is to be placed shall be prepared as specified in paragraph PREPARATION OF GROUND SURFACE FOR EMBANKMENTS. Compaction requirements for backfill materials shall also conform to the applicable portions of paragraphs PREPARATION OF GROUND SURFACE FOR EMBANKMENTS, EMBANKMENTS, and SUBGRADE PREPARATION, and Section 02630 STORM-DRAINAGE SYSTEM; 02315 EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS and Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

# PREPARATION OF GROUND SURFACE FOR EMBANKMENTS

#### 3.7.1 General Requirements

Ground surface on which fill is to be placed shall be stripped of live,

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dead, or decayed vegetation, rubbish, debris, and other unsatisfactory material; plowed, disked, or otherwise broken up to a depth of 6-inches; pulverized; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. The prepared ground surface shall be scarified and moistened or aerated as required just prior to placement of embankment materials to assure adequate bond between embankment material and the prepared ground surface.

### 3.7.2 Frozen Material

Embankment shall not be placed on a foundation which contains frozen material, or which has been subjected to freeze-thaw action. This prohibition encompasses all foundation types, including the natural ground, all prepared subgrades (whether in an excavation or on an embankment) and all layers of previously placed and compacted earth fill which become the foundations for successive layers of earth fill. All material that freezes or has been subjected to freeze-thaw action during the construction work, or during periods of temporary shutdowns, such as, but not limited to, nights, holidays, weekends, winter shutdowns, or earthwork operations, shall be removed to a depth that is acceptable to the Contracting Officer and replaced with new material. Alternatively, the material will be thawed, dried, reworked, and recompacted to the specified criteria before additional material is placed. The Contracting Officer will determine when placement of fill shall cease due to cold weather. The Contracting Officer may elect to use average daily air temperatures, and/or physical observation of the soils for his determination. Embankment material shall not contain frozen clumps of soil, snow, or ice.

### 3.8 EMBANKMENTS

# 3.8.1 Earth Embankments

Earth embankments shall be constructed from satisfactory materials free of organic or frozen material and rocks with any dimension greater than 3 inches. The material shall be placed in successive horizontal layers of loose material not more than 8 inches in depth. Each layer shall be spread uniformly on a soil surface that has been moistened or aerated as necessary, and scarified or otherwise broken up so that the fill will bond with the surface on which it is placed. After spreading, each layer shall be plowed, disked, or otherwise broken up; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction requirements for the upper portion of earth embankments forming subgrade for pavements shall be identical with those requirements specified in paragraph SUBGRADE PREPARATION. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

### 3.9 SUBGRADE PREPARATION

### 3.9.1 Construction

Subgrade shall be shaped to line, grade, and cross section, and compacted as specified. This operation shall include plowing, disking, and any

moistening or aerating required to obtain specified compaction. Soft or otherwise unsatisfactory material shall be removed and replaced with satisfactory excavated material or other approved material as directed. Rock encountered in the cut section shall be excavated to a depth of 6 inches below finished grade for the subgrade. Low areas resulting from removal of unsatisfactory material or excavation of rock shall be brought up to required grade with satisfactory materials, and the entire subgrade shall be shaped to line, grade, and cross section and compacted as specified. After rolling, the surface of the subgrade for roadways shall not show deviations greater than 1/2 inch when tested with a loaded dumptruck foot straightedge applied both parallel and at right angles to the centerline of the area. The elevation of the finish subgrade shall not vary more than 0.05 foot from the established grade and cross section.

# 3.9.2 Compaction

Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas and railroads, each layer of the embankment shall be compacted to at least 90 percent of laboratory maximum density.

### 3.9.2.1 Omitted

# 3.9.2.2 Subgrade for Pavements

Subgrade for pavements shall be compacted to at least 98 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, the top 6 inches of subgrade shall be scarified, windrowed, thoroughly blended, reshaped, and compacted.

# 3.10 SHOULDER CONSTRUCTION

Shoulders shall be constructed of satisfactory excavated or borrow material or as otherwise shown or specified. Shoulders shall be constructed as soon as possible after adjacent paving is complete, but in the case of rigid pavements, shoulders shall not be constructed until permission of the Contracting Officer has been obtained. The entire shoulder area shall be compacted to at least the percentage of maximum density as specified in paragraph SUBGRADE PREPARATION above, for specific ranges of depth below the surface of the shoulder. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Shoulder construction shall be done in proper sequence in such a manner that adjacent ditches will be drained effectively and that no damage of any kind is done to the adjacent completed pavement. The completed shoulders shall be true to alignment and grade and shaped to drain in conformity with the cross section shown.

### 3.11 FINISHING

The surface of excavations, embankments, and subgrades shall be finished to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. The degree of finish for graded areas shall be within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades shall be specified in paragraph SUBGRADE PREPARATION. Gutters and ditches shall be finished in a manner that will result in effective drainage. The surface of areas to be turfed

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shall be finished to a smoothness suitable for the application of turfing materials.

# 3.12 PLACING TOPSOIL

On areas to receive topsoil, the compacted subgrade soil shall be scarified to a 2 inch depth for bonding of topsoil with subsoil. Topsoil then shall be spread evenly to a thickness of 4 inches and graded to the elevations and slopes shown. Topsoil shall not be spread when frozen or excessively wet or dry. Material required for topsoil in excess of that produced by excavation within the grading limits shall be obtained from offsite areas.

### 3.13 TESTING

Testing shall be performed by an approved commercial testing laboratory or by the Contractor subject to approval. If the Contractor elects to establish testing facilities, no work requiring testing will be permitted until the Contractor's facilities have been inspected and approved by the Contracting Officer. Field in-place density shall be determined in accordance with ASTM D 1556. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted using only the sand cone method as described in ASTM D 1556. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, the material shall be removed, replaced and recompacted to meet specification requirements. Tests on recompacted areas shall be performed to determine conformance with specification requirements. Inspections and test results shall be certified by a registered professional civil engineer. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

# 3.13.1 Fill and Backfill Material Gradation

One test per 200 cubic yards stockpiled or in-place source material. Gradation of fill and backfill material shall be determined in accordance with ASTM C 136.

# 3.13.2 In-Place Densities

- a. One test per 2,200 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than hand-operated machines.
- b. One test per 800 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by hand-operated machines.
- c. One test per 100 linear feet, or fraction thereof, of each lift of embankment or backfill for roads.

### 3.13.3 Omitted

### 3.13.4 Moisture Contents

In the stockpile, excavation, or borrow areas, a minimum of two tests per day per type of material or source of material being placed during stable weather conditions shall be performed. During unstable weather, tests shall be made as dictated by local conditions and approved by the

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Contracting Officer.

# 3.13.5 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 50 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

# 3.13.6 Tolerance Tests for Subgrades

Continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION shall be made during construction of the subgrades.

#### 3.14 SUBGRADE AND EMBANKMENT PROTECTION

During construction, embankments and excavations shall be kept shaped and drained. Ditches and drains along subgrade shall be maintained to drain effectively at all times. The finished subgrade shall not be disturbed by traffic or other operation and shall be protected and maintained by the Contractor in a satisfactory condition until ballast, subbase, base, or pavement is placed. The storage or stockpiling of materials on the finished subgrade will not be permitted. No subbase, base course, ballast, or pavement shall be laid until the subgrade has been checked and approved, and in no case shall subbase, base, surfacing, pavement, or ballast be placed on a muddy, spongy, or frozen subgrade.

-- End of Section --

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### SECTION 02315A

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# 08/98

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UFGS-02315A (August 1998)

# SECTION 02315A

# EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS 08/98

### PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

### AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996el) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu.m.))
ASTM D 2216	(1998) Laboratory Determination of Water (Moisture) Content of Soil and Rock
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)

# 1.2 DEGREE OF COMPACTION

Degree of compaction is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557, abbreviated as percent laboratory maximum density.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Testing

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

# PART 2 PRODUCTS

# 2.1 MATERIALS

# 2.1.1 Satisfactory Materials

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Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL, ML.

### 2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

### 2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM, GP-GM, GW-GM, SW-SM, SP-SM, and SM shall be identified as cohesionless only when the fines are nonplastic.

### 2.1.4 Omitted

# 2.1.5 Nonfrost Susceptible (NFS) Material

Nonfrost susceptible material shall be a uniformly graded washed sand with a maximum particle size of .187inch and less than 5 percent passing the No. 200 size sieve, and with not more than 3 percent by weight finer than 0.02 mm grain size.

### 2.2 CAPILLARY WATER BARRIER

Capillary Water Barrier shall consist of clean, crushed, nonporous rock, crushed gravel, or uncrushed gravel. The maximum particle size shall be 1-1/2 inches and no more than 2 percent by weight shall pass the No. 4 size sieve.

### PART 3 EXECUTION

# 3.1 CLEARING AND GRUBBING

Clearing and grubbing is specified in Section 02231 CLEARING AND GRUBBING.

### 3.2 OMITTED

### 3.3 EXCAVATION

Excavation shall conform to the dimensions and elevations indicated for each building, structure, and footing except as specified, and shall include trenching for utility and foundation drainage systems to a point 5 feet beyond the building line of each building and structure, excavation for all work incidental thereof. Excavation shall extend a sufficient distance from walls and footings to allow for placing and removal of forms. Excavations below indicated depths will not be permitted except to remove unsatisfactory material. Unsatisfactory material encountered below the grades shown shall be removed as directed, replaced with satisfactory material; and payment will be made in conformance with the CHANGES clause

of the CONTRACT CLAUSES. Satisfactory material removed below the depths indicated, without specific direction of the Contracting Officer, shall be replaced, at no additional cost to the Government, with satisfactory materials to the indicated excavation grade; except that concrete footings shall be increased in thickness to the bottom of the overdepth excavations and over-break in rock excavation. Satisfactory material shall be placed and compacted as specified in paragraph FILLING AND BACKFILLING. Determination of elevations and measurements of approved overdepth excavation of unsatisfactory material below grades indicated shall be done under the direction of the Contracting Officer.

#### 3.4 DRAINAGE AND DEWATERING

#### 3.4.1 Drainage

Surface water shall be directed away from excavation and construction sites to prevent erosion and undermining of foundations. Diversion ditches, dikes and grading shall be provided and maintained as necessary during construction. Excavated slopes and backfill surfaces shall be protected to prevent erosion and sloughing. Excavation shall be performed so that the site, the area immediately surrounding the site, and the area affecting operations at the site shall be continually and effectively drained.

#### 3.4.2 Dewatering

Groundwater flowing toward or into excavations shall be controlled to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. French drains, sumps, ditches or trenches will not be permitted within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Control measures shall be taken by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, the water level shall be maintained continuously, at least 2 feet below the working level.

#### 3.5 SHORING

Shoring, including sheet piling, shall be furnished and installed as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Shoring, bracing, and sheeting shall be removed as excavations are backfilled, in a manner to prevent caving.

#### 3.6 OMITTED

#### 3.7 BLASTING

Blasting will not be permitted.

#### 3.8 UTILITY AND DRAIN TRENCHES

Trenches for underground utilities systems and drain lines shall be excavated to the required alignments and depths. The bottoms of trenches shall be graded to secure the required slope and shall be tamped if necessary to provide a firm pipe bed. Recesses shall be excavated to accommodate bells and joints so that pipe will be uniformly supported for the entire length. Rock, where encountered, shall be excavated to a depth of at least 6 inches below the bottom of the pipe, and the overdepth shall

be backfilled with satisfactory material placed and compacted in conformance with paragraph FILLING AND BACKFILLING.

# 3.9 BORROW

Where satisfactory materials are not available in sufficient quantity from required excavations, approved materials shall be obtained as specified in Section 02300 EARTHWORK.

# 3.10 EXCAVATED MATERIALS

Satisfactory excavated material required for fill or backfill shall be placed in the proper section of the permanent work required under this section or shall be separately stockpiled if it cannot be readily placed. Satisfactory material in excess of that required for the permanent work and all unsatisfactory material shall be disposed of as specified in Section 02300 EARTHWORK.

## 3.11 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Excavation to final grade shall not be made until just before concrete is to be placed. Only excavation methods that will leave the foundation rock in a solid and unshattered condition shall be used. Approximately level surfaces shall be roughened, and sloped surfaces shall be cut as indicated into rough steps or benches to provide a satisfactory bond. Shales shall be protected from slaking and all surfaces shall be protected from erosion resulting from ponding or flow of water.

# 3.12 SUBGRADE PREPARATION

Unsatisfactory material in surfaces to receive fill or in excavated areas shall be removed and replaced with satisfactory materials as directed by the Contracting Officer. The surface shall be scarified to a depth of 6inches before the fill is started. Sloped surfaces steeper than 1 vertical to 4 horizontal shall be plowed, stepped, benched, or broken up so that the fill material will bond with the existing material. When subgrades are less than the specified density, the ground surface shall be broken up to a minimum depth of 6 inches, pulverized, and compacted to the specified density. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for the adjacent fill. Material shall not be placed on surfaces that are muddy, frozen, or contain frost. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Material shall be moistened or aerated as necessary to plus or minus 2 percent of optimum moisture to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Minimum subgrade density shall be as specified in paragraph FILLING AND BACKFILLING.

### 3.13 FILLING AND BACKFILLING

Satisfactory materials shall be used in bringing fills and backfills to the lines and grades indicated and for replacing unsatisfactory materials. Satisfactory materials shall be placed in horizontal layers not exceeding 8 inches in loose thickness, or 6 inches when hand-operated compactors are used. After placing, each layer shall be plowed, disked, or otherwise broken up, moistened or aerated as necessary, thoroughly mixed and compacted as specified. Backfilling shall not begin until construction

below finish grade has been approved, underground utilities systems have been inspected, tested and approved, forms removed, and the excavation cleaned of trash and debris. Backfill shall be brought to indicated finish grade. Backfill shall not be placed in wet or frozen areas. Where pipe is coated or wrapped for protection against corrosion, the backfill material up to an elevation 2 feet above sewer lines and 1 foot above other utility lines shall be free from stones larger than 1 inch in any dimension. Heavy equipment for spreading and compacting backfill shall not be operated closer to foundation or retaining walls than a distance equal to the height of backfill above the top of footing; the area remaining shall be compacted in layers not more than 4 inches in compacted thickness with power-driven hand tampers suitable for the material being compacted. Backfill shall be placed carefully around pipes or tanks to avoid damage to coatings, wrappings, or tanks. Backfill shall not be placed against foundation walls prior to 7 days after completion of the walls. As far as practicable, backfill shall be brought up evenly on each side of the wall and sloped to drain away from the wall. Each layer of fill and backfill shall be compacted to not less than the percentage of maximum density specified below:

Percent Laboratory maximum density

	Cohesive material	Cohesionless material
Fill, embankment, and backfill		
Under structures, building slabs, steps, paved areas, around footings, and in trenches	90	95
Under sidewalks and grassed areas	85	90
Nonfrost susceptible materials		95
Subgrade		
Under building slabs, steps, and pave areas, top 12 inches	ed 90	95
Under sidewalks, top 6 inches	85	90

Approved compacted subgrades that are disturbed by the Contractor's operations or adverse weather shall be scarified and compacted as specified herein before to the required density prior to further construction thereon. Recompaction over underground utilities and heating lines shall be by hand tamping.

### 3.14 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government. Testing shall be performed by an approved commercial testing laboratory or may be performed by the Contractor subject to approval. Field in-place density shall be

determined in accordance with ASTM D 1556.

### 3.14.1 In-Place Densities

In-place density and moisture content test results shall be included with the Contractor's daily construction quality control reports.

# 3.14.1.1 In-Place Density of Subgrades

One test per 5,000 square foot or fraction thereof.

### 3.14.1.2 In-Place Density of Fills and Backfills

One test per 5,000 square foot or fraction thereof of each lift for fill or backfill areas compacted by other than hand or hand-operated machines. The density for each lift of fill or backfill materials for trenches, pits, building perimeters or other structures or areas less than 10 feet in width, which are compacted with hand or hand-operated machines shall be tested as follows: One test per each area less than 100 square feet, or one test for each 100 linear foot of long narrow fills 50 feet or more in length.

### 3.14.2 Moisture Content

In the stockpile, excavation or borrow areas, a minimum of two tests per day per type of material or source of materials being placed is required during stable weather conditions. During unstable weather, tests shall be made as dictated by local conditions and approved moisture content shall be tested in accordance with ASTM D 2216.

# 3.14.3 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material, including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 50 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density will be made.

# 3.15 CAPILLARY WATER BARRIER

Capillary water barrier under concrete floor and area-way slabs on grade shall be placed directly on the subgrade and shall be compacted with a minimum of two passes of a hand-operated plate-type vibratory compactor.

### 3.16 GRADING

Areas within 5 feet outside of each building and structure line shall be constructed true-to-grade, shaped to drain, and shall be maintained free of trash and debris until final inspection has been completed and the work has been accepted.

# 3.17 SPREADING TOPSOIL

Areas outside the building lines from which topsoil has been removed shall be topsoiled. The surface shall be free of materials that would hinder planting or maintenance operations. The subgrade shall be pulverized to a depth of 2 inches by disking or plowing for the bonding of topsoil with the subsoil. Topsoil shall then be uniformly spread, graded, and compacted to the thickness, elevations, slopes shown, and left free of surface

irregularities. Topsoil shall be compacted by one pass of a cultipacker, roller, or other approved equipment weighing 100 to 160 pounds per linear foot of roller. Topsoil shall not be placed when the subgrade is frozen, excessively wet, extremely dry, or in a condition otherwise detrimental to seeding, planting, or proper grading.

# 3.18 PROTECTION

Settlement or washing that occurs in graded, topsoiled, or backfilled areas prior to acceptance of the work, shall be repaired and grades reestablished to the required elevations and slopes.

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# SECTION 02316A

# EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS 05/02

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

### AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)

# 1.2 OMITTED

### 1.3 DEGREE OF COMPACTION

Degree of compaction shall be expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office  $\ensuremath{\mathsf{G}}$ that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

# SD-06 Test Reports

Field Density Tests Testing of Backfill Materials

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

# PART 2 PRODUCTS

# 2.1 MATERIALS

# 2.1.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL, ML.

#### 2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

#### 2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials shall include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials shall include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM shall be identified as cohesionless only when the fines are nonplastic.

#### 2.1.4 Omitted

#### 2.1.5 Omitted

#### 2.1.6 Unstable Material

Unstable material shall consist of materials too wet to properly support the utility pipe, conduit, or appurtenant structure.

#### 2.1.7 Select Granular Material

Select granular material shall consist of well-graded sand, gravel, crushed gravel, crushed stone or crushed slag composed of hard, tough and durable particles, and shall contain not more than 10 percent by weight of material passing a No. 200 mesh sieve and no less than 95 percent by weight passing the l inch sieve. The maximum allowable aggregate size shall be 1.5 inches, or the maximum size recommended by the pipe manufacturer, whichever is smaller.

#### 2.1.8 Initial Backfill Material

Initial backfill shall consist of select granular material or satisfactory materials free from rocks 3 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, the initial backfill material shall be free of stones larger than 1 inch in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

#### 2.2 PLASTIC MARKING TAPE

Plastic marking tape shall be acid and alkali-resistant polyethylene film, 6 inches wide with minimum thickness of 0.004 inch. Tape shall have a minimum strength of 1750 psi lengthwise and 1500 psi crosswise. The tape shall be manufactured with integral wires, foil backing or other means to enable detection by a metal detector when the tape is buried up to 3 feet deep. The tape shall be of a type specifically manufactured for marking and locating underground utilities. The metallic core of the tape shall be encased in a protective jacket or provided with other means to protect it from corrosion. Tape color shall be as specified in TABLE 1 and shall bear a continuous printed inscription describing the specific utility.

# TABLE 1. Tape Color

Red: Electric

Yellow: Gas, Oil, Dangerous Materials
Orange: Telephone, Telegraph, Television,
Police, and Fire Communications

Blue: Water Systems Green: Sewer Systems

# 2.3 Detection Wire For Non-Metallic Piping

Detection wire shall be insulated single strand, solid copper with a minimum diameter of 12 AWG.

### 2.4 Filter Fabric

Shall be a non-woven heat-bonded fiber of polypropylene and nylon filaments. The fabric shall be finished so the filaments will retain their relative position with respect to each other. The fabric shall contain stabilizers and/or inhibitors added to the base plastic to make the filaments resistant to deterioration due to ultraviolet and/or heat exposure. The product shall be free of flaws, rips, holes, or defects.

# PART 3 EXECUTION

### 3.1 EXCAVATION

Excavation shall be performed to the lines and grades indicated. During excavation, material satisfactory for backfilling shall be stockpiled in an orderly manner at a distance from the banks of the trench equal to 1/2 the depth of the excavation, but in no instance closer than 2 feet. Excavated material not required or not satisfactory for backfill shall be removed from the site. Grading shall be done as may be necessary to prevent surface water from flowing into the excavation, and any water accumulating shall be removed to maintain the stability of the bottom and sides of the excavation. Unauthorized overexcavation shall be backfilled in accordance with paragraph BACKFILLING AND COMPACTION at no additional cost to the Government.

# 3.1.1 Trench Excavation Requirements

The trench shall be excavated as recommended by the manufacturer of the pipe to be installed. Trench walls below the top of the pipe shall be sloped, or made vertical, and of such width as recommended in the manufacturer's installation manual. Where no manufacturer's installation manual is available, trench walls shall be made vertical. Trench walls more than 4 feet high shall be shored, cut back to a stable slope, or provided with equivalent means of protection for employees who may be exposed to moving ground or cave in. Vertical trench walls more than 5 feet high shall be shored. Trench walls which are cut back shall be excavated to at least the angle of repose of the soil. Special attention shall be given to slopes which may be adversely affected by weather or moisture content. The trench width below the top of pipe shall not exceed 24 inches plus pipe outside diameter (O.D.) for pipes of less than 24 inches inside diameter and shall not exceed 36 inches plus pipe outside diameter for

sizes larger than 24 inches inside diameter. Where recommended trench widths are exceeded, redesign, stronger pipe, or special installation procedures shall be utilized by the Contractor. The cost of redesign, stronger pipe, or special installation procedures shall be borne by the Contractor without any additional cost to the Government.

# 3.1.1.1 Bedding

# 3.1.1.1.1 Bedding Surface

The bedding surface for the pipe shall provide a firm foundation of uniform density throughout the entire length of pipe. Before laying pipe, the trench bottom shall be de-watered by the use of well points. Where well points will not remove the water, the Contractor shall construct sumps and use pumps to remove all water from the bedding surface. The pipe shall be carefully bedded in a soil foundation accurately shaped and rounded to conform to the lowest one-fourth (1/4) of the outside portion of circular pipe, or to the lower curved portion of arch pipe for the entire length of the pipe. When necessary, the bedding shall be compacted to 98% of optimum density. Bell holes and depressions for joints shall be only of such length, depth, and width as required for properly making the particular type joint.

# 3.1.1.1.2 Stone Backfill

Where, in the Engineer's opinion, the subgrade of the pipe is unsuitable material, the Contractor shall remove the unsuitable material six (6") deep and furnish and place stone backfill in the trench to stabilize the subgrade. The stone shall be 3/4-inch graded, but variations in the gradation will be permitted upon approval of the Engineer. Presence of water does not necessarily mean stone backfill is required. If well points or other types of de-watering will remove the water, the Contractor shall be required to completely dewater the trench in lieu of stone backfill. Stone backfill will be limited to areas where well pointing and other conventional methods of dewatering will not produce a dry bottom. Pipe shall be carefully bedded in the stone as specified above.

### 3.1.1.1.3 Sand Backfill

Where, in the Engineer's opinion, the character of the soil is unsuitable for pipe bedding, even though dewatered, an additional foot of excavation shall be made and replaced with clean sand furnished by the Contractor.

# 3.1.1.2 Joints in Pipes

# 3.1.1.2.1 Concrete Pipe

Flexible watertight joints shall be made with rubber gaskets. Jointing materials shall have no more than one splice, except two splices of the rubber gasket will be permitted if the nominal diameter of the pipe exceeds 54-inches.

# 3.1.1.2.2 Installation of Filter Fabric at Joint

After each section is joined up, the Contractor shall place one (1) layer of filter fabric around the joint, a minimum of four feet wide, centered on the joint.

# 3.1.1.2.3 Reinforcing Wire

Reinforcing wire exposed by cutting of the pipe shall be covered with a non-shrink grout equivalent to Quikrete Non-shrink General Purpose Grout #1585-01.

#### 3.1.1.3 Removal of Unstable Material

Where unstable material is encountered in the bottom of the trench, such material shall be removed to the depth directed and replaced to the proper grade with select granular material as provided in paragraph BACKFILLING AND COMPACTION. When removal of unstable material is required due to the Contractor's fault or neglect in performing the work, the resulting material shall be excavated and replaced by the Contractor without additional cost to the Government.

#### 3.1.1.4 Excavation for Appurtenances

Excavation for manholes, catch-basins, inlets, or similar structures shall be sufficient to leave at least 12 inches clear between the outer structure surfaces and the face of the excavation or support members. Rock shall be cleaned of loose debris and cut to a firm surface either level, stepped, or serrated, as shown or as directed. Loose disintegrated rock and thin strata shall be removed. Removal of unstable material shall be as specified above. When concrete or masonry is to be placed in an excavated area, special care shall be taken not to disturb the bottom of the excavation. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed.

#### 3.1.1.5 Jacking, Boring, and Tunneling

Unless otherwise indicated, excavation shall be by open cut except that sections of a trench may be jacked, bored, or tunneled if, in the opinion of the Contracting Officer, the pipe, cable, or duct can be safely and properly installed and backfill can be properly compacted in such sections.

#### 3.1.2 Stockpiles

Stockpiles of satisfactory shall be placed and graded as specified. Stockpiles shall be kept in a neat and well drained condition, giving due consideration to drainage at all times. The ground surface at stockpile locations shall be cleared, grubbed, and sealed by rubber-tired equipment, excavated satisfactory and unsatisfactory materials shall be separately stockpiled. Stockpiles of satisfactory materials shall be protected from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, such material shall be removed and replaced with satisfactory material from approved sources at no additional cost to the Government. Locations of stockpiles of satisfactory materials shall be subject to prior approval of the Contracting Officer.

#### BACKFILLING AND COMPACTION 3.2

Backfill material shall consist of satisfactory material, select granular material, or initial backfill material as required. Backfill shall be placed in layers not exceeding 6 inches loose thickness for compaction by hand operated machine compactors, and 8 inches loose thickness for other than hand operated machines, unless otherwise specified. Each layer shall be compacted to at least 95 percent maximum density for cohesionless soils and 90 percent maximum density for cohesive soils, unless otherwise

Force Protection Plan Phase II (Access Control Points) DACA21-03-R-0041 Fort Bragg, NC

specified.

### 3.2.1 Trench Backfill

Trenches shall be backfilled to the grade shown. The trench shall be backfilled to 2 feet above the top of pipe prior to performing the required pressure tests. The joints and couplings shall be left uncovered during the pressure test.

# 3.2.1.1 Replacement of Unyielding Material

Unyielding material removed from the bottom of the trench shall be replaced with select granular material or initial backfill material.

# 3.2.1.2 Replacement of Unstable Material

Unstable material removed from the bottom of the trench or excavation shall be replaced with select granular material placed in layers not exceeding 6 inches loose thickness.

# 3.2.1.3 Bedding and Initial Backfill

Initial backfill material shall be placed and compacted with approved tampers to a height of at least one foot above the utility pipe or conduit. The backfill shall be brought up evenly on both sides of the pipe for the full length of the pipe. Care shall be taken to ensure thorough compaction of the fill under the haunches of the pipe.

### 3.2.1.4 Final Backfill

The remainder of the trench, except for special materials for roadways, railroads and airfields, shall be filled with satisfactory material. Backfill material shall be placed and compacted as follows:

- a. Roadways, Railroads, and Airfields: Backfill shall be placed up to the elevation at which the requirements in Section 02300 EARTHWORK control. Water flooding or jetting methods of compaction will not be permitted.
- b. Sidewalks, Turfed or Seeded Areas and Miscellaneous Areas: Backfill shall be deposited in layers of a maximum of 12 inch loose thickness, and compacted to 85 percent maximum density for cohesive soils and 90 percent maximum density for cohesionless soils. Compaction by water flooding or jetting will not be permitted. This requirement shall also apply to all other areas not specifically designated above.

# 3.2.2 Backfill for Appurtenances

After the manhole, catch basin, inlet, or similar structure has been constructed and the concrete has been allowed to cure for 7 days, backfill shall be placed in such a manner that the structure will not be damaged by the shock of falling earth. The backfill material shall be deposited and compacted as specified for final backfill, and shall be brought up evenly on all sides of the structure to prevent eccentric loading and excessive stress.

# 3.3 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

### 3.3.1 Omitted

### 3.3.2 Water Lines

Trenches shall be of a depth to provide a minimum cover of 3 feet from the existing ground surface, or from the indicated finished grade, whichever is lower, to the top of the pipe.

### 3.3.3 Heat Distribution System

Initial backfill material shall be free of stones larger than 1/4 inch in any dimension.

# 3.3.4 Electrical Distribution System

Direct burial cable and conduit or duct line shall have a minimum cover of 24 inches from the finished grade, unless otherwise indicated.

# 3.3.5 Plastic Marking Tape

Warning tapes shall be installed directly above the pipe, at a depth of 18 inches below finished grade unless otherwise shown.

### 3.4 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government.

# 3.4.1 Testing Facilities

Tests shall be performed by an approved commercial testing laboratory or may be tested by facilities furnished by the Contractor. No work requiring testing will be permitted until the facilities have been inspected and approved by the Contracting Officer.

# 3.4.2 Testing of Backfill Materials

Classification of backfill materials shall be determined in accordance with ASTM D 2487 and the moisture-density relations of soils shall be determined in accordance with ASTM D 1557. A minimum of one soil classification and one moisture-density relation test shall be performed on each different type of material used for bedding and backfill.

# 3.4.3 Field Density Tests

Tests shall be performed in sufficient numbers to ensure that the specified density is being obtained. A minimum of one field density test per lift of backfill for every 100 feet of installation shall be performed. One moisture density relationship shall be determined for every 1500 cubic yards of material used. Field in-place density shall be determined in accordance with ASTM D 1556. Copies of test results shall be furnished to the Contracting Officer. Trenches improperly compacted shall be reopened to the depth directed, then refilled and compacted to the density specified at no additional cost to the Government.

### 3.4.4 Displacement of Sewers

After other required tests have been performed and the trench backfill compacted to the finished grade surface, the pipe shall be inspected to determine whether significant displacement has occurred. This inspection shall be conducted in the presence of the Contracting Officer. Pipe sizes larger than 36 inches shall be entered and examined, while smaller diameter pipe shall be inspected by shining a light or laser between manholes or manhole locations, or by the use of television cameras passed through the pipe. If, in the judgement of the Contracting Officer, the interior of the pipe shows poor alignment or any other defects that would cause improper functioning of the system, the defects shall be remedied as directed at no additional cost to the Government.

-- End of Section --

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### SECTION 02364A

# TERMITICIDE TREATMENT MEASURES FOR SUBTERRANEAN TERMITE CONTROL 06/98

# PART 1 GENERAL

### 1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

### SD-03 Product Data

Termiticide Application Plan

Termiticide application plan with proposed sequence of treatment work with dates and times. The termiticide trade name, EPA registration number, chemical composition, formulation, concentration of original and diluted material, application rate of active ingredients, method of application, area/volume treated, amount applied; and the name and state license number of the state certified applicator shall be included.

Termiticides

Manufacturer's label and Material Safety Data Sheet (MSDS) for termiticides proposed for use.

Foundation Exterior

Written verification that other site work will not disturb the treatment.

Utilities and Vents

Written verification that utilities, vents have been located.

Verification of Measurement

Written verification that the volume of termiticide used meets the application rate.

Application Equipment

A listing of equipment to be used.

SD-04 Samples

Termiticides

Force Protection Plan Phase II (Access Control Points) DACA21-03-R-0041 Fort Bragg, NC

Termiticide samples obtained during application, upon request.

SD-06 Test Reports

Equipment Calibration and Tank Measurement

Certification of calibration tests conducted on the equipment used in the termiticide application.

Soil Moisture

Soil moisture test result.

SD-07 Certificates

Qualifications

Qualifications and state license number of the termiticide applicator.

# 1.2 QUALIFICATIONS

The Contractor's principal business shall be pest control. The Contractor shall be licensed and the termiticide applicators certified in the state where the work is to be performed. Termiticide applicators shall also be certified in the U.S. Environmental Protection Agency (EPA) pesticide applicator category which includes structural pest control.

# 1.3 SAFETY REQUIREMENTS

The Contractor shall formulate, treat, and dispose of termiticides and their containers in accordance with label directions. Use the clothing and personal protective equipment specified on the labeling for use during all phases of the application.

### 1.4 DELIVERY, STORAGE, AND HANDLING

# 1.4.1 Delivery

Termiticide material shall be delivered to the site in the original unopened containers bearing legible labels indicating the EPA registration number and manufacturer's registered uses. All other materials to be used on site for the purpose of termite control shall be delivered in new or otherwise good condition as supplied by the manufacturer or formulator.

# 1.4.2 Storage

Materials shall be stored in designated areas and in accordance with manufacturer's labels. Termiticides and related materials shall be kept under lock and key when unattended.

# 1.4.3 Handling

Termiticides shall be handled in accordance with manufacturer's labels. Manufacturer's warnings and precautions shall be observed. Materials shall be handled preventing contamination by dirt, water, and organic material. Protect termiticides from sunlight as recommended by the manufacturer.

### 1.5 INSPECTION

Termiticides shall be inspected upon arrival at the job site for conformity to type and quality in accordance with paragraph TERMITICIDE. Each label shall bear evidence of registration under the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended. Other materials shall be inspected for conformance with specified requirements. Unacceptable materials shall be removed from the job site.

### 1.6 WARRANTY

The Contractor shall provide a 5-year written warranty against infestations or reinfestations by subterranean termites of the buildings or building additions constructed under this contract. Warranty shall include annual inspections of the buildings or building additions.

### PART 2 PRODUCTS

### 2.1 TERMITICIDES

Termiticides shall be currently registered by the EPA. Termiticide shall be selected for maximum effectiveness and duration after application. The selected termiticide shall be suitable for the soil and climatic conditions at the project site.

### PART 3 EXECUTION

### 3.1 TECHNICAL REPRESENTATIVE

The certified installation pest management coordinator shall be the technical representative, and shall be present at all meetings concerning treatment measures for subterranean termites. They may be present during treatment application.

# 3.2 SITE PREPARATION

Site preparation shall be in accordance with Sections 02231 CLEARING AND GRUBBING, 02300 EARTHWORK, 02315 EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS, 02922 SODDING (only those sections appearing herein). Work related to final grades, landscape plantings, foundations, or any other alterations to finished construction which might alter the condition of treated soils, shall be coordinated with this specification.

### 3.2.1 Ground Preparation

Food sources shall be eliminated by removing debris from clearing and grubbing and post construction wood scraps such as ground stakes, form boards, and scrap lumber from the site, before termiticide application begins.

### 3.2.2 Verification

Before work starts, the Contractor shall verify that final grades are as indicated and smooth grading has been completed in accordance with Section 02300 EARTHWORK. Soil particles shall be finely graded with particles no larger than 1 inch and compacted to eliminate soil movement to the greatest degree.

# 3.2.3 Foundation Exterior

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The Contractor shall provide written verification that final grading and landscape planting operations will not disturb treatment of the soil on the exterior sides of foundation walls, grade beams, and similar structures.

#### 3.2.4 Utilities and Vents

The Contractor shall provide written verification that the location and identity of HVAC ducts and vents, water and sewer lines, and plumbing have been accomplished prior to the termiticide application.

#### 3.3 SITE CONDITIONS

The following conditions shall determine the time of application.

#### 3.3.1 Soil Moisture

Soils to be treated shall be tested immediately before application. Soil moisture content shall be tested to a minimum depth of 3 inches. The soil moisture shall be as recommended by the termiticide manufacturer. The termiticide will not be applied when soil moisture exceeds manufacturer's recommendations because termiticides do not adhere to the soil particles in saturated soils.

#### 3.3.2 Runoff and Wind Drift

Termiticide shall not be applied during or immediately following heavy rains. Applications shall not be performed when conditions may cause runoff or create an environmental hazard. Applications shall not be performed when average wind speed exceeds 10 miles per hour. The termiticide shall not be allowed to enter water systems, aquifers, or endanger humans or animals.

### 3.3.2.1 Vapor Barriers and Waterproof Membranes

Termiticide shall be applied prior to placement of a vapor barrier or waterproof membrane.

#### 3.3.2.2 Utilities and Vents

Prior to application, HVAC ducts and vents located in treatment area shall be turned off and blocked to protect people and animals from termiticide.

#### 3.3.3 Placement of Concrete

Concrete covering treated soils shall be placed as soon as the termiticide has reached maximum penetration into the soil. Time for maximum penetration shall be as recommended by the manufacturer.

### TERMITICIDE TREATMENT

### Equipment Calibration and Tank Measurement 3.4.1

Immediately prior to commencement of termiticide application, calibration tests shall be conducted on the application equipment to be used and the application tank shall be measured to determine the volume and contents. These tests shall confirm that the application equipment is operating within the manufacturer's specifications and will meet the specified requirements. The Contractor shall provide written certification of the equipment calibration test results within 1 week of testing.

# 3.4.2 Mixing and Application

Formulating, mixing, and application shall be performed in the presence of the Contracting Officer or the technical representative. A closed system is recommended as it prevents the termiticide from coming into contact with the applicator or other persons. Water for formulating shall only come from designated locations. Filling hoses shall be fitted with a backflow preventer meeting local plumbing codes or standards. Overflow shall be prevented during the filling operation. Prior to each day of use, the equipment used for applying termiticides shall be inspected for leaks, clogging, wear, or damage. Any repairs are to be performed immediately.

### 3.4.3 Treatment Method

For areas to be treated, the Contractor shall establish complete and unbroken vertical and/or horizontal soil poison barriers between the soil and all portions of the intended structure which may allow termite access to wood and wood related products. Application shall not be made to areas which serve as crawl spaces or for use as a plenum air space.

# 3.4.3.1 Surface Application

Surface application shall be used for establishing horizontal barriers. Surface applicants shall be applied as a coarse spray and provide uniform distribution over the soil surface. Termiticide shall penetrate a minimum of 1 inch into the soil, or as recommended by the manufacturer.

# 3.4.3.2 Rodding and Trenching

Rodding and trenching shall be used for establishing vertical soil barriers. Trenching shall be to the depth of the foundation footing. Width of trench shall be as recommended by the manufacturer, or as indicated. Rodding or other approved method may be implemented for saturating the base of the trench with termiticide. Immediately after termiticide has reached maximum penetration as recommended by the manufacturer, backfilling of the trench shall commence. Backfilling shall be in 6 inch rises or layers. Each rise shall be treated with termiticide.

# 3.4.4 Sampling

The Contracting Officer may draw from stocks at the job site, at any time and without prior notice, samples of the termiticides used to determine if the amount of active ingredient specified on the label is being applied.

# 3.5 VERIFICATION OF MEASUREMENT

Once termiticide application has been completed, tank contents shall be measured to determine the remaining volume. The total volume measurement of used contents for the application shall equal the established application rate for the project site conditions. The Contractor shall provide written verification of the measurements.

### 3.6 CLEAN UP, DISPOSAL, AND PROTECTION

Once application has been completed, the Contractor shall proceed with clean up and protection of the site without delay.

### 3.6.1 Clean Up

The site shall be cleaned of all material associated with the treatment measures, according to label instructions, and as indicated. Excess and waste material shall be removed and disposed off site.

# 3.6.2 Disposal of Termiticide

The Contractor shall dispose of residual termiticides and containers off Government property, and in accordance with label instructions and EPA criteria.

### 3.6.3 Protection of Treated Area

Immediately after the application, the area shall be protected from other use by erecting barricades and providing signage as required or directed. Signage shall be in accordance with Section 10430 EXTERIOR SIGNAGE.

### 3.7 CONDITIONS FOR SATISFACTORY TREATMENT

### 3.7.1 Equipment Calibrations and Measurements

Where results from the equipment calibration and tank measurements tests are unsatisfactory, re-treatment will be required.

# 3.7.2 Testing

Should an analysis, performed by a third party, indicate that the samples of the applied termiticide contain less than the amount of active ingredient specified on the label, and/or if soils are treated to a depth less than specified or approved, re-treatment will be required.

# 3.7.3 Disturbance of Treated Soils

Soil and fill material disturbed after treatment shall be re-treated before placement of slabs or other covering structures.

# 3.7.4 Termites Found Within the Warranty Period

If live subterranean termite infestation or termite damage is discovered during the warranty period, the Contractor shall re-treat the site.

# 3.8 RE-TREATMENT

Where re-treatment is required, the Contractor shall:

- a. Re-treat the soil and/or perform other treatment as necessary for prevention or elimination of subterranean termite infestation.
- b. Repair damage caused by termite infestation.
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# SECTION 02510A

# WATER DISTRIBUTION SYSTEM 05/02

# PART 1 GENERAL

# 1.1 REFERENCES

AWWA C111

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

# AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASIM)			
ASTM A 36/A 36M	(1997ael) Carbon Structural Steel		
ASTM B 88	(1996) Seamless Copper Water Tube		
ASTM D 1784	(1999a) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds		
ASTM D 1785	(1999) Poly(Vinyl Chloride) (PVC) Plastic Pipe, Schedules 40, 80, and 120		
ASTM D 2241	(1996b) Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)		
ASTM D 2464	(1999) Threaded Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80		
ASME INTERNATIONAL (ASME)			
ASME B16.1	(1998) Cast Iron Pipe Flanges and Flanged Fittings		
ASME B16.26	(1988) Cast Copper Alloy Fittings for Flared Copper Tubes		
AMERICAN WATER WORKS ASSOCIATION (AWWA)			
AWWA B300	(1992) Hypochlorites		
AWWA B301	(1992) Liquid Chlorine		
AWWA C104	(1995) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water		
AWWA C110	(1993) Ductile-Iron and Gray-Iron Fittings, 3 In. Through 48 In. (75 mm through 1200 mm), for Water and Other Liquids		

(1995) Rubber-Gasket Joints for

	Ductile-Iron Pressure Pipe and Fittings			
AWWA C115	(1996) Flanged Ductile-Iron Pipe With Ductile-Iron or Gray-Iron Threaded Flanges			
AWWA C151	(1996) Ductile-Iron Pipe, Centrifugally Cast, for Water or Other Liquids			
AWWA C153	(1994; Errata Nov 1996) Ductile-Iron Compact Fittings, 3 In. Through 24 In. (76 mm through 610 mm) and 54 In. through 64 In. (1,400 mm through 1,600 mm) for Water Service			
AWWA C200	(1997) Steel Water Pipe - 6 In. (150 mm) and Larger			
AWWA C500	(1993; C500a) Metal-Sealed Gate Valves for Water Supply Service			
AWWA C502	(1994; C502a) Dry-Barrel Fire Hydrants			
AWWA C509	(1994; Addendum 1995) Resilient-Seated Gate Valves for Water Supply Service			
AWWA C600	(1993) Installation of Ductile-Iron Water Mains and Their Appurtenances			
AWWA C606	(1997) Grooved and Shouldered Joints			
AWWA C651	(1992) Disinfecting Water Mains			
AWWA C800	(1989) Underground Service Line Valves and Fittings			
AWWA C900	(1997; C900a) Polyvinyl Chloride (PVC) Pressure Pipe, 4 In. Through 12 In., for Water Distribution			
AWWA M23	(1980) Manual: PVC Pipe - Design and Installation			
ASBESTOS CEMENT PIPE PRODUCERS ASSOCIATION (ACPPA)				
ACPPA Work Practices	(1988) Recommended Work Practices for A/C Pipe			
MANUFACTURERS STANDARDI INDUSTRY (MSS)	ZATION SOCIETY OF THE VALVE AND FITTINGS			
MSS SP-80	(1997) Bronze Gate, Globe, Angle and Check Valves			
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)				
NFPA 24	(1995) Installation of Private Fire Service Mains and Their Appurtenances			
NFPA 49	(1994) Hazardous Chemicals Data			

NFPA 325-1 (1994) Fire Hazard Properties of Flammable

Liquids, Gases, and Volatile Solids

NFPA 704 (1996) Identification of the Fire Hazards

of Materials for Emergency Response

THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC Paint 21 (1991) White or Colored Silicone Alkyd

Paint

SSPC Paint 25 (1991) Red Iron Oxide, Zinc Oxide, Raw

Linseed Oil and Alkyd Primer (Without Lead

and Chromate Pigments)

### 1.2 PIPING

This section covers water distribution lines, and connections to building service at a point approximately 5 feet outside buildings and structures to which service is required. The Contractor shall have a copy of the manufacturer's recommendations for each material or procedure to be utilized available at the construction site at all times.

### 1.2.1 Service Lines

Piping for water service lines less than 3 inchesin diameter shall be polyvinyl chloride (PVC) plastic, or copper tubing, unless otherwise shown or specified. Piping for water service lines 3 inchesand larger shall be ductile iron, polyvinyl chloride (PVC) plastic.

#### 1.2.2 Distribution Lines 80 mm (3 Inches) or Larger

Piping for water distribution lines 3 inches or larger shall be ductile iron, polyvinyl chloride (PVC) through 36 inch nominal diameter plastic, unless otherwise shown or specified.

### 1.2.3 Omitted

#### 1.2.4 Sprinkler Supply Lines

Piping for water lines supplying sprinkler systems for building fire protection shall conform to NFPA 24 from the point of connection with the water distribution system to the building 5 foot line.

#### 1.2.5 Omitted

#### 1.2.6 Omitted

#### 1.2.7 Excavation, Trenching, and Backfilling

Excavation, trenching, and backfilling shall be in accordance with the applicable provisions of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS, except as modified herein.

#### 1.3 OMITTED

#### 1.4 MANUFACTURER'S REPRESENTATIVE

The Contractor shall have a manufacturer's field representative present at the jobsite during the installation and testing of PE, RTRP, and/or RPMP pipe to provide technical assistance and to verify that the materials are being installed in accordance with the manufacturer's prescribed procedures. When the representative feels that the Contractor is installing and testing the PE, RTRP, and/or RPMP pipe in a satisfactory manner, certification shall be written to note which individuals employed by the Contractor are capable of properly installing the pipe. The field representative shall advise the Contractor of unsatisfactory conditions immediately when they occur. Such conditions include improper diameter of pipe ends, damaged interior liner, poorly prepared joints, improper curing of joints, moving pipe before joints are cured, bending pipe to follow abrupt changes in trench contours, leaving pipe ends open in trench overnight, not properly drying joints after rain storms, exceeding effective adhesive life, sharp objects in trench bed, backfill that could damage pipe, improper procedure for concrete encasement of pipe, omission of thrust blocks at changes in direction or any other condition which could have an adverse effect on the satisfactory completion and operation of the piping system.

### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Installation.

The manufacturer's recommendations for each material or procedure to be utilized.

Satisfactory Installation.

A statement signed by the principal officer of the contracting firm stating that the installation is satisfactory and in accordance with the contract drawings and specifications, and the manufacturer's prescribed procedures and techniques, upon completion of the project and before final acceptance.

SD-06 Test Reports

Bacteriological Disinfection.

Test results from commercial laboratory verifying disinfection.

SD-07 Certificates

Manufacturer's Representative.

The name and qualifications of the manufacturer's representative and written certification from the manufacturer that the representative is technically qualified in all phases of PE, RTRP, and/or RPMP pipe laying and jointing and experienced to supervise the work and train the Contractor's field installers, prior to commencing installation.

Installation.

A statement signed by the manufacturer's field representative certifying that the Contractor's personnel are capable of properly installing the pipe on the project.

### 1.6 HANDLING

Pipe and accessories shall be handled to ensure delivery to the trench in sound, undamaged condition, including no injury to the pipe coating or lining. If the coating or lining of any pipe or fitting is damaged, the repair shall be made by the Contractor in a satisfactory manner, at no additional cost to the Government. No other pipe or material shall be placed inside a pipe or fitting after the coating has been applied. Pipe shall be carried into position and not dragged. Use of pinch bars and tongs for aligning or turning pipe will be permitted only on the bare ends of the pipe. The interior of pipe and accessories shall be thoroughly cleaned of foreign matter before being lowered into the trench and shall be kept clean during laying operations by plugging or other approved method. Before installation, the pipe shall be inspected for defects. Material found to be defective before or after laying shall be replaced with sound material without additional expense to the Government. Rubber gaskets that are not to be installed immediately shall be stored in a cool and dark place.

- 1.6.1 Omitted
- 1.6.2 Omitted
- 1.6.3 Miscellaneous Plastic Pipe and Fittings

Polyvinyl Chloride (PVC) and fittings shall be handled and stored in accordance with the manufacturer's recommendations. Storage facilities shall be classified and marked in accordance with NFPA 704, with classification as indicated in NFPA 49 and NFPA 325-1.

### PART 2 PRODUCTS

### 2.1 PIPE

Pipe shall conform to the respective specifications and other requirements specified below.

- 2.1.1 Omitted
- 2.1.2 Plastic Pipe
- 2.1.2.1 Omitted
- 2.1.2.2 PVC Plastic Pipe

Pipe, couplings and fittings shall be manufactured of material conforming to ASTM D 1784, Class 12454B.

- a. Pipe Less Than 4 inch Diameter:
  - (1) Screw-Joint: Pipe shall conform to dimensional requirements of ASTM D 1785 Schedule 80, with joints meeting requirements of

150 psi working pressure, 200 psi hydrostatic test pressure, unless otherwise shown or specified. Pipe couplings when used, shall be tested as required by ASTM D 2464.

(2) Elastomeric-Gasket Joint: Pipe shall conform to dimensional requirements of ASTM D 1785 Schedule 40, with joints meeting the requirements of 150 psi working pressure, 200 psi hydrostatic test pressure, unless otherwise shown or specified, or it may be pipe conforming to requirements of ASTM D 2241, elastomeric joint, with the following applications:

Maximum Working		Minimum Hydrostatio	
SDR Pressure		Pressure	
psi		psi	
17	150	200	

- (3) Solvent Cement Joint: Pipe shall conform to dimensional requirements of ASTM D 1785 or ASTM D 2241 with joints meeting the requirements of 150 psi working pressure and 200 psi hydrostatic test pressure.
- b. Pipe 4 through 12 inch Diameter: Pipe, couplings and fittings shall conform to AWWA C900, Class 150, CIOD pipe dimensions, elastomeric-gasket joint, unless otherwise shown or specified.
- 2.1.3 Omitted
- 2.1.4 Omitted
- 2.1.5 Ductile-Iron Pipe

Ductile-iron pipe shall conform to AWWA C151, working pressure not less than 150 psi, unless otherwise shown or specified. Pipe shall be cement-mortar lined in accordance with AWWA C104. Linings shall be standard. When installed underground, pipe shall be coated. Flanged ductile iron pipe with threaded flanges shall be in accordance with AWWA C115.

- 2.1.6 Omitted
- 2.1.7 Copper Tubing

Copper tubing shall conform to ASTM B 88, Type K, annealed.

- 2.2 FITTINGS AND SPECIALS
- 2.2.1 Omitted
- 2.2.2 Omitted
- 2.2.3 Omitted
- 2.2.4 Ductile-Iron Pipe System

Fittings and specials shall be suitable for 150 psi pressure rating, unless

otherwise specified. Fittings and specials for mechanical joint pipe shall conform to AWWA C110. Fittings and specials for use with push-on joint pipe shall conform to AWWA C110 and AWWA C111. Fittings and specials for grooved and shouldered end pipe shall conform to AWWA C606. Fittings and specials shall be cement-mortar lined (standard thickness) in accordance with AWWA C104. Ductile iron compact fittings shall conform to AWWA C153.

- 2.2.5 Omitted
- 2.2.6 Copper Tubing System

Fittings and specials shall be flared and conform to ASME B16.26.

- 2.3 JOINTS
- 2.3.1 Omitted
- 2.3.2 Plastic Pipe Jointing
- 2.3.2.1 Omitted
- 2.3.2.2 PVC Pipe

Joints, fittings, and couplings shall be as specified for PVC pipe. Joints connecting pipe of differing materials shall be made in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer.

- 2.3.3 Omitted
- 2.3.4 Omitted
- 2.3.5 Ductile-Iron Pipe Jointing
  - a. Mechanical joints shall be of the stuffing box type and shall conform to AWWA C111.
  - b. Push-on joints shall conform to AWWA C111.
  - c. Rubber gaskets and lubricants shall conform to the applicable requirements of AWWA C111.
- 2.3.6 Omitted
- 2.3.7 Omitted
- 2.3.8 Isolation Joints

Isolation joints shall be installed between nonthreaded ferrous and nonferrous metallic pipe, fittings and valves. Isolation joints shall consist of a sandwich-type flange isolation gasket of the dielectric type, isolation washers, and isolation sleeves for flange bolts. Isolation gaskets shall be full faced with outside diameter equal to the flange outside diameter. Bolt isolation sleeves shall be full length. Units shall be of a shape to prevent metal-to-metal contact of dissimilar metallic piping elements.

a. Sleeve-type couplings shall be used for joining plain end pipe sections. The two couplings shall consist of one steel middle ring, two steel followers, two gaskets, and the necessary steel

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bolts and nuts to compress the gaskets.

b. Split-sleeve type couplings may be used in aboveground installations when approved in special situations and shall consist of gaskets and a housing in two or more sections with the necessary bolts and nuts.

#### 2.3.9 Copper Tubing Jointing

Joints shall be compression-pattern flared and shall be made with the specified fittings.

#### 2.4 VALVES

### 2.4.1 Check Valves

Check valves shall be designed for a minimum working pressure of 150 psi or as indicated. Valves shall have a clear waterway equal to the full nominal diameter of the valve. Valves shall open to permit flow when inlet pressure is greater than the discharge pressure, and shall close tightly to prevent return flow when discharge pressure exceeds inlet pressure. The size of the valve, working pressure, manufacturer's name, initials, or trademark shall be cast on the body of each valve. Valves 2 inches and larger shall be outside lever and spring.

- a. Valves 2 inches and smaller shall be all bronze designed for screwed fittings, and shall conform to MSS SP-80, Class 150, Types 3 and 4 as suitable for the application.
- b. Valves larger than 2 inches shall be iron body, bronze mounted, shall have flanged ends, and shall be the non-slam type. Flanges shall be the Class 125 type conforming to ASME B16.1.

#### 2.4.2 Gate Valves

Gate valves shall be designed for a working pressure of not less than 150 psi. Valve connections shall be as required for the piping in which they are installed. Valves shall have a clear waterway equal to the full nominal diameter of the valve, and shall be opened by turning counterclockwise. The operating nut or wheel shall have an arrow, cast in the metal, indicating the direction of opening.

- a. Valves smaller than 3 inches shall be all bronze and shall conform to MSS SP-80, Type 1, Class 150.
- b. Valves 3 inches and larger shall be iron body, bronze mounted, and shall conform to AWWA C500. Flanges shall not be buried. An approved pit shall be provided for all flanged connections.
- c. Resilient-Seated Gate Valves: For valves 3 to 12 inches in size, resilient-seated gate valves shall conform to AWWA C509.

#### 2.4.3 Omitted

#### 2.4.4 Omitted

#### 2.4.5 Omitted

#### 2.4.6 Indicator Post for Valves

Each valve shown on the drawings with the designation "P.I.V." shall be equipped with indicator post conforming to the requirements of NFPA 24. Operation shall be by a wrench which shall be attached to each post.

#### 2.5 VALVE BOXES

Valve boxes shall be cast iron or concrete, except that concrete boxes may be installed only in locations not subjected to vehicular traffic. Cast-iron boxes shall be extension type with slide-type adjustment and with flared base. The minimum thickness of metal shall be 3/16 inch. Concrete boxes shall be the standard product of a manufacturer of precast concrete equipment. The word "WATER" shall be cast in the cover. The box length shall adapt, without full extension, to the depth of cover required over the pipe at the valve location.

#### 2.6 OMITTED

#### 2.7 FIRE HYDRANTS

Hydrants shall be dry-barrel type conforming to AWWA C502 with valve opening at least 5 inches in diameter and designed so that the flange at the main valve seat can be removed with the main valve seat apparatus remaining intact, closed and reasonably tight against leakage and with a breakable valve rod coupling and breakable flange connections located no more than 8 inches above the ground grade. Hydrants shall have a 6 inch bell connection, two 2-1/2 inch hose connections and one 4-1/2 inch pumper connection. Outlets shall have American National Standard fire-hose coupling threads. Working parts shall be bronze. Design, material, and workmanship shall be equal to the latest stock pattern ordinarily produced by the manufacturer. Hydrants shall be painted with 1 coat of red iron oxide, zinc oxide primer conforming to SSPC Paint 25 and 2 finish coats of silicone alkyd paint conforming to SSPC Paint 21, of the installation's standard colors or as directed by the Contracting Officer. Suitable bronze adapter for each outlet, with caps, shall be furnished.

#### 2.8 OMITTED

#### 2.9 MISCELLANEOUS ITEMS

# 2.9.1 Service Clamps

Service clamps shall have a pressure rating not less than that of the pipe to be connected and shall be either the single or double flattened strap type. Clamps shall have a galvanized malleable-iron body with cadmium plated straps and nuts. Clamps shall have a rubber gasket cemented to the body.

#### 2.9.2 Corporation Stops

Corporation stops shall have standard corporation stop thread conforming to AWWA C800 on the inlet end, with flanged joints, compression pattern flared tube couplings, or wiped joints for connections to goosenecks.

#### 2.9.3 Goosenecks

Copper tubing for gooseneck connections shall conform to the applicable requirements of ASTM B 88, Type K, annealed. Length of cable requirement connections shall be in accordance with standard practice.

#### 2.9.4 Service Stops

Service stops shall be water-works inverted-ground-key type, oval or round flow way, tee handle, without drain. Pipe connections shall be suitable for the type of service pipe used. All parts shall be of bronze with female iron-pipe-size connections or compression-pattern flared tube couplings, and shall be designed for a hydrostatic test pressure not less than 200 psi.

#### 2.9.5 Tapping Sleeves

Tapping sleeves of the sizes indicated for connection to existing main shall be the cast gray, ductile, or malleable iron, split-sleeve type with flanged or grooved outlet, and with bolts, follower rings and gaskets on each end of the sleeve. Construction shall be suitable for a maximum working pressure of 150 psi. Bolts shall have square heads and hexagonal nuts. Longitudinal gaskets and mechanical joints with gaskets shall be as recommended by the manufacturer of the sleeve. When using grooved mechanical tee, it shall consist of an upper housing with full locating collar for rigid positioning which engages a machine-cut hole in pipe, encasing an elastomeric gasket which conforms to the pipe outside diameter around the hole and a lower housing with positioning lugs, secured together during assembly by nuts and bolts as specified, pretorqued to 50 foot-pound.

#### 2.9.6 Service Boxes

Service boxes shall be cast iron or concrete and shall be extension service boxes of the length required for the depth of the line, with either screw or slide-type adjustment. The boxes shall have housings of sufficient size to completely cover the service stop or valve and shall be complete with identifying covers.

#### 2.9.7 Disinfection

Chlorinating materials shall conform to the following:

Chlorine, Liquid: AWWA B301.

Hypochlorite, Calcium and Sodium: AWWA B300.

#### PART 3 EXECUTION

#### 3.1 INSTALLATION

#### 3.1.1 Cutting of Pipe

Cutting of pipe shall be done in a neat and workmanlike manner without damage to the pipe. Unless otherwise recommended by the manufacturer and authorized by the Contracting Officer, cutting shall be done with an approved type mechanical cutter. Wheel cutter shall be used when practicable. Copper tubing shall be cut square and all burrs shall be removed. Squeeze type mechanical cutters shall not be used for ductile iron.

#### 3.1.2 Adjacent Facilities

# 3.1.2.1 Sewer Lines

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Where the location of the water pipe is not clearly defined in dimensions on the drawings, the water pipe shall not be laid closer horizontally than 10 feet from a sewer except where the bottom of the water pipe will be at least 12 inches above the top of the sewer pipe, in which case the water pipe shall not be laid closer horizontally than 6 feet from the sewer. Where water lines cross under gravity-flow sewer lines, the sewer pipe, for a distance of at least 10 feet each side of the crossing, shall be fully encased in concrete or shall be made of pressure pipe with no joint located within 3 feet horizontally of the crossing. Water lines shall in all cases cross above sewage force mains or inverted siphons and shall be not less than 2 feet above the sewer main. Joints in the sewer main, closer horizontally than 3 feet to the crossing, shall be encased in concrete.

#### 3.1.2.2 Water Lines

Water lines shall not be laid in the same trench with sewer lines, gas lines, fuel lines, or electric wiring.

#### 3.1.2.3 Copper Tubing Lines

Copper tubing shall not be installed in the same trench with ferrous piping materials.

#### 3.1.2.4 Nonferrous Metallic Pipe

Where nonferrous metallic pipe, e.g. copper tubing, crosses any ferrous piping material, a minimum vertical separation of 12 inchesshall be maintained between pipes.

#### 3.1.2.5 Casing Pipe

Water pipe shall be encased in a sleeve of rigid conduit for the lengths shown. Where sleeves are required, in all other cases, the pipe sleeve shall be steel, manufactured in accordance with AWWA C200, ASTM A 36/A 36M, with a minimum wall thickness of 2-1/4 inches. A minimum clearance of at least 2 inches between the inner wall of the sleeve and the maximum outside diameter of the sleeved pipe and joints shall be provided. Sand bedding or suitable pipe support shall be provided for the water pipe through the sleeve.

#### 3.1.2.6 Structures

Where water pipe is required to be installed within 3 feet of existing structures, the water pipe shall be sleeved as required in Paragraph "Casing Pipe". The Contractor shall install the water pipe and sleeve ensuring that there will be no damage to the structures and no settlement or movement of foundations or footings.

#### Joint Deflection 3.1.3

#### 3.1.3.1 Omitted

#### 3.1.3.2 Omitted

# 3.1.3.3 Allowable for Ductile-Iron Pipe

The maximum allowable deflection shall be as given in AWWA C600. If the alignment requires deflection in excess of the above limitations, special bends or a sufficient number of shorter lengths of pipe shall be furnished

to provide angular deflections within the limit set forth.

## Placing and Laying

Pipe and accessories shall be carefully lowered into the trench by means of derrick, ropes, belt slings, or other authorized equipment. Water-line materials shall not be dropped or dumped into the trench. Abrasion of the pipe coating shall be avoided. Except where necessary in making connections with other lines or as authorized by the Contracting Officer, pipe shall be laid with the bells facing in the direction of laying. The full length of each section of pipe shall rest solidly upon the pipe bed, with recesses excavated to accommodate bells, couplings, and joints. Pipe that has the grade or joint disturbed after laying shall be taken up and relaid. Pipe shall not be laid in water or when trench conditions are unsuitable for the work. Water shall be kept out of the trench until joints are complete. When work is not in progress, open ends of pipe, fittings, and valves shall be securely closed so that no trench water, earth, or other substance will enter the pipes or fittings. Where any part of the coating or lining is damaged, the repair shall be made by and at the Contractor's expense in a satisfactory manner. Pipe ends left for future connections shall be valved, plugged, or capped, and anchored, as shown.

#### 3.1.4.1 Omitted

#### 3.1.4.2 Plastic Pipe Installation

RTRP shall be installed in accordance with ASTM D 3839. RPMP shall be installed in accordance with the manufacturer's recommendations. PE Pipe shall be installed in accordance with ASTM D 2774. PVC pipe shall be installed in accordance with AWWA M23.

## 3.1.4.3 Piping Connections

Where connections are made between new work and existing mains, the connections shall be made by using specials and fittings to suit the actual conditions. When made under pressure, these connections shall be installed using standard methods as approved by the Contracting Officer. Connections to existing asbestos-cement pipe shall be made in accordance with ACPPA Work Practices.

#### 3.1.4.4 Penetrations

Pipe passing through walls of valve pits and structures shall be provided with ductile-iron or Schedule 40 steel wall sleeves. Annular space between walls and sleeves shall be filled with rich cement mortar. Annular space between pipe and sleeves shall be filled with mastic.

#### 3.1.4.5 Flanged Pipe

Flanged pipe shall only be installed above ground or with the flanges in valve pits.

- 3.1.5 Jointing
- 3.1.5.1 Omitted
- 3.1.5.2 Omitted
- 3.1.5.3 Omitted

#### 3.1.5.4 Omitted

## 3.1.5.5 Ductile-Iron Pipe Requirements

Mechanical and push-on type joints shall be installed in accordance with AWWA C600 for buried lines or AWWA C606 for grooved and shouldered pipe above ground or in pits.

#### 3.1.5.6 Omitted

#### 3.1.5.7 Omitted

## 3.1.5.8 Copper Tubing Requirements

Joints shall be made with flared fittings. The flared end tube shall be pulled tightly against the tapered part of the fitting by a nut which is part of the fitting, so there is metal-to-metal contact.

## 3.1.5.9 Omitted

### 3.1.5.10 Isolation Joints and Dielectric Fittings

Isolation joints and dielectric fittings shall be installed in accordance with details specified in paragraph JOINTS. Dielectric unions shall be encapsulated in a field-poured coal-tar covering, with at least 1/8 inch thickness of coal tar over all fitting surfaces.

#### 3.1.5.11 Transition Fittings

Connections between different types of pipe and accessories shall be made with transition fittings approved by the Contracting Officer.

#### 3.1.6 Installation of Service Lines

Service lines shall include the pipeline connecting building piping to water distribution lines to the connections with the building service at a point approximately 5 feet outside the building where such building service exists. Where building services are not installed, the Contractor shall terminate the service lines approximately 5 feet from the site of the proposed building at a point designated by the Contracting Officer. Such service lines shall be closed with plugs or caps. All service stops and valves shall be provided with service boxes. Service lines shall be constructed in accordance with the following requirements:

#### 3.1.6.1 Service Lines 50 mm (2 Inches) and Smaller

Service lines 2 inches and smaller shall be connected to the main by a directly-tapped corporation stop or by a service clamp. A corporation stop and a copper gooseneck shall be provided with either type of connection. Maximum sizes for directly-tapped corporation stops and for outlets with service clamps shall be as in TABLE I. Where 2 or more gooseneck connections to the main are required for an individual service, such connections shall be made with standard branch connections. The total clear area of the branches shall be at least equal to the clear area of the service which they are to supply.

TABLE I.	SIZE OF	CORPORATION	STOPS	AND	OUTLET
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Pipe Size Inches	Corporation Stops, Inches For Ductile-Iron Pipe	Outlets w/Service Clamps, Inches Single & Double Strap
3		1
4	1	1
6	1-1/4	1-1/2
8	1-1/2	2
10	1-1/2	2
12 & larger	2	2

## NOTE:

- a. Service lines 1-1/2 inches and smaller shall have a service stop.
- b. Service lines 2 inches in size shall have a gate valve.

### 3.1.6.2 Service Lines Larger than 50 mm (2 Inches)

Service lines larger than 2 inches shall be connected to the main by a tapped saddle, tapping sleeve and valve, service clamp or reducing tee, depending on the main diameter and the service line diameter, and shall have a gate valve. Lines 3 inches and larger may use rubber-seated butterfly valves as specified above, or gate valves.

### 3.1.6.3 Service Lines for Sprinkler Supplies

Water service lines used to supply building sprinkler systems for fire protection shall be connected to the water distribution main in accordance with NFPA 24.

# 3.1.7 Omitted

### Setting of Fire Hydrants, Meters, Valves and Valve Boxes 3.1.8

# 3.1.8.1 Location of Fire Hydrants

Fire hydrants shall be located and installed as shown. Each hydrant shall be connected to the main with a 6 inch branch line having at least as much cover as the distribution main. Hydrants shall be set plumb with pumper nozzle facing the roadway, with the center of the lowest outlet not less than 18 inches above the finished surrounding grade, and the operating nut not more than 48 inches above the finished surrounding grade. Fire hydrants designated on the drawings as low profile shall have the lowest outlet not less than 18 inches above the finished surrounding grade, the top of the hydrant not more than 24 inches above the finished surrounding grade. Except where approved otherwise, the backfill around hydrants shall be thoroughly compacted to the finished grade immediately after installation to obtain beneficial use of the hydrant as soon as practicable. The hydrant shall be set upon a slab of concrete not less

than 4 inches thick and 15 inchessquare. Not less than 7 cubic feet of free-draining broken stone or gravel shall be placed around and beneath the waste opening of dry barrel hydrants to ensure drainage.

#### 3.1.8.2 Omitted

## 3.1.8.3 Location of Valves

After delivery, valves, including those in hydrants, shall be drained to prevent freezing and shall have the interiors cleaned of all foreign matter before installation. Stuffing boxes shall be tightened and hydrants and valves shall be fully opened and fully closed to ensure that all parts are in working condition. Check, pressure reducing, vacuum, and air relief valves shall be installed in valve pits. Valves and valve boxes shall be installed where shown or specified, and shall be set plumb. Valve boxes shall be centered on the valves. Boxes shall be installed over each outside gate valve unless otherwise shown. Where feasible, valves shall be located outside the area of roads and streets. Earth fill shall be tamped around each valve box or pit to a distance of 4 feet on all sides of the box, or the undisturbed trench face if less than 4 feet.

#### 3.1.8.4 Location of Service Boxes

Where water lines are located below paved streets having curbs, the boxes shall be installed directly back of the curbs. Where no curbing exists, service boxes shall be installed in accessible locations, beyond the limits of street surfacing, walks and driveways.

#### 3.1.9 Tapped Tees and Crosses

Tapped tees and crosses for future connections shall be installed where shown.

#### 3.1.10 Thrust Restraint

Plugs, caps, tees and bends deflecting 11.25 degrees or more, either vertically or horizontally, on waterlines 4 inches in diameter or larger, and fire hydrants shall be provided with thrust restraints. Valves shall be securely anchored or shall be provided with thrust restraints to prevent movement. Thrust restraints shall be either thrust blocks or, for ductile-iron pipes, restrained joints.

#### 3.1.10.1 Thrust Blocks

Thrust blocking shall be concrete of a mix not leaner than: 1 cement, 2-1/2 sand, 5 gravel; and having a compressive strength of not less than 2,000 psi after 28 days. Blocking shall be placed between solid ground and the hydrant or fitting to be anchored. Unless otherwise indicated or directed, the base and thrust bearing sides of thrust blocks shall be poured directly against undisturbed earth. The sides of thrust blocks not subject to thrust may be poured against forms. The area of bearing shall be as shown or as directed. Blocking shall be placed so that the fitting joints will be accessible for repair. Steel rods and clamps, protected by galvanizing or by coating with bituminous paint, shall be used to anchor vertical down bends into gravity thrust blocks.

### 3.2 HYDROSTATIC TESTS

Where any section of a water line is provided with concrete thrust blocking

for fittings or hydrants, the hydrostatic tests shall not be made until at least 5 days after installation of the concrete thrust blocking, unless otherwise approved.

#### 3.2.1 Pressure Test

After the pipe is laid, the joints completed, fire hydrants permanently installed, and the trench partially backfilled leaving the joints exposed for examination, the newly laid piping or any valved section of piping shall, unless otherwise specified, be subjected for 1 hour to a hydrostatic pressure test of 200 psi. Water supply lines designated on the drawings shall be subjected for 1 hour to a hydrostatic pressure test of 200 psi. Each valve shall be opened and closed several times during the test. Exposed pipe, joints, fittings, hydrants, and valves shall be carefully examined during the partially open trench test. Joints showing visible leakage shall be replaced or remade as necessary. Cracked or defective pipe, joints, fittings, hydrants and valves discovered in consequence of this pressure test shall be removed and replaced with sound material, and the test shall be repeated until the test results are satisfactory. The requirement for the joints to remain exposed for the hydrostatic tests may be waived by the Contracting Officer when one or more of the following conditions is encountered:

- a. Wet or unstable soil conditions in the trench.
- b. Compliance would require maintaining barricades and walkways around and across an open trench in a heavily used area that would require continuous surveillance to assure safe conditions.
- c. Maintaining the trench in an open condition would delay completion of the project.

The Contractor may request a waiver, setting forth in writing the reasons for the request and stating the alternative procedure proposed to comply with the required hydrostatic tests. Backfill placed prior to the tests shall be placed in accordance with the requirements of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

### 3.2.2 Leakage Test

Leakage test shall be conducted after the pressure tests have been satisfactorily completed. The duration of each leakage test shall be at least 2 hours, and during the test the water line shall be subjected to not less than 200 psi pressure. Water supply lines designated on the drawings shall be subjected to a pressure equal to 200 psi. Leakage is defined as the quantity of water to be supplied into the newly laid pipe, or any valved or approved section, necessary to maintain pressure within 5 psi of the specified leakage test pressure after the pipe has been filled with water and the air expelled. Piping installation will not be accepted if leakage exceeds the allowable leakage which is determined by the following formula:

- L = 0.0001351ND(P raised to 0.5 power)
- L = Allowable leakage in gallons per hour
- ${\tt N}$  = Number of joints in the length of pipeline tested
- D = Nominal diameter of the pipe in inches
- P = Average test pressure during the leakage test, in psi gauge

Should any test of pipe disclose leakage greater than that calculated by the above formula, the defective joints shall be located and repaired until the leakage is within the specified allowance, without additional cost to the Government.

## 3.2.3 Time for Making Test

Except for joint material setting or where concrete thrust blocks necessitate a 5-day delay, pipelines jointed with rubber gaskets, mechanical or push-on joints, or couplings may be subjected to hydrostatic pressure, inspected, and tested for leakage at any time after partial completion of backfill. Cement-mortar lined pipe may be filled with water as recommended by the manufacturer before being subjected to the pressure test and subsequent leakage test.

# 3.2.4 Concurrent Hydrostatic Tests

The Contractor may elect to conduct the hydrostatic tests using either or both of the following procedures. Regardless of the sequence of tests employed, the results of pressure tests, leakage tests, and disinfection shall be as specified. Replacement, repair or retesting required shall be accomplished by the Contractor at no additional cost to the Government.

- a. Pressure test and leakage test may be conducted concurrently.
- b. Hydrostatic tests and disinfection may be conducted concurrently, using the water treated for disinfection to accomplish the hydrostatic tests. If water is lost when treated for disinfection and air is admitted to the unit being tested, or if any repair procedure results in contamination of the unit, disinfection shall be reaccomplished.

## 3.3 BACTERIALDISINFECTION

# 3.3.1 Bacteriological Disinfection

Before acceptance of potable water operation, each unit of completed waterline shall be disinfected as prescribed by AWWA C651. After pressure tests have been made, the unit to be disinfected shall be thoroughly flushed with water until all entrained dirt and mud have been removed before introducing the chlorinating material. The chlorinating material shall be either liquid chlorine, calcium hypochlorite, or sodium hypochlorite, conforming to paragraph MISCELLANEOUS ITEMS. The chlorinating material shall provide a dosage of not less than 50 ppm and shall be introduced into the water lines in an approved manner. Polyvinyl Chloride (PVC) pipe lines shall be chlorinated using only the above specified chlorinating material in solution. The agent shall not be introduced into the line in a dry solid state. The treated water shall be retained in the pipe long enough to destroy all non-spore forming bacteria. Except where a shorter period is approved, the retention time shall be at least 24 hours and shall produce not less than 25 ppm of free chlorine residual throughout the line at the end of the retention period. Valves on the lines being disinfected shall be opened and closed several times during the contact period. The line shall then be flushed with clean water until the residual chlorine is reduced to less than 1.0 ppm. During the flushing period, each fire hydrant on the line shall be opened and closed several times. From several points in the unit, the Contracting Officer will take samples of water in proper sterilized containers for bacterial examination. Personnel from the Contractor's commercial laboratory shall take at least

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3 water samples from different points, approved by the Contracting Officer, in proper sterilized containers and perform a bacterial examination in accordance with state approved methods. The commercial laboratory shall be certified by the state's approving authority for examination of potable water. The disinfection shall be repeated until tests indicate the absence of pollution for at least 2 full days. The unit will not be accepted until satisfactory bacteriological results have been obtained.

### 3.4 CLEANUP

Upon completion of the installation of water lines, and appurtenances, all debris and surplus materials resulting from the work shall be removed.

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## SECTION 02531

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## 07/02

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# SECTION 02531

# SANITARY SEWERS 07/02

# PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

# AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI B18.5.2.1M (1981; R 1995) Metric Round Head Short Square Neck Bolts

# AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M	(2001a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 307	(2000) Carbon Steel Bolts and Studs, 60 000 PSI Tensile Strength
ASTM A 47	(1999) Ferritic Malleable Iron Castings **
ASTM A 48	(1994ael) Gray Iron Castings **
ASTM A 536	(1984; R 1999el) Ductile Iron Castings
ASTM A 563	(2000) Carbon and Alloy Steel Nuts
ASTM A 746	(1999) Ductile Iron Gravity Sewer Pipe
ASTM C 150	(2002) Portland Cement
ASTM C 270	(2001a) Mortar for Unit Masonry
ASTM C 443	(1994) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets
ASTM C 478	(1996) Precast Reinforced Concrete Manhole Sections
ASTM C 923	(2000) Resilient Connectors Between Reinforced Concrete Manhole Structures, Pipes and Laterals
ASTM C 924	(1989; R 1997) Testing Concrete Pipe Sewer Lines by Low-Pressure Air Test Method
ASTM C 94	(1994) Ready-Mixed Concrete **
ASTM C 94/C 94M	(2000e2) Ready-Mixed Concrete

ASTM C 969	(2000) Infiltration and Exfiltration Acceptance Testing of Installed Precast Concrete Pipe Sewer Lines
ASTM D 1784	(1999a) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
ASTM D 1785	(1999) Poly(Vinyl Chloride)(PVC) Plastic Pipe, Schedules 40, 80, and 120
ASTM D 2235	(2001) Solvent Cement for Acrylonitrile-Butadiene-Styrene (ABS) Plastic Pipe and Fittings
ASTM D 2241	(2000) Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)
ASTM D 2321	(1989; R 1995) Underground Installation of Thermoplastic Pipe for Sewers and Other Gravity-Flow Applications
ASTM D 2412	(1996a) Determination of External Loading Characteristics of Plastic Pipe by Parallel-Plate Loading
ASTM D 2464	(1999) Threaded Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80
ASTM D 2466	(2001) Poly(Vinyl Chloride)(PVC) Plastic Pipe Fittings, Schedule 40
ASTM D 2467	(2001) Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80
ASTM D 2680	(2001) Acrylonitrile-Butadiene-Styrene (ABS) and Poly(Vinyl Chloride) (PVC) Composite Sewer Piping
ASTM D 2751	(1996a) Acrylonitrile-Butadiene-Styrene (ABS) Sewer Pipe and Fittings
ASTM D 3034	(2000) Type PSM Poly(Vinyl Chloride) (PVC) Sewer Pipe and Fittings
ASTM D 3139	(1998) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals
ASTM D 3212	(1996a) Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
ASTM D 4101	(1999) Propylene Plastic Injection and Extrusion Materials
ASTM F 402	(1993; R 1999) Safe Handling of Solvent Cements, Primers, and Cleaners Used for Joining Thermoplastic Pipe and Fittings

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ASTM F 477

(1999) Elastomeric Seals (Gaskets) for Joining Plastic Pipe

ASTM F 794

(1999) Poly(Vinyl Chloride) (PVC) Profile Gravity Sewer Pipe and Fittings Based on Controlled Inside Diameter

ASTM F 949

(2001a) Poly(Vinyl Chloride) (PVC) Corrugated Sewer Pipe with a Smooth Interior and Fittings

## AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C104	(1995) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water
AWWA C111	(2000) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
AWWA C115	(1999) Flanged Ductile-Iron Pipe With Ductile-Iron or Gray-Iron Threaded Flanges
AWWA C151	(1996) Ductile-Iron Pipe, Centrifugally Cast, for Water
AWWA C153	(2000) Ductile-Iron Compact Fittings for Water Service
AWWA C600	(1999) Installation of Ductile-Iron Water Mains and Their Appurtenances
AWWA C900	(1997) Polyvinyl Chloride (PVC) Pressure Pipe, and Fabricated Fittings, 4 In. Through 12 In. (100 mm Through 300 mm), for Water Distribution
AWWA M23	(1980) Manual: PVC Pipe - Design and Installation

# ASME INTERNATIONAL (ASME)

ASME B1.20.1	(1983; R 2001) Pipe Threads, General Purpose, Inch
ASME B16.1	(1998) Cast Iron Pipe Flanges and Flanged Fittings
ASME B18.2.2	(1987; R 1999) Square and Hex Nuts
ASME B18.5.2.2M	(1982; R 2000) Metric Round Head Square Neck Bolts

# U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS A-A-60005 (1998) Frames, Covers, Gratings, Steps, Sump and Catch Basin, Manhole ++

# U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.27

Fixed Ladders

UNI-BELL PVC PIPE ASSOCIATION (UBPPA)

UBPPA UNI-B-3 (1992) Recommended Practice for the

Installation of Polyvinyl Chloride (PVC)

Pressure Pipe (Nominal Diameters 4-36 Inch)

UBPPA UNI-B-6 (1990) Recommended Practice for the

Low-Pressure Air Testing of Installed

Sewer Pipe

## 1.2 SYSTEM DESCRIPTION

# 1.2.1 Sanitary Sewer Gravity Pipeline

Provide mains and laterals, ductile-iron pipe, acrylonitrile-butadiene-styrene (ABS) composite plastic pipe or polyvinyl chloride (PVC) plastic pipe at the Contractor's option. Provide building connections 4 and 6 inch lines of acrylonitrile-butadiene-styrene (ABS) solid-wall plastic pipe or polyvinyl chloride (PVC) plastic pipe at the Contractor's option.

Provide new and modify existing exterior sanitary gravity sewer piping and appurtenances. Provide each system complete and ready for operation. The exterior sanitary gravity sewer system includes equipment, materials, installation, and workmanship as specified herein more than 5 feet outside of building walls.

### 1.2.2 Sanitary Sewer Pressure Lines

Provide pressure lines of ductile iron pressure pipe or polyvinyl chloride (PVC) plastic pressure pipe at the Contractor's option.

### 1.3 GENERAL REQUIREMENTS

The construction required herein shall include appurtenant structures and building sewers to points of connection with the building drains 5 feet outside the building to which the sewer system is to be connected. The Contractor shall replace damaged material and redo unacceptable work at no additional cost to the Government. Excavation and backfilling is specified in Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Backfilling shall be accomplished after inspection by the Contracting Officer. Force mains and inverted siphons are specified in Section 02532A FORCE MAINS AND INVERTED SIPHONS; SEWER. Before, during, and after installation, plastic pipe and fittings shall be protected from any environment that would result in damage or deterioration to the material. The Contractor shall have a copy of the manufacturer's instructions available at the construction site at all times and shall follow these instructions unless directed otherwise by the Contracting Officer. Solvents, solvent compounds, lubricants, elastomeric gaskets, and any similar materials required to install the plastic pipe shall be stored in accordance with the manufacturer's recommendation and shall be discarded if the storage period exceeds the recommended shelf life. Solvents in use shall be discarded when the recommended pot life is exceeded.

### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation;

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submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Precast concrete manhole

Metal items

Frames, covers, and gratings

SD-03 Product Data

Pipeline materials including joints, fittings, and couplings

Submit manufacturer's standard drawings or catalog cuts.

SD-07 Certificates

Portland Cement

Certificates of compliance stating the type of cement used in manufacture of concrete pipe, fittings and precast manholes.

Joints

Certificates of compliance stating that the fittings or gaskets used for waste drains or lines designated on the plans are oil resistant.

- 1.5 DELIVERY, STORAGE, AND HANDLING
- 1.5.1 Delivery and Storage
- 1.5.1.1 Piping

Inspect materials delivered to site for damage; store with minimum of handling. Store materials on site in enclosures or under protective coverings. Store plastic piping and jointing materials and rubber gaskets under cover out of direct sunlight. Do not store materials directly on the ground. Keep inside of pipes and fittings free of dirt and debris.

## 1.5.1.2 Metal Items

Check upon arrival; identify and segregate as to types, functions, and sizes. Store off the ground in a manner affording easy accessibility and not causing excessive rusting or coating with grease or other objectionable materials.

# 1.5.2 Handling

Handle pipe, fittings, and other accessories in such manner as to ensure delivery to the trench in sound undamaged condition. Take special care not to damage linings of pipe and fittings; if lining is damaged, make satisfactory repairs. Carry, do not drag, pipe to trench.

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## PART 2 PRODUCTS

## 2.1 PIPELINE MATERIALS

Pipe shall conform to the respective specifications and other requirements specified below.

- 2.1.1 Omitted
- 2.1.2 Omitted
- 2.1.3 Omitted
- 2.1.4 Omitted
- 2.1.5 Ductile Iron Gravity Sewer Pipe and Associated Fittings
- 2.1.5.1 Ductile Iron Gravity Pipe and Fittings

Ductile iron pipe shall conform to ASTM A 746, Thickness Class 50. Fittings shall conform to AWWA C110 or AWWA C153. Fittings shall have strength at least equivalent to that of the pipe. Ends of pipe and fittings shall be suitable for the joints specified hereinafter. Pipe and fittings shall have cement-mortar lining conforming to AWWA C104, standard thickness.

2.1.5.2 Ductile Iron Gravity Joints and Jointing Materials

Pipe and fittings shall have push-on joints or mechanical joints\, except as otherwise specified in this paragraph. Mechanical joint requirements for pipe ends, glands, bolts and nuts, and gaskets shall conform to AWWA C111.

- 2.1.6 Ductile Iron Pressure Piping
- 2.1.6.1 Ductile Iron Pressure Pipe and Fittings

Ductile-iron pipe shall conform to AWWA C151, Thickness Class 50. Flanged pipe shall conform to AWWA C115. Fittings shall conform to AWWA C110 or AWWA C153. Fittings with push-on joint ends shall conform to the same requirements as fittings with mechanical-joint ends, except that the bell design shall be modified, as approved, for push-on joint. Fittings shall have pressure rating at least equivalent to that of the pipe. Ends of pipe and fittings shall be suitable for the joints specified hereinafter. Pipe and fittings shall have cement-mortar lining conforming to AWWA C104, standard thickness.

- 2.1.6.2 Ductile Iron Pressure Joints and Jointing Materials
  - a. Joints, general: Joints for pipe and fittings shall be push-on joints or mechanical joints except as otherwise specified in this paragraph.
  - b. Push-on joints: Shape of pipe ends and fitting ends, gaskets, and lubricant for joint assembly shall conform to AWWA C111.
  - c. Mechanical joints: Dimensional and material requirements for pipe ends, glands, bolts and nuts, and gaskets shall conform to AWWA C111.

- d. Flanged joints: Bolts, nuts, and gaskets for flanged connections shall be as recommended in the Appendix to AWWA C115. Flange for setscrewed flanges shall be of ductile iron, ASTM A 536, Grade 65-45-12, and shall conform to the applicable requirements of ASME B16.1, Class 250. Setscrews for setscrewed flanges shall be 190,000 psi tensile strength, heat treated, and zinc-coated steel. Gasket for setscrewed flanges shall conform to the applicable requirements for mechanical-joint gaskets specified in AWWA C111. Design of setscrewed gasket shall provide for confinement and compression of gasket when joint to adjoining flange is made.
- e. Joints made with sleeve-type mechanical couplings: Couplings shall be designed to couple plain-end piping by compression of a ring gasket at each end of the adjoining pipe sections. The coupling shall consist of one middle ring flared or beveled at each end to provide a gasket seat, two follower rings, two resilient tapered rubber gaskets, and bolts and nuts to draw the follower rings toward each other to compress the gaskets. The middle ring and the follower rings shall be true circular sections free from irregularities, flat spots, and surface defects; the design shall provide for confinement and compression of the gaskets. Middle ring shall be of cast-iron or steel, and the follower rings shall be of malleable iron or ductile iron. Cast iron shall conform to ASTM A 48 and shall be not less than Class 25. Malleable iron shall conform to ASTM A 47. Ductile iron shall conform to ASTM A 536. Gaskets shall be designed for long life and resistance to set after installation and shall meet the applicable requirements specified for gaskets for mechanical joint in AWWA C111. Bolts shall be track-head type; bolts and nuts shall be either of the following: bolts conforming to the tensile requirements of ASTM A 307, Grade A, with nuts conforming to the tensile requirements of ASTM A 563, Grade A; or round-head square-neck type bolts conforming to ANSI B18.5.2.1M and ASME B18.5.2.2M with hex nuts conforming to ASME B18.2.2. Bolts shall be 5/8 inch in diameter; minimum number of bolts for each coupling shall be 13 for 3 inch pipe, 4 for 4 inch pipe, and 5 for 6 inch pipe. Bolt holes in follower rings shall be of a shape to hold fast the necks of the bolts used. Sleeve-type mechanical couplings shall not be used as an optional method of jointing except where pipeline is adequately anchored to resist tension pull across the joint.
- ABS Composite Plastic Piping 2.1.7
- ABS Composite Plastic Pipe and Fittings 2.1.7.1

ASTM D 2680.

- Jointing Materials for ABS Composite Plastic Piping 2.1.7.2 Solvent cement and primer shall conform to ASTM D 2680.
- 2.1.8 ABS Solid-Wall Plastic Piping
- 2.1.8.1 ABS Solid-Wall Plastic Pipe and Fittings

ASTM D 2751, SDR 35, with ends suitable for either solvent cement joints or elastomer joints.

2.1.8.2 ABS Solid-Wall Plastic Joints and Jointing Materials

Solvent cement for solvent cement joints shall conform to ASTM D 2235. Elastomeric joints shall conform to ASTM D 3212. Gaskets for elastomeric joints shall conform to ASTM F 477.

- 2.1.9 PVC Plastic Gravity Sewer Piping
- 2.1.9.1 PVC Plastic Gravity Pipe and Fittings

ASTM D 3034, SDR 35, or ASTM F 949 with ends suitable for elastomeric gasket joints. ASTM F 794, Series 46, for ribbed sewer pipe with smooth interior, size 8 inch through 48 inch diameters.

2.1.9.2 PVC Plastic Gravity Joints and Jointing Material

Joints shall conform to ASTM D 3212. Gaskets shall conform to ASTM F 477.

- 2.1.10 PVC Plastic Pressure Pipe and Associated Fittings
- 2.1.10.1 PVC Plastic Pressure Pipe and Fittings
  - a. Pipe and Fittings Less Than 4 inch Diameter: Pipe, couplings and fittings shall be manufactured of materials conforming to ASTM D 1784, Class 12454B.
    - (1) Screw-Joint: Pipe shall conform to dimensional requirements of ASTM D 1785, Schedule 80, with joints meeting requirements of 150 psi working pressure, 200 psihydrostatic test pressure, unless otherwise shown or specified. Fittings for threaded pipe shall conform to requirements of ASTM D 2464, threaded to conform to the requirements of ASME B1.20.1 for use with Schedule 80 pipe and fittings. Pipe couplings when used, shall be tested as required by ASTM D 2464.
    - (2) Push-On Joint: ASTM D 3139, with ASTM F 477 gaskets. Fittings for push-on joints shall be iron conforming to AWWA C110 or AWWA C111. Iron fittings and specials shall shall be cement-mortar lined (standard thickness) in accordance with AWWA C104.
    - (3) Solvent Cement Joint: Pipe shall conform to dimensional requirements of ASTM D 1785 or ASTM D 2241 with joints meeting the requirements of 150 psiworking pressure and 200 psi hydrostatic test pressure. Fittings for solvent cement jointing shall conform to ASTM D 2466 or ASTM D 2467.
  - b. Pipe and Fittings 4 inch Diameter to 12 inch: Pipe shall conform to AWWA C900 and shall be plain end or gasket bell end, Pressure Class 150 (DR 18), with cast-iron-pipe-equivalent OD. Fittings shall be gray-iron or ductile-iron conforming to AWWA C110 or AWWA C153 and shall have cement-mortar lining conforming to AWWA C104, standard thickness. Fittings with push-on joint ends shall conform to the same requirements as fittings with mechanical-joint ends, except that bell design shall be modified, as approved, for push-on joint suitable for use with the PVC plastic pressure pipe specified in this paragraph.
- 2.1.10.2 PVC Plastic Pressure Joints and Jointing Material

Joints for pipe, 4 inch to 12 inchdiameter, shall be push-on joints as specified in ASTM D 3139. Joints between pipe and fittings shall be push-on joints as specified in ASTM D 3139 or shall be compression-type joints/mechanical-joints as respectively specified in ASTM D 3139 and AWWA C111. Each joint connection shall be provided with an elastomeric gasket suitable for the bell or coupling with which it is to be used. Gaskets for push-on joints for pipe shall conform to ASTM F 477. Gaskets for push-on joints and compression-type joints/mechanical-joints for joint connections between pipe and fittings shall be as specified in AWWA C111, respectively, for push-on joints and mechanical-joints.

#### 2.2 CONCRETE MATERIALS

## 2.2.1 Cement Mortar

Cement mortar shall conform to ASTM C 270, Type M with Type II cement.

## 2.2.2 Portland Cement

Portland cement shall conform to ASTM C 150, Type II for concrete used in concrete pipe, concrete pipe fittings, and manholes and type optional with the Contractor for cement used in concrete cradle, concrete encasement, and thrust blocking.

## 2.2.3 Portland Cement Concrete

Portland cement concrete shall conform to ASTM C 94/C 94M, compressive strength of 4000 psi at 28 days, except for concrete cradle and encasement or concrete blocks for manholes. Concrete used for cradle and encasement shall have a compressive strength of 2500 psiminimum at 28 days. Concrete in place shall be protected from freezing and moisture loss for 7 days. Text

### 2.3 MISCELLANEOUS MATERIALS

2.3.1 Precast Concrete Manholes and Glass-Fiber-Reinforced Polyester Manholes.

Precast concrete manhole risers, base sections, and tops shall conform to ASTM C 478; base and first riser shall be monolithic.

#### 2.3.2 Gaskets and Connectors

Gaskets for joints between manhole sections shall conform to ASTM C 443. Resilient connectors for making joints between manhole and pipes entering manhole shall conform to ASTM C 923.

### 2.3.3 Omitted

# 2.3.4 Metal Items

## 2.3.4.1 Frames, Covers, and Gratings for Manholes

FS A-A-60005, cast iron; figure numbers shall be as follows:

a. Traffic manhole: Provide in paved areas.

Frame: Figure 1, Size 22A Cover: Figure 8, Size 22A

Steps: Figure 19

b. Non-traffic manhole:

Frame: Figure 4, Size 22 Cover: Figure 12, Size 22

Steps: Figure 19

Frames and covers shall be cast iron, ductile iron or reinforced concrete. Cast iron frames and covers shall be as indicated or shall be of type suitable for the application, circular, without vent holes. The frames and covers shall have a combined weight of not less than 400 pounds. Reinforced concrete frames and covers shall be as indicated or shall conform to ASTM C 478. The word "Sewer" shall be stamped or cast into covers so that it is plainly visible.

# 2.3.4.2 Manhole Steps

Zinc-coated steel conforming to 29 CFR 1910.27. As an option, plastic or rubber coating pressure-molded to the steel may be used. Plastic coating shall conform to ASTM D 4101, copolymer polypropylene. Rubber shall conform to ASTM C 443, except shore A durometer hardness shall be 70 plus or minus 5. Aluminum steps or rungs will not be permitted. Steps are not required in manholes less than 4 feet deep.

## 2.3.4.3 Manhole Ladders

A steel ladder shall be provided where the depth of a manhole exceeds 12 feet. The ladder shall not be less than 16 inches in width, with 3/4 inch diameter rungs spaced 12 inches apart. The two stringers shall be a minimum 3/8 inch thick and 2 inches wide. Ladders and inserts shall be galvanized after fabrication in conformance with ASTM A 123/A 123M.

# PART 3 EXECUTION

# 3.1 INSTALLATION OF PIPELINES AND APPURTENANT CONSTRUCTION

## 3.1.1 General Requirements for Installation of Pipelines

Apply except where specific exception is made in the following paragraphs entitled "Special Requirements."

# 3.1.1.1 Location

The work covered by this section shall terminate at a point approximately 5 feet from the building. Where the location of the sewer is not clearly defined by dimensions on the drawings, do not lay sewer line closer horizontally than 10 feet to a water main or service line. Install pressure sewer lines beneath water lines only, with the top of the sewer line being at least 2 feet below bottom of water line. Where sanitary sewer lines pass above water lines, encase sewer in concrete for a distance of 10 feet on each side of the crossing, or substitute rubber-gasketed pressure pipe for the pipe being used for the same distance. Where sanitary sewer lines pass below water lines, lay pipe so that no joint in the sewer line will be closer than 3 feet, horizontal distance, to the water line.

- a. Sanitary piping installation parallel with water line:
  - (1) Normal conditions: Sanitary piping or manholes shall be laid at least 10 feet horizontally from a water line whenever possible. The distance shall be measured edge-to-edge.
  - (2) Unusual conditions: When local conditions prevent a horizontal separation of 10 feet, the sanitary piping or manhole may be laid closer to a water line provided that:
  - (a) The top (crown) of the sanitary piping shall be at least 18 inches below the bottom (invert) of the water main.
  - (b) Where this vertical separation cannot be obtained, the sanitary piping shall be constructed of AWWA-approved ductile iron water pipe pressure tested in place without leakage prior to backfilling.
  - (c) The sewer manhole shall be of watertight construction and tested in place.
- b. Installation of sanitary piping crossing a water line:
  - (1) Normal conditions: Lay sanitary piping crossing water lines to provide a separation of at least 18 inches between the top of the sanitary piping and the bottom of the water line whenever possible.
  - (2) Unusual conditions: When local conditions prevent a vertical separation described above, use the following construction:
  - (a) Sanitary piping passing over or under water lines shall be constructed of AWWA-approved ductile iron water pipe, pressure tested in place without leakage prior to backfilling.
  - (b) Sanitary piping passing over water lines shall, in addition, be protected by providing:
  - 1. A vertical separation of at least 18 inches between the bottom of the sanitary piping and the top of the water line.
  - 2. Adequate structural support for the sanitary piping to prevent excessive deflection of the joints and the settling on and breaking of the water line.
  - 3. That the length, minimum 20 feet, of the sanitary piping be centered at the point of the crossing so that joints shall be equidistant and as far as possible from the water line.
- c. Sanitary sewer manholes: No water piping shall pass through or come in contact with any part of a sanitary sewer manhole.

#### 3.1.1.2 Earthwork

Perform earthwork operations in accordance with Section 02300A, "EARTHWORK."

# 3.1.1.3 Pipe Laying and Jointing

Inspect each pipe and fitting before and after installation; replace those found defective and remove from site. Provide proper facilities for lowering sections of pipe into trenches. Lay nonpressure pipe with the bell ends in the upgrade direction. Adjust spigots in bells to give a uniform space all around. Blocking or wedging between bells and spigots will not be permitted. Replace by one of the proper dimensions, pipe or fittings that do not allow sufficient space for installation of joint material. At the end of each work day, close open ends of pipe temporarily with wood blocks or bulkheads. Provide batterboards not more than 25 feet apart in trenches for checking and ensuring that pipe invert elevations are as indicated. Laser beam method may be used in lieu of batterboards for the same purpose.

Branch connections shall be made by use of regular fittings or solvent cemented saddles as approved. Saddles for ABS and PVC composite pipe shall conform to Figure 2 of ASTM D 2680; saddles for ABS pipe shall comply with Table 3 of ASTM D 2751; and saddles for PVC pipe shall conform to Table 4 of ASTM D 3034.

# 3.1.1.4 Connections to Existing Lines

Obtain approval from the Contracting Officer before making connection to existing line. Conduct work so that there is minimum interruption of service on existing line.

- 3.1.2 Special Requirements
- 3.1.2.1 Omitted
- 3.1.2.2 Omitted
- 3.1.2.3 Omitted
- 3.1.2.4 Omitted
- 3.1.2.5 Installation of Ductile Iron Gravity Sewer Pipe

Unless otherwise specified, install pipe and associated fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" of this section and with the requirements of AWWA C600 for pipe installation and joint assembly.

a. Make push-on joints with the gaskets and lubricant specified for this type joint and assemble in accordance with the applicable requirements of AWWA C600 for joint assembly. Make mechanical-joints with the gaskets, glands, bolts, and nuts specified for this type joint and assemble in accordance with the applicable requirements of AWWA C600 for joint assembly and the recommendations of Appendix A to AWWA C111.

#### 3.1.2.6 Installation of Ductile-Iron Pressure Lines

Unless otherwise specified, install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" of this section and with the requirements of AWWA C600 for pipe installation, joint assembly, and valve-and-fitting installation.

a. Make push-on joints with the gaskets and lubricant specified for this type joint and assemble in accordance with the applicable

> requirements of AWWA C600 for joint assembly. Make mechanical-joints with the gaskets, glands, bolts, and nuts specified for this type joint; assemble in accordance with the applicable requirements of AWWA C600 for joint assembly and the recommendations of Appendix A to AWWA C111. Make flanged joints with gaskets, bolts, and nuts specified for this type joint. Make flanged joints up tight, taking care to avoid undue strain on flanges, fittings, and other accessories. Align bolt holes for each flanged joint. Use full size bolts for the bolt holes; use of undersized bolts to make up for misalignment of bolt holes or for any other purpose will not be permitted. Do not allow adjoining flange faces to be out of parallel to such degree that the flanged joint cannot be made watertight without overstraining the flange. When flanged pipe or fittings have dimensions that do not allow the making of a proper flanged joint as specified, replace it by one of proper dimensions. Assemble joints made with sleeve-type mechanical couplings in accordance with the recommendations of the coupling manufacturer, as approved.

### b. Omitted.

c. Pipe anchorage: Provide concrete thrust blocks (reaction backing) for pipe anchorage. Size and position thrust blocks as indicated. Use concrete conforming to ASTM C 94 having a minimum compressive strength of 2,000 psi at 28 days; or use concrete of a mix not leaner than one part cement, 2 1/2 parts sand, and 5 parts gravel, having the same minimum compressive strength.

#### 3.1.2.7 Installation of ABS Composite Plastic Piping

Install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" of this section and with the recommendations of the plastic pipe manufacturer. Make joints with the primer and solvent cement specified for this joint and assemble in accordance with the recommendations of the pipe manufacturer. Handle solvent cement in accordance with ASTM F 402.

### 3.1.2.8 Installation of ABS Solid-Wall Plastic Piping

Install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" of this section and with the recommendations of the plastic pipe manufacturer. Make solvent cement joints with the solvent cement previously specified for this type joint. Make elastomeric joints with the gaskets specified for this type joint and assemble in accordance with the recommendations of the pipe manufacturer. Handle solvent cement in accordance with ASTM F 402.

#### 3.1.2.9 Installation of PVC Plastic Piping

Install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" of this section and with the requirements of ASTM D 2321 for laying and joining pipe and fittings. Make joints with the gaskets specified for joints with this piping and assemble in accordance with the requirements of ASTM D 2321 for assembly of joints. Make joints to other pipe materials in accordance with the recommendations of the plastic pipe manufacturer.

# 3.1.2.10 Installation of PVC Plastic Pressure Pipe and Fittings

Unless otherwise specified, install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" of this section; with the requirements of UBPPA UNI-B-3 for laying of pipe, joining PVC pipe to fittings and accessories, and setting of hydrants, valves, and fittings; and with the recommendations for pipe joint assembly and appurtenance installation in AWWA M23, Chapter 7, "Installation."

- a. Pipe Less Than 4 Inch Diameter:
  - Threaded joints shall be made by wrapping the male threads with joint tape or by applying an approved thread lubricant, then threading the joining members together. The joints shall be tightened with strap wrenches which will not damage the pipe and fittings. The joint shall be tightened no more than 2 threads past hand-tight.
  - (2) Push-On Joints: The ends of pipe for push-on joints shall be beveled to facilitate assembly. Pipe shall be marked to indicate when the pipe is fully seated. The gasket shall be lubricated to prevent displacement. Care shall be exercised to ensure that the gasket remains in proper position in the bell or coupling while making the joint.
  - (3) Solvent-weld joints shall comply with the manufacturer's instructions.
- Pipe 4 Inch Diameter Joints: Make push-on joints with the elastomeric gaskets specified for this type joint, using either elastomeric-gasket bell-end pipe or elastomeric-gasket couplings. For pipe-to-pipe push-on joint connections, use only pipe with push-on joint ends having factory-made bevel; for push-on joint connections to fittings, cut spigot end of pipe off square and re-bevel pipe end to a bevel approximately the same as that on ductile-iron pipe used for the same type of joint. Use an approved lubricant recommended by the pipe manufacturer for push-on joints. Assemble push-on joints for pipe-to-pipe joint connections in accordance with the requirements of UBPPA UNI-B-3 for laying the pipe and the recommendations in AWWA M23, Chapter 7, "Installation," for pipe joint assembly. Assemble push-on joints for connection to fittings in accordance with the requirements of UBPPA UNI-B-3 for joining PVC pipe to fittings and accessories and with the applicable requirements of AWWA C600 for joint assembly. Make compression-type joints/mechanical-joints with the gaskets, glands, bolts, nuts, and internal stiffeners specified for this type joint and assemble in accordance with the requirements of UBPPA UNI-B-3 for joining PVC pipe to fittings and accessories, with the applicable requirements of AWWA C600 for joint assembly, and with the recommendations of Appendix A to AWWA C111. Cut off spigot end of pipe for compression-type joint/mechanical-joint connections and do not re-bevel.
- c. Pipe anchorage: Provide concrete thrust blocks (reaction backing) for pipe anchorage. Size and position thrust blocks as indicated. Use concrete conforming to ASTM C 94 having a minimum compressive strength of 2,000 psi at 28 days; or use concrete of a mix not leaner than one part cement, 2 1/2 parts sand, and 5 parts gravel, having the same minimum compressive strength.

### 3.1.3 Concrete Work

Cast-in-place concrete is included in Section 03300, "Cast-In-Place Structural Concrete."

The pipe shall be supported on a concrete cradle, or encased in concrete where indicated or directed.

#### 3.1.4 Manhole Construction

Construct base slab of cast-in-place concrete or use precast concrete base sections. Make inverts in cast-in-place concrete and precast concrete bases with a smooth-surfaced semi-circular bottom conforming to the inside contour of the adjacent sewer sections. For changes in direction of the sewer and entering branches into the manhole, make a circular curve in the manhole invert of as large a radius as manhole size will permit. For cast-in-place concrete construction, either pour bottom slabs and walls integrally or key and bond walls to bottom slab. No parging will be permitted on interior manhole walls. For precast concrete construction, make joints between manhole sections with the gaskets specified for this purpose; install in the manner specified for installing joints in concrete piping. Parging will not be required for precast concrete manholes. Cast-in-place concrete work shall be in accordance with the requirements specified under paragraph entitled "Concrete Work" of this section. Make joints between concrete manholes and pipes entering manholes with the resilient connectors specified for this purpose; install in accordance with the recommendations of the connector manufacturer. Where a new manhole is constructed on an existing line, remove existing pipe as necessary to construct the manhole. Cut existing pipe so that pipe ends are approximately flush with the interior face of manhole wall, but not protruding into the manhole. Use resilient connectors as previously specified for pipe connectors to concrete manholes.

#### 3.1.5 Miscellaneous Construction and Installation

# 3.1.5.1 Connecting to Existing Manholes

Pipe connections to existing manholes shall be made so that finish work will conform as nearly as practicable to the applicable requirements specified for new manholes, including all necessary concrete work, cutting, and shaping. The connection shall be centered on the manhole. Holes for the new pipe shall be of sufficient diameter to allow packing cement mortar around the entire periphery of the pipe but no larger than 1.5 times the diameter of the pipe. Cutting the manhole shall be done in a manner that will cause the least damage to the walls.

### 3.1.5.2 Metal Work

- a. Workmanship and finish: Perform metal work so that workmanship and finish will be equal to the best practice in modern structural shops and foundries. Form iron to shape and size with sharp lines and angles. Do shearing and punching so that clean true lines and surfaces are produced. Make castings sound and free from warp, cold shuts, and blow holes that may impair their strength or appearance. Give exposed surfaces a smooth finish with sharp well-defined lines and arises. Provide necessary rabbets, lugs, and brackets wherever necessary for fitting and support.
- b. Field painting: After installation, clean cast-iron frames, covers, gratings, and steps not buried in concrete to bare metal

> of mortar, rust, grease, dirt, and other deleterious materials and apply a coat of bituminous paint. Do not paint surfaces subject to abrasion.

#### 3.1.6 Omitted

### 3.1.7 Installations of Wye Branches

Cutting into piping for connections shall not be done except in special approved cases. When the connecting pipe cannot be adequately supported on undisturbed earth or tamped backfill, the pipe shall be encased in concrete backfill or supported on a concrete cradle as directed. Concrete required because of conditions resulting from faulty construction methods or negligence by the Contractor shall be installed at no additional cost to the Government. The installation of wye branches in an existing sewer shall be made by a method which does not damage the integrity of the existing sewer. One acceptable method consists of removing one pipe section, breaking off the upper half of the bell of the next lower section and half of the running bell of wye section. After placing the new section, it shall be rotated so that the broken half of the bell will be at the bottom. The two joints shall then be made with joint packing and cement mortar.

#### 3.2 FIELD QUALITY CONTROL

## 3.2.1 Field Tests and Inspections

The Contracting Officer will conduct field inspections and witness field tests specified in this section. The Contractor shall perform field tests and provide labor, equipment, and incidentals required for testing, except that water and electric power needed for field tests will be furnished as set forth in Section 02316A, EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Be able to produce evidence, when required, that each item of work has been constructed in accordance with the drawings and specifications.

### 3.2.2 Tests for Nonpressure Lines

Check each straight run of pipeline for gross deficiencies by holding a light in a manhole; it shall show a practically full circle of light through the pipeline when viewed from the adjoining end of line. When pressure piping is used in a nonpressure line for nonpressure use, test this piping as specified for nonpressure pipe.

#### 3.2.2.1 Leakage Tests

Test lines for leakage by either infiltration tests or exfiltration tests, or by low-pressure air tests. Prior to testing for leakage, backfill trench up to at least lower half of pipe. When necessary to prevent pipeline movement during testing, place additional backfill around pipe sufficient to prevent movement, but leaving joints uncovered to permit inspection. When leakage or pressure drop exceeds the allowable amount specified, make satisfactory correction and retest pipeline section in the same manner. Correct visible leaks regardless of leakage test results.

a. Infiltration tests and exfiltration tests: Perform these tests for sewer lines made of the specified materials, not only concrete, in accordance with ASTM C 969. Make calculations in accordance with the Appendix to ASTM C 969.

- b. Low-pressure air tests: Perform tests as follows:
  - (1) Omitted.
  - (2) Omitted.
  - (3) Ductile-iron pipelines: Test in accordance with the applicable requirements of ASTM C 924. Allowable pressure drop shall be as given in ASTM C 924. Make calculations in accordance with the Appendix to ASTM C 924.
  - (4) Omitted.
  - (5) PVC plastic pipelines: Test in accordance with UBPPA UNI-B-6. Allowable pressure drop shall be as given in UBPPA UNI-B-6. Make calculations in accordance with the Appendix to UBPPA UNI-B-6.

### 3.2.2.2 Deflection Testing

Perform a deflection test on entire length of installed plastic pipeline on completion of work adjacent to and over the pipeline, including leakage tests, backfilling, placement of fill, grading, paving, concreting, and any other superimposed loads determined in accordance with ASTM D 2412. Deflection of pipe in the installed pipeline under external loads shall not exceed 4.5 percent of the average inside diameter of pipe. Determine whether the allowable deflection has been exceeded by use of a pull-through device or a deflection measuring device.

- a. Pull-through device: This device shall be a spherical, spheroidal, or elliptical ball, a cylinder, or circular sections fused to a common shaft. Circular sections shall be so spaced on the shaft that distance from external faces of front and back sections will equal or exceed diameter of the circular section. Pull-through device may also be of a design promulgated by the Uni-Bell Plastic Pipe Association, provided the device meets the applicable requirements specified in this paragraph, including those for diameter of the device, and that the mandrel has a minimum of 9 arms. Ball, cylinder, or circular sections shall conform to the following:
  - (1) A diameter, or minor diameter as applicable, of 95 percent of the average inside diameter of the pipe; tolerance of plus 0.5 percent will be permitted.
  - (2) Homogeneous material throughout, shall have a density greater than 1.0 as related to water at 39.2 degrees F, and shall have a surface Brinell hardness of not less than 150.
  - (3) Center bored and through-bolted with a 1/4 inch minimum diameter steel shaft having a yield strength of not less than 70,000 pounds per square inch, with eyes or loops at each end for attaching pulling cables.
  - (4) Each eye or loop shall be suitably backed with a flange or heavy washer such that a pull exerted on opposite end of shaft will produce compression throughout remote end.
- b. Deflection measuring device: Sensitive to 1.0 percent of the

diameter of the pipe being tested and shall be accurate to 1.0 percent of the indicated dimension. Deflection measuring device shall be approved prior to use.

- c. Pull-through device procedure: Pass the pull-through device through each run of pipe, either by pulling it through or flushing it through with water. If the device fails to pass freely through a pipe run, replace pipe which has the excessive deflection and completely retest in same manner and under same conditions.
- d. Deflection measuring device procedure: Measure deflections through each run of installed pipe. If deflection readings in excess of 4.5 percent of average inside diameter of pipe are obtained, retest pipe by a run from the opposite direction. If retest continues to show a deflection in excess of 4.5 percent of average inside diameter of pipe, replace pipe which has excessive deflection and completely retest in same manner and under same conditions.

#### Tests for Pressure Lines 3.2.3

Test pressure lines in accordance with the applicable standard specified in this paragraph, except for test pressures. For hydrostatic pressure test, use a hydrostatic pressure 50 psi in excess of the maximum working pressure of the system, but not less than 100 psi, holding the pressure for a period of not less than one hour. For leakage test, use a hydrostatic pressure not less than the maximum working pressure of the system. Leakage test may be performed at the same time and at the same test pressure as the pressure test. Test ductile-iron pressure lines in accordance with the requirements of AWWA C600 for hydrostatic testing. Leakage on ductile-iron pipelines with mechanical-joints or push-on joints shall not exceed the amounts given in AWWA C600; allow no leakage at joints made by other methods. Test PVC plastic pressure lines in accordance with the requirements of UBPPA UNI-B-3 for pressure and leakage tests, using the allowable leakage given therein.

## Field Tests for Concrete

Field testing requirements are covered in Section 03300, "CAST IN PLACE CONCRETE."

-- End of Section --

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## SECTION 02532A

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## 07/98

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UFGS-02532A (July 1998)

# SECTION 02532A

# FORCE MAINS AND INVERTED SIPHONS; SEWER 07/98

# PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

# AMERICAN PETROLEUM INSTITUTE (API)

API Spec 6D	(1994; Supple 1 Jun 1996; Supple 2 Dec
	1997) Pipeline Valves (Gate, Plug, Ball,
	and Check Valves)

## AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1785	(1999) Poly(Vinyl Chloride) (PVC) Plastic Pipe, Schedules 40, 80, and 120
ASTM D 2241	(1996b) Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)
ASTM D 2464	(1999) Threaded Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80
ASTM D 2564	(1996a) Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
ASTM D 3139	(1998) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals
ASTM D 3308	(1997) PTFE Resin Skived Tape

# ASME INTERNATIONAL (ASME)

ASME B16.1	(1998)	Cast	Iron	Pipe	Flanges	and	Flanged
	Fitting	gs					

# AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C110	(1993) Ductile-Iron and Gray-Iron Fittings, 3 In. Through 48 In. (75 mm through 1200 mm), for Water and Other Liquids
AWWA C111	(1995) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
AWWA C115	(1996) Flanged Ductile-Iron Pipe with Ductile-Iron or Gray-Iron Threaded Flanges

AWWA C151	(1996) Ductile-Iron Pipe, Centrifugally Cast, for Water or Other Liquids
AWWA C500	(1993; C500a) Metal-Seated Gate Valves for Water Supply Service
AWWA C508	(1993; C508a) Swing-Check Valves for Waterworks Service, 2 In. (50 mm) Through 24 In. (600 mm) NPS
AWWA C600	(1993) Installation of Ductile-Iron Water Mains and Their Appurtenances
AWWA C900	(1997; C900a) Polyvinyl Chloride (PVC) Pressure Pipe, 4 In. Through 12 In., for Water Distribution

## DUCTILE IRON PIPE RESEARCH ASSOCIATION (DIPRA)

(1997) Thrust Restraint Design for Ductile DIPRA-Restraint Design Iron Pipe

> MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-78 (1998) Cast Iron Plug Valves, Flanged and Threaded Ends

#### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Hydrostatic Tests.

Copies of test results.

### DELIVERY AND STORAGE 1.3

Pipe, fittings and accessories, and pipe coatings shall not be damaged during delivery, handling, and storage.

#### PART 2 PRODUCTS

## 2.1 PIPE AND FITTINGS

Piping for force mains less than 4 inches in diameter shall be polyvinyl chloride (PVC) plastic, polyethylene (PE) plastic or polypropylene plastic. Piping less than 4 inches in diameter inside pump stations shall be galvanized steel. Piping for force mains 4 inches in diameter and larger shall be ductile iron, steel, PVC plastic. Piping 4 inches in diameter and larger inside pump stations shall be ductile iron pipe with bolted flange joints. Pipe shall conform to the respective specifications and other

requirements specified below.

- 2.1.1 Omitted
- 2.1.2 Plastic Pipe
- 2.1.2.1 Omitted
- 2.1.2.2 Omitted
- 2.1.2.3 PVC Pipe
  - a. PVC Pipe and Fittings Less Than 4 inches Diameter: ASTM D 1785, Schedule 80, or ASTM D 2241, SDR 26, with screw joints, push-on joints, or solvent weld joints.
  - b. PVC Pipe and Fittings 4 inches Diameter and Larger: ASTM D 2241, SDR 26, or AWWA C900, Class 150, with push-on joints.
- 2.1.3 Omitted
- 2.1.4 Omitted
- 2.1.5 Ductile Iron Pipe
  - a. Ductile Iron Pipe: AWWA C151, working pressure not less than 150 psi, unless otherwise shown or specified.
  - b. Omitted.
  - c. Fittings, Mechanical: AWWA C110, rated for 150 psi.
  - d. Fittings, Push-On: AWWA C110 and AWWA C111, rated for 150 psi.
- 2.2 JOINTS
- 2.2.1 Omitted
- 2.2.2 Omitted
- 2.2.3 PVC Piping
  - a. Screw Joint Fittings: ASTM D 2464, Schedule 80.
  - b. Push-On Joint Fittings: ASTM D 3139, with ASTM F 477gaskets.
  - c. Solvent Cement: ASTM D 2564.
  - d. Couplings for use with plain end pipe shall have centering rings or stops to ensure the coupling is centered on the joint.
- 2.2.4 Omitted
- 2.2.5 Ductile Iron Piping
  - a. Push-on Joints: AWWA C111.
  - b. Mechanical Joints: AWWA C111 as modified by AWWA C151.

c. Flanged Joints: AWWA C115.

#### 2.3 VALVES

## 2.3.1 Gate Valves

Gate valves 3 inches and larger shall comply with AWWA C500. Valves for buried service shall be non-rising stem (NRS), 2 inch square nut operated with joints applicable to the pipe or installation. Buried valves shall be furnished with extension stems comprising socket, extension stem and operating nut, and shall be of an appropriate length to bring operating nut to within 6 inches of grade. One 4 foot "T" handle valve wrench shall be furnished for each quantity of 6 buried valves. Gate valves that are exposed or installed inside shall be outside screw and yoke (OS&Y), handwheel operated with flange ends unless otherwise indicated. Gate valve operating nuts and handwheels shall have an arrow and the word "OPEN" cast in raised letters to indicate the direction of opening. Gate valves 14 inches and larger shall be equipped with gearing to reduce operating effort. Gate valves 14 inches and larger installed in horizontal lines in horizontal position with stems horizontal shall be equipped with bronze track, roller and scrapers to support the weight of the gate for its full length of travel. Gate valves 14 inches and larger installed in vertical pipe lines with stems horizontal shall be fitted with slides to assist the travel of the gate assembly.

#### Check Valves 2.3.2

Check valves shall permit free flow of sewage forward and provide a positive check against backflow. Check valves shall be designed for a minimum working pressure of 150 psi or as indicated. The body shall be iron. The manufacturer's name, initials, or trademark and also the size of the valve, working pressure, and direction of flow shall be directly cast on the body.

- a. Ball Check Valves shall be iron body, shall have flanged ends, and shall be the non-slam type. Flanges shall be the 125 pound type complying with ASME B16.1. Ball shall be stainless steel unless otherwise specified.
- b. Swing Check Valves shall comply with AWWA C508 and shall be iron body, bronze mounted, and shall have flanged ends. Flanges shall be the 125 pound type complying with ASME B16.1.

### 2.3.3 Plug Valves

Cast iron valves shall comply with MSS SP-78. Steel plug valves shall comply with API Spec 6D.

#### 2.3.4 Omitted

# 2.3.5 Air Release Valves

Air release valves shall be designed to permit release of air from an empty pipe during filling and shall be capable of discharging accumulated air in the line while the line is in operation and under pressure. Valves shall be attached by means of threaded pipe connections. Valves shall be vented to the atmosphere.

a. Manual Air Release Valves: Manual air release valves shall

> consist of a 3 inch gate valve and 3 inch ductile iron pipe and fittings. The valve shall be installed with its line of flow in the horizontal position.

b. Automatic Air Release Valve: Automatic air release valves shall be of the compound lever type capable of withstanding operating pressures of 150 psi. The valves shall have a 1/2 inch outlet. The body and cover of the valve shall be of iron with a stainless steel float. All internal parts shall be stainless steel or bronze. The valve shall be specifically adapted for use with sewage. Each valve shall be complete with hose and blow-off valves to permit backflushing without dismantling the valve.

#### VALVE BOXES 2.4

Valve boxes shall be cast iron or concrete, except that concrete boxes may be installed only in locations not subject to vehicular traffic. Cast iron boxes shall be the extension type with slide type adjustment and with flared base. The minimum thickness of metal shall be 3/16 inch. The box length shall be adaptable, without full extension, to the depth of cover over the pipe at the valve locations. Concrete boxes shall be the standard product of a manufacturer of precast concrete equipment. The word "SEWER" shall be cast in the cover.

#### 2.5 VALVE VAULTS

Valve vaults shall be precast concrete units conforming to ASTM C 478.

#### 2.6 MISCELLANEOUS MATERIALS

Miscellaneous materials shall comply with the following requirements:

- 2.6.1 Omitted
- 2.6.2 Joint Lubricants

Joint lubricants shall be as recommended by the pipe manufacturer.

2.6.3 Bolts, Nuts and Glands

AWWA C111.

#### 2.6.4 Joint Compound

A stiff mixture of graphite and oil or inert filler and oil.

2.6.5 Joint Tape

ASTM D 3308.

# PART 3 EXECUTION

# 3.1 INSTALLATION

Pipe, pipe fittings, and appurtenances shall be installed at the locations indicated. Excavation, trenching, and backfilling shall be as specified in Section 02316 EXCAVATION, TRENCHING AND BACKFILLING FOR UTILITIES SYSTEMS.

## 3.1.1 Adjacent Facilities

Installation of force mains and inverted siphons near adjacent facilities shall be as specified in Section 02531 SANITARY SEWERS.

#### 3.1.2 Cutting

Pipe shall be cut in a neat manner with mechanical cutters. Wheel cutters shall be used where practicable. Sharp and rough edges shall be ground smooth and loose material removed from the pipe before laying.

#### 3.1.3 Laying

Except where otherwise authorized, pipe shall be laid with bells facing the direction of laying. Before lowering and while suspended, the pipe shall be inspected for defects. Defective material shall be rejected. Pipe shall be laid in compliance with the following:

- a. Ductile Iron: AWWA C600.
- b. Omitted.
- c. Omitted.
- d. Polyvinyl Chloride: Manufacturer's instructions.
- 3.1.4 Jointing
- 3.1.4.1 Omitted
- 3.1.4.2 Omitted
- 3.1.4.3 Omitted
- 3.1.4.4 Joints for PVC Pipe
  - a. Threaded joints shall be made by wrapping the male threads with joint tape or by applying an approved thread lubricant, then threading the joining members together. The joint shall be tightened with strap wrenches which will not damage the pipe and fittings. The joint shall be tightened no more than 2 threads past hand-tight.
  - b. Push-on joints: The ends of pipe for push-on joints shall be beveled to facilitate assembly. Pipe shall be marked to indicate when the pipe is fully seated. The gasket shall be lubricated to prevent displacement. The gasket shall remain in proper position in the bell or coupling while the joint is made.
  - c. Solvent-weld joints shall comply with the manufacturer's instructions.
- 3.1.4.5 Omitted
- 3.1.4.6 Omitted
- 3.1.4.7 Joints for Ductile Iron Pipe

Installation of mechanical and push-on type joints shall comply with AWWA C600 and the manufacturer's instructions. Installation of flanged joints

shall comply with manufacturer's instructions.

#### 3.1.5 Omitted

## 3.1.6 Omitted

#### 3.1.7 Installation of Valves

Prior to installation, valves shall be cleaned of all foreign matter and inspected for damage. Valves shall be fully opened and closed to ensure that all parts are properly operating. Valves shall be installed with the stem in the vertical position. Valves shall be installed in valve vaults as indicated.

#### 3.1.8 Installation of Valve Boxes

Valve boxes shall be installed over each outside gate valve, unless otherwise indicated. Valve boxes shall be centered over the valve. Fill shall be carefully tamped around each valve box to a distance of 4 feet on all sides or to undisturbed trench face, if less than 4 feet.

#### 3.1.9 Installation of Valve Vaults

Valve vaults shall be installed as indicated.

### 3.1.10 Drain Lines

Drain lines shall be installed where indicated. The drain line shall consist of a tee in the main line with a 4 inch diameter branch, a 4 inch diameter elbow, and a 4 inch gate valve.

#### 3.1.11 Thrust Restraint

Thrust Restraint shall be as specified in Section 02510 WATER DISTRIBUTION SYSTEM. Plugs, caps, tees and bends deflecting 11-1/4 degrees or more, either vertically or horizontally, shall be provided with thrust restraint. Valves shall be securely anchored or shall be provided with thrust restraints to prevent movement. Thrust restraints shall be either thrust blocks or, for ductile-iron pipes, restrained joints.

#### 3.1.11.1 Thrust Blocks

Thrust blocking shall be concrete of a mix not leaner than: 1 cement, 2-1/2 sand, 5 gravel; and having a compressive strength of not less than 2000 psi after 28 days. Blocking shall be placed between solid ground and the fitting to be anchored. Unless otherwise indicated or directed, the base and thrust bearing sides of thrust blocks shall be poured directly against undisturbed earth. The sides of thrust blocks not subject to thrust may be poured against forms. The area of bearing shall be as shown or as directed. Blocking shall be placed so that the fitting joints will be accessible for repair. Steel rods and clamps, protected by galvanizing or by coating with bituminous paint, shall be used to anchor vertical down bends into gravity thrust blocks.

# 3.1.11.2 Restrained Joints

For ductile iron pipe, restrained joints shall be designed by the Contractor or the pipe manufacturer in accordance with DIPRA-Restraint Design.

#### 3.1.12 Grout

Grout for exterior joint protection on concrete pipes shall be a mix of 1 part portland cement, 2 parts sand, and of sufficient liquid consistency to flow into the joint recess beneath the diaper. Grout for interior joint protection shall be a mix of 1 part portland cement and 1 part sand. A polyurethane foam loop, impregnated with portland cement, may be substituted for grout for exterior joints.

#### 3.2 HYDROSTATIC TESTS

The pipeline shall be subjected to both a pressure test and a leakage test. The method proposed for disposal of waste water from hydrostatic tests shall be approved by the Contracting Officer. Testing shall be the responsibility of the Contractor. Testing shall be performed by an approved independent testing laboratory or by the Contractor subject to approval. The test may be witnessed by the Contracting Officer. The Contracting Officer shall be notified at least 7 days in advance of equipment tests. The final test report shall be delivered to the Contracting Officer within 30 days of the test.

#### 3.2.1 Pressure Test

After the pipe has been installed, joints completed, thrust blocks have been in place for at least five days, and the trench has been partially backfilled, leaving the joints exposed for examination, the pipe shall be filled with water to expel all air. The pipeline shall be subjected to a test pressure of 100 psi or 150 percent of the working pressure, whichever is greater, for a period of at least one hour. Each valve shall be opened and closed several times during the test. The exposed pipe, joints, fitting, and valves shall be examined for leaks. Visible leaks shall be stopped or the defective pipe, fitting, joints, or valve shall be replaced.

#### 3.2.2 Leakage Test

The leakage test may be conducted subsequent to or concurrently with the pressure test. The amount of water permitted as leakage for the line shall be placed in a sealed container attached to the supply side of the test pump. No other source of supply will be permitted to be applied to the pump or line under test. The water shall be pumped into the line by the test pump as required to maintain the specified test pressure as described for pressure test for a 2 hour period. Exhaustion of the supply or the inability to maintain the required pressure will be considered test failure. PE pipe can experience diametric expansion and pressure elongation during initial testing. The manufacturer shall be consulted prior to testing for special testing considerations. Allowable leakage shall be determined by the following I-P formula:

- L = NDP/K Where:
- L = Allowable leakage in gallons per hour.
- N = Number of joints in length of pipeline tested.
- D = Nominal diameter of the pipe in inches.
- P = Square root of the test pressure in psig.

K = 7400 for pipe materials.

At the conclusion of the test, the amount of water remaining in the container shall be measured and the results recorded in the test report.

#### 3.2.3 Retesting

If any deficiencies are revealed during any test, such deficiencies shall be corrected and the tests shall be reconducted until the results of the tests are within specified allowances, without additional cost to the Government.

-- End of Section --

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### SECTION 02547

### BITUMINOUS PAVEMENT WITH BASE COURSE

# 01/98

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### SECTION 02547

BITUMINOUS PAVEMENT WITH BASE COURSE (Modified North Carolina Department of Transportation Specifications) (For less than 1,000 tons) 01/98

# PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

### AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 88	(1990) Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM C 131	(1989) Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM D 75	(1987; R 1992) Sampling Aggregates
ASTM D 140	(1993) Sampling Bituminous Materials

#### DESCRIPTION OF WORK 1.2

\*1

The work covered by this section consists of the construction of a bituminous pavement consisting of a base course, bituminous prime and tack coats and a bituminous surface course and/or courses on a properly prepared subgrade and in conformity with the lines, grades, thicknesses and typical section and/or sections shown on the plans. The construction of the bituminous pavement shall conform to the requirements of the "North Carolina Department of Transportation Standard Specifications for Roads and Structures," latest Edition, except for the modifications or revisions specified herein. 80% of asphalt and base course shall be purchased from a vendor within 500 miles of the site.

#### 1.3 DESCRIPTION OF TERMS

Wherever in the North Carolina Department of Transportation (NCDOT) Standard Specifications for Roads and Structures, hereinafter referred to as the "Standard Specifications," the following terms are used, the intent shall be as follows:

"State"	U.S. Government
"Commission" Part	Corps of Engineers, Party of the First
"Engineer"	Contracting Officer, Corps of Engineers

#### APPLICABLE SPECIFICATIONS 1.4

The following sections of NCDOT Standard Specifications form a part of this section of the specifications, except as hereinafter modified:

Section	Section Title
101	Definition of Terms
520	Aggregate Base Course, (Type - A Aggregate)
600	Prime Coat
605	Tack Coat
610	Bituminous Plant Mix Pavements - General
620	Asphalt Cement for Plant Mix
640	Bituminous Concrete Binder Course, Type H
645	Bituminous Concrete Surface Course, Type I-1
1020	Bituminous Materials

All sections referenced in the designated sections above shall also form a part of these Technical Provisions.

#### 1.5 OMITTED

#### 1.6 OMITTED

#### 1.7 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Tests

Copies of pavement job mix formula and test data results, within 24 hours after completion of each test.

#### 1.8 SAFETY PRECAUTIONS

No smoking, or open flames shall be permitted within 25 feet of heating, distributing, or transferring operations of bituminous materials other than bituminous emulsions. When tar is used, a full-face, organic, vapor-type respirator and protective creams shall be used by personnel exposed to fumes. Protective creams shall not substitute for cover clothing.

#### 1.9 EQUIPMENT, TOOLS, AND MACHINES

#### 1.9.1 Bituminous Distributors

The distributors shall have pneumatic tires of such width and number that the load produced on the base surface does not exceed 650 pounds per inch of tire width. Distributors shall be designed and equipped to distribute bituminous material uniformly at even heat on various widths of surface at readily determined and controlled rates ranging from 0.05 to 2.00 gallons per square yard, with a pressure range of 25 to 75 psi. The allowable variation from any specified rate shall not exceed 5 percent. Distributor equipment shall include a separate power unit for the bitumen pump,

full-circulation spray bars, tachometer, pressure gauges, volume-measuring devices, a thermometer for reading the temperature of tank contents, and a hose attachment suitable for applying bituminous material to areas not accessible with distributor spray bar. The distributor shall be equipped for circulation and agitation of bituminous material during the heating process.

# 1.9.2 Single-Pass, Surface-Treatment Machines

The machines shall be capable of spraying bituminous material and spreading aggregate in one pass. Bituminous spraying equipment shall conform to the requirements given above for a bituminous distributor. The machine shall be capable of spreading aggregates at controlled amounts per square yard as specified. In addition, the single-pass, surface-treatment machine shall be capable of placing a surface treatment adjacent to an existing surface treatment, forming a joint of the same thickness and uniformity as other portions of the surface treatment. Ridges or blank spaces will not be permitted. Joints in the second application shall be formed at least 1 foot from those formed in the first application.

# 1.9.3 Heating Equipment for Storage Tanks

The equipment shall consist of coils and equipment for producing steam or hot oil and be designed to prevent the introduction of steam or hot oil into the material. An armored thermometer with a range of 100 to 400 degrees F shall be affixed to the tank so the temperature of the bituminous material may be determined at all times.

# 1.9.4 Power Rollers

Power rollers shall be steel-wheeled or pneumatic-tired type, conforming to the following requirements:

- a. Steel-wheeled rollers shall have at least one steel drum and weigh a minimum of 5 tons. Steel wheels of the rollers shall be equipped with adjustable scrapers.
- b. Pneumatic-tired rollers shall be self-propelled and have wheels mounted on two axles in such manner that the rear tires will not follow in the tracks of the forward group. Tires shall be uniformly inflated to not less than 60 psi nor more than 80 psi pressure. The pneumatic-tired rollers shall be equipped with boxes or platforms for ballast loading and shall be loaded so that the tire print width of each wheel is not less than the clear distance between tire prints.

# 1.9.5 Mechanical Spreaders

The spreaders shall be adjustable and capable of spreading aggregate at controlled amounts per square yard, as specified.

# 1.9.6 Brooms and Blowers

The machines shall be of the power type, capable of cleaning surfaces to be treated.

# 1.9.7 Scales

The scales shall be standard truck scales of the beam type equipped with a

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weight-recording device. The scales shall be sufficient in size and capacity to accommodate the trucks used in hauling aggregates. The scales shall be tested and approved by an inspector of the State Inspection Bureau charged with scale inspection within the state in which the project is located. If an official of the inspection bureau is not available, the scales shall be tested in accordance with state specifications by the Contractor in the presence of the Contracting Officer. The Contractor shall have the necessary number of standard weights on hand at all times for testing the scales.

#### 1.9.8 Weighhouse

The house shall be weatherproof and shall be constructed in a manner to afford adequate protection for the indicating and recording devices of the scales.

#### 1.10 SAMPLING AND TESTING

The sampling and testing shall be the responsibility of the Contractor. Sampling and testing shall be performed by an approved commercial testing laboratory, or by the Contractor, subject to approval. Sampling shall be in accordance with ASTM D 75 for aggregates and ASTM D 140 for bituminous material, unless otherwise directed. Tests shall be performed in sufficient number to insure that materials meet specified requirements.

#### 1.10.1 Wear Test

The wear test shall be performed in accordance with ASTM C 131 to ensure that aggregates have a percentage of wear not exceeding 40 percent after 500 revolutions. One test shall be performed for each source of aggregate and will be repeated if the source shall materially change.

#### 1.10.2 Soundness Test

The soundness test shall be performed as specified by ASTM C 88 to ensure that aggregates have a weight loss not greater than 18 percent when subjected to five cycles of the magnesium sulfate test. One test shall be performed for each source of aggregate and will be repeated if the source shall materially change.

#### 1.11 WEATHER LIMITATIONS

Bituminous surface treatment shall be applied only when the existing surface or base course is dry. Bituminous surface treatment shall not be applied when either the atmospheric temperature, in the shade, is below 50 degrees F or the pavement surface to be treated is below 70 degrees F unless otherwise directed.

#### PART 2 PRODUCTS

#### 2.1 MATERIALS

Mineral aggregate and bituminous material of the following types, gradations, grades, and consistencies that meet the requirements of stripping, wear, and soundness tests as specified by NCDOT and in paragraph SAMPLING AND TESTING shall be used.

#### 2.1.1 Aggregate Base Coat

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Aggregate base course, Type A, per NCDOT Sec 520.

### 2.1.2 Primer Coat

Primer coat per NCDOT Sec 600.

### 2.1.3 Tack Coat

Tack coat per NCDOT Sec 605.

### 2.1.4 Bituminous Plant Mix Pavement

Bituminous plant mix pavement per NCDOT Sec 610.

# 2.1.5 Asphalt Cement for Plant Mix

Asphalt cement for plant mix per NCDOT Sec 620.

### 2.1.6 Bituminous Concrete Binder Course

Bituminous concrete binder course, Type H, per NCDOT 640.

### 2.1.7 Bituminous Concrete Surface Course

Bituminous concrete surface course, Type I-1, per NCDOT Sec 645.

### 2.1.8 Bituminous Materials

Bituminous materials per NCDOT Section 1020.

### PART 3 EXECUTION

# 3.1 ESTABLISHMENT OF JOB-MIX FORMULA, INCLUDING SAMPLING AND TESTING

The bituminous plant mix surface course shall be applied in accordance with the Standard Specifications using asphaltic concrete "I-1." If a bituminous concrete binder course is specified, it shall be Type "H."

# 3.1.1 Establishment

When the estimated quantity of bituminous pavement mixture exceeds 300 tons but is less than 1,000 tons, the job-mix formula together with all pertinent laboratory and field test data shall be furnished the Contracting Officer for review and approval at least 45 days prior to beginning paving operations. Simultaneously, the same job-mix formula and data together with sufficient sized samples and source of materials shall be furnished the US Army Engineer District, Savannah, Environmental & Materials Unit, 200 North Cobb Parkway, Building 400, Suite 404, Marietta, GA 30062 for review and check testing by the Government.

# 3.1.2 Sampling and Testing

The above mentioned samples and test data concerning the satisfactoriness of all materials in the mixture to be used in this work, including the Marshall test properties, shall be furnished for approval by the Contracting Officer as specified above, except for small projects where the plant mixture is 300 tons and less as specified below. The Division Testing Laboratory will notify the Contracting Officer of the satisfactoriness of the proposed mix design prior to the Contractor

beginning placement of pavement. The Contractor shall perform the necessary density tests of the compacted base course and the compacted bituminous surface course as directed at no additional cost to the Government.

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# 03/00

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# SECTION 02630A

# STORM-DRAINAGE SYSTEM 03/00

# PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

> AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 198 (1998) Joints for Circular Concrete Sewer and Culvert Pipe Using Flexible Watertight Gaskets

# AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 48	(1994a) Gray Iron Castings
ASTM A 123/A 123M	(1997ael) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 536	(1999el) Ductile Iron Castings
ASTM A 716	(1995) Ductile Iron Culvert Pipe
ASTM C 32	(1999el) Sewer and Manhole Brick (Made from Clay or Shale)
ASTM C 55	(1999) Concrete Brick
ASTM C 62	(1997a) Building Brick (Solid Masonry Units Made from Clay or Shale)
ASTM C 76	(1999) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe
ASTM C 231	(1997el) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 270	(1997) Mortar for Unit Masonry
ASTM C 425	(1998b) Compression Joints for Vitrified Clay Pipe and Fittings
ASTM C 443	(1998) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets
ASTM C 478	(1997) Precast Reinforced Concrete Manhole

ASTM C 923	(1998) Resilient Connectors Between Reinforced Concrete Manhole Structures, Pipes and Materials
ASTM D 1056	(1998) Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D 1171	(1994) Rubber Deterioration - Surface Ozone Cracking Outdoors or Chamber (Triangular Specimens)
ASTM D 1556	(2000) Density and Unit Weight of Soil in Place by the Sand Cone Method
ASTM D 1557	(1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu.m.))
ASTM D 1751	(1999) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 1752	(1984; R 1996el) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D 2216	(1998) Laboratory Determination of Water (Moisture) Content of Soil and Rock

## 1.2 OMITTED

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

# SD-03 Product Data

Placing Pipe

Printed copies of the manufacturer's recommendations for installation procedures of the material being placed, prior to installation.

# SD-04 Samples

Pipe for Culverts and Storm Drains

Samples of the following materials, before work is started.

# SD-07 Certificates

Pipeline Testing

Determination of Density Frame and Cover for Gratings

Certified copies of test reports demonstrating conformance to applicable pipe specifications, before pipe is installed. Certification on the ability of frame and cover or gratings to carry the imposed live load.

#### 1.4 DELIVERY, STORAGE, AND HANDLING

#### 1.4.1 Delivery and Storage

Materials delivered to site shall be inspected for damage, unloaded, and stored with a minimum of handling. Materials shall not be stored directly on the ground. The inside of pipes and fittings shall be kept free of dirt and debris. Before, during, and after installation, plastic pipe and fittings shall be protected from any environment that would result in damage or deterioration to the material. The Contractor shall have a copy of the manufacturer's instructions available at the construction site at all times and shall follow these instructions unless directed otherwise by the Contracting Officer. Solvents, solvent compounds, lubricants, elastomeric gaskets, and any similar materials required to install plastic pipe shall be stored in accordance with the manufacturer's recommendations and shall be discarded if the storage period exceeds the recommended shelf life. Solvents in use shall be discarded when the recommended pot life is exceeded.

#### 1.4.2 Handling

Materials shall be handled in a manner that ensures delivery to the trench in sound, undamaged condition. Pipe shall be carried to the trench, not dragged.

#### PART 2 PRODUCTS

#### 2.1 PIPE FOR CULVERTS AND STORM DRAINS

Pipe for culverts and storm drains shall be of the sizes indicated and shall conform to the requirements specified.

## 2.1.1 Concrete Pipe

ASTM C 76, Class III.

- 2.1.2 Omitted
- 2.1.3 Omitted
- 2.1.4 Omitted
- 2.1.5 Omitted
- 2.1.6 Omitted
- 2.1.7 Ductile Iron Culvert Pipe

ASTM A 716.

#### 2.2 DRAINAGE STRUCTURES

# 2.2.1 Flared End Sections

Sections shall be of a standard design fabricated from zinc coated steel sheets meeting in accordance with requirements of ASTM A 929/A 929MASTM C 76.

### 2.3 MISCELLANEOUS MATERIALS

### 2.3.1 Concrete

Unless otherwise specified, concrete and reinforced concrete shall conform to the requirements for 3500 psi concrete under Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. The concrete mixture shall have air content by volume of concrete, based on measurements made immediately after discharge from the mixer, of 5 to 7 percent when maximum size of coarse aggregate exceeds 1-1/2 inches. Air content shall be determined in accordance with ASTM C 231. The concrete covering over steel reinforcing shall not be less than 1 inch thick for covers and not less than 1-1/2 inches thick for walls and flooring. Concrete covering deposited directly against the ground shall have a thickness of at least 3 inches between steel and ground. Expansion-joint filler material shall conform to ASTM D 1751, or ASTM D 1752, or shall be resin-impregnated fiberboard conforming to the physical requirements of ASTM D 1752.

### 2.3.2 Mortar

Mortar for pipe joints, connections to other drainage structures, and brick or block construction shall conform to ASTM C 270, Type M, except that the maximum placement time shall be 1 hour. The quantity of water in the mixture shall be sufficient to produce a stiff workable mortar but in no case shall exceed 7 gallons of water per sack of cement. Water shall be clean and free of harmful acids, alkalies, and organic impurities. The mortar shall be used within 30 minutes after the ingredients are mixed with water. The inside of the joint shall be wiped clean and finished smooth. The mortar head on the outside shall be protected from air and sun with a proper covering until satisfactorily cured.

### 2.3.3 Omitted

# 2.3.4 Brick

Brick shall conform to ASTM C 62, Grade SW; ASTM C 55, Grade S-I or S-II; or ASTM C 32, Grade MS. Mortar for jointing and plastering shall consist of one part portland cement and two parts fine sand. Lime may be added to the mortar in a quantity not more than 25 percent of the volume of cement. The joints shall be filled completely and shall be smooth and free from surplus mortar on the inside of the structure. Brick structures shall be plastered with 1/2 inch of mortar over the entire outside surface of the walls. For square or rectangular structures, brick shall be laid in stretcher courses with a header course every sixth course. For round structures, brick shall be laid radially with every sixth course a stretcher course.

### 2.3.5 Precast Reinforced Concrete Manholes

Precast reinforced concrete manholes shall conform to ASTM C 478. Joints between precast concrete risers and tops shall be made with flexible watertight, rubber-type gaskets meeting the requirements of paragraph JOINTS.

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#### 2.3.6 Omitted

2.3.7 Frame and Cover for Gratings

Frame and cover for gratings shall be cast gray iron, ASTM A 48, Class 35B; cast ductile iron, ASTM A 536, Grade 65-45-12<del>; or cast aluminum, ASTM B-</del> 26/B 26M, Alloy 356.0T6. Weight, shape, size, and waterway openings for grates and curb inlets shall be as indicated on the plans.

- 2.3.8 Joints
- 2.3.8.1 Flexible Watertight Joints \*1
  - a. Materials: Flexible watertight joints shall be made with plastic or rubber-type gaskets for concrete pipe and withfactory-fabricated resilient materials for clay pipe. The design of joints and the physical requirements for plastic gaskets shall conform to AASHTO M 198, and rubber-type gaskets shall conform to ASTM C 443. Factory-fabricated resilient joint materials shall conform to ASTM C 425. Gaskets shall have not more than one factory-fabricated splice, except that two factory-fabricated splices of the rubber-type gasket are permitted if the nominal diameter of the pipe being gasketed exceeds 54 inches.
  - b. Test Requirements: Watertight joints shall be tested and shall meet test requirements of paragraph HYDROSTATIC TEST ON WATERTICHT JOINTS. Rubber gaskets shall comply with the oil resistant gasket requirements of ASTM C 443. Certified copies of test results shall be delivered to the Contracting Officer before gaskets or jointing materials are installed. Alternate types of watertightjoint may be furnished, if specifically approved.
- 2.3.8.2 Omitted

\*1

- 2.3.8.3 Flexible Watertight, Gasketed Joints
  - a. Gaskets: When infiltration or exfiltration is a concern for pipe lines, the couplings may be required to have gaskets. The closed-cell expanded rubber gaskets shall be a continuous band approximately 7 inches wide and approximately 3/8 inch thick, meeting the requirements of ASTM D 1056, Type 2 A1, and shall have a quality retention rating of not less than 70 percent when tested for weather resistance by ozone chamber exposure, Method B of ASTM D 1171. Rubber O-ring gaskets shall be 13/16 inch in diameter for pipe diameters of 36 inches or smaller and 7/8 inch in diameter for larger pipe having 1/2 inch deep end corrugation. Rubber O-ring gaskets shall be 1-3/8 inches in diameter for pipe having 1 inch deep end corrugations. O-rings shall meet the requirements of AASHTO M 198 or ASTM C 443. Flexible plastic gaskets shall conform to requirements of AASHTO M 198, Type B.
- 2.3.8.4 Omitted
- 2.3.8.5 Omitted
- 2.3.8.6 Omitted
- 2.3.8.7 Omitted

# 2.3.8.8 Ductile Iron Pipe

Couplings and fittings shall be as recommended by the pipe manufacturer.

# 2.4 STEEL LADDER

Steel ladder shall be provided where the depth of the manhole exceeds 12 feet. These ladders shall be not less than 16 inches in width, with 3/4 inch diameter rungs spaced 12 inches apart. The two stringers shall be a minimum 3/8 inch thick and 2-1/2 inches wide. Ladders and inserts shall be galvanized after fabrication in conformance with ASTM A 123/A 123M.

## 2.5 DOWNSPOUT BOOTS

Boots used to connect exterior downspouts to the storm-drainage system shall be of gray cast iron conforming to ASTM A 48, Class 30B or 35B. Shape and size shall be as indicated.

### 2.6 RESILIENT CONNECTORS

Flexible, watertight connectors used for connecting pipe to manholes and inlets shall conform to ASTM C 923.

### 2.7 Filter Fabric

Shall be non-woven heat-bonded fiber of polypropylene and nylon filaments equivalent to Mirafi 140 N. The fabric shall be finished so the filaments will retain their relative position with respect to each other. The fabric shall contain stabilizers and/or inhibitors added to the base plastic to make the filaments resistant to deterioration due to ultraviolet and/or heat exposure. The product shall be free of flaws, rips, holes, or defects.

### PART 3 EXECUTION

### 3.1 EXCAVATION FOR PIPE CULVERTS, STORM DRAINS, AND DRAINAGE STRUCTURES

Excavation of trenches, and for appurtenances and backfilling for culverts and storm drains, shall be in accordance with the applicable portions of Section 02316 "Excavation, Trenching, and Backfilling for Utilities Systems" and Section 02300 "Earthwork" and the requirements specified below.

# 3.1.1 Trenching

The width of trenches at any point below the top of the pipe shall be not greater than the outside diameter of the pipe plus 36 inches to permit satisfactory jointing and thorough tamping of the bedding material under and around the pipe. Sheeting and bracing, where required, shall be placed within the trench width as specified. Contractor shall not overexcavate. Where trench widths are exceeded, redesign with a resultant increase in cost of stronger pipe or special installation procedures will be necessary. Cost of this redesign and increased cost of pipe or installation shall be borne by the Contractor without additional cost to the Government.

# 3.1.2 Omitted

# 3.1.3 Removal of Unstable Material

Where wet or otherwise unstable soil incapable of properly supporting the

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pipe, as determined by the Contracting Officer, is unexpectedly encountered in the bottom of a trench, such material shall be removed to the depth required and replaced to the proper grade with select granular material, compacted as provided in paragraph BACKFILLING. When removal of unstable material is due to the fault or neglect of the Contractor in his performance of shoring and sheeting, water removal, or other specified requirements, such removal and replacement shall be performed at no additional cost to the government.

#### 3.2 BEDDING

The bedding surface for the pipe shall provide a firm foundation of uniform density throughout the entire length of the pipe.

3.2.1 Concrete Pipe Requirements

See Section 2316, paragraph 3.1.1.1

- 3.2.2 Omitted
- 3.2.3 Omitted
- 3.2.4 Ductile Iron Pipe

See Section 2316, paragraph 3.1.1.1

3.3 PLACING PIPE

Each pipe shall be thoroughly examined before being laid; defective or damaged pipe shall not be used. Plastic pipe shall be protected from exposure to direct sunlight prior to laying, if necessary to maintain adequate pipe stiffness and meet installation deflection requirements. Pipelines shall be laid to the grades and alignment indicated. Proper facilities shall be provided for lowering sections of pipe into trenches. Lifting lugs in vertically elongated metal pipe shall be placed in the same vertical plane as the major axis of the pipe. Pipe shall not be laid in water, and pipe shall not be laid when trench conditions or weather are unsuitable for such work. Diversion of drainage or dewatering of trenches during construction shall be provided as necessary. Deflection of installed flexible pipe shall not exceed the following limits:

> MAXIMUM ALLOWABLE TYPE OF PIPE DEFLECTION (%)

Ductile Iron Culvert

Plastic 7.5

Not less than 30 days after the completion of backfilling, the Government may perform a deflection test on the entire length of installed flexible pipe using a mandrel or other suitable device. Installed flexible pipe showing deflections greater than those indicated above shall be retested by a run from the opposite direction. If the retest also fails, the suspect pipe shall be replaced at no cost to the Government.

# 3.3.1 Concrete and Ductile Iron Pipe

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Laying shall proceed upgrade with spigot ends of bell-and-spigot pipe and tongue ends of tongue-and-groove pipe pointing in the direction of the flow.

- 3.3.2 Omitted
- 3.3.3 Omitted
- 3.3.4 Omitted
- 3.3.5 Omitted
- 3.3.6 Omitted
- 3.3.7 Multiple Culverts

Where multiple lines of pipe are installed, adjacent sides of pipe shall be at least half the nominal pipe diameter or 3 feet apart, whichever is less.

# 3.3.8 Jacking Pipe Through Fills

Methods of operation and installation for jacking pipe through fills shall conform to requirements specified in Volume 1, Chapter 1, Part 4 of AREMA Manual.

- 3.4 JOINTING
- 3.4.1 Concrete Pipe
- 3.4.1.1 Omitted
- 3.4.1.2 Omitted
- 3.4.1.3 Omitted
- 3.4.1.4 Omitted
- 3.4.1.5 Omitted
- 3.4.1.6 Omitted
- 3.4.1.7 Flexible Watertight Joints

Gaskets and jointing materials shall be as recommended by the particular manufacturer in regard to use of lubricants, cements, adhesives, and other special installation requirements. Surfaces to receive lubricants, cements, or adhesives shall be clean and dry. Gaskets and jointing materials shall be affixed to the pipe not more than 24 hours prior to the installation of the pipe, and shall be protected from the sun, blowing dust, and other deleterious agents at all times. Gaskets and jointing materials shall be inspected before installing the pipe; any loose or improperly affixed gaskets and jointing materials shall be removed and replaced. The pipe shall be aligned with the previously installed pipe, and the joint pushed home. If, while the joint is being made the gasket becomes visibly dislocated the pipe shall be removed and the joint remade.

### 3.4.1.8 Filter Fabric

Installation of Filter Fabric at Joint - After each section is joined up, the Contractor shall place one (1) layer of filter fabric around the joint,

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a minimum of four feet wide, centered on the joint.

### 3.5 DRAINAGE STRUCTURES

### 3.5.1 Manholes and Inlets

Construction shall be of reinforced concrete, plain concrete, brick, precast reinforced concrete, precast concrete segmental blocks, complete with frames and covers or gratings; and with fixed galvanized steel ladders where indicated. Pipe connections to concrete manholes and inlets shall be made with flexible, watertight connectors.

## 3.5.2 Walls and Headwalls

Construction shall be as indicated.

# 3.6 STEEL LADDER INSTALLATION

Ladder shall be adequately anchored to the wall by means of steel inserts spaced not more than 6 feet vertically, and shall be installed to provide at least 6 inches of space between the wall and the rungs. The wall along the line of the ladder shall be vertical for its entire length.

### 3.7 BACKFILLING

# 3.7.1 Backfilling Pipe in Trenches

After the pipe has been properly bedded, selected material from excavation or borrow, at a moisture content that will facilitate compaction, shall be placed along both sides of pipe in layers not exceeding 6 inches in compacted depth. The backfill shall be brought up evenly on both sides of pipe for the full length of pipe. The fill shall be thoroughly compacted under the haunches of the pipe. Each layer shall be thoroughly compacted with mechanical tampers or rammers. This method of filling and compacting shall continue until the fill has reached an elevation of at least 12 inches above the top of the pipe. The remainder of the trench shall be backfilled and compacted by spreading and rolling or compacted by mechanical rammers or tampers in layers not exceeding 6 inches. Tests for density shall be made as necessary to ensure conformance to the compaction requirements specified below. Where it is necessary, in the opinion of the Contracting Officer, that sheeting or portions of bracing used be left in place, the contract will be adjusted accordingly. Untreated sheeting shall not be left in place beneath structures or pavements.

# 3.7.2 Backfilling Pipe in Fill Sections

For pipe placed in fill sections, backfill material and the placement and compaction procedures shall be as specified below. The fill material shall be uniformly spread in layers longitudinally on both sides of the pipe, not exceeding 6 inches in compacted depth, and shall be compacted by rolling parallel with pipe or by mechanical tamping or ramming. Prior to commencing normal filling operations, the crown width of the fill at a height of 12 inches above the top of the pipe shall extend a distance of not less than twice the outside pipe diameter on each side of the pipe or 12 feet, whichever is less. After the backfill has reached at least 12 inches above the top of the pipe, the remainder of the fill shall be placed and thoroughly compacted in layers not exceeding 6 inches.

# 3.7.3 Movement of Construction Machinery

When compacting by rolling or operating heavy equipment parallel with the pipe, displacement of or injury to the pipe shall be avoided. Movement of construction machinery over a culvert or storm drain at any stage of construction shall be at the Contractor's risk. Any damaged pipe shall be repaired or replaced.

#### 3.7.4 Compaction

#### 3.7.4.1 General Requirements

Cohesionless materials include gravels, gravel-sand mixtures, sands, and gravelly sands. Cohesive materials include clayey and silty gravels, gravel-silt mixtures, clayey and silty sands, sand-clay mixtures, clays, silts, and very fine sands. When results of compaction tests for moisture-density relations are recorded on graphs, cohesionless soils will show straight lines or reverse-shaped moisture-density curves, and cohesive soils will show normal moisture-density curves.

# 3.7.4.2 Minimum Density

Backfill over and around the pipe and backfill around and adjacent to drainage structures shall be compacted at the approved moisture content to the following applicable minimum density, which will be determined as specified below.

- a. Under airfield and heliport pavements, paved roads, streets, parking areas, and similar-use pavements including adjacent shoulder areas, the density shall be not less than 90 percent of maximum density, up to the elevation where requirements for pavement subgrade materials and compaction shall control.
- b. Under unpaved or turfed traffic areas, density shall not be less than 90 percent of maximum density.
- c. Under nontraffic areas, density shall be not less than that of the surrounding material.

#### 3.7.5 Determination of Density

Testing shall be the responsibility of the Contractor and performed at no additional cost to the Government. Testing shall be performed by an approved commercial testing laboratory. Laboratory tests for moisture-density relations shall be made in accordance with ASTM D 1557 except that mechanical tampers may be used provided the results are correlated with those obtained with the specified hand tamper. Field density tests shall be determined in accordance with ASTM D 1556. Moisture content shall be determined in accordance with ASTM D 2216. Testing of backfill materials, frequency of testing, and compaction control shall be as specified in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

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# SECTION 02754A

# CONCRETE PAVEMENTS FOR SMALL PROJECTS 07/01

# PART 1 GENERAL

# 1.1 REFERENCES

ACI 211.1

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

(1991) Standard Practice for Selecting

# ACI INTERNATIONAL (ACI)

ACI ZII.I	Proportions for Normal, Heavyweight, and Mass Concrete
ACI 301	(1999) Standard Specifications for Structural Concrete
ACI 305R	(1999) Hot Weather Concreting
AMERICAN SOCIETY FOR TES	STING AND MATERIALS (ASTM)
ASTM C 31/C 31M	(2000) Making and Curing Concrete Test Specimens in the Field
ASTM C 33	(1999ael) Concrete Aggregates
ASTM C 39/C 39M	(1999) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 94/C 94M	(2000) Ready-Mixed Concrete
ASTM C 123	(1998) Lightweight Particles in Aggregate
ASTM C 143/C 143M	(2000) Slump of Hydraulic Cement Concrete
ASTM C 150	(1999a) Portland Cement
ASTM C 192/C 192M	(2000) Making and Curing Concrete Test Specimens in the Laboratory
ASTM C 231	(1997el) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(2000) Air-Entraining Admixtures for Concrete
ASTM C 494/C 494M	(1999a) Chemical Admixtures for Concrete
ASTM C 618	(2000) Coal Fly Ash and Raw or Calcined

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Natural Pozzolan for Use as a Mineral Admixture in Concrete

ASTM C 881 (1999) Epoxy-Resin-Base Bonding Systems

for Concrete

ASTM C 1077 (1998) Laboratories Testing Concrete and

Concrete Aggregates for Use in

Construction and Criteria for Laboratory

Evaluation

ASTM D 1751 (1999) Preformed Expansion Joint Filler

for Concrete Paving and Structural

Construction (Nonextruding and Resilient

Bituminous Types)

# U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 130 (1989) Scratch Hardness of Coarse

Aggregate Particles

COE CRD-C 300 (1990) Specifications for Membrane-Forming

Compounds for Curing Concrete

# NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)

NRMCA CPMB 100 (1996) Concrete Plant Standards

### 1.2 SYSTEM DESCRIPTION

This section is intended to stand alone for construction of concrete (rigid) pavement. However, where the construction covered herein interfaces with other sections, the construction at each interface shall conform to the requirements of both this section and the other section, including tolerances for both.

# 1.3 OMITTED

# 1.4 ACCEPTABILITY OF WORK

The pavement will be accepted on the basis of tests made by the Government and by the Contractor or its suppliers, as specified herein. The Government may, at its discretion, make check tests to validate the results of the Contractor's testing. Concrete samples shall be taken by the Contractor at the placement to determine the slump, air content, and strength of the concrete. Test cylinders shall be made for determining conformance with the strength requirements of these specifications and, when required, for determining the time at which pavements may be placed into service. All air content measurements shall be determined in accordance with ASTM C 231. All slump tests shall be made in accordance with ASTM C 143/C 143M. All test cylinders shall be 6 by 12 inch cylinders and shall be fabricated in accordance with ASTM C 192/C 192M, using only steel molds, cured in accordance with ASTM C 31/C 31M, and tested in accordance with ASTM C 39/C 39M. A strength test shall be the average of the strengths of two cylinders made from the same sample of concrete and tested at 28 days. The Contractor shall furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory.

# 1.4.1 Evaluation Sampling

Sampling, testing, and mixture proportioning shall be performed by a commercial Testing Laboratory, conforming with ASTM C 1077. The individuals who sample and test concrete and concrete constituents shall be certified as American Concrete Institute (ACI) Concrete Field Testing Technicians, Grade I. The individuals who perform the inspection of concrete shall be certified as ACI Concrete Construction Inspector, Level II. All mix design, weekly quality control reports, smoothness reports, and project certification reports shall be signed by a Registered Engineer.

# 1.4.2 Omitted

Surface testing for surface smoothness, edge slump and plan grade shall be performed as indicated below by the Testing Laboratory. The measurements shall be properly referenced in accordance with paving lane identification and stationing, and a report given to the Government within 24 hours after measurement is made. A final report of surface testing, signed by a Registered Engineer, containing all surface measurements and a description of all actions taken to correct deficiencies, shall be provided to the Government upon conclusion of surface testing.

# 1.4.2.1 Surface Smoothness Requirements

The finished surfaces of the pavements shall have no abrupt change of 1/8 inch or more, and all pavements shall be within the tolerances specified in Table 1 when checked with the straightedge.

TABLE 1
STRAIGHTEDGE SURFACE SMOOTHNESS--PAVEMENTS

Pavement Category	Direction of Testing	Tolerances inches
Roads and Streets	Longitudinal Transverse	3/16 1/4
Tank Hardstands, Parking	Longitudinal	1/4
Areas, Open Storage Areas	Transverse	1/4

# 1.4.2.2 Surface Smoothness Testing Method

The surface of the pavement shall be tested with the straightedge to identify all surface irregularities exceeding the tolerances specified above. The entire area of the pavement shall be tested in both a longitudinal and a transverse direction on parallel lines approximately 15 feet apart. The straightedge shall be held in contact with the surface and moved ahead one-half the length of the straightedge for each successive measurement. The amount of surface irregularity shall be determined by placing the straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length and measuring the maximum gap between the straightedge and the pavement surface, in the area between these two high points.

# 1.4.3 Edge Slump Testing and Conformance

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When slip-form paving is used, not more than 15 percent of the total free edge of the slipformed portion of the pavement, shall have an edge slump exceeding 1/4 inch and no slab shall have an edge slump exceeding 3/8 inch. Edge slump shall be determined as above for surface smoothness, at each free edge of each slipformed paving lane constructed. Measurements shall be made at 5 to 15 foot spacings, and as directed. When edge slump exceeding the limits specified above is encountered on either side of the paving lane, additional straightedge measurements shall be made, if required, to define the linear limits of the excessive slump. The concrete for the entire width of the paving lane within these limits of excessive edge slump shall be removed and replaced. Adding concrete or paste to the edge or otherwise manipulating the plastic concrete after the sliding form has passed, or patching the hardened concrete, shall not be used as a method for correcting excessive edge slump.

#### 1.4.4 Plan Grade Testing and Conformance

The finished surface of the pavements shall conform, within the tolerances shown in Table 1, to the lines, grades, and cross sections shown. finished surface of new abutting pavements shall coincide at their juncture. The finished surface of airfield runway, taxiway, and apron pavements shall vary not more than 0.04 foot above or below the plan grade line or elevation indicated. The surfaces of other pavements shall vary not more than 0.06 foot above or below the plan grade line or elevation indicated. Each pavement category shall be checked by the Contractor for conformance with plan grade requirements by running lines of levels at intervals to determine the elevation at each joint intersection.

#### 1.5 PRECONSTRUCTION TESTING OF MATERIALS

The Contractor shall not be entitled to any additional payment or extension of time because of delays caused by sampling and testing additional sources, or samples, necessitated by failure of any samples. Aggregates shall be sampled and tested by the Test Laboratory and shall be representative of the materials to be used for the project. Test results, signed by a Registered Engineer, shall be submitted 45 days before commencing paving. No aggregate shall be used unless test results show that it meets all requirements of these specifications, including compliance with ASTM C 33 and deleterious materials limitations.

#### 1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment

Manufacturer's literature on the concrete plant; mixing equipment; hauling equipment; placing and finishing, and curing equipment; at least 7 days prior to start of paving.

Paving

Paving Schedules at least 7 days prior to start of paving.

Mixture Proportions; G, AE

The report of the Contractor's mixture proportioning studies showing the proportions of all ingredients and supporting information on aggregate and other materials that will be used in the manufacture of concrete, at least 14 days prior to commencing concrete placing operations.

# 1.7 EQUIPMENT

### 1.7.1 Batching and Mixing

The batching plant shall conform to NRMCA CPMB 100, the equipment requirements in ASTM C 94/C 94M, and as specified. Water shall not be weighed or measured cumulatively with another ingredient. All concrete materials batching shall meet ASTM C 94/C 94M requirements. Mixers shall be stationary mixers. Batching, mixers, mixing time, permitted reduction of mixing time, and concrete uniformity shall meet the requirements of ASTM C 94/C 94M, and shall be documented in the initial weekly QC Report.

# 1.7.2 Transporting Equipment

Transporting equipment shall be in conformance with ASTM C 94/C 94M and as specified herein. Concrete shall be transported to the paving site in rear-dump trucks, in truck mixers designed with extra large blading and rear opening specifically for low slump concrete, or in agitators. Bottom-dump trucks shall not be used for delivery of concrete.

# 1.7.3 Delivery Equipment

When concrete transport equipment cannot operate on the paving lane, side-delivery transport equipment consisting of self-propelled moving conveyors shall be used to deliver concrete from the transport equipment and discharge it in front of the paver. Front-end loaders, dozers, or similar equipment shall not be used to distribute the concrete.

### 1.7.4 Paver-Finisher

The paver-finisher shall be a heavy-duty, self-propelled machine designed specifically for paving and finishing high quality pavement. The paver-finisher shall spread, consolidate, and shape the plastic concrete to the desired cross section in one pass. The paver-finisher shall be equipped with a full width "knock-down" auger, capable of operating in both directions, which will evenly spread the fresh concrete in front of the screed or extrusion plate. Immersion vibrators shall be gang mounted at the front of the paver on a frame equipped with suitable controls so that all vibrators can be operated at any desired depth within the slab or completely withdrawn from the concrete. The vibrators shall be automatically controlled so that they will be immediately stopped as forward motion of the paver ceases. The spacing of the immersion vibrators across the paving lane shall be as necessary to properly consolidate the concrete, but the clear distance between vibrators shall not exceed 30 inches, and the outside vibrators shall not exceed 12 inches from the edge of the lane. The paver-finisher shall be equipped with a transversely oscillating screed or an extrusion plate to shape, compact, and smooth the surface.

## 1.7.4.1 Paver-Finisher with Fixed Forms

The paver-finisher shall be equipped with wheels designed to ride the forms, keep it aligned with the forms, and to spread the preventing deformation of the forms.

# 1.7.4.2 Slipform Paver-Finisher

The slipform paver-finisher shall be automatically controlled and crawler mounted with padded tracks. Horizontal alignment shall be electronically referenced to a taut wire guideline. Vertical alignment shall be electronically referenced on both sides of the paver to a taut wire guideline, to an approved laser control system, or to a ski operating on a completed lane. Control from a slope-adjustment control or control operating from the underlying material shall not be used.

# 1.7.4.3 Other Types of Finishing Equipment

Bridge deck finishers shall be used for pavements 10 inchesor less in thickness, where longitudinal and transverse surface smoothness tolerances are 1/4 inch or greater. Clary screeds or other rotating tube floats will not be allowed on the project.

### 1.7.5 Curing Equipment

Equipment for curing is specified in paragraph CURING.

# 1.7.6 Texturing Equipment

Texturing equipment shall be as specified below.

# 1.7.6.1 Fabric Drag

A fabric drag shall consist of a piece of fabric material as wide as the lane width securely attached to a separate wheel mounted frame spanning the paving lane or to one of the other similar pieces of equipment. The material shall be wide enough to provide 12 to 18 inches dragging flat on the pavement surface. The fabric material shall be clean, reasonably new burlap, kept clean and saturated during use.

# 1.7.7 Sawing Equipment

Equipment for sawing joints and for other similar sawing of concrete shall be standard diamond-tip-bladed concrete saws mounted on a wheeled chassis.

# 1.7.8 Straightedge

The Contractor shall furnish and maintain at the job site one 12 foot straightedge for testing concrete surface smoothness. The straightedge shall be constructed of aluminum or magnesium alloy and shall have blades of box or box-girder cross section with flat bottom, adequately reinforced to insure rigidity and accuracy. Straightedges shall have handles for operation on the pavement.

### PART 2 PRODUCTS

### 2.1 CEMENTITIOUS MATERIALS

Cementitious materials shall be portland cement in combination with pozzolan and shall conform to appropriate specifications listed below.

### 2.1.1 Portland Cement

Portland cement shall conform to ASTM C 150 Type II, low-alkali.

- 2.1.2 Omitted
- 2.1.3 Omitted
- 2.1.4 Pozzolan (Fly Ash)

Fly ash shall conform to ASTM C 618 Class F, including all the supplementary optional physical requirements. Fly ash shall conform to EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

# 2.2 AGGREGATES

Aggregates shall consist of clean, hard, uncoated particles meeting the requirements of ASTM C 33, including deleterious materials, abrasion loss and soundness requirements of ASTM C 33, and other requirements specified herein.

### 2.2.1 Coarse Aggregate

Coarse aggregate shall consist of crushed gravel, crushed stone, or a combination thereof. The nominal maximum size of the coarse aggregate shall be 1-1/2 inches. When the nominal maximum size is greater than 1 inch, the aggregates shall be furnished in two ASTM C 33 size groups, No. 67 and No. 4. The amount of deleterious material in each size of coarse aggregate shall not exceed the limits shown in ASTM C 33 Class 1N, 4M or 4S, depending on the weathering region, and the following limits:

- a. Lightweight particles 1.0 max. percent by mass (ASTM C 123).
- b. Other soft particles 2.0 max. percent by mass (COE CRD-C 130).
- c. Total of all deleterious 5.0 max. percent by mass (substances listed in ASTM C 33 and above, exclusive of material finer than No. 200 sieve).
- d. The separation medium for lightweight particles shall have a density of Sp. Gr. of 2.0.

# 2.2.2 Fine Aggregate

Fine aggregate shall consist of natural sand, manufactured sand, or a combination of the two, and shall be composed of clean, hard, durable particles. All fine aggregate shall be composed of clean, hard, durable particles meeting the requirements of ASTM C 33 and the requirements herein. The amount of deleterious material in the fine aggregate shall not exceed the limits in ASTM C 33 and shall not exceed the following limits:

- a. Lightweight particles (ASTM C 123) 1.0 percent max. by mass using a medium with a density of Sp. Gr. of 2.0.
- b. The total of all deleterious material types, listed in ASTM C 33 and above, shall not exceed 3.0 percent of the mass of the fine aggregate.

### 2.3 CHEMICAL ADMIXTURES

Air-entraining admixture shall conform to ASTM C 260. An accelerator shall be used only when specified in paragraph SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES and shall not be used to reduce the amount of cementitious material used. Accelerator shall conform to ASTM C 494/C 494M Type C. Calcium chloride and admixtures containing calcium chloride shall not be used. A water-reducing or retarding admixture shall meet the requirements of ASTM C 494/C 494M. Type G or H admixtures are not allowed.

### 2.4 CURING MATERIALS

Membrane forming curing compound shall be a white pigmented compound conforming to COE CRD-C 300. Burlap shall be new or shall be clean material never used for anything other than curing concrete.

### 2.5 WATER

Water for mixing and curing shall be clean, potable, and free of injurious amounts of oil, acid, salt, or alkali.

### 2.6 JOINT MATERIALS

### 2.6.1 Expansion Joint Material

Expansion joint filler shall be a preformed material conforming to ASTM D 1751. Expansion joint filler shall be 3/4 inch thick.

## 2.6.2 Slip Joint Material

Slip joint material shall be 1/4 inch thick expansion joint filler conforming to ASTM D 1751.

# 2.7 REINFORCING

# 2.7.1 General

Reinforcing bars shall conform to ASTM A 615 Grade 60. Bar mats shall conform to ASTM A 184. Reinforcement shall be free from loose, flaky rust, loose scale, oil, grease, mud, or other coatings that might reduce the bond with concrete.

# 2.7.2 Steel Fiber Reinforcing

Minimum ultimate tensile strength of the fibers shall be 50000 psi. The maximum aspect ratio (length divided by diameter) shall not exceed 100. Fibers longer than 2-1/2 inches shall not be used. The fibers shall be deformed and shall be furnished in small bundles adhered with water soluble glue.

# 2.8 DOWELS AND TIE BARS

### 2.8.1 Dowels

Dowels shall be single piece, plain (non-deformed) steel bars conforming to ASTM A 615 Grade 60 or higher. Dowels shall be free of loose, flaky rust and loose scale and shall be clean and straight.

### 2.8.2 Tie Bars

Tie bars shall be deformed steel bars conforming to ASTM A 615. Grade 60 or higher shall not be used for bars that are bent and straightened during construction.

### 2.9 EPOXY RESIN

All epoxy-resin materials shall be two-component materials conforming to ASTM C 881, Class as appropriate for each application temperature to be encountered; except, that in addition, the materials shall meet the following requirements:

- a. Material for use for embedding dowels and anchor bolts shall be Type IV, Grade 3.
- b. Material for use as patching for complete filling of spalls, wide cracks, and other voids and for use in preparing epoxy resin mortar shall be Type III, Grade as approved.
- c. Material for injecting cracks shall be Type IV, Grade 1.
- d. Material for bonding freshly mixed portland cement concrete, mortar, or freshly mixed epoxy resin concrete to hardened concrete shall be Type V, Grade as approved.

# 2.10 SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES

Specified compressive strength, f'c, for concrete is 5,000 psi at 28 days. Maximum allowable water-cementitious material ratio is 0.45. The water-cementitious material ratio is based on absolute volume equivalency, where the ratio is determined using the weight of cement for a cement only mix, or using the total volume of cement plus pozzolan converted to an equivalent weight of cement by the absolute volume equivalency method described in ACI 211.1. The concrete shall be air-entrained with a total air content of 6 plus or minus 1 percent. The maximum allowable slump of the concrete shall be 3 inches for pavement constructed with fixed forms. The strength of the concrete will be considered satisfactory so long as the average of all sets of three consecutive test results equals or exceeds the specified compressive strength f'c and no individual test result falls below the specified strength f'c by more than 500 psi. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the structure is considered potentially deficient.

# 2.11 MIXTURE PROPORTIONS

## 2.11.1 Composition Concrete

Composition concrete shall be composed of cementitious material, water, fine and coarse aggregates, and admixtures. Fly ash, if used, shall be used only at a rate between 15 and 35 percent by mass of the total cementitious material. Admixtures shall consist of air entraining admixture and may also include accelerator, retarder, water-reducing admixture. High range water-reducing admixtures and admixtures to produce flowable concrete shall not be used. No substitutions shall be made in the materials used in the mixture proportions without additional tests to show that the quality of the concrete is satisfactory.

#### 2.11.2 Concrete Mixture Proportioning Studies

Trial design batches, mixture proportioning studies, and testing shall be the responsibility of the Contractor, and shall be performed by the Test Laboratory and signed by a Registered Engineer. No concrete pavement shall be placed until the Contracting Officer has approved the Contractor's mixture proportions. All materials used in mixture proportioning studies shall be representative of those proposed for use on the project. If there is a change in materials, additional mixture design studies shall be made using the new materials. Trial mixtures having proportions, slumps, and air content suitable for the work shall be based on methodology described in ACI 211.1. At least three different water-cementitious ratios, which will produce a range of strength encompassing that required on the project, shall be used. Laboratory trial mixtures shall be proportioned for maximum permitted slump and air content. Maximum sand content shall be 40 percent of the total aggregate SSD weight. Aggregate quantities shall be based on the mass in a saturated surface dry condition.

# 2.11.3 Mixture Proportioning Procedure

The Contractor shall perform the following:

- a. Fabricate, cure and test 6 test cylinders per age for each mixture at 7 and 28 days.
- b. Using the average strength for each w/(c+p), plot the results from each of the three mixtures on separate graphs for w/(c+p) versus 28-day strength.
- c. From the graphs select a w/(c+p) which will produce a mixture giving a 28-day strength equal to the required strength determined in accordance with the following paragraph.

#### 2.11.4 Average Strength Required for Mixtures

In order to ensure meeting, during production, the strength requirements specified, the mixture proportions selected shall produce a required average strength, f'cr, exceeding the specified strength, f'c, in accordance with procedures in Chapter 3 of ACI 301, "Proportioning."

#### 2.12 GRASSCRETE

#### 2.12.1 Scope

All work shall include all labor, materials, equipment and transportation required to install GRASSCRETE.

#### 2.12.2 Subgrade

The subgrade for GRASSCRETE shall be 12" deep and compacted at 95% modified proctor maximum density.

# 2.12.3 Concrete Mix

The concrete shall have a minimum compressive strength of 5,500 psi in 28 days. All concrete shall conform to specification section 02754A.

# 2.12.4 Slab Design

The GRASSCRETE slab shall have a minimum thickness of 5 1/2". GRASSCRETE should be recessed 1"-1 1/2" below adjoining surfaces to allow for grass and topsoil. All perimteters of GRASSCRETE should be restrained by a 12" monolithic concrete border.

### 2.12.5 Reinforcement

The GRASSCRETE shall be continuously reinforced with 6 x 6-W2.9 x W2.9 welded wire fabric chaired between 2 and 3 inches above the subgrade.

### 2.12.6 Construction Process

- a. Subgrade shall be leveled to a uniform plane 5 1/2" below the final grade of the GRASSCRETE slab and 6 1/2" - 7" below adjoining surfaces.
- b. Welded wire fabric shall be chaired.
- c. GRASSCRETE formers shall be placed on the subgrade.
- d. Concrete shall be placed and leveled to the top of the GRASSCRETE formers. The concrete surface shall have a heavy, rough broom finish.
- e. GRASSCRETE formers shall be withdrawn after the concrete has hardened sufficiently.
- f. Slab shall be cured with suitable curing membrane.

## 2.12.7 Soil and Seed

Holes are to be filled and 1" of topsoil is to cover the GRASSCRETE. Centipede sod shall be placed over GRASSCRETE IAW 02922A.

# 2.12.8 Traffic

No traffic of any kind shall be permitted on the  ${\tt GRASSCRETE}$  slab until fourteen days after placing of concrete and only after soil is placed in holes.

### \*1

# 2.13 Crushed Stone Aggregate Base Course

See Section 02547, paragraph 2.1.1.

### PART 3 EXECUTION

### 3.1 CONDITIONING OF UNDERLYING MATERIAL

Underlying material, subgrade, upon which concrete is to be placed shall be clean, damp, and free from debris, waste concrete or cement, frost, ice, and standing or running water. After the underlying material has been prepared for concrete placement, no equipment shall be permitted thereon.

### 3.2 WEATHER LIMITATIONS

# 3.2.1 Hot Weather Paving

The temperature of concrete shall not exceed 90 degrees F. Steel forms, dowels and reinforcing shall be cooled prior to concrete placement when

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steel temperatures are greater than 120 degrees F.

# 3.2.2 Cold Weather Paving

The ambient temperature of the air at the placing site and the temperature of surfaces to receive concrete shall be not less 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Upon written approval, chemical admixture conforming to ASTM C 494/C 494M Type C or E may be used provided it contains no calcium chloride. Calcium chloride shall not be used at any time. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period. Pavement damaged by freezing shall be completely removed and replaced at the Contractor's expense as specified in paragraph, REPAIR, REMOVAL, AND REPLACEMENT OF SLABS.

### 3.3 CONCRETE PRODUCTION

# 3.3.1 General Requirements

Concrete shall be deposited in front of the paver within 45 minutes from the time cement has been charged into the mixing drum, except that if the ambient temperature is above 90 degrees F, the time shall be reduced to 30 minutes. Every load of concrete delivered to the paving site shall be accompanied by a batch ticket from the operator of the batching plant. Tickets shall show at least the mass, or volume, of all ingredients in each batch delivered, and the time of day. Tickets shall be delivered to the placing foreman who shall keep them on file and deliver them to the Government daily.

# 3.3.2 Transporting and Transfer-Spreading Operations

Non-agitating equipment shall be used only on smooth roads and for haul time less than 15 minutes. Equipment shall be allowed to operate on the underlying material only if no damage is done to the underlying material and its degree of compaction. Any disturbance to the underlying material that does occur shall be corrected before the paver-finisher reaches the location of the disturbance and the equipment shall be replaced or procedures changed to prevent any future damage.

## 3.4 PAVING

Pavement shall be constructed with paving and finishing equipment utilizing fixed forms.

### 3.4.1 Consolidation

The paver vibrators shall be inserted into the concrete not closer to the underlying material than 2 inches. The vibrators or any tamping units in front of the paver shall be automatically controlled so that they shall be stopped immediately as forward motion ceases. Excessive vibration shall not be permitted. Concrete in small, odd-shaped slabs or in locations inaccessible to the paver mounted vibration equipment shall be vibrated with a hand-operated immersion vibrator. Vibrators shall not be used to transport or spread the concrete.

#### 3.4.2 Operation

When the paver is operated between or adjacent to previously constructed pavement (fill-in lanes), provisions shall be made to prevent damage to the previously constructed pavement, including keeping the existing pavement surface free of any debris, and placing rubber mats beneath the paver Transversely oscillating screeds and extrusion plates shall overlap the existing pavement the minimum possible, but in no case more than 8 inches.

#### 3.4.3 Required Results

The paver-finisher shall be operated to produce a thoroughly consolidated slab throughout, true to line and grade within specified tolerances. The paver-finishing operation shall produce a surface finish free of irregularities, tears, voids of any kind, and any other discontinuities. It shall produce only a very minimum of paste at the surface. Multiple passes of the paver-finisher shall not be permitted. The equipment and its operation shall produce a finished surface requiring no hand finishing, other than the use of cutting straightedges, except in very infrequent instances. No water, other than true fog sprays (mist), shall be applied to the concrete surface during paving and finishing.

#### 3.4.4 Fixed Form Paving

Forms shall be steel, except that wood forms may be used for curves having a radius of 150 feet or less, and for fillets. Forms may be built up with metal or wood, added only to the base, to provide an increase in depth of not more than 25 percent. The base width of the form shall be not less than eight-tenths of the vertical height of the form, except that forms 8 inches or less in vertical height shall have a base width not less than the vertical height of the form. Wood forms for curves and fillets shall be adequate in strength and rigidly braced. Forms shall be set on firm material cut true to grade so that each form section when placed will be firmly in contact with the underlying layer for its entire base. Forms shall not be set on blocks or on built-up spots of underlying material. Forms shall remain in place at least 12 hours after the concrete has been placed. Forms shall be removed without injuring the concrete.

#### 3.4.5 Omitted

#### 3.4.6 Placing Reinforcing Steel

Reinforcement shall be positioned on suitable chairs securely fastened to the subgrade prior to concrete placement, or may be placed on an initial layer of consolidated concrete, with the subsequent layer placed within 30 minutes of the first layer placement.

# Placing Dowels and Tie Bars

Dowels shall be installed with alignment not greater than 1/8 inch per ft. Except as otherwise specified below, location of dowels shall be within a horizontal tolerance of plus or minus 5/8 inch and a vertical tolerance of plus or minus 3/16 inch. The portion of each dowel intended to move within the concrete or expansion cap shall be painted with one coat of rust inhibiting primer paint, and then oiled just prior to placement. Dowels and tie bars in joints shall be omitted when the center of the dowel tie bar is located within a horizontal distance from an intersecting joint equal to or less than one-fourth of the slab thickness.

#### 3.4.7.1 Contraction Joints

Dowels and tie bars in longitudinal and transverse contraction joints within the paving lane shall be held securely in place by means of rigid metal basket assemblies. The dowels and tie bars shall be welded to the assembly or held firmly by mechanical locking arrangements that will prevent them from becoming distorted during paving operations. The basket assemblies shall be held securely in the proper location by means of suitable anchors.

## 3.4.7.2 Construction Joints-Fixed Form Paving

Installation of dowels and tie bars shall be by the bonded-in-place method, supported by means of devices fastened to the forms. Installation by removing and replacing in preformed holes will not be permitted.

## 3.4.7.3 Dowels Installed in Hardened Concrete

Installation shall be by bonding the dowels into holes drilled into the hardened concrete. Holes approximately 1/8 inch greater in diameter than the dowels shall be drilled into the hardened concrete. Dowels shall be bonded in the drilled holes using epoxy resin injected at the back of the hole before installing the dowel and extruded to the collar during insertion of the dowel so as to completely fill the void around the dowel. Application by buttering the dowel shall not be permitted. The dowels shall be held in alignment at the collar of the hole, after insertion and before the grout hardens, by means of a suitable metal or plastic collar fitted around the dowel. The vertical alignment of the dowels shall be checked by placing the straightedge on the surface of the pavement over the top of the dowel and measuring the vertical distance between the straightedge and the beginning and ending point of the exposed part of the dowel. Where tie bars are required in longitudinal construction joints of slipform pavement, bent tie bars shall be installed at the paver, in front of the transverse screed or extrusion plate. If tie bars are required, a standard keyway shall be constructed, and the bent tie bars shall be inserted into the plastic concrete through a 26 gauge thick metal keyway liner. Tie bars shall not be installed in preformed holes. The keyway liner shall be protected and shall remain in place and become part of the joint. Before placement of the adjoining paving lane, the tie bars shall be straightened, without spalling the concrete around the bar.

## 3.4.7.4 Expansion Joints

Dowels in expansion joints shall be installed by the bonded-in-place method or by bonding into holes drilled in hardened concrete, using procedures specified above.

### 3.5 FINISHING

Clary screeds, "bridge deck" finishers, or other rotating pipe or tube type equipment shall not be permitted. The sequence of machine operations shall be transverse finishing, longitudinal machine floating if used, straightedge finishing, texturing, and then edging of joints. Hand finishing shall be used only infrequently and only on isolated areas of odd slab shapes and in the event of a breakdown of the mechanical finishing equipment. Supplemental hand finishing for machine finished pavement shall be kept to an absolute minimum. Equipment to be used for supplemental hand finishing shall primarily be 10 to 12 feet cutting straightedges; only

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very sparing use of bull floats shall be allowed. At no time shall water be added to the surface of the slab in any way, except for fog (mist) sprays to prevent plastic shrinkage cracking.

## 3.5.1 Machine Finishing With Fixed Forms

The machine shall be designed to ride the forms. Machines that cause displacement of the forms shall be replaced. The machine shall make only one pass over each area of pavement. If the equipment and procedures do not produce a surface of uniform texture, true to grade, in one pass, the operation shall be immediately stopped and the equipment, mixture, and procedures adjusted as necessary.

## 3.5.2 Omitted

## 3.5.3 Surface Correction

While the concrete is still plastic, irregularities and marks in the pavement surface shall be eliminated by means of cutting straightedges, 10 to 12 feet in length. Depressions shall be filled with freshly mixed concrete, struck off, consolidated, and refinished. Projections above the required elevation shall also be struck off and refinished. Long-handled, flat "bull floats" shall be used sparingly and only as necessary to correct minor, scattered surface defects. Finishing with hand floats and trowels shall be held to the absolute minimum necessary. Joints and edges shall not be overfinished.

## 3.5.4 Hand Finishing

Hand finishing operations shall be used only for those unusual slabs as specified previously. Grate tampers (jitterbugs) shall not be used. As soon as placed and vibrated, the concrete shall be struck off and screeded. The surface shall be tamped with a strike-off and tamping screed, or vibratory screed. Immediately following the final tamping of the surface, the pavement shall be floated longitudinally. Long-handled, flat bull floats shall be used sparingly and only as necessary to correct surface defects. Finishing with hand floats and trowels shall be held to the absolute minimum necessary. Joints and edges shall not be overfinished. No water shall be added to the pavement during finishing operations.

### 3.5.5 Texturing

Before the surface sheen has disappeared and before the concrete hardens, the surface of the pavement shall be given a texture as described herein. Following initial texturing on the first day of placement, the Placing Foreman, Contracting Officer representative, and a representative of the Using Agency shall inspect the texturing for compliance with design requirements. After curing is complete, all textured surfaces shall be thoroughly power broomed to remove all debris. Any type of transverse texturing shall produce grooves in straight lines across each lane within a tolerance of plus or minus 1/2 inch of a true line. The concrete in areas of recesses for tie-down anchors, lighting fixtures, and other outlets in the pavement shall be finished to provide a surface of the same texture as the surrounding area.

## 3.5.5.1 Fabric-Drag Surface Finish

Surface texture shall be applied by dragging the surface of the pavement, in the direction of the concrete placement, with a moist fabric drag. The

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dragging shall produce a uniform finished surface having a fine sandy texture without disfiguring marks.

- 3.5.5.2 Omitted
- 3.5.5.3 Omitted
- 3.5.5.4 Omitted
- 3.5.6 Edging

After texturing has been completed, the edge of the slabs along the forms shall be carefully finished with an edging tool to form a smooth rounded surface of 1/8 inch radius. No water shall be added to the surface during edging.

### 3.6 CURING

Concrete shall be continuously protected against loss of moisture and rapid temperature changes for at least 7 days from the completion of finishing operations. Unhardened concrete shall be protected from rain and flowing water. During hot weather with low humidity and/or wind, the Contractor shall institute measures to prevent plastic shrinkage cracks from developing. ACI 305R contains means of predicting plastic shrinkage cracking and preventative measures. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry. Curing shall be accomplished by one of the following methods.

# 3.6.1 Membrane Curing

A uniform coating of white-pigmented membrane-forming curing compound shall be applied to the entire exposed surface of the concrete including pavement edges as soon as the free water has disappeared from the surface after finishing. If evaporation is high and no moisture is present on the surface even though bleeding has not stopped, fog sprays shall be used to keep the surface moist until setting of the cement occurs. Curing compound shall then be immediately applied. Curing compound shall be applied to the finished surfaces by means of a self-propelled automatic spraying machine, equipped with multiple spraying nozzles with wind shields, spaning the newly paved lane. The curing compound shall be applied at a maximum application rate of 200 square feet per gallon. The application of curing compound by hand-operated, mechanical powered pressure sprayers will be permitted only on odd widths or shapes of slabs where indicated and on concrete surfaces exposed by the removal of forms. The compound shall form a uniform, continuous, cohesive film that will not check, crack, or peel and that will be free from pinholes and other discontinuities. Areas where the curing compound develops the above defects or is damaged by heavy rainfall, sawing or other construction operations within the curing period, shall be immediately resprayed.

# 3.6.2 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, commencing immediately after finishing. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Impervious sheet curing shall not be used.

### 3.7 JOINTS

No deviation from the jointing pattern shown on the drawings shall be made without written approval of the Design District Pavement or Geotechnical Engineer. All joints shall be straight, perpendicular to the finished grade of the pavement, and continuous from edge to edge or end to end of the pavement with no abrupt offset and no gradual deviation greater than 1/2 inch.

# 3.7.1 Longitudinal Construction Joints

Dowels, Keys, and Tie bars shall be installed in the longitudinal construction joints, or the edges shall be thickened as indicated. The dimensions of the keyway shall not vary more than plus or minus 1/8 inch from the dimensions indicated and shall not deviate more than plus or minus 1/4 inch from the mid-depth of the pavement. If any length of completed keyway of 5 feet or more fails to meet the above tolerances, dowels shall be installed.

### 3.7.2 Transverse Construction Joints

Transverse construction joints shall be installed at a planned transverse joint, at the end of each day's placing operations and when concrete placement is interrupted. Transverse construction joints shall be constructed either by utilizing headers and hand placement and finishing techniques, or by placing concrete beyond the transverse construction joint location and then saw cutting full depth and removing concrete back to the transverse construction joint location. For the latter case, dowels shall be installed using methods for dowels installed in hardened concrete described above. All transverse construction joints shall be dowelled.

# 3.7.3 Expansion Joints

Expansion joints shall be formed where indicated, and about any structures and features that project through or into the pavement, using preformed joint filler of the type, thickness, and width indicated, and shall extend the full slab depth. Edges of the concrete at the joint face shall be edged. The joint filler strips shall be installed to form a recess at the pavement surface to be filled with joint sealant. Expansion joints shall be constructed with dowels for load transfer.

# 3.7.4 Slip Joints

Slip joints shall be installed the full depth of the slab using expansion joint preformed joint filler material attached to the face of the original concrete placement. A reservoir for joint sealant shall be constructed at the top of the joint. Edges of the joint face shall be edged.

### 3.7.5 Contraction Joints

Transverse and longitudinal contraction joints shall be of the weakened-plane or dummy type. Longitudinal contraction joints shall be constructed by sawing a groove in the hardened concrete with a power-driven saw. Transverse contraction joints shall be constructed in conformance with requirements for sawed joints.

## 3.7.5.1 Sawed Joints

Sawed contraction joints shall be constructed by sawing a groove in the concrete with a 1/8 inch blade to the indicated depth. The time of initial sawing shall vary depending on existing and anticipated weather conditions and shall be such as to prevent uncontrolled cracking of the pavement. Sawing of the joints shall commence as soon as the concrete has hardened sufficiently to permit cutting the concrete without chipping, spalling, or tearing. The joints shall be sawed at the required spacing consecutively in the sequence of the concrete placement. Sawing at a given joint location shall be discontinued when a crack develops ahead of the saw cut. Immediately after the joint is sawed, the saw cut and adjacent concrete surface shall be thoroughly flushed with water until all waste from sawing is removed from the joint. The surface shall be resprayed with curing compound as soon as free water disappears. The top of the joint opening and the joint groove at exposed edges shall be tightly sealed with cord or backer rod before the concrete in the region of the joint is resprayed with curing compound.

#### 3.7.6 Thickened Edge Joints

Underlying material in the transition area shall meet the requirements for smoothness and compaction specified for all other areas of the underlying material.

#### 3.8 REPAIR, REMOVAL, AND REPLACEMENT OF SLABS

New pavement slabs that contain full-depth cracks shall be removed and replaced, as specified herein at no cost to the Government. Removal and replacement shall be full depth, shall be full width of the paving lane, and the limit of removal shall be from each original transverse joint . The Contracting Officer will determine whether cracks extend full depth of the pavement and may require minimum 6 inch diameter cores to be drilled on the crack to determine depth of cracking. Cores shall be drilled and the hole later filled by the Contractor with a well consolidated concrete mixture bonded to the walls of the hole with epoxy resin. Drilling of cores and re filling holes shall be at no expense to the Government. Cracks that do not extend full depth of slab shall be cleaned and then pressure injected with epoxy resin, Type IV, Grade 1. The Contractor shall ensure that the crack is not widened during epoxy resin injection. Where a full depth crack intersects the original transverse joint, the slab(s) containing the crack shall be removed and replaced, with dowels installed, as required below. Spalls along joints shall be repaired as specified.

# Removal and Replacement of Full Slabs

Unless there are keys or dowels present, all edges of the slab shall be sawcut full depth. If keys, dowels, or tie bars are present along any edges, these edges shall be sawed full depth 6 inches from the edge if only keys are present, or just beyond the end of dowels or tie bars if they are present. These joints shall then be carefully sawed on the joint line to within 1 inch of the depth of the dowel or key. The main slab shall be further divided by sawing full depth, at appropriate locations, and each piece lifted out and removed. The narrow strips along keyed or doweled edges shall be carefully broken up and removed. Care shall be taken to prevent damage to the dowels, tie bars, or keys or to concrete to remain in place. Protruding portions of dowels shall be painted and lightly oiled. The joint face below keys or dowels shall be suitably trimmed so that there is no abrupt offset. If underbreak occurs at any point along any edge, the area shall be hand-filled with concrete, producing an even joint face from top to bottom, before replacing the removed slab. If underbreak over 4

inches deep occurs, the entire slab containing the underbreak shall be removed and replaced. Where there are no dowels, tie bars, or keys on an edge, or where they have been damaged, dowels of the size and spacing as specified for other joints in similar pavement shall be installed by epoxy grouting them into holes drilled into the existing concrete. Original damaged dowels or tie bars shall be cut off flush with the joint face. All four edges of the new slab shall thus contain dowels or original keys or original tie bars. Prior to placement of new concrete, the underlying material shall be graded and recompacted, and the surfaces of all four joint faces shall be cleaned of all loose material and contaminants, and coated with a double application of membrane forming curing compound as bond breaker. Placement of concrete shall be as specified for original construction. The resulting joints around the new slab shall be prepared and sealed as specified.

#### 3.8.2 Repairing Spalls Along Joints

Spalls along joints and cracks shall be repaired by first making a vertical saw cut at least 1 inch outside the spalled area and to a depth of at least 2 inches. Saw cuts shall be straight lines forming rectangular areas. The concrete between the saw cut and the joint, or crack, shall be chipped out to remove all unsound concrete. The cavity shall be thoroughly cleaned with high pressure water jets supplemented with compressed air to remove all loose material. Immediately before filling the cavity, a prime coat shall be applied to the dry cleaned surface of all sides and bottom of the cavity, except any joint face. The prime coat shall be applied in a thin coating and scrubbed into the surface with a stiff-bristle brush. Prime coat for portland cement repairs shall be a neat cement grout and for epoxy resin repairs shall be epoxy resin, Type III, Grade 1. The cavity shall be filled with low slump portland cement concrete or mortar, or with epoxy resin concrete or mortar. Portland cement concrete shall be used for larger spalls, those more than 1/3 cu. ft. in size after removal operations; portland cement mortar shall be used for spalls between 0.03 and 1/3 cu. ft; and epoxy resin mortar or Type III, Grade 3 epoxy resin for those spalls less than 0.03 cu. ft. in size after removal operations. Portland cement concretes and mortars shall be very low slump mixtures, proportioned, mixed, placed, tamped, and cured. Epoxy resin mortars shall be made with Type III, Grade 1, epoxy resin, using proportions, mixing, placing, tamping and curing procedures as recommended by the manufacturer. Any repair material on the surrounding surfaces of the existing concrete shall be removed before it hardens. Where the spalled area abuts a joint, an insert or other bond-breaking medium shall be used to prevent bond at the joint face. A reservoir for the joint sealant shall be sawed to the dimensions required for other joints.

#### 3.8.3 Areas Defective in Plan Grade or Smoothness

In areas not meeting the specified limits for surface smoothness and plan grade, high areas shall be reduced to attain the required smoothness and grade, except as depth is limited below. High areas shall be reduced by grinding the hardened concrete with a surface grinding machine after the concrete is 14 days or more old. The depth of grinding shall not exceed 1/4 inch. All pavement areas requiring plan grade or surface smoothness corrections in excess of the specified limits, shall be removed and replaced. In pavement areas given a wire comb or tined texture, areas exceeding 25 square feet that have been corrected by rubbing or grinding shall be re textured by grooving machine sawn grooves meeting the requirements for the wire comb or tined texture. All areas in which grinding has been performed will be subject to the thickness tolerances

specified in paragraph Thickness. Any grinding performed on individual slabs with excessive deficiencies shall be performed at the Contractor's own decision without entitlement to additional compensation if eventual removal of the slab is required.

## 3.9 EXISTING CONCRETE PAVEMENT REMOVAL AND REPAIR

Existing concrete pavement shall be removed as indicated and as specified in Section 02220 DEMOLITION modified, and expanded as specified herein. Removal, repair and replacement shall be made as indicated and as specified in paragraph REPAIR, REMOVAL, AND REPLACEMENT OR SLABS.

### 3.10 PAVEMENT PROTECTION

The Contractor shall protect the pavement against all damage prior to final acceptance of the work. Traffic shall be excluded from the new pavement. As a construction expedient in paving intermediate lanes between newly paved pilot lanes, operation of the hauling equipment will be permitted on the new pavement after the pavement has been cured for 7 days and the joints have been sealed or otherwise protected. All new and existing pavement carrying construction traffic or equipment shall be continuously kept completely clean. Special cleaning and care shall be used where Contractor's traffic uses or crosses active airfield pavement.

## 3.11 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL (CQC)

Paragraph ACCEPTABILITY OF WORK contains additional CQC requirements. The Contractor shall perform the inspection and tests described below and, based upon the results of these inspections and tests, shall take the action required and submit reports as specified. When, in the opinion of the Contracting Officer, the paving operation is out of control, concrete placement shall cease.

## 3.11.1 Batch Plant Control

A daily report shall be prepared indicating checks made for scale accuracy with test weights, checks of batching accuracy, and corrective action taken prior to and during placement for weighing or batching, type and source of cement used, type and source of pozzolan or slag used, amount and source of admixtures used, aggregate source, the required aggregate and water masses per cubic yd, amount of water as free moisture in each size of aggregate, and the batch aggregate and water masses per cubic yd. for each class of concrete batched during each day's plant operation.

### 3.11.2 Concrete Mixture

a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two other tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of paving. Whenever air content reaches specified limits, an immediate confirmatory test shall be made. If the second test also shows air content at or exceeding specified limits, an adjustment shall immediately be made in the amount of air-entraining admixture batched to bring air content within specified limits. If the next adjusted batch of concrete is not within specified limits, concrete placement shall be halted until concrete air content is within specified limits.

- b. Slump Testing. Slump tests shall be made when test specimens are fabricated. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Whenever slump approaches the maximum limit, an adjustment shall immediately be made in the batch masses of water and fine aggregate, without exceeding the maximum w/(c+p). When a slump result exceeds the specification limit, no further concrete shall be delivered to the paving site until adjustments have been made and slump is again within the limit.
- c. Temperature. The temperature of the concrete shall be measured when strength specimens are fabricated.
- d. Concrete Strength Testing. Four (4) cylinders from the same batch shall be fabricated, cured and tested for compressive strength, testing two cylinders at 7-day and two cylinders at 28-day age. A minimum of one set of four (4) cylinders shall be fabricated, cured and tested for each shift of concrete placement. Control charts for strength, showing the 7-day and 28-day CQC compressive strengths, and the 28-day required compressive strength, shall be maintained and submitted with weekly CQC Reports.

## 3.11.3 Inspection Before Placing

Underlying materials, joint locations and types, construction joint faces, forms, reinforcing, dowels, and embedded items shall be inspected by a Registered Engineer in sufficient time prior to each paving operation in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing, and the certification signed by the Registered Engineer, prior to each days' paving.

# 3.11.4 Paving Operations

The placing foreman shall supervise all placing and paving operations, shall determine that the correct quality of concrete is placed in each location as shown, shall insure that the concrete is consolidated full depth and that finishing is performed as specified. The placing foreman shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of placement, volume of concrete placed, and method of paving and any problems encountered.

# 3.11.5 Curing Inspection

- a. Moist Curing Inspections. Each day on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded. When any inspection finds an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for the area shall be extended by 1 day.
- b. Membrane Curing Inspection. At the end of each day's placement, the CQC Representative shall determine the quantity of compound used by measurement of the container; shall determine the area of concrete surface covered; shall then compute the rate of coverage in square feet per gallon and shall also note whether or not coverage is uniform. When the coverage rate of the curing compound is less than that specified or when the coverage is not

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uniform, the entire surface shall be sprayed again.

### 3.11.6 Cold-Weather Protection

At least once per day, an inspection shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

## 3.11.7 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report, signed by a registered engineer, shall be prepared for the updating of control charts and test data, and all CQC inspections and actions covering the entire period from the start of the construction through the current week. Reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all CQC records. A copy of weekly reports shall be faxed to the Design District Pavement or Geotechnical Engineer. At the completion of concrete placement, a certification report shall be prepared containing mix designs, all updated control charts and concrete test data, quality control reports, smoothness reports, and other pertinent data on the concrete, with a certification by a registered engineer that the concrete placed meets all specification requirements. A copy of the certification report shall be mailed to the Design District pavement or Geotechnical Engineer.

-- End of Section --

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### SECTION 02763A

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### 04/01

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## SECTION 02763A

# PAVEMENT MARKINGS 04/01

## PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

> AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 247 (1981; R 1996) Glass Beads Used in Traffic Paint

## AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 792	(1998) Density and Specific Gravity (Relative Density) of Plastics by Displacement
ASTM D 4280	(1996) Extended Life Type, Nonplowable, Prismatic, Raised, Retroreflective Pavement Markers
ASTM D 4505	(1996) Preformed Plastic Pavement Marking Tape for Extended Service Life
ASTM E 28	(1999) Softening Point of Resins by Ring and Ball Apparatus

## U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS TT-B-1325	(Rev C; Notice 1; Canc. Notice 2) Beads (Glass Spheres) Retro-Reflective (Metric)
FS TT-P-1952	(Rev D; Canc. Notice 1) Paint, Traffic and Airfield Marking, Waterborne (Metric)

### 1.2 OMITTED

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment; G, RE

Lists of proposed equipment, including descriptive data, and notifications of proposed Contractor actions as specified in this section. List of removal equipment shall include descriptive data indicating area of coverage per pass, pressure adjustment range, tank and flow capacities, and safety precautions required for the equipment operation.

Composition Requirements

Manufacturer's current printed product description and Material Safety Data Sheets (MSDS) for each type paint/color proposed for use.

SD-06 Test Reports

Sampling and Testing

Certified copies of the test reports, prior to the use of the materials at the jobsite. Testing shall be performed in an approved independent laboratory.

SD-07 Certificates

Volatile Organic Compound (VOC)

Certificate stating that the proposed pavement marking paint meets the VOC regulations of the local Air Pollution Control District having jurisdiction over the geographical area in which the project is located.

#### 1.4 DELIVERY AND STORAGE

All materials shall be delivered and stored in sealed containers that plainly show the designated name, formula or specification number, batch number, color, date of manufacture, manufacturer's name, and directions, all of which shall be plainly legible at time of use.

#### 1.5 EQUIPMENT

All machines, tools and equipment used in the performance of the work shall be approved and maintained in satisfactory operating condition. Equipment operating on roads and runways shall display low speed traffic markings and traffic warning lights.

#### 1.5.1 Paint Application Equipment

The equipment to apply paint to pavements shall be a self-propelled or mobile-drawn pneumatic spraying machine with suitable arrangements of atomizing nozzles and controls to obtain the specified results. The machine shall have a speed during application not less than 5 mph, and shall be capable of applying the stripe widths indicated, at the paint coverage rate specified in paragraph APPLICATION, and of even uniform thickness with clear-cut edges. Equipment used for marking streets and highways shall be capable of placing the prescribed number of lines at a single pass as solid lines, intermittent lines or a combination of solid and intermittent lines using a maximum of two different colors of paint as specified. The paint applicator shall have paint reservoirs or tanks of sufficient capacity and suitable gauges to apply paint in accordance with requirements specified. Tanks shall be equipped with suitable air-driven mechanical agitators. The spray mechanism shall be equipped with quick-action valves conveniently located, and shall include necessary pressure regulators and gauges in full view and reach of the operator. Paint strainers shall be installed in paint supply lines to ensure freedom from residue and foreign matter that may cause malfunction of the spray guns. The paint applicator shall be readily adaptable for attachment of an air-actuated dispenser for the reflective media approved for use. Pneumatic spray guns shall be provided for hand application of paint in areas where the mobile paint applicator cannot be used.

# 1.5.2 Thermoplastic Application Equipment

# 1.5.2.1 Thermoplastic Material

Thermoplastic material shall be applied to the primed pavement surface by spray techniques or by the extrusion method, wherein one side of the shaping die is the pavement and the other three sides are contained by, or are part of, suitable equipment for heating and controlling the flow of material. By either method, the markings shall be applied with equipment that is capable of providing continuous uniformity in the dimensions of the stripe.

## 1.5.2.2 Application Equipment

- a. Application equipment shall provide continuous mixing and agitation of the material. Conveying parts of the equipment between the main material reservoir and the extrusion shoe or spray gun shall prevent accumulation and clogging. All parts of the equipment which come into contact with the material shall be easily accessible and exposable for cleaning and maintenance. All mixing and conveying parts up to and including the extrusion shoes and spray guns shall maintain the material at the required temperature with heat-transfer oil or electrical-element-controlled heat.
- b. The application equipment shall be constructed to ensure continuous uniformity in the dimensions of the stripe. The applicator shall provide a means for cleanly cutting off stripe ends squarely and shall provide a method of applying "skiplines". The equipment shall be capable of applying varying widths of traffic markings.
- c. The applicator shall be equipped with a drop-on type bead dispenser capable of uniformly dispensing reflective glass spheres at controlled rates of flow. The bead dispenser shall be automatically operated and shall begin flow prior to the flow of composition to assure that the strip is fully reflectorized.

## 1.5.2.3 Mobile and Maneuverable

Application equipment shall be mobile and maneuverable to the extent that straight lines can be followed and normal curves can be made in a true arc. The equipment used for the placement of thermoplastic pavement markings shall be of two general types: mobile applicator and portable applicator.

a. Mobile Application Equipment: The mobile applicator shall be defined as a truck-mounted, self-contained pavement marking machine that is capable of hot applying thermoplastic by either the extrusion or spray

method. The unit shall be equipped to apply the thermoplastic marking material at temperatures exceeding 375 degrees F, at widths varying from 3 to 12 inches and in thicknesses varying from 0.020 to 0.190 inch and shall have an automatic drop-on bead system. The mobile unit shall be capable of operating continuously and of installing a minimum of 20,000 lineal feet of longitudinal markings in an 8-hour day.

- (1) The mobile unit shall be equipped with a melting kettle which holds a minimum of 6000 pounds of molten thermoplastic material. The kettle shall be capable of heating the thermoplastic composition to temperatures of 375 to 425 degrees F. A thermostatically controlled heat transfer liquid shall be used. Heating of the composition by direct flame will not be allowed. Oil and material temperature gauges shall be visible at both ends of the kettle. The mobile unit shall be equipped with a minimum of two extrusion shoes located one on each side of the truck, and shall be capable of marking simultaneous edgeline and centerline stripes. Each extrusion shoe shall be a closed, oil-jacketed unit; shall hold the molten thermoplastic at a temperature of 375 to 425 degrees F; and shall be capable of extruding a line of 3 to 8 inches in width; and at a thickness of not less than 0.125 inch nor more than 0.190 inch, and of generally uniform cross section.
- (2) The mobile unit shall be equipped with an electronic programmable line pattern control system. The control system shall be capable of applying skip or solid lines in any sequence, through any and all of the extrusion shoes, or the spray guns, and in programmable cycle lengths. In addition, the mobile unit shall be equipped with an automatic counting mechanism capable of recording the number of lineal feet of thermoplastic markings applied to the pavement surface with an accuracy of 0.5 percent.
- b. Portable Application Equipment: The portable applicator shall be defined as hand-operated equipment, specifically designed for placing special markings such as crosswalks, stopbars, legends, arrows, and short lengths of lane, edge and centerlines. The portable applicator shall be capable of applying thermoplastic pavement markings by the extrusion method. The portable applicator shall be loaded with hot thermoplastic composition from the melting kettles on the mobile applicator. The portable applicator shall be equipped with all the necessary components, including a materials storage reservoir, bead dispenser, extrusion shoe, and heating accessories, so as to be capable of holding the molten thermoplastic at a temperature of 375 to 425 degrees F, of extruding a line of 3 to 12 inches in width, and in thicknesses of not less than 0.125 inch nor more than 0.190 inch and of generally uniform cross section.

## 1.5.3 Reflective Media Dispenser

The dispenser for applying the reflective media shall be attached to the paint dispenser and shall operate automatically and simultaneously with the applicator through the same control mechanism. The dispenser shall be capable of adjustment and designed to provide uniform flow of reflective media over the full length and width of the stripe at the rate of coverage specified in paragraph APPLICATION, at all operating speeds of the applicator to which it is attached.

## 1.5.4 Preformed Tape Application Equipment

Mechanical application equipment shall be used for the placement of preformed marking tape. Mechanical application equipment shall be defined

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as a mobile pavement marking machine specifically designed for use in applying precoated, pressure-sensitive pavement marking tape of varying widths, up to 12 inches. The applicator shall be equipped with rollers, or other suitable compactive device, to provide initial adhesion of the preformed, pressure-sensitive marking tape with the pavement surface. Additional hand-operated rollers shall be used as required to properly seat the thermoplastic tape.

#### 1.5.5 Surface Preparation Equipment

#### 1.5.5.1 Omitted

#### 1.5.5.2 Waterblast Equipment

The water pressure shall be specified at 2600 psi at 140 degrees F in order to adequately clean the surfaces to be marked.

#### 1.5.6 Marking Removal Equipment

Equipment shall be mounted on rubber tires and shall be capable of removing markings from the pavement without damaging the pavement surface or joint sealant. Waterblasting equipment shall be capable of producing an adjustable, pressurized stream of water. Sandblasting equipment shall include an air compressor, hoses, and nozzles. The compressor shall be equipped with traps to maintain the air free of oil and water.

#### 1.5.7 Traffic Controls

Suitable warning signs shall be placed near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Small markers shall be placed along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers. Painting equipment shall be marked with large warning signs indicating slow-moving painting equipment in operation.

#### HAND-OPERATED, PUSH-TYPE MACHINES 1.6

All machines, tools, and equipment used in performance of the work shall be approved and maintained in satisfactory operating condition. Hand-operated push-type machines of a type commonly used for application of paint to pavement surfaces will be acceptable for marking small streets and parking areas. Applicator machine shall be equipped with the necessary paint tanks and spraying nozzles, and shall be capable of applying paint uniformly at coverage specified. Sandblasting equipment shall be provided as required for cleaning surfaces to be painted. Hand-operated spray guns shall be provided for use in areas where push-type machines cannot be used.

#### MAINTENANCE OF TRAFFIC 1.7

#### 1.7.1 Omitted

#### 1.7.2 Roads, Streets, and Parking Areas

When traffic must be rerouted or controlled to accomplish the work, the necessary warning signs, flagpersons, and related equipment for the safe passage of vehicles shall be provided.

#### WEATHER LIMITATIONS FOR REMOVAL 1.8

Pavement surface shall be free of snow, ice, or slush. Surface temperature shall be at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting. Operation shall cease during thunderstorms. Operation shall cease during rainfall, except for waterblasting and removal of previously applied chemicals. Waterblasting shall cease where surface water accumulation alters the effectiveness of material removal.

### PART 2 PRODUCTS

#### 2.1 PAINT

The paint shall be homogeneous, easily stirred to smooth consistency, and shall show no hard settlement or other objectionable characteristics during a storage period of 6 months. Paints for airfields, roads, and streets shall conform to FS TT-P-1952, color as selected. Pavement marking paints shall comply with applicable state and local laws enacted to ensure compliance with Federal Clean Air Standards. Paint materials shall conform to the restrictions of the local Air Pollution Control District.

### 2.2 THERMOPLASTIC COMPOUNDS

The thermoplastic reflectorized pavement marking compound shall be extruded or sprayed in a molten state onto a primed pavement surface. Following a surface application of glass beads and upon cooling to normal pavement temperatures, the marking shall be an adherent reflectorized strip of the specified thickness and width that is capable of resisting deformation by traffic.

## 2.2.1 Composition Requirements

The binder component shall be formulated as a hydrocarbon resin. The pigment, beads and filler shall be uniformly dispersed in the binder resin. The thermoplastic composition shall be free from all skins, dirt, and foreign objects and shall comply with the following requirements:

	Percent by Weight		
Component	White	Yellow	
Binder	17 min.	17 min.	
Titanium dioxide	10 min.	-	
Glass beads,	20 min.	20 min.	
Calcium carbonate & inert fillers	49 max.	*	
Yellow pigments	-	*	

<sup>\*</sup>Amount and type of yellow pigment, calcium carbonate and inert fillers shall be at the option of the manufacturer, providing the other composition requirements of this specification are met.

## 2.2.2 Physical Properties

#### 2.2.2.1 Color

The color shall be as indicated.

#### 2.2.2.2 Drying Time

When installed at 70 degrees F and in thicknesses between 1/8 and 3/16 inch, the composition shall be completely solid and shall show no damaging effect from traffic after curing 15 minutes.

#### 2.2.2.3 Softening Point

The composition shall have a softening point of not less than 194 degrees F when tested in accordance with ASTM E 28.

#### 2.2.2.4 Specific Gravity

The specific gravity of the composition shall be between 1.9 and 2.2 as determined in accordance with ASTM D 792.

#### 2.2.3 Asphalt Concrete Primer

The primer for asphalt concrete pavements shall be a thermosetting adhesive with a solids content of pigment reinforced synthetic rubber and synthetic plastic resin dissolved and/or dispersed in a volatile organic compound (VOC). Solids content shall not be less than 10 percent by weight at 70 degrees F and 60 percent relative humidity. A wet film thickness of 0.005 inch plus or minus 0.001 inch, shall dry to a tack-free condition in less than 5 minutes.

#### 2.2.4 Portland Cement Concrete Primer

The primer for Portland cement concrete pavements shall be an epoxy resin primer. The primer shall be of the type recommended by the manufacturer of the thermoplastic composition. Epoxy primers recommended by the manufacturer shall be approved by the Contracting Officer prior to use. Requests for approval shall be accompanied with technical data, instructions for use, and a 1 quart sample of the primer material.

#### 2.3 PREFORMED TAPE

The preformed tape shall be an adherent reflectorized strip in accordance with ASTM D 4505 Type I or IV, Class optional.

#### 2.4 RAISED REFLECTIVE MARKERS

Either metallic or nonmetallic markers of the button or prismatic reflector type may be used. Markers shall be of permanent colors, as specified for pavement marking, and shall retain the color and brightness under the action of traffic. Button markers shall have a diameter of not less than 4 inches, and shall be spaced not more than 40 feet apart on solid longitudinal lines. Broken centerline marker spacings shall be in segments of 10 feet with gaps of 30 feet between segments. Markers shall have rounded surfaces presenting a smooth contour to traffic and shall not project more than 3/4 inch above level of pavement. Pavement markers and adhesive epoxy shall conform to ASTM D 4280.

### 2.5 REFLECTIVE MEDIA

Reflective media for airfields shall conform to FS TT-B-1325, Type I, Gradation A. Reflective media for roads and streets shall conform to FS TT-B-1325, Type I, Gradation A or AASHTO M 247, Type I.

## 2.6 SAMPLING AND TESTING

Materials proposed for use shall be stored on the project site in sealed and labeled containers, or segregated at source of supply, sufficiently in advance of needs to allow 60 days for testing. Upon notification by the Contractor that the material is at the site or source of supply, a sample shall be taken by random selection from sealed containers by the Contractor in the presence of a representative of the Contracting Officer. Samples shall be clearly identified by designated name, specification number, batch number, manufacturer's formulation number, project contract number, intended use, and quantity involved. Testing shall be performed in an approved independent laboratory. If materials are approved based on reports furnished by the Contractor, samples will be retained by the Government for possible future testing should the material appear defective during or after application.

## PART 3 EXECUTION

#### 3.1 SURFACE PREPARATION

Surfaces to be marked shall be thoroughly cleaned before application of the pavement marking material. Dust, dirt, and other granular surface deposits shall be removed by sweeping, blowing with compressed air, rinsing with water or a combination of these methods as required. Rubber deposits, surface laitance, existing paint markings, and other coatings adhering to the pavement shall be completely removed with scrapers, wire brushes, sandblasting, approved chemicals, or mechanical abrasion as directed. Areas of old pavement affected with oil or grease shall be scrubbed with several applications of trisodium phosphate solution or other approved detergent or degreaser, and rinsed thoroughly after each application. After cleaning, oil-soaked areas shall be sealed with cut shellac to prevent bleeding through the new paint. Pavement surfaces shall be allowed to dry, when water is used for cleaning, prior to striping or marking. Surfaces shall be recleaned, when work has been stopped due to rain.

## 3.1.1 Pretreatment for Early Painting

Where early painting is required on rigid pavements, a pretreatment with an aqueous solution containing 3 percent phosphoric acid and 2 percent zinc chloride shall be applied to prepared pavement areas prior to painting.

## 3.1.2 Cleaning Existing Pavement Markings

In general, markings shall not be placed over existing pavement marking patterns. Existing pavement markings, which are in good condition but interfere or conflict with the newly applied marking patterns, shall be removed. Deteriorated or obscured markings that are not misleading or confusing or interfere with the adhesion of the new marking material do not require removal. New preformed and thermoplastic pavement markings shall not be applied over existing preformed or thermoplastic markings. Whenever grinding, scraping, sandblasting or other operations are performed the work must be conducted in such a manner that the finished pavement surface is not damaged or left in a pattern that is misleading or confusing. When these operations are completed the pavement surface shall be blown off with

compressed air to remove residue and debris resulting from the cleaning work.

# 3.1.3 Cleaning Concrete Curing Compounds

On new Portland cement concrete pavements, cleaning operations shall not begin until a minimum of 30 days after the placement of concrete. All new concrete pavements shall be cleaned by either sandblasting or water blasting. When water blasting is performed, thermoplastic and preformed markings shall be applied no sooner than 24 hours after the blasting has been completed. The extent of the blasting work shall be to clean and prepare the concrete surface as follows:

- a. There is no visible evidence of curing compound on the peaks of the textured concrete surface.
- b. There are no heavy puddled deposits of curing compound in the valleys of the textured concrete surface.
- c. All remaining curing compound is intact; all loose and flaking material is removed.
- d. The peaks of the textured pavement surface are rounded in profile and free of sharp edges and irregularities.
  - e. The surface to be marked is dry.

## 3.2 APPLICATION

All pavement markings and patterns shall be placed as shown on the plans.

### 3.2.1 Paint

Paint shall be applied to clean, dry surfaces, and only when air and pavement temperatures are above 40 degrees F and less than 95 degrees F. Paint temperature shall be maintained within these same limits. New asphalt pavement surfaces and new Portland concrete cement shall be allowed to cure for a period of not less than 30 days before applications of paint. Paint shall be applied pneumatically with approved equipment at rate of coverage specified. The Contractor shall provide guide lines and templates as necessary to control paint application. Special precautions shall be taken in marking numbers, letters, and symbols. Edges of markings shall be sharply outlined.

# 3.2.1.1 Rate of Application

- a. Reflective Markings: Pigmented binder shall be applied evenly to the pavement area to be coated at a rate of 105 plus or minus 5 square feet per gallon. Glass spheres shall be applied uniformly to the wet paint on road and street pavement at a rate of 6 plus or minus 0.5 pounds of glass spheres per gallon of paint.
- b. Nonreflective Markings: Paint shall be applied evenly to the pavement surface to be coated at a rate of 105 plus or minus 5 square feet per gallon.

# 3.2.1.2 Drying

The maximum drying time requirements of the paint specifications will be

strictly enforced to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. If there is a delay in drying of the markings, painting operations shall be discontinued until cause of the slow drying is determined and corrected.

## 3.2.2 Thermoplastic Compounds

Thermoplastic pavement markings shall be placed upon dry pavement; surface dry only will not be considered an acceptable condition. At the time of installation, the pavement surface temperature shall be a minimum of 40 degrees F and rising. Thermoplastics, as placed, shall be free from dirt or tint.

## 3.2.2.1 Longitudinal Markings

All centerline, skipline, edgeline, and other longitudinal type markings shall be applied with a mobile applicator. All special markings, crosswalks, stop bars, legends, arrows, and similar patterns shall be placed with a portable applicator, using the extrusion method.

### 3.2.2.2 Primer

After surface preparation has been completed the asphalt and/or concrete pavement surface shall be primed. The primer shall be applied with spray equipment. Primer materials shall be allowed to "set-up" prior to applying the thermoplastic composition. The asphalt concrete primer shall be allowed to dry to a tack-free condition, usually occurring in less than 10 minutes. The Portland cement concrete primer shall be allowed to dry in accordance with the thermoplastic manufacturer's recommendations. To shorten the curing time of the epoxy resins an infrared heating device may be used on the concrete primer.

- a. Asphalt Concrete Primer: Primer shall be applied to all asphalt concrete pavements at a wet film thickness of 0.005 inch, plus or minus 0.001 inch (265-400 square feet per gallon).
- b. Portland Cement Concrete Primer: Primer shall be applied to all concrete pavements (including concrete bridge decks) at a wet film thickness of between 0.04 to 0.05 inch (320-400 square feet per gallon).

# 3.2.2.3 Markings

After the primer has "set-up", the thermoplastic shall be applied at temperatures no lower than 375 degrees F nor higher than 425 degrees F at the point of deposition. Immediately after installation of the marking, drop-on glass spheres shall be mechanically applied so that the spheres are held by and imbedded in the surface of the molten material.

- a. Extruded Markings: All extruded thermoplastic markings shall be applied at the specified width and at a thickness of not less than 0.125 inch nor more than 0.190 inch.
- b. Sprayed Markings: All sprayed thermoplastic markings shall be applied at the specified width and the thicknesses designated in the contract plans. If the plans do not specify a thickness, centerline markings shall be applied at a wet thickness of 0.090 inch, plus or minus 0.005 inch, and edgeline markings at a wet thickness of 0.060 inch, plus or minus 0.005 inch.

c. Reflective Glass Spheres: Immediately following application, reflective glass spheres shall be dropped onto the molten thermoplastic marking at the rate of 1 pound per 20 square feet of compound.

## 3.2.3 Omitted

### 3.2.4 Raised Reflective Markers

Prefabricated markers shall be aligned carefully at the required spacing and permanently fixed in place by means of epoxy resin adhesives. To insure good bond, pavement in areas where markers will be set shall be thoroughly cleaned by sandblasting and use of compressed air prior to applying adhesive.

### 3.2.5 Reflective Media

Application of reflective media shall immediately follow application of pigmented binder. Drop-on application of glass spheres shall be accomplished to insure that reflective media is evenly distributed at the specified rate of coverage. Should there be malfunction of either paint applicator or reflective media dispenser, operations shall be discontinued immediately until deficiency is corrected.

#### 3.3 MARKING REMOVAL

Pavement marking, including plastic tape, shall be removed in the areas shown on the drawings. Removal of marking shall be as complete as possible without damage to the surface. Aggregate shall not be exposed by the removal process. After the markings are removed, the cleaned pavement surfaces shall exhibit adequate texture for remarking as specified in paragraph SURFACE PREPARATION. Contractor shall demonstrate removal of pavement marking in an area designated by the Contracting Officer. The demonstration area will become the standard for the remainder of the work.

# 3.3.1 Equipment Operation

Equipment shall be controlled and operated to remove markings from the pavement surface, prevent dilution or removal of binder from underlying pavement, and prevent emission of blue smoke from asphalt or tar surfaces.

## 3.3.2 Cleanup and Waste Disposal

The worksite shall be kept clean of debris and waste from the removal operations. Cleanup shall immediately follow removal operations in areas subject to air traffic. Debris shall be disposed of at approved sites.

-- End of Section --

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# SECTION 02770A

# CONCRETE SIDEWALKS AND CURBS AND GUTTERS 03/98

# PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

> AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 182 (1991) Burlap Cloth Made from Jute or Kenaf

## AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 185	(1997) Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
ASTM A 615/A 615M	(1996a) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement
ASTM A 616/A 616M	(1996a) Rail-Steel Deformed and Plain Bars for Concrete Reinforcement
ASTM A 617/A 617M	(1996a) Axle-Steel Deformed and Plain Bars for Concrete Reinforcement
ASTM C 31/C 31M	(1996) Making and Curing Concrete Test Specimens in the Field
ASTM C 143	(1990a) Slump of Hydraulic Cement Concrete
ASTM C 171	(1997) Sheet Materials for Curing Concrete
ASTM C 172	(1997) Sampling Freshly Mixed Concrete
ASTM C 173	(1996) Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C 231	(1997) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 309	(1997) Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C 920	(1995) Elastomeric Joint Sealants
ASTM D 1751	(1983; R 1991) Preformed Expansion Joint Filler for Concrete Paving and Structural

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Construction (Nonextruding and Resilient Bituminous Types)

ASTM D 1752

(1984; R 1996) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction

ASTM D 3405

(1996) Joint Sealants, Hot-Applied, for Concrete and Asphalt Pavements

1.2 OMITTED

### 1.3 OMITTED

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Concrete

Copies of certified delivery tickets for all concrete used in the construction.

SD-06 Test Reports

Field Quality Control

Copies of all test reports within 24 hours of completion of the test.

### 1.5 WEATHER LIMITATIONS

## 1.5.1 Placing During Cold Weather

Concrete placement shall not take place when the air temperature reaches 40 degrees F and is falling, or is already below that point. Placement may begin when the air temperature reaches 35 degrees F and is rising, or is already above 40 degrees F. Provisions shall be made to protect the concrete from freezing during the specified curing period. If necessary to place concrete when the temperature of the air, aggregates, or water is below 35 degrees F, placement and protection shall be approved in writing. Approval will be contingent upon full conformance with the following provisions. The underlying material shall be prepared and protected so that it is entirely free of frost when the concrete is deposited. Mixing water and aggregates shall be heated as necessary to result in the temperature of the in-place concrete being between 50 and 85 degrees F. Methods and equipment for heating shall be approved. The aggregates shall be free of ice, snow, and frozen lumps before entering the mixer. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period.

## 1.5.2 Placing During Warm Weather

The temperature of the concrete as placed shall not exceed 85 degrees F except where an approved retarder is used. The mixing water and/or aggregates shall be cooled, if necessary, to maintain a satisfactory placing temperature. The placing temperature shall not exceed 95 degrees F at any time.

# 1.6 PLANT, EQUIPMENT, MACHINES, AND TOOLS

## 1.6.1 General Requirements

Plant, equipment, machines, and tools used in the work shall be subject to approval and shall be maintained in a satisfactory working condition at all times. The equipment shall have the capability of producing the required product, meeting grade controls, thickness control and smoothness requirements as specified. Use of the equipment shall be discontinued if it produces unsatisfactory results. The Contracting Officer shall have access at all times to the plant and equipment to ensure proper operation and compliance with specifications.

# 1.6.2 Slip Form Equipment

Slip form paver or curb forming machine, will be approved based on trial use on the job and shall be self-propelled, automatically controlled, crawler mounted, and capable of spreading, consolidating, and shaping the plastic concrete to the desired cross section in 1 pass.

### PART 2 PRODUCTS

#### 2.1 CONCRETE

Concrete shall conform to the applicable requirements of Section 02754 CONCRETE PAVEMENTS FOR SMALL PROJECT except as otherwise specified. Concrete shall have a minimum compressive strength of 3000 psi at 28 days. Maximum size of aggregate shall be 1-1/2 inches.

## 2.1.1 Air Content

Mixtures shall have air content by volume of concrete of 5 to 7 percent, based on measurements made immediately after discharge from the mixer.

# 2.1.2 Slump

The concrete slump shall be 2 inches plus or minus 1 inch where determined in accordance with ASTM C 143.

#### 2.1.3 Reinforcement Steel

Reinforcement bars shall conform to ASTM A 615/A 615M, ASTM A 616/A 616M, or ASTM A 617/A 617M. Wire mesh reinforcement shall conform to ASTM A 185.

## 2.2 CONCRETE CURING MATERIALS

### 2.2.1 Impervious Sheet Materials

Impervious sheet materials shall conform to ASTM C 171, type optional, except that polyethylene film, if used, shall be white opaque.

### 2.2.2 Burlap

Burlap shall conform to AASHTO M 182.

# 2.2.3 White Pigmented Membrane-Forming Curing Compound

White pigmented membrane-forming curing compound shall conform to ASTM C 309, Type 2.

### 2.3 CONCRETE PROTECTION MATERIALS

Concrete protection materials shall be a linseed oil mixture of equal parts, by volume, of linseed oil and either mineral spirits, naphtha, or turpentine. At the option of the contractor, commercially prepared linseed oil mixtures, formulated specifically for application to concrete to provide protection against the action of deicing chemicals may be used, except that emulsified mixtures are not acceptable.

## 2.4 JOINT FILLER STRIPS

### 2.4.1 Contraction Joint Filler for Curb and Gutter

Contraction joint filler for curb and gutter shall consist of hard-pressed fiberboard.

## 2.4.2 Expansion Joint Filler, Premolded

Expansion joint filler, premolded, shall conform to ASTM D 1751 or ASTM D 1752, 3/8 inch thick, unless otherwise indicated.

## 2.5 JOINT SEALANTS

## 2.5.1 Joint Sealant, Cold-Applied

Joint sealant, cold-applied shall conform to ASTM C 920.

### 2.5.2 Joint Sealant, Hot-Poured

Joint sealant, hot-poured shall conform to ASTM D 3405.

### 2.6 FORMWORK

Formwork shall be designed and constructed to ensure that the finished concrete will conform accurately to the indicated dimensions, lines, and elevations, and within the tolerances specified. Forms shall be of wood or steel, straight, of sufficient strength to resist springing during depositing and consolidating concrete. Wood forms shall be surfaced plank, 2 inches nominal thickness, straight and free from warp, twist, loose knots, splits or other defects. Wood forms shall have a nominal length of 10 feet. Radius bends may be formed with 3/4 inch boards, laminated to the required thickness. Steel forms shall be channel-formed sections with a flat top surface and with welded braces at each end and at not less than two intermediate points. Ends of steel forms shall be interlocking and self-aligning. Steel forms shall include flexible forms for radius forming, corner forms, form spreaders, and fillers. Steel forms shall have a nominal length of 10 feet with a minimum of 3 welded stake pockets per form. Stake pins shall be solid steel rods with chamfered heads and pointed tips designed for use with steel forms.

### 2.6.1 Sidewalk Forms

Sidewalk forms shall be of a height equal to the full depth of the finished sidewalk.

## 2.6.2 Curb and Gutter Forms

Curb and gutter outside forms shall have a height equal to the full depth of the curb or gutter. The inside form of curb shall have batter as indicated and shall be securely fastened to and supported by the outside form. Rigid forms shall be provided for curb returns, except that benders or thin plank forms may be used for curb or curb returns with a radius of 10 feet or more, where grade changes occur in the return, or where the central angle is such that a rigid form with a central angle of 90 degrees cannot be used. Back forms for curb returns may be made of 1-1/2 inch benders, for the full height of the curb, cleated together. In lieu of inside forms for curbs, a curb "mule" may be used for forming and finishing this surface, provided the results are approved.

#### PART 3 EXECUTION

#### 3.1 SUBGRADE PREPARATION

The subgrade shall be constructed to the specified grade and cross section prior to concrete placement. Subgrade shall be placed and compacted in conformance with Section 02300 "EARTHWORK."

# 3.1.1 Sidewalk Subgrade

The subgrade shall be tested for grade and cross section with a template extending the full width of the sidewalk and supported between side forms.

## 3.1.2 Curb and Gutter Subgrade

The subgrade shall be tested for grade and cross section by means of a template extending the full width of the curb and gutter. The subgrade shall be of materials equal in bearing quality to the subgrade under the adjacent pavement.

# 3.1.3 Maintenance of Subgrade

The subgrade shall be maintained in a smooth, compacted condition in conformity with the required section and established grade until the concrete is placed. The subgrade shall be in a moist condition when concrete is placed. The subgrade shall be prepared and protected to produce a subgrade free from frost when the concrete is deposited.

#### 3.2 FORM SETTING

Forms shall be set to the indicated alignment, grade and dimensions. Forms shall be held rigidly in place by a minimum of 3 stakes per form placed at intervals not to exceed 4 feet. Corners, deep sections, and radius bends shall have additional stakes and braces, as required. Clamps, spreaders, and braces shall be used where required to ensure rigidity in the forms. Forms shall be removed without injuring the concrete. Bars or heavy tools shall not be used against the concrete in removing the forms. Any concrete found defective after form removal shall be promptly and satisfactorily repaired. Forms shall be cleaned and coated with form oil each time before concrete is placed. Wood forms may, instead, be thoroughly wetted with water before concrete is placed, except that with probable freezing

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temperatures, oiling is mandatory.

### 3.2.1 Sidewalks

Forms for sidewalks shall be set with the upper edge true to line and grade with an allowable tolerance of 1/8 inch in any 10 foot long section. After forms are set, grade and alignment shall be checked with a 10 foot straightedge. Forms shall have a transverse slope of 1/4 inch per foot with the low side adjacent to the roadway. Side forms shall not be removed for 12 hours after finishing has been completed.

### 3.2.2 Curbs and Gutters

The forms of the front of the curb shall be removed not less than 2 hours nor more than 6 hours after the concrete has been placed. Forms back of curb shall remain in place until the face and top of the curb have been finished, as specified for concrete finishing. Gutter forms shall not be removed while the concrete is sufficiently plastic to slump in any direction.

### 3.3 SIDEWALK CONCRETE PLACEMENT AND FINISHING

## 3.3.1 Formed Sidewalks

Concrete shall be placed in the forms in one layer. When consolidated and finished, the sidewalks shall be of the thickness indicated. After concrete has been placed in the forms, a strike-off guided by side forms shall be used to bring the surface to proper section to be compacted. The concrete shall be consolidated with an approved vibrator, and the surface shall be finished to grade with a strike off.

## 3.3.2 Concrete Finishing

After straightedging, when most of the water sheen has disappeared, and just before the concrete hardens, the surface shall be finished with a wood float or darby to a smooth and uniformly fine granular or sandy texture free of waves, irregularities, or tool marks. A scored surface shall be produced by brooming with a fiber-bristle brush in a direction transverse to that of the traffic, followed by edging.

## 3.3.3 Edge and Joint Finishing

All slab edges, including those at formed joints, shall be finished with an edger having a radius of 1/8 inch. Transverse joint shall be edged before brooming, and the brooming shall eliminate the flat surface left by the surface face of the edger. Corners and edges which have crumbled and areas which lack sufficient mortar for proper finishing shall be cleaned and filled solidly with a properly proportioned mortar mixture and then finished.

# 3.3.4 Surface and Thickness Tolerances

Finished surfaces shall not vary more than 5/16 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

## 3.4 CURB AND GUTTER CONCRETE PLACEMENT AND FINISHING

#### 3.4.1 Formed Curb and Gutter

Concrete shall be placed to the section required in a single lift. Consolidation shall be achieved by using approved mechanical vibrators. Curve shaped gutters shall be finished with a standard curb "mule".

#### 3.4.2 Curb and Gutter Finishing

Approved slipformed curb and gutter machines may be used in lieu of hand placement.

#### 3.4.3 Concrete Finishing

Exposed surfaces shall be floated and finished with a smooth wood float until true to grade and section and uniform in texture. Floated surfaces shall then be brushed with a fine-hair brush with longitudinal strokes. The edges of the gutter and top of the curb shall be rounded with an edging tool to a radius of 1/2 inch. Immediately after removing the front curb form, the face of the curb shall be rubbed with a wood or concrete rubbing block and water until blemishes, form marks, and tool marks have been removed. The front curb surface, while still wet, shall be brushed in the same manner as the gutter and curb top. The top surface of gutter and entrance shall be finished to grade with a wood float.

#### 3.4.4 Joint Finishing

Curb edges at formed joints shall be finished as indicated.

#### 3.4.5 Surface and Thickness Tolerances

Finished surfaces shall not vary more than 1/4 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

#### 3.5 SIDEWALK JOINTS

Sidewalk joints shall be constructed to divide the surface into rectangular areas. Transverse contraction joints shall be spaced at a distance equal to the sidewalk width or 5 feet on centers, whichever is less, and shall be continuous across the slab. Longitudinal contraction joints shall be constructed along the centerline of all sidewalks 10 feet or more in width. Transverse expansion joints shall be installed at sidewalk returns and opposite expansion joints in adjoining curbs. Where the sidewalk is not in contact with the curb, transverse expansion joints shall be installed as indicated. Expansion joints shall be formed about structures and features which project through or into the sidewalk pavement, using joint filler of the type, thickness, and width indicated.

#### 3.5.1 Sidewalk Contraction Joints

The contraction joints shall be formed in the fresh concrete by cutting a groove in the top portion of the slab to a depth of at least one-fourth of the sidewalk slab thickness, using a jointer to cut the groove, or by sawing a groove in the hardened concrete with a power-driven saw, unless otherwise approved. Sawed joints shall be constructed by sawing a groove in the concrete with a 1/8 inch blade to the depth indicated. An ample supply of saw blades shall be available on the job before concrete placement is started, and at least one standby sawing unit in good working order shall be available at the jobsite at all times during the sawing operations.

#### 3.5.2 Sidewalk Expansion Joints

Expansion joints shall be formed with 3/8 inch joint filler strips. Joint filler shall be placed with top edge 1/4 inch below the surface and shall be held in place with steel pins or other devices to prevent warping of the filler during floating and finishing. Immediately after finishing operations are completed, joint edges shall be rounded with an edging tool having a radius of 1/8 inch, and concrete over the joint filler shall be removed. At the end of the curing period, expansion joints shall be cleaned and filled with joint sealant. The joint opening shall be thoroughly cleaned before the sealing material is placed. Sealing material shall not be spilled on exposed surfaces of the concrete. Concrete at the joint shall be surface dry and atmospheric and concrete temperatures shall be above 50 degrees F at the time of application of joint sealing material. Excess material on exposed surfaces of the concrete shall be removed immediately and concrete surfaces cleaned.

#### 3.5.3 Reinforcement Steel Placement

Reinforcement steel shall be accurately and securely fastened in place with suitable supports and ties before the concrete is placed.

### CURB AND GUTTER JOINTS

Curb and gutter joints shall be constructed at right angles to the line of curb and gutter.

#### 3.6.1 Contraction Joints

Contraction joints shall be constructed directly opposite contraction joints in abutting portland cement concrete pavements and spaced so that monolithic sections between curb returns will not be less than 5 feet nor greater than 15 feet in length. Contraction joints shall be constructed by means of 1/8 inch thick separators and of a section conforming to the cross section of the curb and gutter. Separators shall be removed as soon as practicable after concrete has set sufficiently to preserve the width and shape of the joint and prior to finishing.

#### 3.6.2 Expansion Joints

Expansion joints shall be formed by means of preformed expansion joint filler material cut and shaped to the cross section of curb and gutter. Expansion joints shall be provided in curb and gutter directly opposite expansion joints of abutting portland cement concrete pavement, and shall be of the same type and thickness as joints in the pavement. Where curb and gutter do not abut portland cement concrete pavement, expansion joints at least 3/8 inch in width shall be provided at intervals not exceeding 50 feet. Expansion joints shall be provided in nonreinforced concrete gutter at locations indicated. Expansion joints shall be sealed immediately following curing of the concrete or as soon thereafter as weather conditions permit. Expansion joints and the top 1 inch depth of curb and gutter contraction-joints shall be sealed with joint sealant. The joint opening shall be thoroughly cleaned before the sealing material is placed. Sealing material shall not be spilled on exposed surfaces of the concrete. Concrete at the joint shall be surface dry and atmospheric and concrete temperatures shall be above 50 degrees F at the time of application of joint sealing material. Excess material on exposed surfaces of the concrete shall be removed immediately and concrete surfaces cleaned.

#### CURING AND PROTECTION 3.7

## 3.7.1 General Requirements

Concrete shall be protected against loss of moisture and rapid temperature changes for at least 7 days from the beginning of the curing operation. Unhardened concrete shall be protected from rain and flowing water. All equipment needed for adequate curing and protection of the concrete shall be on hand and ready for use before actual concrete placement begins. Protection shall be provided as necessary to prevent cracking of the pavement due to temperature changes during the curing period.

#### 3.7.1.1 Mat Method

The entire exposed surface shall be covered with 2 or more layers of burlap. Mats shall overlap each other at least 6 inches. The mat shall be thoroughly wetted with water prior to placing on concrete surface and shall be kept continuously in a saturated condition and in intimate contact with concrete for not less than 7 days.

#### 3.7.1.2 Impervious Sheeting Method

The entire exposed surface shall be wetted with a fine spray of water and then covered with impervious sheeting material. Sheets shall be laid directly on the concrete surface with the light-colored side up and overlapped 12 inches when a continuous sheet is not used. The curing medium shall not be less than 18-inches wider than the concrete surface to be cured, and shall be securely weighted down by heavy wood planks, or a bank of moist earth placed along edges and laps in the sheets. Sheets shall be satisfactorily repaired or replaced if torn or otherwise damaged during curing. The curing medium shall remain on the concrete surface to be cured for not less than 7 days.

#### 3.7.1.3 Membrane Curing Method

A uniform coating of white-pigmented membrane-curing compound shall be applied to the entire exposed surface of the concrete as soon after finishing as the free water has disappeared from the finished surface. Formed surfaces shall be coated immediately after the forms are removed and in no case longer than 1 hour after the removal of forms. Concrete shall not be allowed to dry before the application of the membrane. If any drying has occurred, the surface of the concrete shall be moistened with a fine spray of water and the curing compound applied as soon as the free water disappears. Curing compound shall be applied in two coats by hand-operated pressure sprayers at a coverage of approximately 200 square feet per gallon for the total of both coats. The second coat shall be applied in a direction approximately at right angles to the direction of application of the first coat. The compound shall form a uniform, continuous, coherent film that will not check, crack, or peel and shall be free from pinholes or other imperfections. If pinholes, abrasion, or other discontinuities exist, an additional coat shall be applied to the affected areas within 30 minutes. Concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied shall be resprayed by the method and at the coverage specified above. Areas where the curing compound is damaged by subsequent construction operations within the curing period shall be resprayed. Necessary precautions shall be taken to insure that the concrete is properly cured at sawed joints, and that no curing compound enters the joints. The top of the joint opening and the

joint groove at exposed edges shall be tightly sealed before the concrete in the region of the joint is resprayed with curing compound. The method used for sealing the joint groove shall prevent loss of moisture from the joint during the entire specified curing period. Approved standby facilities for curing concrete pavement shall be provided at a location accessible to the jobsite for use in the event of mechanical failure of the spraying equipment or other conditions that might prevent correct application of the membrane-curing compound at the proper time. Concrete surfaces to which membrane-curing compounds have been applied shall be adequately protected during the entire curing period from pedestrian and vehicular traffic, except as required for joint-sawing operations and surface tests, and from any other possible damage to the continuity of the membrane.

#### 3.7.2 Backfilling

After curing, debris shall be removed and the area adjoining the concrete shall be backfilled, graded, and compacted to conform to the surrounding area in accordance with lines and grades indicated.

#### 3.7.3 Protection

Completed concrete shall be protected from damage until accepted. Contractor shall repair damaged concrete and clean concrete discolored during construction. Concrete that is damaged shall be removed and reconstructed for the entire length between regularly scheduled joints. Refinishing the damaged portion will not be acceptable. Removed damaged portions shall be disposed of as directed.

#### 3.7.4 Protective Coating

Protective coating of linseed oil mixture shall be applied to the exposed-to-view concrete surface.

#### 3.7.4.1 Application

Curing and backfilling operation shall be completed prior to applying two coats of protective coating. Concrete shall be surface dry and clean before each application. Coverage shall be by spray application at not more than 50 square yards per gallon for first application and not more than 70 square yards per gallon for second application, except that the number of applications and coverage for each application for commercially prepared mixture shall be in accordance with the manufacturer's instructions. Coated surfaces shall be protected from vehicular and pedestrian traffic until dry.

#### 3.7.4.2 Precautions

Protective coating shall not be heated by direct application of flame or electrical heaters and shall be protected from exposure to open flame, sparks, and fire adjacent to open containers or applicators. Material shall not be applied at ambient or material temperatures lower than 50 degrees F.

#### 3.8 FIELD QUALITY CONTROL

#### 3.8.1 General Requirements

The Contractor shall perform the inspection and tests described and meet

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the specified requirements for inspection details and frequency of testing. Based upon the results of these inspections and tests, the Contractor shall take the action and submit reports as required below, and any additional tests to insure that the requirements of these specifications are met.

#### 3.8.2 Concrete Testing

# 3.8.2.1 Strength Testing

The Contractor shall provide molded concrete specimens for strength tests. Samples of concrete placed each day shall be taken not less than once a day nor less than once for every 250 cubic yards of concrete. The samples for strength tests shall be taken in accordance with ASTM C 172. Cylinders for acceptance shall be molded in conformance with ASTM C 31/C 31M by an approved testing laboratory. Each strength test result shall be the average of 2 test cylinders from the same concrete sample tested at 28 days, unless otherwise specified or approved. Concrete specified on the basis of compressive strength will be considered satisfactory if the averages of all sets of three consecutive strength test results equal or exceed the specified strength, and no individual strength test result falls below the specified strength by more than 500 psi.

#### 3.8.2.2 Air Content

Air content shall be determined in accordance with ASTM C 173 or ASTM C 231. ASTM C 231 shall be used with concretes and mortars made with relatively dense natural aggregates. Two tests for air content shall be made on randomly selected batches of each class of concrete placed during each shift. Additional tests shall be made when excessive variation in concrete workability is reported by the placing foreman or the Government inspector. If results are out of tolerance, the placing foreman shall be notified and he shall take appropriate action to have the air content corrected at the plant. Additional tests for air content will be performed on each truckload of material until such time as the air content is within the tolerance specified.

#### 3.8.2.3 Slump Test

Two slump tests shall be made on randomly selected batches of each class of concrete for every 250 cubic yards, or fraction thereof, of concrete placed during each shift. Additional tests shall be performed when excessive variation in the workability of the concrete is noted or when excessive crumbling or slumping is noted along the edges of slip-formed concrete.

#### 3.8.3 Thickness Evaluation

The anticipated thickness of the concrete shall be determined prior to placement by passing a template through the formed section or by measuring the depth of opening of the extrusion template of the curb forming machine. If a slip form paver is used for sidewalk placement, the subgrade shall be true to grade prior to concrete placement and the thickness will be determined by measuring each edge of the completed slab.

#### 3.8.4 Surface Evaluation

The finished surface of each category of the completed work shall be uniform in color and free of blemishes and form or tool marks.

### SURFACE DEFICIENCIES AND CORRECTIONS

#### 3.9.1 Thickness Deficiency

When measurements indicate that the completed concrete section is deficient in thickness by more than 1/4 inch the deficient section will be removed, between regularly scheduled joints, and replaced.

#### 3.9.2 High Areas

In areas not meeting surface smoothness and plan grade requirements, high areas shall be reduced either by rubbing the freshly finished concrete with carborundum brick and water when the concrete is less than 36 hours old or by grinding the hardened concrete with an approved surface grinding machine after the concrete is 36 hours old or more. The area corrected by grinding the surface of the hardened concrete shall not exceed 5 percent of the area of any integral slab, and the depth of grinding shall not exceed 1/4 inch. Pavement areas requiring grade or surface smoothness corrections in excess of the limits specified above shall be removed and replaced.

#### 3.9.3 Appearance

Exposed surfaces of the finished work will be inspected by the Government and any deficiencies in appearance will be identified. Areas which exhibit excessive cracking, discoloration, form marks, or tool marks or which are otherwise inconsistent with the overall appearances of the work shall be removed and replaced.

-- End of Section --

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## DIVISION 02 - SITE WORK

#### SECTION 02840A

#### ACTIVE VEHICLE BARRIERS

## 02/02

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#### SECTION 02840A

# ACTIVE VEHICLE BARRIERS 02/02

#### PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1

(2000) Structural Welding Code - Steel

#### 1.2 GENERAL REQUIREMENTS

Barriers furnished shall in all respects be identical to the unit tested and certified. Crash test shall be performed and data compiled by an approved independent testing agency. Test vehicle shall not vault or penetrate the barrier during the test. The design and structural materials of the vehicle barrier furnished shall be the same as those used in the crash tested barrier.

### 1.3 NAMEPLATES

Nameplate data shall be permanently attached to each vehicle barrier. The data shall be legibly marked on corrosion-resistant metal plates and shall consist of at least the following:

- a. Manufacturer's name.
- b. Model number.
- c. Serial number.
- d. Date of manufacture.

### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

## SD-02 Shop Drawings

Installation; G, RE
Equipment; G, RE

Detail drawings containing complete wiring and schematic diagrams, and any other details required to demonstrate that the

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system has been coordinated and will properly function as a unit. Drawings shall show proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including foundation and clearances for maintenance and operation. Detail drawings shall include a copy of the crash test report or certificate of barrier performance.

SD-03 Product Data

Vehicle Barriers Spare Parts

A complete list of equipment, materials, including industrial standards used and how they apply to the applicable component and manufacturer's descriptive data and technical literature, catalog cuts, and installation instructions. Spare parts data for each different item of material and equipment used, after approval of the detail drawings. The data shall include a complete list of parts and supplies, with current unit prices and source of supply.

Vehicle Barriers

Information necessary to document a minimum 1-year successful field operation performance history for each type of vehicle barrier installed.

SD-06 Test Reports

Field Testing

Test reports in booklet form showing all field tests, including component adjustments and demonstration of compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall indicate the final position of controls.

SD-10 Operation and Maintenance Data

Vehicle Barriers; GRE Operating and Maintenance Instructions

Six copies of operation and maintenance manuals, a minimum of 2 weeks prior to field training. One complete set prior to performance testing and the remainder upon acceptance. Manuals shall be approved prior to acceptance. Operation manuals shall outline the step-by-step procedures required for system startup, operation, and shutdown. The manuals shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Maintenance manuals shall include routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guide. The manuals shall include piping layout, equipment layout, and simplified wiring and control diagrams of the system as installed. The manuals shall also include hydraulic oil types to be used for ambient temperature ranges of minus 30 degrees F to 150 degrees F to cover winter operation, summer operation, and ambient temperature ranges in between.

### 1.5 DELIVERY AND STORAGE

Components placed in storage shall be protected from the weather, humidity, and temperature variation, dirt and dust, or other contaminants. Structural materials shall be stored on sleepers or pallets and shall be protected from rust and objectionable materials such as dirt, grease, or oil.

#### 1.6 SPARE PARTS

A manufacturer's standard recommended spare parts package, with current unit prices and source of supply complete with detailed manuals on parts replacement, shall be provided with each barrier to facilitate 1 year of normal operation. Particular consideration shall be given to system components which are not readily available from local or commercial sources and which are critical to the operation of the system.

#### PART 2 PRODUCTS

- 2.1 OMITTED
- 2.2 OMITTED
- 2.3 OMITTED

#### 2.4 CRASH BEAM

The crash beam shall be an above-grade assembly that, in the "DOWN" position, shall present a visible obstacle to approaching vehicles. height of the barrier shall be a minimum of 30 inches as measured from the roadway surface to the centerline of the crash beam. The crash beam shall be capable of blocking a minimum road width of 144 inches, 168 inches at outside lanes. The crash beam end shall contain a locking pin with padlock acceptance for securing the crash beam when it is in the "DOWN" position. Crash beam shall withstand a 15,000 pound vehicle traveling at 30 miles per hour, with maximum beam deflection of 20 feet.

#### 2.4.1 Omitted

#### 2.4.2 Manual Crash Beam

The crash beam shall be manually raised and lowered with the aid of a counterbalanced end requiring approximately 60 pounds of force.

#### 2.5 OMITTED

#### 2.6 PORTABLE CRASH BEAM

The portable crash beam shall be an above-grade assembly that, in the "DOWN" position, shall present a visible obstacle to approaching vehicles. The height of the barrier shall be a minimum of 30 inches as measured from the roadway surface to the centerline of the crash beam. The crash beam shall be capable of blocking a minimum road width of 144 inches, 168 inches at outside of truck lanes. The crash beam end shall contain a locking pin with padlock acceptance for securing the crash beam when it is in the "DOWN" position. Crash beam shall withstand a 15,000 pound vehicle travelling at 30 miles per hour, with maximum vehicle penetration and/or barrier deflection of 20 feet. Crash beams in adjacent positions shall be designed by manufacturer to work from common bases (in tandem).

## 2.6.1 Powered Portable Crash Beam

The portable crash beam shall be operated by means of a hydraulic power system. The crash beam shall be capable of being raised or lowered within an 8 to 15 second time range.

## 2.6.1.1 Failure Mode of Operation

A disconnect system for the portable crash beam shall be provided to allow manual operation of the barrier in the event of an electrical or mechanical failure.

## 2.6.1.2 Hydraulic Power Unit

The two crash beams south of the truck inspection station shall be hydraulic. All others shall be manual. The hydraulic power unit shall contain conventional hydraulic fluid which maintains its viscosity between 80 and 4000 saybolt universal seconds (SUS) even at constant heaviest use rate, for an ambient temperature range of 20 to 150 degrees F. A hydraulic fluid heater shall be provided so that the viscosity remains between 80 and 4000 SUS for ambient temperatures below 20 degrees F. Flexible hydraulic lines shall be used for the connection of the hydraulic power unit to the barrier. Flexible hydraulic line working pressure shall exceed the maximum system relief pressure; flexible hydraulic lines shall be in accordance with SAE J517.

## 2.6.1.3 Hydraulic Power Unit Enclosure

A weather resistant enclosure shall be provided to enclose the hydraulic power unit. The enclosure shall be designed for easy removal of the hydraulic power unit components and other accessories without complete removal of the enclosure. An access door with hinges and an inside and outside operable lockable (exterior) door latch shall be provided. Equipment within the enclosure shall be placed and configured so that all periodic maintenance can be performed through the access door without removal of the equipment. The enclosure shall be equipped with weatherproof louver vents appropriately sized and located to dissipate internal heat generation.

## 2.6.2 Manual Portable Crash Beam

The crash beam shall be manually operated by means of a counter balanced system requiring approximately 60 pounds of force.

## 2.7 OMITTEDELECTRICAL WORK

Motors, manual or automatic motor control equipment and protective or signal devices required for the operation specified herein shall be provided in accordance with Section 16415A ELECTRICAL WORK, INTERIOR. All field wiring for loop detectors, communication lines, and power circuits shall have surge protection. Any wiring required for the operation specified herein, but not shown on the electrical plans, shall be provided under this section in accordance with Section 16375A ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.

### 2.8 OMITTED CONTROL PANEL

A control panel and control circuit shall be provided to interface between all barrier control stations and the power unit. The control station is

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defined as the main control panel and the remote control panel. The control circuit shall contain all relays, timers, and other devices or an industrial programmable controller programmed as necessary for the barrier operation. All device interconnect lines shall be run to terminal strips.

## 2.8.1 Voltage

The control circuit shall operate from a 120 volt 60 Hz supply. The control circuit voltage shall be 24 ac for all external control panels.

## 2.8.2 Main Control Panel

A main control panel shall be supplied to control barrier function. This panel shall have a key-lockable main switch with main power "ON" and panel "ON" lights. Buttons to raise and lower each barrier shall be provided.

Barrier "UP" and "DOWN" indicator lights shall be included for each barrier.

## 2.8.3 Remote Control Panel

A remote control panel shall have a panel "ON" light that is lit when enabled by a key lockable switch on the main control panel. Buttons to raise and lower each barrier shall be provided. Barrier "UP" and "DOWN" indicator lights shall be included for each barrier. The remote control panel shall be located at the exit of the truck inspection station at a location where both crash beams can be seen.

### 2.9 MISCELLANEOUS EQUIPMENT

## 2.9.1 Safety Equipment

Red/yellow 8 inch traffic lights shall be supplied for each entrance and exit to alert motorists of the barrier position. Traffic lights are not required for manual barriers. The yellow light shall indicate that the barrier is fully open. All other positions shall cause the light to show red. Brackets shall be supplied to allow the light to be mounted a minimum 4.5 feet above the roadway pavement on a 3.5 inch outside diameter metal post.

## 2.10 FINISH

Surfaces shall be painted in accordance with requirements of Section 09900 PAINTS AND COATINGS except for materials supplied with manufacturer's standard finish. The roadway plate shall have a nonskid surface. The barrier front shall have 6 inch wide reflective yellow stripes 4 inches apart. Bollards shall be painted reflective yellow with 3 inch wide black diagonal stripes. The barrier crash gate shall be painted white and the crash beam shall be painted reflective yellow with 3 inch wide black diagonal stripes.

### 2.11 CONCRETE

The concrete shall conform to Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.

### 2.12 WELDING

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Welding shall be in accordance with AWS D1.1.

#### 2.13 PAVEMENT

After placement of the vehicle barrier, the pavement sections shall be replaced to match the section and depth of the surrounding pavement. Pavement shall be warped to match the elevations of existing pavement. Positive surface drainage, away from the vehicle barrier, shall be provided by pavement slope.

### PART 3 EXECUTION

#### 3.1 INSTALLATION

Installation shall be in accordance with manufacturers instructions and in the presence of a representative of the manufacturer. Manufacturer's representative shall be experienced in the installation, adjustment, and operation of the equipment provided. The representative shall also be present during adjustment and testing of the equipment.

#### 3.2 OMITTED

#### 3.3 OMITTED

#### 3.4 FIELD TESTING

Upon completion of construction, a field test shall be performed for each vehicle barrier. The test shall include raising and lowering the barrier manually, through its complete range of operation. The Contracting Officer shall be notified at least 7 days prior to the beginning of the field test. The Contractor shall furnish all equipment and make all necessary corrections and adjustments prior to tests witnessed by the Contracting Officer. Any conditions that interfere with the proper operation of the barrier disclosed by the test shall be corrected at no additional cost to the Government. Adjustments and repairs shall be done by the Contractor under the direction of the Contracting Officer. After adjustments are made to assure correct functioning of components, applicable tests shall be completed.

### 3.5 FIELD TRAINING

A field training course shall be provided for designated operating staff members. Training shall be provided for a total period of not less than 1 hour of normal working time and shall start after the system is functionally complete but prior to final acceptance tests. Field training shall cover all of the items contained in the operating and maintenance instructions.

-- End of Section --

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SECTION 02922A

SODDING 07/02

PART 1 GENERAL

#### 1.1 REFERENCES

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 602 (1995a; R 2001) Agricultural Liming

Materials

ASTM D 4972 (2001) pH of Soils

ASTM D 5268 (1992; R 1997) Topsoil Used for

Landscaping Purposes

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment

Chemical Treatment Material

A listing of equipment to be used for the sodding operation. Manufacturer's literature including physical characteristics, application and installation instructions for equipment and chemical treatment material.

Delivery

Delivery schedule.

Finished Grade and Topsoil

Finished grade status.

Topsoil

Availability of topsoil from the stripping and stock piling operation.  $\,$ 

Quantity Check

Bag count or bulk weight measurements of material used compared with area covered to determine the application rate and quantity installed. The quantity of sod used shall be compared against the total area installed.

Sod Establishment Period

Calendar time period for the sod establishment period. When there is more than one sod establishment period, the boundaries of the sodded area covered for each period shall be described.

Maintenance Record

Maintenance work performed, area repaired or reinstalled, diagnosis for unsatisfactory stand of grass plants.

Application of Pesticide

Pesticide treatment plan with sequence of treatment work with dates and times. The pesticide trade name, EPA registration number, chemical composition, formulation, concentration of original and diluted material, application rate of active ingredients, method of application, area treated, amount applied; and the name and state license number of the state certified applicator shall be included.

SD-04 Samples

Delivered Topsoil

Samples taken from several locations at the source.

Soil Amendments

A 10 pound sample.

Temporary Seeding; G, RE

Sample of annual seed species and application rate.

SD-06 Test Reports

Equipment Calibration

Certification of calibration tests conducted on the equipment used in the sodding operation.

Soil Test

Certified reports of inspections and laboratory tests, prepared by an independent testing agency, including analysis and interpretation of test results. Each report shall be properly identified. Test methods used and compliance with recognized test standards shall be described.

SD-07 Certificates

Sod
Topsoil
pH Adjuster
Fertilizer
Organic Material
Soil Conditioner

Pesticide

Prior to the delivery of materials, certificates of compliance attesting that materials meet the specified requirements. Certified copies of the material certificates shall include the following:

- a. Sod. Classification, botanical name, common name, mixture percentage of species, percent purity, quality grade, field location and state certification.
- b. Topsoil. Particle size, pH, organic matter content, textural class, soluble salts, chemical and mechanical analyses.
- c. pH Adjuster. Calcium carbonate equivalent and sieve analysis.
  - d. Fertilizer. Chemical analysis and composition percent.
  - e. Organic Material: Composition and source.
  - f. Soil Conditioner: Composition and source.
  - g. Pesticide. EPA registration number and registered uses.

#### 1.3 SOURCE INSPECTION

The sources of sod material and delivered topsoil shall be subject to inspection.

## 1.4 DELIVERY, INSPECTION, STORAGE, AND HANDLING

## 1.4.1 Delivery

A delivery schedule shall be provided at least 10 calendar days prior to the first day of delivery.

#### 1.4.1.1 Sod

Sod shall be protected during delivery to prevent desiccation, internal heat buildup, or contamination.

## 1.4.1.2 Delivered Topsoil

Prior to the delivery of any topsoil, its availability shall be verified in paragraph TOPSOIL. A soil test shall be provided for topsoil delivered to the site.

### 1.4.1.3 Soil Amendments

Soil amendments shall be delivered to the site in the original, unopened containers bearing the manufacturer's chemical analysis. In lieu of containers, soil amendments may be furnished in bulk. A chemical analysis shall be provided for bulk deliveries.

#### 1.4.1.4 Pesticides

Pesticide material shall be delivered to the site in the original, unopened containers bearing legible labels indicating the EPA registration number

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and the manufacturer's registered uses.

## 1.4.2 Inspection

Sod shall be inspected upon arrival at the job site for conformity to species. Sod shall be checked for visible broadleaf weeds, and a visible consistency with no obvious patches of foreign grasses that exceed 2 percent of the canopy. Sod that is heating up, dry, moldy, yellow, irregularly shaped, torn, or of uneven thickness shall be rejected. Other materials shall be inspected for compliance with specified requirements. Open soil amendment containers or wet soil amendments; topsoil that contains slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter; and topsoil that contains viable plants and plant parts, shall be rejected. Unacceptable materials shall be removed from the job site.

## 1.4.3 Storage

#### 1.4.3.1 Sod

Sod shall be stored in designated areas and kept in a moist condition by watering with a fine mist, and covered with moist burlap, straw, or other covering. Covering shall allow air to circulate, preventing internal heat from building up. Sod shall be protected from exposure to wind and direct sunlight until installed.

## 1.4.3.2 Other Material Storage

Materials shall be stored in designated areas. Lime and fertilizer shall be stored in cool, dry locations, away from contaminants. Chemical treatment material shall be stored according to manufacturer's instructions and not with sod operation materials.

## 1.4.4 Handling

Sod shall not be damaged during handling. Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

## 1.4.5 Time Limitation

Time limitation between harvesting and installing sod shall be a maximum 36 hours.

### PART 2 PRODUCTS

### 2.1 SOD

### 2.1.1 Sod Classification

State-approved sod shall be provided as classified by applicable state laws. Sod section shall be sized to permit rolling and lifting without breaking.

#### 2.1.2 Grass Species

Grass species shall be  $\frac{1}{2}$  proportioned as follows:

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Botanical Name Common Name Mixture Percent

Eremochloa Ophiuroides Centipede 100

## 2.1.3 Quality

Sod shall be relatively free of thatch, diseases, nematodes, soil-borne insects, weeds or undesirable plants, stones larger than 1 inch in diameter, woody plant roots, and other materials detrimental to a healthy stand of grass plants. Broadleaf weeds and patches of foreign grasses shall be a maximum 2 percent of the sod section.

### 2.1.4 Thickness

Sod shall be machine cut to a minimum 1-3/8 inch thickness. Measurement for thickness shall exclude top growth and thatch.

#### 2.1.5 Anchors

Sod anchors shall be as recommended by the sod supplier.

#### 2.1.6 Substitutions

Substitutions will not be allowed without written request and approval from the Contracting Officer.

#### 2.2 TOPSOIL

Topsoil shall be as defined in ASTM D 5268. When available, the topsoil shall be the existing surface soil stripped and stockpiled onsite in accordance with Section 02300A EARTHWORK. When additional topsoil is required beyond the available topsoil from stripping operation, topsoil shall be delivered and amended as recommended by the soil test for the sod species specified. Topsoil shall be free from slag, cinders, stones, lumps of soil, sticks, roots, trash, or other material over a maximum 1-1/2 inch diameter. Topsoil shall be free from viable plants and plant parts.

## 2.3 SOIL AMENDMENTS

Soil amendments shall consist of pH adjuster, fertilizer, organic material, and soil conditioners meeting the following requirements. Vermiculite shall not be used.

## 2.3.1 pH Adjuster

The pH adjuster shall be an agricultural liming material in accordance with ASTM C 602. These materials may be burnt lime, hydrated lime, ground limestone, or shells. The pH adjuster shall be used to create a favorable soil pH for the plant material specified.

## 2.3.1.1 Limestone

Limestone material shall contain a minimum calcium carbonate equivalent of 80 percent. Gradation: A minimum 95 percent shall pass through a No. 8 sieve and a minimum 55 percent shall pass through a No. 60 sieve. To raise soil pH, ground limestone shall be used.

## 2.3.1.2 Hydrated Lime

Hydrated lime shall contain a minimum calcium carbonate equivalent of 110 percent. Gradation: A minimum 100 percent shall pass through a No. 8 sieve and a minimum 97 percent shall pass through a No. 60 sieve.

#### 2.3.1.3 Burnt Lime

Burnt lime shall contain a minimum calcium carbonate equivalent of 140 percent. Gradation: A minimum 95 percent shall pass through a No. 8 sieve and a minimum 35 percent shall pass through a No. 60 sieve.

#### 2.3.2 Fertilizer

It shall be as recommended by the soil test. Fertilizer shall be controlled release commercial grade, free flowing, uniform in composition, and consist of a nitrogen-phosphorus-potassium ratio. The fertilizer shall be derived from sulphur coated urea, urea formaldehyde, plastic or polymer coated pills, or isobutylenediurea (IBDU). Fertilizer shall be balanced with the inclusion of trace minerals and micro-nutrients.

## 2.3.3 Nitrogen Carrier Fertilizer

It shall be as recommended by the soil test. Nitrogen carrier fertilizer shall be commercial grade, free flowing, and uniform in composition. The fertilizer may be a liquid nitrogen solution.

## 2.3.4 Organic Material

Organic material shall consist of either bonemeal, rotted manure, decomposed wood derivatives, recycled compost, or worm castings.

### 2.3.4.1 Bonemeal

Bonemeal shall be finely ground, steamed bone product containing from 2 to 4 percent nitrogen and 16 to 40 percent phosphoric acid.

## 2.3.4.2 Rotted Manure

Rotted manure shall be unleached horse, chicken or cattle manure containing a maximum 25 percent by volume straw, sawdust, or other bedding materials. Manure shall contain no chemicals or ingredients harmful to plants. The manure shall be heat treated to kill weed seeds and be free of stones, sticks, and soil.

## 2.3.4.3 Decomposed Wood Derivatives

Decomposed wood derivatives shall be ground bark, sawdust, yard trimmings, or other wood waste material free of stones, sticks, soil, and toxic substances harmful to plants, fully composted or stabilized with nitrogen.

## 2.3.4.4 Recycled Compost

Compost shall be a well decomposed, stable, weed free organic matter source. Compost shall be derived from food; agricultural or industrial residuals; biosolids (treated sewage sludge); yard trimmings; or source-separated or mixed solid waste. The compost shall possess no objectionable odors and shall not resemble the raw material from which it was derived. The material shall not contain substances toxic to plants. Gradation: The compost material shall pass through a 3/8 inch screen,

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possess a pH of 5.5 to 8.0, and have a moisture content between 35-55 percent by weight. The material shall not contain more than 1 percent or less by weight of man-made foreign matter. Compost shall be cleaned of plastic materials larger than 2 inches in length. The Contractor shall comply with EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

## 2.3.4.5 Worm Castings

Worm castings shall be screened from worms and food source, and shall be commercially packaged.

### 2.3.5 Soil Amendments

Soil Amendments are specified in Section 02936.

#### 2.4 WATER

Water shall be the responsibility of the Contractor unless otherwise noted. Water shall not contain elements toxic to plant life.

## 2.5 PESTICIDE

Pesticide shall be insecticide, herbicide, fungicide, nematocide, rodenticide or miticide. For the purpose of this specification, a soil fumigant shall have the same requirements as a pesticide. The pesticide material shall be EPA registered and approved.

## PART 3 EXECUTION

### 3.1 INSTALLING SOD TIME AND CONDITIONS

## 3.1.1 Sodding Time

Sod shall be installed from March 15 to May 31 for spring establishment; from June 1 to August 31 for summer establishment; and from September 1 to October 31 for fall establishment.

## 3.1.2 Sodding Conditions

Sodding operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture or other unsatisfactory conditions prevail, the work shall be stopped when directed. When special conditions warrant a variance to the sodding operations, proposed alternate times shall be submitted for approval.

## 3.1.3 Equipment Calibration

Immediately prior to the commencement of sodding operations, calibration tests shall be conducted on the equipment to be used. These tests shall confirm that the equipment is operating within the manufacturer's specifications and will meet the specified criteria. The equipment shall be calibrated a minimum of once every day during the operation. Provide calibration test results within one week of testing.

### 3.1.4 Soil Test

Delivered topsoil, existing soil in smooth graded areas, and stockpiled topsoil shall be tested in accordance with ASTM D 5268 and ASTM D 4972 for

determining the particle size, pH, organic matter content, textural class, chemical analysis, soluble salts analysis, and mechanical analysis. Sample collection on site shall be random over the entire site. Sample collection for stockpiled topsoil shall be at different levels in the stockpile. The soil shall be free from debris, noxious weeds, toxic substances, or other materials harmful to plant growth. The test shall determine the quantities and type of soil amendments required to meet local growing conditions for the sod species specified.

## 3.2 SITE PREPARATION

#### 3.2.1 Finished Grade and Topsoil

Prior to the commencement of the sodding operation, the Contractor shall verify that finished grades are as indicated on drawings; the placing of topsoil, smooth grading, and compaction requirements have been completed in accordance with Section 02300A EARTHWORK.

## 3.2.2 Application of Soil Amendments

## 3.2.2.1 Applying pH Adjuster

The pH adjuster shall be applied at the rate recommended by the soil test. The pH adjuster shall be incorporated into the soil to a maximum 4 inch depth or may be incorporated as part of the tillage operation.

## 3.2.2.2 Applying Fertilizer

The fertilizer shall be applied at the rate recommended by the soil test. Fertilizer shall be incorporated into the soil to a maximum 4 inch depth or may be incorporated as part of the tillage or hydroseeding operation.

## 3.2.2.3 Applying Soil Conditioner

The soil conditioner shall be as recommended by the soil test. The soil conditioner shall be spread uniformly over the soil a minimum 1 inch depth and thoroughly incorporated by tillage into the soil to a maximum 4 inches depth.

## 3.2.2.4 Applying Super Absorbent Polymers

Polymers shall be spread uniformly over the soil as recommended by the manufacturer and thoroughly incorporated by tillage into the soil to a maximum 2 inches deep prior to placement of sod.

## 3.2.3 Tillage

Soil on slopes up to a maximum 3-horizontal-to-1-vertical shall be tilled to a minimum 4 inches deep. On slopes between 3-horizontal-to-1-vertical and 1-horizontal-to-1 vertical, the soil shall be tilled to a minimum 2 inches deep by scarifying with heavy rakes, or other method. Rototillers shall be used where soil conditions and length of slope permit. On slopes 1-horizontal-to-1 vertical and steeper, no tillage is required. Drainage patterns shall be maintained as indicated on drawings. Areas compacted by construction operations shall be completely pulverized by tillage. Soil used for repair of surface erosion or grade deficiencies shall conform to topsoil requirements. The pH adjuster, fertilizer, and soil conditioner may be applied during this procedure.

## 3.2.4 Prepared Surface

## 3.2.4.1 Preparation

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be rolled and completed with a light raking to remove from the surface debris and stones over a minimum 5/8 inch in any dimension.

## 3.2.4.2 Protection

Areas within the prepared surface shall be protected from compaction or damage by vehicular or pedestrian traffic and surface erosion.

## 3.3 INSTALLATION

Prior to installing sod, any previously prepared surface compacted or damaged shall be reworked to meet the requirements of paragraph SITE PREPARATION. Areas shall be sodded as indicated. Adequate soil moisture shall be ensured prior to sodding by spraying water on the area to be sodded and wetting the soil to a maximum 1 inch depth.

#### 3.3.1 Installing Sod

Rows of sod sections shall be placed parallel to and tightly against each other. Joints shall be staggered laterally. The sod sections shall not be stretched or overlapped. All joints shall be butted tight. Voids and air drying of roots shall be prevented. Sod sections shall be laid across the slope on long slopes. Sod sections shall be laid at right angles to the flow of water in ditches. Sod sections shall be anchored on slopes steeper than 3-horizontal-to-1-vertical. Anchoring may be required when surface weight or pressure upon placed sod sections is anticipated to cause lateral movement. Sod anchors shall be placed a minimum 2 feet on center with a minimum 2 anchors per sod section.

## 3.3.2 Finishing

Displacement of the sod shall be prevented by tamping or rolling the sod in place and knitting the sod to the soil. Air pockets shall be eliminated and a true and even surface shall be provided. Frayed edges shall be trimmed, and holes or missing corners shall be patched with sod.

## 3.3.3 Rolling

The entire area shall be firmed with a roller not exceeding 90 pounds per foot roller width. Slopes over a maximum 3-horizontal-to-1 vertical shall not be rolled.

### 3.3.4 Watering Sod

Watering shall be started immediately after completing each day of installing sod. Water shall be applied at least 3 times per week to supplement rainfall, at a rate sufficient to ensure moist soil conditions to a minimum depth of 1 inch. Run-off, puddling, and wilting shall be prevented. Unless otherwise directed, watering trucks shall not be driven over turf areas. Watering of other adjacent areas or plant material shall be prevented.

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#### 3.4 TEMPORARY SEEDING

\*1

The application rate shall be 36 pounds per 1000 square yards. When directed during contract delays affecting the sodding operation or when a quick cover is required to prevent surface erosion, the areas designated shall be seeded with annual seed in accordance with Section 02921A02936 <u>SEEDING</u>Turf - Bermuda Grass Seeding. When there is no Section <u>02921A</u>02936  $\overline{\text{SEEDING}}\overline{\text{Turf - Bermuda Grass Seeding}} \text{ provided in the project, an annual seed}$ species and application rate shall be submitted for approval.

#### 3.4.1 Soil Amendments, Tillage and Watering

When soil amendments have not been applied to the area, the quantity of 1/2of the required soil amendments shall be applied and the area tilled in accordance with paragraph SITE PREPARATION. The area shall be watered in accordance with paragraph Watering Sod as required.

#### 3.4.2 Remaining Soil Amendments

The remaining soil amendments shall be applied in accordance with the paragraph Tillage when the surface is prepared for installing sod.

#### 3.5 QUANTITY CHECK

For materials provided in bags, the empty bags shall be retained for recording the amount used. For materials provided in bulk, the weight certificates shall be retained as a record of the amount used. The amount of the material used shall be compared with the total area covered to determine the rate of application. The quantity of sod used shall be compared against the total area established with sod. Differences between the quantity applied and the quantity specified shall be adjusted as directed.

#### 3.6 APPLICATION OF PESTICIDE

When application of a pesticide becomes necessary to remove a pest or disease, a pesticide treatment plan shall be submitted and coordinated with the installation pest management program.

#### 3.6.1 Technical Representative

The certified installation pest management coordinator shall be the technical representative, and shall be present at all meetings concerning treatment measures for pest or disease control. They may be present during treatment application.

#### 3.6.2 Application

A state certified applicator shall apply required pesticides in accordance with EPA label restrictions and recommendations. Clothing and personal protective equipment shall be used as specified on the pesticide label. A closed system is recommended as it prevents the pesticide from coming into contact with the applicator or other persons. Water for formulating shall only come from designated locations. Filling hoses shall be fitted with a backflow preventer meeting local plumbing codes or standards. Overflow shall be prevented during the filling operation. Prior to each day of use, the equipment used for applying pesticide shall be inspected for leaks, clogging, wear, or damage. Any repairs are to be performed immediately.

#### 3.7 RESTORATION AND CLEAN UP

## 3.7.1 Restoration

Existing turf areas, pavements, and facilities that have been damaged from the sodding operation shall be restored to original condition at Contractor's expense.

## 3.7.2 Clean Up

Excess and waste material shall be removed from the sodded areas and shall be disposed offsite. Adjacent paved areas shall be cleaned.

## 3.8 PROTECTION OF INSTALLED AREAS

Immediately upon completion of the sodding operation in an area, the area shall be protected against traffic or other use by erecting barricades and providing signage as required, or as directed. Signage shall be in accordance with Section 10430A EXTERIOR SIGNAGE.

#### 3.9 SOD ESTABLISHMENT PERIOD

#### 3.9.1 Commencement

The sod establishment period to obtain a healthy stand of grass plants shall commence on the first day of sodding work under this contract and shall continue through the remaining life of the contract and end 6 months after the last day of sodding operation required by this contract. Written calendar time period shall be furnished for the sod establishment period. When there is more than 1 sod establishment period, the boundaries of the sodded area covered for each period shall be described. The sod establishment period should be coordinated with Sections 02921A SEEDING. The sod establishment period shall be modified for inclement weather, shut down periods, or for separate completion dates of areas.

## 3.9.2 Satisfactory Stand of Grass Plants

Grass plants shall be evaluated for species and health. A satisfactory stand of grass plants from the sodding operation shall be living sod uniform in color and leaf texture. Bare spots shall be a maximum 2 inch square. Joints between sod pieces shall be tight and free from weeds and other undesirable growth.

## 3.9.3 Maintenance During Establishment Period

Maintenance of the sodded areas shall include eradicating weeds, insects and diseases; protecting embankments and ditches from surface erosion; maintaining erosion control materials and mulch; protecting installed areas from traffic; mowing; watering; and post-fertilization.

## 3.9.3.1 Mowing

Sodded areas shall be moved to a minimum 3 inch height when the turf is a maximum 4 inch height. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.

## 3.9.3.2 Post-Fertilization

The fertilizer shall be applied as recommended by the soil test. A maximum

1/2 pound per 1000 square feet of actual available nitrogen shall be provided to the grass plants. The application shall be timed prior to the advent of winter dormancy and shall be made without burning the installed grass plants.

## 3.9.3.3 Pesticide Treatment

Treatment for disease or pest shall be in accordance with paragraph APPLICATION OF PESTICIDE.

#### 3.9.3.4 Repair

Unsatisfactory stand of grass plants shall be repaired or reinstalled, and eroded areas shall be repaired in accordance with paragraph SITE PREPARATION.

## 3.9.3.5 Maintenance Record

A record of each site visit shall be furnished which describes the maintenance work performed; areas repaired or reinstalled; and diagnosis for unsatisfactory stand of grass plants.

-- End of Section --

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SECTION 02936

TURF - BERMUDA GRASS SEEDING (For Fort Bragg and Pope AFB) 12/97

## PART 1 GENERAL

#### 1.1 DESCRIPTION OF WORK

This work shall consist of complete ground preparation and establishment of a permanent cover of grass on all open earth areas disturbed under this contract or previously bare. The work shall conform to this specification and shall be carefully coordinated with the site grading operations and erosion control work shown on the drawings and/or as covered in the specifications.

#### 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AGRICULTURAL MARKETING SERVICE (AMS)

AMS-01 (Amended thru: Aug 1988) Federal Seed Act

Regulations (Part 201-202)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 977 (1991) Emulsified Asphalt

ASTM D 2028 (1976; R 1992) Cutback Asphalt

(Rapid-Curing Type)

COMMERCIAL ITEM DESCRIPTIONS (CID)

CID A-A-1909 (Basic; Notice 1) Fertilizer

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Records and Test Data

A copy of all records and test data required herein and in paragraph QUALITY CONTROL and the records of corrective action taken, shall be furnished the Contracting Officer.

SD-07 Certificates

Certificates of compliance certifying that materials meet the requirements specified, prior to the delivery of materials. Certified copies of the reports for the following materials shall be included:

Seed

For mixture, percent pure live seed, minimum percent germination and hard seed, maximum percent weed seed content, date tested and state certification.

Fertilizer

For chemical analysis, composition percent.

Agricultural Limestone

For calcium carbonate equivalent and sieve analysis.

Asphalt Adhesive

For compliance with ASTM D 977 and ASTM D 2028.

Topsoil

For pH, particle size, chemical analysis and mechanical analysis.

- 1.4 DELIVERY, INSPECTION, STORAGE, AND HANDLING
- 1.4.1 Delivery
- 1.4.1.1 Topsoil

A soil test shall be provided for topsoil delivered to the site.

## 1.4.1.2 Soil Amendments

Soil amendments shall be delivered to the site in the original, unopened containers bearing the manufacturer's chemical analysis. In lieu of containers, soil amendments may be furnished in bulk. A chemical analysis shall be provided for bulk deliveries.

### 1.4.1.3 Pesticide

Pesticide material shall be delivered to the site in the original, unopened containers bearing legible labels indicating the Environmental Protection Agency (EPA) registration number and the manufacturer's registered uses.

## 1.4.2 Inspection

Seed shall be inspected upon arrival at the job site by the Contracting Officer for conformity to type and quality in accordance with paragraph MATERIALS. Unacceptable materials shall be removed from the job site.

### 1.4.3 Storage

Materials shall be stored in areas designated by the Contracting Officer. Seed, lime and fertilizer shall be stored in cool, dry locations away from

contaminants. Chemical treatment materials shall not be stored with other landscape materials.

#### 1.4.4 Handling

#### 1.4.4.1 Materials

Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

## PART 2 PRODUCTS

#### 2.1 MATERIALS

## 2.1.1 Topsoil

If not available onsite, topsoil shall be provided by the Contractor from approved "off site" sources. Topsoil shall be a sandy loam with a dark gray to grayish brown color and very friable, with a minimum organic content of 1 percent, a pH of 4.5 to 6.0 and be taken from the top 12 inches of its site location. The soil shall be free of subsoil, brush, weeds, stones, roots, stumps or any other substance that might harm plant growth. The soils which qualify are those classified by the Soil Conservation Service U.S. Department of Agriculture, as: AaA-Altavista fine sandy loam; By-Byars loam; Cf-Cape Fear loam; Co-Coxville loam; CT-Croatan muck; De-Deloss loam; ExA-Exum loam; Gr-Grantham loam; JT-Johnston loam; Mc-McColl loam; Pg-Pantego loam; Ro-Roanoke and Wahee loams; St-Stallings loamy sand; TR-Torhunta and Lynn Haven soils; WnB-Wickham fine sandy loam; and Wo-Woodington loamy sand. Topsoil shall be tested at several locations over the site to verify if satisfactory organic matter exists. Contractor has the option to add acceptable organic matter to obtain a soil with a minimum organic matter content of 1 percent by analysis. The assistance of the local soil conservation service (USDA), or agricultural extension service (county agent) office is recommended.

#### 2.1.2 Seed

#### 2.1.2.1 Seed Classification

State-certified seed of the latest season's crop shall be provided in original sealed packages bearing the producer's guaranteed analysis for percentages of mixture, purity, germination, hard seed, weed seed content, and inert material. Labels shall be in conformance with AMS-01 and applicable state seed laws. The producer's seed label shall show the minimum percent of pure live seed (which shall be 82.45 for Bermuda, 88 for Rye, and 83 for Kobe Lespedeza, the minimum percent of germination in hard seed and maximum percent of weed seed (no more than 1 percent for Bermuda and Tall Fescue, 0.5 percent for Bahia and Annual Rye). Seed shall be labeled in conformance with U.S. Department of Agriculture rules and regulations under the AMS-01 and applicable State seed laws. Seed that has become wet, moldy, or otherwise damaged shall not be acceptable. Onsite seed mixing shall not be allowed, unless in the presence of the Quality Control Manager.

## 2.1.3 Soil Amendments

Soil amendments shall consist of lime, fertilizer, organic soil amendments and soil conditioners meeting the following requirements.

### 2.1.3.1 Lime

Lime shall be agricultural grade, dolomitic limestone meeting requirements of the North Carolina Lime Law.

#### 2.1.3.2 Fertilizer

Fertilizer shall be commercial grade, free flowing, uniform in composition and conforming to CID A-A-1909. Granular fertilizer shall conform to the North Carolina Fertilizer Law and shall bear the manufacturer's guaranteed statement of analysis. Granular fertilizer shall contain a minimum percentage by weight of 10 percent nitrogen, 20 percent phosphoric acid, and 20 percent potash. When slow release nitrogen forms are used in the fertilizer mixture, they shall be derived from sulfur-coated urea, urea formaldehyde, plastic or polymer-coated prills, or isobutylene diurea. Upon approval by the Contracting Officer, a different analysis of fertilizer may be used, provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis.

#### 2.1.4 Mulch

Mulch shall be free from weeds, mold, and other deleterious materials. Mulch shall be straw, hay, recycled paper or wood cellulose.

#### 2.1.4.1 Straw

Straw shall be stalks from oats, wheat, rye, barley, or rice furnished in air-dry condition and with a consistency for placing with commercial mulch-blowing equipment.

### 2.1.4.2 Hay

Hay shall be native hay, sudan-grass hay, broomsedge hay, or other herbaceous mowings furnished in an air-dry condition suitable for placing with commercial mulch-blowing equipment.

#### 2.1.4.3 Wood Cellulose Fiber

Wood cellulose fiber shall not contain any growth or germination-inhibiting factors and shall be dyed an appropriate color to facilitate visual metering during application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 4.5 to 6.0.

## 2.1.4.4 Wood Chips

Wood chips shall be chips or shredded bark with maximum particle size of 3/16 inch.

## 2.1.4.5 Paper Fiber Mulch

Paper fiber mulch shall be recycled news print that is shredded for the purpose of mulching seed.

### 2.1.5 Asphalt Adhesive

Asphalt adhesive for application with straw or hay mulch shall be grade CRS-1H or CRS-1, in accordance with current North Carolina Department of Transportation (NCDOT) Standard Specifications for Roads and Structures.

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Asphalt adhesive shall conform to the following:

## 2.1.5.1 Emulsified Asphalt

Conforming to ASTM D 977, Grade SS-1.

#### 2.1.5.2 Cutback Asphalt

Conforming to ASTM D 2028, designation RC-70.

#### 2.1.6 Water

Water shall not contain elements toxic to plant life.

### 2.1.7 Erosion Control Material

Where erosion control is indicated, the erosion control material shall conform to one or more of the following:

#### 2.1.7.1 Soil Erosion Control Blanket

Machine produced mat of wood excelsior formed from a web of interlocking wood fibers, covered on one side with either knitted straw blanket-like mat construction, covered with biodegradable plastic mesh, or interwoven biodegradable thread, plastic netting or twisted kraft paper cord netting.

#### 2.1.7.2 Soil Erosion Control Net

Heavy, twisted jute mesh weighing approximately 1.22 pounds per linear yard and 4 feet wide with mesh openings of approximately 1 inch square.

## PART 3 EXECUTION

#### 3.1 SEEDING TIMES AND CONDITIONS

#### 3.1.1 Seeding Time

Seed shall be sown from February 15 to April 30 for spring planting and from September 1 to October 31 for fall planting.

## 3.1.2 Turfing Conditions

Turf operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture or other unsatisfactory conditions prevail, the work shall be stopped when directed. When special conditions warrant a variance to the turf operations, proposed times shall be submitted to and approved by the Contracting Officer.

### 3.2 SITE PREPARATION

#### 3.2.1 Grading

The Contracting Officer shall verify that finished grades are as indicated on drawings, and the placing of topsoil and the smooth grading has been completed in accordance with Section 02300 EARTHWORK.

## 3.2.2 Topsoil

Provide a minimum of 2 inches topsoil either from the existing site or off-site sources. Contractor shall test the topsoil and provide a certification to the Contracting Officer stating which type of material was used and that a minimum layer/thickness of 2 inches was provided. Subsurface soil data boring logs, included in Appendix A to Attachment 1 of Section 00800 of these specifications, are for general information for topsoil use. Variation may exist in the subsurface between boring locations.

## 3.2.3 Tillage

## 3.2.3.1 Minimum Depth

Soil on slopes gentler than 3-horizontal-to-1-vertical shall be tilled to a minimum depth of 4 inches. On slopes between 3-horizontal-to-1-vertical and 1-horizontal-to-1 vertical, the soil shall be tilled to a minimum depth of 2 inches by scarifying with heavy rakes, or other method. On slopes 1-horizontal-to-1 vertical and steeper, no tillage is required. Tillage shall be accomplished by plowing, disking, harrowing, by the use of rototillage machinery or other approved operations until the condition of the soil is acceptable. If topsoil is placed on a cut slope section, then tillage shall break the textural plane of the cut to assure bonding with the cut surface. Undulations or irregularities in the surface shall be leveled before the next specified operation(s).

#### 3.3 SEEDING

#### 3.3.1 General

Prior to seeding, any previously prepared seedbed areas compacted or damaged by interim rain, traffic or other cause, shall be reworked to restore the ground condition previously specified. Seeding operations shall not take place when the wind velocity will prevent uniform seed distribution.

#### 3.3.2 Equipment Calibration

The equipment to be used and the methods of turfing shall be subject to the inspection and approval of the Contracting Officer prior to commencement of turfing operations. Immediately prior to the commencement of turfing operations, the Contractor shall conduct turfing equipment calibration tests in the presence of the Contracting Officer.

## 3.3.3 Applying Seed for Lawn Areas

The kinds of seed, the planting dates, and the rates of application of seed, fertilizer, and limestone shall be as stated below. All rates shown are in pounds per acre. Some areas may require only temporary cover because eventually they will be paved or be built on. Temporary cover is the 120 pounds of rye grain. (See drawings for location(s) of different types of seeding.)

65 pounds Common Bermuda Grass 125 pounds unhulled Common Bermuda Grass

- 1. Use hulled Common Bermuda Grass from March 1 August 31.
- 2. Use unhulled Common Bermuda Grass from September 1 February 28.

- 3. Use 120 pounds Rye Grain from September 1 February 28.
- 4. Add 4,000 pounds dolomitic lime and 1,000 pounds 10-20-20 fertilizer just prior to planting and incorporate lime and fertilizer 3 inches 6 inches deep in soil.
- 5. If hydroseeding, use 500 pounds 10-10-10 fertilizer. If slopes are steeper than 1 horizontal to 1 vertical, hydroseeding is allowable.
- 6. After acceptance of grass, Contractor shall topdress with 50 pounds nitrogen and 50 pounds potash between April 15 and October 15. Contractor shall notify Government Representative 24 hours prior to application for witnessing the top dressing operations.

### 3.3.4 Omitted

## 3.3.5 Seeding

Seed shall be broadcast uniformly by hand or by approved seeding equipment. One-half of the seed shall be sown in one direction, and the remainder shall be sown at right angles to the first sowing.

## 3.3.6 Compacting

Immediately after the seeding operations have been completed, the surface shall be compacted by a cultipacker, roller, or other approved equipment. The above compaction operations apply, except when hydraulic equipment, in combination with wood cellulose fiber mulch or recycled paper or cardboard product mulch and fertilizer is used for hydroseeding operations.

## 3.3.7 Mulching

Mulch shall be spread uniformly in a continuous blanket, using 2-1/2 tons straw or hay per acre and crimped to stabilize. If grades, slopes or other conditions prohibit crimping, spread mulch by hand and apply asphalt adhesive. Around buildings and other areas subject to pedestrian traffic, a nonasphaltic tackifier shall be used in lieu of the asphalt adhesive.

## 3.3.8 Watering

Following seeding and mulching the seed bed will be thoroughly soaked in the top 6 inches. Daily light watering of the seeded areas during periods of no rainfall shall be done during the first 3 weeks. Moisture shall be maintained in the upper 1 inch of soil. After the seedlings germinate and develop, reduce watering to two times a week to moisten the soil to a depth of 4 inches. Watering will be in the middle of the day to the early afternoon. Apply water slowly to prevent runoff.

### 3.3.9 Protection of Seeded Areas

Immediately after seeding, the area shall be positively protected against traffic or other use by erecting barricades, as required. Approved warning signs shall be placed at appropriate intervals, as directed, until final acceptance.

### 3.3.10 Mowing

Grass will be moved to maintain a height of 2-1/2 inches to 3 inches when the height of the turf becomes 4 inches. Clippings will be removed when

the amount of the cut turf is heavy enough to damage the turfed areas.

#### 3.4 EROSION CONTROL

#### 3.4.1 Erosion Control Material

Erosion control material, where indicated or required, shall be installed in accordance with manufacturer's instructions. Placement of the erosion control material shall be accomplished without damage to installed material or without deviation to finished grade.

#### 3.4.2 Temporary Turf Cover

## 3.4.2.1 General

When there are contract delays in the turfing operation or a quick cover is required to prevent erosion, the areas designated for turf shall be seeded with a temporary seed as directed by the Contracting Officer.

## 3.4.2.2 Application

When no other turfing materials have been applied, the quantity of one half of the required soil amendments shall be applied and the area tilled in accordance with paragraph SITE PREPARATION. Seed shall be uniformly broadcast and applied at the rate of 20 pounds per 1,000 square feet. The area shall be watered as required.

## 3.5 ACCEPTANCE

## 3.5.1 Proper Stand of Turf

A stand of turf is considered acceptable when the new growing sprouts are visible at the surface showing not less than 20 seedlings of permanent grass at least 2 inches long in each square foot, and where no gaps larger than 4 inches in diameter occur anywhere in the lawn area. Permanent grass is defined as Common Bermuda, Tall Fescue and Pensacola Bahia.

## 3.5.2 Warranty

There is no 1 year warranty for maintenance after acceptance of grass.

## 3.6 QUALITY CONTROL

During construction, an established system of quality control shall be maintained. To assure compliance with contract requirements and the maintenance of records of all materials, equipment, and construction operations, quality control shall include but not be limited to the following:

Topsoil -- Source, quality/acceptability and maximum depth to be stripped.

Seeding -- Specified species planted at proper rates; preparation of planting bed as to thoroughness of tillage, leveling and depth of planting.

Mulching -- Types and rates of application.

Satisfactory stand of grass -- Coverage of the planted species at the end of the specified growth period, and the maintenance procedures, including supplemental fertilization.

-- End of Section --

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## SECTION 02975

#### GUARDRAIL

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#### SECTION 02975

## GUARDRAIL 12/02

#### PART 1 GENERAL

#### 1.1 GENERAL REQUIREMENTS

Guardrail shall be installed at the locations indicated. No guardrail product shall be used until shop drawings, specifications and test reports, as required, are approved by the Contractor Quality Control Team. At the option of the Contractor, either Beam Guardrail Safety Beam or any equal brand of guardrail may be used, with either reinforced concrete posts or structural steel posts. Only one type of guardrail and one type of quardrail post shall be used in the work.

#### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Guardrail Products;

Connections, Anchors and Details;

SD-04 Samples

Paint Materials; G, RE

SD-06 Test Reports

Records and Test Data (Quality Control);

#### PART 2 PRODUCTS

#### 2.1 RAIL ELEMENT

See section 1046-1, Guard Rail Materials, of NC DOT Standard Specifications for Roads and Structures.

#### 2.2 BOLTS AND HARDWARE

See section 1046-3, Hardware, of NC DOT Standard Specifications for Roads and Structures.

### 2.3 OMITTED

## 2.4 STRUCTURAL STEEL POSTS

See section 1046-2, Posts and Offset Blocks, of NC DOT Standard Specifications from Roads and Structures.

## PART 3 EXECUTION

### 3.1 ERECTION OF GUARDRAIL

#### 3.1.1 Erection of Posts

The posts shall be set vertically to the full depth shown, and accurately spaced and lined. The post holes shall be backfilled with suitable material which shall be thoroughly compacted in 6 inch layers by tamping. If posts, after setting, are found to be in improper position with reference to alignment or grade, they shall be removed and reset. The top inside edges of the posts shall be set to within one-quarter of an inch of correct vertical and horizontal alignment as determined from the four nearest posts.

## 3.1.2 Erection of Rail

All metal shall be fabricated in the shop. No punching, cutting, burning or welding shall be done in the field. Holes for special details in exceptional cases may be made in the field when approved, but such holes shall be drilled. Field punching may be permitted if approved after it has been demonstrated that punching will not result in damage to the surrounding metal. The rail shall be erected as shown on the drawings except that the height above the shoulder at sharp breaks in grade and at transition sections may vary a maximum of 1 inch from the height shown. The rail shall be erected in a manner resulting in a smooth, continuous, taut rail conforming to the line and grade shown, except that rail of the beam type need not be under tension.

## 3.1.3 Connections, Anchors and Details

Shop drawings of all connections, anchors and details shall be approved by the Contractor Quality Control Team before beginning construction of guardrail. If steel posts are used, anchors shall be provided on end posts and on posts adjacent to end posts.

## 3.1.4 Painting

All nuts, bolts, and other hardware shall receive two field coats of aluminum paint. If steel posts are used, the guardrail posts and guardrail element shall receive one shop coat of red lead in oil and two field coats of aluminum paint. If concrete guard posts or galvanized rail elements together with galvanized nuts, bolts and hardware are used, shop and field painting will not be required. Any damage to the galvanization shall be promptly repaired. Paint shall be applied only on a dry, clean surface free from scale or rust. In no case shall a succeeding coat be applied until the previous coat has dried throughout the full thickness of the paint film. Painting shall not be allowed during damp weather nor when the air temperature in the shade is less than 40 degrees F. Paint shall be stirred frequently during use in order to keep the pigments from settling. Painting shall be done in a neat and workmanlike manner and may be applied with hand brushes or by spraying. By either method the coating of paint applied shall be smoothly and uniformly spread so that no excess paint will collect at any point. Samples of all paint materials shall be approved before being used in the work. All tests shall be at the expense of the Contractor. Red lead paint for the shop coat shall conform to Fed. Spec.

TT-P 86, Type I. Aluminum paint shall either comply with requirements of Fed. Spec. TT-P-38, or shall consist of aluminum pigment conforming to Fed. Spec. TT-P 320, Type II, Class B, mixed with aluminum paint mixing varnish conforming to Fed. Spec. TT V 81, Type II, Class B, in proportions of 2 pounds of pigment to 1 gallon of varnish.

#### 3.1.5 Quality Control

During construction an established system of quality control shall be maintained. To assure compliance with contract requirements and the maintenance of records of all materials, equipment and construction operations, quality control shall include but not be limited to the following:

> Alignment Connections Depth of posts Painting

A copy of all records required herein, and the records of corrective action taken, shall be furnished the Contracting Officer.

#### 3.1.6 Construction Methonds for Guardrail

For construction methods for guardrail not specifically mentioned above, section 862-3 of NC DOT Standard Specifications for Roads and Structures shall govern.

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## SECTION 03100A

# STRUCTURAL CONCRETE FORMWORK 05/98

### PART 1 GENERAL

### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 347R

(1994) Guide to Formwork for Concrete

U.S. DEPARTMENT OF COMMERCE (DOC)

PS-1

(1996) Voluntary Product Standard - Construction and Industrial Plywood

### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Formwork; G, RE

Drawings showing details of formwork, including dimensions of fiber voids, joints, supports, studding and shoring, and sequence of form and shoring removal.

SD-03 Product Data

Design; G, RE

Design analysis and calculations for form design and methodology used in the design.

Form Materials;

Manufacturer's data including literature describing form materials, accessories, and form releasing agents.

Form Releasing Agents;

Manufacturer's recommendation on method and rate of application of form releasing agents.

SD-07 Certificates

Fiber Voids;

Certificates attesting that fiber voids conform to the specified requirements.

#### 1.3 DESIGN

Formwork shall be designed in accordance with methodology of ACI 347R for anticipated loads, lateral pressures, and stresses. Forms shall be capable of producing a surface which meets the requirements of the class of finish specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Forms shall be capable of withstanding the pressures resulting from placement and vibration of concrete.

### STORAGE AND HANDLING

Fiber voids shall be stored above ground level in a dry location. Fiber voids shall be kept dry until installed and overlaid with concrete.

#### PART 2 PRODUCTS

#### 2.1 FORM MATERIALS

### 2.1.1 Forms For Class B Finish

Forms for Class B finished surfaces shall be plywood panels conforming to PS-1, Grade B-B concrete form panels, Class I or II. Other form materials or liners may be used provided the smoothness and appearance of concrete produced will be equivalent to that produced by the plywood concrete form panels. Forms for round columns shall be the prefabricated seamless type.

#### 2.1.2 Omitted

#### 2.1.3 Forms For Class D Finish

Forms for Class D finished surfaces, except where concrete is placed against earth, shall be wood or steel or other approved concrete form material.

#### 2.1.4 Omitted

#### 2.1.5 Omitted

#### 2.1.6 Form Ties

Form ties shall be factory-fabricated metal ties, shall be of the removable or internal disconnecting or snap-off type, and shall be of a design that will not permit form deflection and will not spall concrete upon removal. Solid backing shall be provided for each tie. Except where removable tie rods are used, ties shall not leave holes in the concrete surface less than 1/4 inch nor more than 1 inch deep and not more than 1 inch in diameter. Removable tie rods shall be not more than 1-1/2 inches in diameter.

#### 2.1.7 Form Releasing Agents

Form releasing agents shall be commercial formulations that will not bond

with, stain or adversely affect concrete surfaces. Agents shall not impair subsequent treatment of concrete surfaces depending upon bond or adhesion nor impede the wetting of surfaces to be cured with water or curing compounds.

### PART 3 EXECUTION

#### 3.1 INSTALLATION

### 3.1.1 Formwork

Forms shall be mortar tight, properly aligned and adequately supported to produce concrete surfaces meeting the surface requirements specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE and conforming to construction tolerance given in TABLE 1. Where concrete surfaces are to have a Class B finish, joints in form panels shall be arranged as approved. Where forms for continuous surfaces are placed in successive units, the forms shall fit over the completed surface to obtain accurate alignment of the surface and to prevent leakage of mortar. Forms shall not be reused if there is any evidence of surface wear and tear or defects which would impair the quality of the surface. Surfaces of forms to be reused shall be cleaned of mortar from previous concreting and of all other foreign material before reuse. Form ties that are to be completely withdrawn shall be coated with a nonstaining bond breaker.

#### 3.2 CHAMFERING

Except as otherwise shown, external corners that will be exposed shall be chamfered, beveled, or rounded by moldings placed in the forms.

### 3.3 COATING

Forms for Class B finished surfaces shall be coated with a form releasing agent before the form or reinforcement is placed in final position. The coating shall be used as recommended in the manufacturer's printed or written instructions. Forms for Class C and D finished surfaces may be wet with water in lieu of coating immediately before placing concrete, except that in cold weather with probable freezing temperatures, coating shall be mandatory. Surplus coating on form surfaces and coating on reinforcing steel and construction joints shall be removed before placing concrete.

## 3.4 REMOVAL OF FORMS

Forms shall be removed preventing injury to the concrete and ensuring the complete safety of the structure. Formwork for columns, walls, side of beams and other parts not supporting the weight of concrete may be removed when the concrete has attained sufficient strength to resist damage from the removal operation but not before at least 24 hours has elapsed since concrete placement. Supporting forms and shores shall not be removed from beams, floors and walls until the structural units are strong enough to carry their own weight and any other construction or natural loads. Supporting forms or shores shall not be removed before the concrete strength has reached 70 percent of design strength, as determined by field cured cylinders or other approved methods. This strength shall be demonstrated by job-cured test specimens, and by a structural analysis considering the proposed loads in relation to these test strengths and the strength of forming and shoring system. The job-cured test specimens for form removal purposes shall be provided in numbers as directed and shall be in addition to those required for concrete quality control. The specimens

shall be removed from molds at the age of  $24\ \mathrm{hours}$  and shall receive, insofar as possible, the same curing and protection as the structures they represent.

## TABLE 1

## TOLERANCES FOR FORMED SURFACES

1.	Variations from the plumb:	In any 10 feet of length 1/4 inch
	a. In the lines and surfaces of columns, piers, walls and in arises	Maximum for entire length 1 inch
	<ul><li>b. For exposed corner columns,control-joint grooves, and other conspicuous lines</li></ul>	In any 20 feet of length 1/4 inch Maximum for entire length 1/2 inch
2.	Variation from the level or from the grades indicated on the drawings:	In any 10 feet of length1/4 inch In any bay or in any 20 feet of length 3/8 inch
	a. In slab soffits, ceilings, beam soffits, and in arises, measured before removal of supporting shores	Maximum for entire length 3/4 inch
	<ul><li>b. In exposed lintels, sills, parapets, horizontal grooves, and other conspicuous lines</li></ul>	In any bay or in any 20 feet of length 1/4 inch Maximum for entire length 1/2 inch
3.	Variation of the linear building lines from established position in plan	In any 20 feet 1/2 inch Maximum1 inch
4.	Variation of distance between walls, columns, partitions	1/4 inch per 10 feet of distance, but not more than $1/2$ inch in any one bay, and not more than 1 inch total variation
5.	Variation in the sizes and locations of sleeves, floor openings, and wall opening	Minus 1/4 inch Plus 1/2 inch
6.	Variation in cross-sectional dimensions of columns and beams and in the thickness of slabs and walls	Minus 1/4 inch Plus 1/2 inch

## TABLE 1

## TOLERANCES FOR FORMED SURFACES

## 7. Footings:

	a.	Variation of dimensions in plan	Minus 1/2 inch Plus 2 inches when formed or plus 3 inches when placed against unformed excavation
	b.	Misplacement of eccentricity	2 percent of the footing width in the direction of misplacement but not more than 2 inches
	c.	Reduction in thickness of specified thickness	Minus 5 percent
8.	Var	iation in steps:	Riser 1/8 inch
	a.	In a flight of stairs	Tread 1/4 inch
	b.	In consecutive steps	Riser 1/16 inch Tread 1/8 inch

<sup>--</sup> End of Section --

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### SECTION 03150A

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UFGS-03150A (May 1998)

## SECTION 03150A

## EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS 05/98

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

## AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 919	(1984; R 1998) Use of Sealants in Acoustical Applications
ASTM C 920	(1998) Elastomeric Joint Sealants
ASTM D 412	(1998a) Vulcanized Rubber and Thermoplastic Rubbers and Thermoplastic Elastomers - Tension
ASTM D 471	(1998el) Rubber Property - Effect of Liquids
ASTM D 1190	(1997) Concrete Joint Sealer, Hot-Applied Elastic Type
ASTM D 1191	(1984; R 1994el)

Concrete	Toint	2001	ora

ASTM D 1751	(1999) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 1752	(1984; R 1996el) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D 2628	(1991; R 1998) Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements
ASTM D 2835	(1989; R 1998) Lubricant for Installation of Preformed Compression Seals in Concrete Pavements
ASTM D 5249	(1995) Backer Material for Use With Cold and Hot-Applied Joint Sealants in Portland-Cement Concrete and Asphalt Joints

## U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 513	(1974) Corps of Engineers Specifications for Rubber Waterstops
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstop

### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Waterstops; G, RE

Shop drawings and fabrication drawings provided by the manufacturer or prepared by the Contractor.

SD-03 Product Data

Preformed Expansion Joint Filler; G, RE Sealant; G, RE Waterstops; G, RE

Manufacturer's literature, including safety data sheets, for preformed fillers and the lubricants used in their installation; field-molded sealants and primers (when required by sealant manufacturer); preformed compression seals; and waterstops.

Manufacturer's recommended instructions for installing preformed

fillers, field-molded sealants; preformed compression seals; and waterstops; and for splicing non-metallic waterstops.

## SD-07 Certificates

Preformed Expansion Joint Filler; G, RE Sealant; G, RE Waterstops; G, RE

Certificates of compliance stating that the joint filler and sealant materials and waterstops conform to the requirements specified.

## 1.3 DELIVERY AND STORAGE

Material delivered and placed in storage shall be stored off the ground and protected from moisture, dirt, and other contaminants. Sealants shall be delivered in the manufacturer's original unopened containers. Sealants whose shelf life has expired shall be removed from the site.

#### PART 2 PRODUCTS

#### 2.1 OMITTED

#### 2.2 PREFORMED EXPANSION JOINT FILLER

Expansion joint filler shall be preformed material conforming to ASTM D 1751 or ASTM D 1752. Unless otherwise indicated, filler material shall be 3/8 inch thick and of a width applicable for the joint formed. Backer material, when required, shall conform to ASTM D 5249.

#### 2.3 SEALANT

Joint sealant shall conform to the following:

2.3.1 Preformed Polychloroprene Elastomeric Type

ASTM D 2628.

2.3.2 Lubricant for Preformed Compression Seals

ASTM D 2835.

## 2.3.3 Hot-Poured Type

ASTM D 1190 tested in accordance with ASTM D 1191.

## 2.3.4 Field-Molded Type

ASTM C 920, Type M for horizontal joints or Type NS for vertical joints, Class 25, and Use NT. Bond breaker material shall be polyethylene tape, coated paper, metal foil or similar type materials. The back-up material shall be compressible, non-shrink, nonreactive with sealant, and non-absorptive material type such as extruded butyl or polychloroprene rubber.

## 2.4 WATERSTOPS

Intersection and change of direction waterstops shall be shop fabricated.

#### 2.4.1 Omitted

#### 2.4.2 Omitted

#### 2.4.3 Non-Metallic Materials`

Non-metallic waterstops shall be manufactured from a prime virgin resin; reclaimed material is not acceptable. The compound shall contain plasticizers, stabilizers, and other additives to meet specified requirements. Rubber waterstops shall conform to COE CRD-C 513. Polyvinylchloride waterstops shall conform to COE CRD-C 572. Thermoplastic elastomeric rubber waterstops shall conform to ASTM D 471.

#### 2.4.4 Non-Metallic Hydrophilic

Swellable strip type compound of polymer modified chloroprene rubber that swells upon contact with water shall conform to ASTM D 412 as follows: Tensile strength 420 psi minimum; ultimate elongation 600 percent minimum. Hardness shall be 50 minimum on the type A durometer and the volumetric expansion ratio in distilled water at 70 degrees F shall be 3 to 1 minimum.

#### PART 3 EXECUTION

#### 3.1 JOINTS

Joints shall be installed at locations indicated and as authorized.

### 3.1.1 Contraction Joints

Contraction joints may be constructed by cutting the concrete with a saw after concrete has set. Joints shall be approximately 1/8 inch wide and shall extend into the slab one-fourth the slab thickness, minimum, but not less than 1 inch.

#### 3.1.1.1 Omitted

#### Sawed Joints 3.1.1.2

Joint sawing shall be early enough to prevent uncontrolled cracking in the slab, but late enough that this can be accomplished without appreciable spalling. Concrete sawing machines shall be adequate in number and power, and with sufficient replacement blades to complete the sawing at the required rate. Joints shall be cut to true alignment and shall be cut in sequence of concrete placement. Sludge and cutting debris shall be removed.

#### 3.1.2 Expansion Joints

Preformed expansion joint filler shall be used in expansion and isolation joints in slabs around columns and between slabs on grade and vertical surfaces where indicated. The filler shall extend the full slab depth, unless otherwise indicated. The edges of the joint shall be neatly finished with an edging tool of 1/8 inch radius, except where a resilient floor surface will be applied. Where the joint is to receive a sealant, the filler strips shall be installed at the proper level below the finished floor with a slightly tapered, dressed and oiled wood strip temporarily secured to the top to form a recess to the size shown on the drawings. The wood strip shall be removed after the concrete has set. Contractor may opt

to use a removable expansion filler cap designed and fabricated for this purpose in lieu of the wood strip. The groove shall be thoroughly cleaned of laitance, curing compound, foreign materials, protrusions of hardened concrete, and any dust which shall be blown out of the groove with oil-free compressed air.

#### 3.1.3 Joint Sealant

Sawed contraction joints and expansion joints in slabs shall be filled with joint sealant, unless otherwise shown. Joint surfaces shall be clean, dry, and free of oil or other foreign material which would adversely affect the bond between sealant and concrete. Joint sealant shall be applied as recommended by the manufacturer of the sealant.

#### Joints With Preformed Compression Seals 3.1.3.1

Compression seals shall be installed with equipment capable of installing joint seals to the prescribed depth without cutting, nicking, twisting, or otherwise distorting or damaging the seal or concrete and with no more than 5 percent stretching of the seal. The sides of the joint and, if necessary, the sides of the compression seal shall be covered with a coating of lubricant. Butt joints shall be coated with liberal applications of lubricant.

#### 3.1.3.2 Joints With Field-Molded Sealant

Joints shall not be sealed when the sealant material, ambient air, or concrete temperature is less than 40 degrees F. When the sealants are meant to reduce the sound transmission characteristics of interior walls, ceilings, and floors the guidance provided in ASTM C 919 shall be followed. Joints requiring a bond breaker shall be coated with curing compound or with bituminous paint. Bond breaker and back-up material shall be installed where required. Joints shall be primed and filled flush with joint sealant in accordance with the manufacturer's recommendations.

#### 3.2 WATERSTOPS, INSTALLATION AND SPLICES

Waterstops shall be installed at the locations shown to form a continuous water-tight diaphragm. Adequate provision shall be made to support and completely protect the waterstops during the progress of the work. Any waterstop punctured or damaged shall be repaired or replaced. Exposed waterstops shall be protected during application of form release agents to avoid being coated. Suitable guards shall be provided to protect exposed projecting edges and ends of partially embedded waterstops from damage when concrete placement has been discontinued. Splices shall be made by certified trained personnel using approved equipment and procedures.

#### 3.2.1 Omitted

#### 3.2.2 Omitted

#### 3.2.3 Non-Metallic

Fittings shall be shop made using a machine specifically designed to mechanically weld the waterstop. A miter guide, proper fixturing (profile dependant), and portable power saw shall be used to miter cut the ends to be joined to ensure good alignment and contact between joined surfaces. The splicing of straight lengths shall be done by squaring the ends to be joined. Continuity of the characteristic features of the cross section of

the waterstop (ribs, tabular center axis, protrusions, etc.) shall be maintained across the splice.

## 3.2.3.1 Rubber Waterstop

Splices shall be vulcanized or shall be made using cold bond adhesive as recommended by the manufacturer. Splices for TPE-R shall be as specified for PVC.

## 3.2.3.2 Polyvinyl Chloride Waterstop

Splices shall be made by heat sealing the adjacent waterstop edges together using a thermoplastic splicing iron utilizing a non-stick surface specifically designed for waterstop welding. The correct temperature shall be used to sufficiently melt without charring the plastic. The spliced area, when cooled, shall show no signs of separation, holes, or other imperfections when bent by hand in as sharp an angle as possible.

## 3.2.3.3 Quality Assurance

Edge welding will not be permitted. Centerbulbs shall be compressed or closed when welding to non-centerbulb type. Waterstop splicing defects which are unacceptable include, but are not limited to the following: 1) Tensile strength less than 80 percent of parent section. 2) Free lap joints. 3) Misalignment of centerbulb, ribs, and end bulbs greater than 1/16 inch. 4) Misalignment which reduces waterstop cross section more than 15 percent. 5) Bond failure at joint deeper than 1/16 inch or 15 percent of material thickness. 6) Misalignment of waterstop splice resulting in misalignment of waterstop in excess of 1/2 inch in 10 feet. 7) Visible porosity in the weld area, including pin holes. 8) Charred or burnt material. 9) Bubbles or inadequate bonding. 10) Visible signs of splice separation when cooled splice is bent by hand at a sharp angle.

## 3.2.4 Non-Metallic Hydrophilic Waterstop Installation

Ends to be joined shall be miter cut with sharp knife or shears. The ends shall be adhered with cyanacryiate (super glue) adhesive. When joining hydrophilic type waterstop to PVC waterstop, the hydrophilic waterstop shall be positioned as shown on the drawings. A liberal amount of a single component hydrophilic sealant shall be applied to the junction to complete the transition.

### 3.2.5 Omitted

### 3.3 CONSTRUCTION JOINTS

Construction joints are specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE except that construction joints coinciding with expansion and contraction joints shall be treated as expansion or contraction joints as applicable.

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## SECTION 03200A

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## 09/97

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UFGS-03200A (September 1997)

## SECTION 03200A

## CONCRETE REINFORCEMENT 09/97

## PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 318/318R (1999) Building Code Requirements for Structural Concrete and Commentary

## AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53	(1999) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 184/A 184M	(1996) Fabricated Deformed Steel Bar Mats for Concrete Reinforcement
ASTM A 185	(1997) Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
ASTM A 615/A 615M	(1996a) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement
ASTM A 675/A 675M	(1990a; R 1995el) Steel Bars, Carbon, Hot-Wrought, Special Quality, Mechanical Properties

## CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI MSP-1 (1996) Manual of Standard Practice

#### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

## SD-02 Shop Drawings

## Reinforcement; G, AE

Detail drawings showing reinforcing steel placement, schedules, sizes, grades, and splicing and bending details. Drawings shall

show support details including types, sizes and spacing.

### SD-07 Certificates

Reinforcing Steel;

Certified copies of mill reports attesting that the reinforcing steel furnished contains no less than 25 percent recycled scrap steel and meets the requirements specified herein, prior to the installation of reinforcing steel.

### 1.3 OMITTED

### 1.4 DELIVERY AND STORAGE

Reinforcement and accessories shall be stored off the ground on platforms, skids, or other supports.

### PART 2 PRODUCTS

### 2.1 DOWELS

Dowels shall conform to ASTM A 675/A 675M, Grade 80. Steel pipe conforming to ASTM A 53, Schedule 80, may be used as dowels provided the ends are closed with metal or plastic inserts or with mortar.

### 2.2 FABRICATED BAR MATS

Fabricated bar mats shall conform to ASTM A 184/A 184M.

### 2.3 REINFORCING STEEL

Reinforcing steel shall be deformed bars conforming to ASTM A 615/A 615M, grades and sizes as indicated.

#### 2.4 WELDED WIRE FABRIC

Welded wire fabric shall be provided in flat sheets only and conform to  ${\tt ASTM}$  A 185.

## 2.5 WIRE TIES

Wire ties shall be 16 gauge or heavier black annealed steel wire.

### 2.6 SUPPORTS

Bar supports for formed surfaces shall be designed and fabricated in accordance with CRSI MSP-1 and shall be steel or precast concrete blocks. Precast concrete blocks shall have wire ties and shall be not less than 4 inches square when supporting reinforcement on ground. Precast concrete block shall have compressive strength equal to that of the surrounding concrete. Where concrete formed surfaces will be exposed to weather or where surfaces are to be painted, steel supports within 1/2 inch of concrete surface shall be galvanized, plastic protected or of stainless steel. Concrete supports used in concrete exposed to view shall have the same color and texture as the finish surface. For slabs on grade, supports shall be precast concrete blocks, plastic coated steel fabricated with bearing plates, or specifically designed wire-fabric supports fabricated of plastic.

### PART 3 EXECUTION

## 3.1 REINFORCEMENT

Reinforcement shall be fabricated to shapes and dimensions shown and shall conform to the requirements of ACI 318/318R. Reinforcement shall be cold bent unless otherwise authorized. Bending may be accomplished in the field or at the mill. Bars shall not be bent after embedment in concrete. Safety caps shall be placed on all exposed ends of vertical concrete reinforcement bars that pose a danger to life safety. Wire tie ends shall face away from the forms.

#### 3.1.1 Placement

Reinforcement shall be free from loose rust and scale, dirt, oil, or other deleterious coating that could reduce bond with the concrete. Reinforcement shall be placed in accordance with ACI 318/318R at locations shown plus or minus one bar diameter. Reinforcement shall not be continuous through expansion joints and shall be as indicated through construction or contraction joints. Concrete coverage shall be as indicated or as required by ACI 318/318R. If bars are moved more than one bar diameter to avoid interference with other reinforcement, conduits or embedded items, the resulting arrangement of bars, including additional bars required to meet structural requirements, shall be approved before concrete is placed.

#### 3.1.2 Splicing

Splices of reinforcement shall conform to ACI 318/318R and shall be made only as required or indicated. Splicing shall be by lapping or by mechanical; except that lap splices shall not be used for bars larger than No. 11 unless otherwise indicated. Lapped bars shall be placed in contact and securely tied or spaced transversely apart to permit the embedment of the entire surface of each bar in concrete. Lapped bars shall not be spaced farther apart than one-fifth the required length of lap or 6 inches. Mechanical butt splices shall be in accordance with the recommendation of the manufacturer of the mechanical splicing device. Butt splices shall develop 125 percent of the specified minimum yield tensile strength of the spliced bars or of the smaller bar in transition splices. Bars shall be flame dried before butt splicing. Adequate jigs and clamps or other devices shall be provided to support, align, and hold the longitudinal centerline of the bars to be butt spliced in a straight line.

#### 3.2 WELDED-WIRE FABRIC PLACEMENT

Welded-wire fabric shall be placed in slabs as indicated. Fabric placed in slabs on grade shall be continuous between expansion, construction, and contraction joints. Fabric placement at joints shall be as indicated. Lap splices shall be made in such a way that the overlapped area equals the distance between the outermost crosswires plus 2 inches. Laps shall be staggered to avoid continuous laps in either direction. Fabric shall be wired or clipped together at laps at intervals not to exceed 4 feet. Fabric shall be positioned by the use of supports.

#### DOWEL INSTALLATION 3.3

Dowels shall be installed in slabs on grade at locations indicated and at right angles to joint being doweled. Dowels shall be accurately positioned and aligned parallel to the finished concrete surface before concrete placement. Dowels shall be rigidly supported during concrete placement. One end of dowels shall be coated with a bond breaker.

-- End of Section --

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UFGS-03300 (November 2001)

## SECTION 03300

## CAST-IN-PLACE STRUCTURAL CONCRETE 11/01

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

## ACI INTERNATIONAL (ACI)

ACI 117/117R	(1990; Errata) Standard Tolerances for Concrete Construction and Materials
ACI 211.1	(1991) Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete
ACI 214.3R	(1988; R 1997) Simplified Version of the Recommended Practice for Evaluation of Strength Test Results of Concrete
ACI 305R	(1999) Hot Weather Concreting
ACI 318/318R	(1999) Building Code Requirements for Structural Concrete and Commentary
AMERICAN ASSOCIATION OF (AASHTO)	STATE HIGHWAY AND TRANSPORTATION OFFICIALS
AASHTO M 182	(1991; R 1996) Burlap Cloth Made from Jute or Kenaf
AMERICAN SOCIETY FOR TES	STING AND MATERIALS (ASTM)
ASTM C 1059	(1999) Latex Agents for Bonding Fresh to Hardened Concrete
ASTM C 1064/C 1064M	(1999) Temperature of Freshly Mixed Portland Cement Concrete
ASTM C 1077	(1998) Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation
ASTM C 1107	(1999) Packaged Dry, Hydraulic-Cement Grout(Nonshrink)
ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse

Aggregates

ASTM C 143/C 143M	(2000) Slump of Hydraulic Cement Concrete
ASTM C 150	(1999a) Portland Cement
ASTM C 171	(1997a) Sheet Materials for Curing Concrete
ASTM C 172	(1999) Sampling Freshly Mixed Concrete
ASTM C 173	(1994ael) Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C 192/C 192M	(2000) Making and Curing Concrete Test Specimens in the Laboratory
ASTM C 231	(1997el) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(2000) Air-Entraining Admixtures for Concrete
ASTM C 309	(1998a) Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C 31/C 31M	(2000e1) Making and Curing Concrete Test Specimens in the Field
ASTM C 33	(1999ael) Concrete Aggregates
ASTM C 39/C 39M	(2001) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 42/C 42M	(1999) Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C 494/C 494M	(1999ael) Chemical Admixtures for Concrete
ASTM C 618	(2000) Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
ASTM C 78	(1994) Flexural Strength of Concrete (Using Simple Beam With Third-Point Loading)
ASTM C 881	(1999) Epoxy-Resin-Base Bonding Systems for Concrete
ASTM C 937	(1997) Grout Fluidifier for Preplaced-Aggregate Concrete
ASTM C 94/C 94M	(2000e2) Ready-Mixed Concrete
ASTM D 1751	(1999) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 75	(1987; R 1997) Sampling Aggregates

ASTM E 96 (2000) Water Vapor Transmission of Materials

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST HB 44 (1997) NIST Handbook 44: Specifications,

Tolerances, and other Technical

Requirements for Weighing and Measuring

Devices

NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)

NRMCA CPMB 100 (1996) Concrete Plant Standards \\$n/c\$\X

(1984) Quality Control Manual: Section 3, NRMCA QC 3

Plant Certifications Checklist:

Certification of Ready Mixed Concrete

Production Facilities

(1994) Truck Mixer Agitator and Front NRMCA TMMB 100

Discharge Concrete Carrier Standards

### U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 104	(1980) Method of Calculation of the Fineness Modulus of Aggregate
COE CRD-C 400	(1963) Requirements for Water for Use in Mixing or Curing Concrete
COE CRD-C 521	(1981) Standard Test Method for Frequency and Amplitude of Vibrators for Concrete
COE CRD-C 94	(1995) Surface Retarders

## 1.2 OMITTED

## 1.3 LUMP SUM CONTRACT

Under this type of contract concrete items will be paid for by lump sum and will not be measured. The work covered by these items consists of furnishing all concrete materials, reinforcement, miscellaneous embedded materials, and equipment, and performing all labor for the forming, manufacture, transporting, placing, finishing, curing, and protection of concrete in these structures.

### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Mixture Proportions; G, RE

The results of trial mixture design studies along with a statement giving the maximum nominal coarse aggregate size and the proportions of ingredients that will be used in the manufacture of each strength or class of concrete, at least 14 days prior to commencing concrete placing operations. Aggregate weights shall be based on the saturated surface dry condition. The statement shall be accompanied by test results from an approved independent commercial testing laboratory, showing that mixture design studies have been made with materials proposed for the project and that the proportions selected will produce concrete of the qualities indicated. No substitutions shall be made in the materials used in the mixture design studies without additional tests to show that the quality of the concrete is satisfactory.

## SD-06 Test Reports

Testing and Inspection for Contractor Quality Control; G, RE

Certified copies of laboratory test reports, including mill tests and all other test data, for portland cement, blended cement, pozzolan, ground granulated blast furnace slag, silica fume, aggregate, admixtures, and curing compound proposed for use on this project.

### SD-07 Certificates

Written documentation for Contractor Quality Control personnel.

## 1.5 QUALIFICATIONS

Contractor Quality Control personnel assigned to concrete construction shall be American Concrete Institute (ACI) Certified Workmen in one of the following grades or shall have written evidence of having completed similar qualification programs:

Concrete Field Testing Technician, Grade I Concrete Laboratory Testing Technician, Grade I or II Concrete Construction Inspector, Level II

Concrete Transportation Construction Inspector or Reinforced Concrete Special Inspector, Jointly certified by American Concrete Institute (ACI), Building Official and Code Administrators International (BOCA), International Conference of Building Officials (ICBO), and Southern Building Code Congress International (SBCCI).

The foreman or lead journeyman of the flatwork finishing crew shall have similar qualification for ACI Concrete Flatwork Technician/Finisher or equal, with written documentation.

- 1.6 OMITTED
- 1.7 OMITTED
- 1.8 GENERAL REQUIREMENTS
- 1.8.1 Tolerances

Except as otherwise specified herein, tolerances for concrete batching,

mixture properties, and construction as well as definition of terms and application practices shall be in accordance with ACI 117/117R. Level and grade tolerance measurements of slabs shall be made as soon as possible after finishing; when forms or shoring are used, the measurements shall be made prior to removal.

### 1.8.1.1 Floors

For the purpose of this Section the following terminology correlation between ACI 117/117R and this Section shall apply:

Floor Profile Quality Classification From ACI 117/117R This Section

Conventional Bullfloated Conventional Straightedged Flat Very Flat

Same Float Finish or Trowel Finish Same. Use only with F-system

Same

Levelness tolerance shall not apply where design requires floors to be sloped to drains or sloped for other reasons.

### 1.8.1.2 Omitted

## 1.8.1.3 Floors by the Straightedge System

The flatness of the floors shall be carefully controlled and the tolerances shall be measured by the straightedge system as specified in paragraph 4.5.7 of ACI 117/117R, using a 10 foot straightedge, within 72 hours after floor slab installation and before shores and/or forms are removed. The listed tolerances shall be met at any and every location at which the straightedge can be placed.

Float Finish All Interior Slabs

## 1.8.2 Strength Requirements and w/c Ratio

## 1.8.2.1 Strength Requirements

Specified compressive strength (f'c) shall be as follows:

COMPRESSIVE STRENGTH STRUCTURE OR PORTION OF STRUCTURE

4000 psi at 28 days Slabs on Grade 3000 psi at 28 days All Other Concrete

Concrete made with high-early strength cement shall have a 7-day strength equal to the specified 28-day strength for concrete made with Type I or II portland cement. Compressive strength shall be determined in accordance with ASTM C 39/C 39M. Flexural strength shall be determined in accordance with ASTM C 78.

a. Evaluation of Concrete Compressive Strength. Compressive strength specimens (6 by 12 inch cylinders) shall be fabricated by the Contractor and laboratory cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 39/C 39M. The strength of the concrete will be considered satisfactory so long as the

average of all sets of three consecutive test results equals or exceeds the specified compressive strength f'c and no individual test result falls below the specified strength f'c by more than 500 psi. A "test" is defined as the average of two companion cylinders, or if only one cylinder is tested, the results of the single cylinder test. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the structure is considered potentially deficient.

- b. Investigation of Low-Strength Compressive Test Results. When any strength test of standard-cured test cylinders falls below the specified strength requirement by more than 500 psi or if tests of field-cured cylinders indicate deficiencies in protection and curing, steps shall be taken to assure that the load-carrying capacity of the structure is not jeopardized. When the strength of concrete in place is considered potentially deficient, cores shall be obtained and tested in accordance with ASTM C 42/C 42M. At least three representative cores shall be taken from each member or area of concrete in place that is considered potentially deficient. The location of cores will be determined by the Contracting Officer to least impair the strength of the structure. Concrete in the area represented by the core testing will be considered adequate if the average strength of the cores is equal to at least 85 percent of the specified strength requirement and if no single core is less than 75 percent of the specified strength requirement. Non-destructive tests (tests other than test cylinders or cores) shall not be used as a basis for acceptance or rejection. The Contractor shall perform the coring and repair the holes. Cores will be tested by the Government.
- c. Load Tests. If the core tests are inconclusive or impractical to obtain or if structural analysis does not confirm the safety of the structure, load tests may be directed by the Contracting Officer in accordance with the requirements of ACI 318/318R. Concrete work evaluated by structural analysis or by results of a load test as being understrength shall be corrected in a manner satisfactory to the Contracting Officer. All investigations, testing, load tests, and correction of deficiencies shall be performed by and at the expense of the Contractor and must be approved by the Contracting Officer, except that if all concrete is found to be in compliance with the drawings and specifications, the cost of investigations, testing, and load tests will be at the expense of the Government.
- d. Evaluation of Concrete Flexural Strength. Flexural strength specimens (beams) shall be fabricated by the Contractor and laboratory cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 78. The strength of the concrete will be considered satisfactory so long as the average of all sets of three consecutive test results equals or exceeds the specified flexural strength and no individual test result falls below the specified flexural strength by more than 50 psi.A "test" is defined as the average of two companion beams. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the slab is considered potentially deficient.

### 1.8.2.2 Water-Cement Ratio

Maximum water-cement ratio (w/c) for normal weight concrete shall be as follows:

WATER-CEMENT RATIO, BY WEIGHT STRUCTURE OR PORTION OF STRUCTURE

0.45 All Concrete

These w/c's may cause higher strengths than that required above for compressive or flexural strength. The maximum w/c required will be the equivalent w/c as determined by conversion from the weight ratio of water to cement plus pozzolan, silica fume, and ground granulated blast furnace slag (GGBF slag) by the weight equivalency method as described in ACI 211.1. In the case where silica fume or GGBF slag is used, the weight of the silica fume and GGBF slag shall be included in the equations of ACI 211.1 for the term P which is used to denote the weight of pozzolan.

#### 1.8.3 Air Entrainment

All concrete shall be air entrained to contain between 4 and 7 percent total air, except that when the nominal maximum size coarse aggregate is 3/4 inch or smaller it shall be between 4.5 and 7.5 percent. Specified air content shall be attained at point of placement into the forms. Air content for normal weight concrete shall be determined in accordance with ASTM C 231.

#### 1.8.4 Slump

Slump of the concrete, as delivered to the point of placement into the forms, shall be within the following limits. Slump shall be determined in accordance with ASTM C 143/C 143M.

Structural Element	Slump Minimum ———	Maximum
Walls, columns and beams	2 in. 4 in.	
Foundation walls, substructure walls, footings, slabs	1 in. 3 in.	
Any structural concrete approved for placement by pumping: At pump At discharge of line	2 in. 6 in. 1 in. 4 in.	

#### 1.8.5 Concrete Temperature

The temperature of the concrete as delivered shall not exceed 90 degrees F. When the ambient temperature during placing is 40 degrees F or less, or is expected to be at any time within 6 hours after placing, the temperature of the concrete as delivered shall be between 55 and 75 degrees F.

#### 1.8.6 Size of Coarse Aggregate

The largest feasible nominal maximum size aggregate (NMSA) specified in

paragraph AGGREGATES shall be used in each placement. However, nominal maximum size of aggregate shall not exceed any of the following: three-fourths of the minimum cover for reinforcing bars, three-fourths of the minimum clear spacing between reinforcing bars, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.

## 1.8.7 Special Properties and Products

Concrete may contain admixtures other than air entraining agents, such as water reducers, superplasticizers, or set retarding agents to provide special properties to the concrete, if specified or approved. Any of these materials to be used on the project shall be used in the mix design studies.

## 1.9 MIXTURE PROPORTIONS

Concrete shall be composed of portland cement, other cementitious and pozzolanic materials as specified, aggregates, water and admixtures as specified.

## 1.9.1 Proportioning Studies for Normal Weight Concrete

Trial design batches, mixture proportioning studies, and testing requirements for various classes and types of concrete specified shall be the responsibility of the Contractor. Except as specified for flexural strength concrete, mixture proportions shall be based on compressive strength as determined by test specimens fabricated in accordance with ASTM C 192/C 192M and tested in accordance with ASTM C 39/C 39M. Samples of all materials used in mixture proportioning studies shall be representative of those proposed for use in the project and shall be accompanied by the manufacturer's or producer's test reports indicating compliance with these specifications. Trial mixtures having proportions, consistencies, and air content suitable for the work shall be made based on methodology described in ACI 211.1, using at least three different water-cement ratios for each type of mixture, which will produce a range of strength encompassing those required for each class and type of concrete required on the project. maximum water-cement ratios required in subparagraph Water-Cement Ratio will be the equivalent water-cement ratio as determined by conversion from the weight ratio of water to cement plus pozzolan, silica fume, and ground granulated blast furnace slag (GGBF slag) by the weight equivalency method as described in ACI 211.1. In the case where silica fume or GGBF slag is used, the weight of the silica fume and GGBF slag shall be included in the equations in ACI 211.1 for the term P, which is used to denote the weight of pozzolan. If pozzolan is used in the concrete mixture, the minimum pozzolan content shall be 15 percent by weight of the total cementitious material, and the maximum shall be 35 percent. Laboratory trial mixtures shall be designed for maximum permitted slump and air content. Separate sets of trial mixture studies shall be made for each combination of cementitious materials and each combination of admixtures proposed for use. No combination of either shall be used until proven by such studies, except that, if approved in writing and otherwise permitted by these specifications, an accelerator or a retarder may be used without separate trial mixture study. Separate trial mixture studies shall also be made for concrete for any conveying or placing method proposed which requires special properties and for concrete to be placed in unusually difficult placing locations. The temperature of concrete in each trial batch shall be reported. For each water-cement ratio, at least three test cylinders for each test age shall be made and cured in accordance withASTM C 192/C 192M. They shall be tested at 7 and 28 days in accordance with ASTM C 39/C

39M. From these test results, a curve shall be plotted showing the relationship between water-cement ratio and strength for each set of trial mix studies. In addition, a curve shall be plotted showing the relationship between 7 day and 28 day strengths. Each mixture shall be designed to promote easy and suitable concrete placement, consolidation and finishing, and to prevent segregation and excessive bleeding.

#### 1.9.2 Proportioning Studies for Flexural Strength Concrete

Trial design batches, mixture proportioning studies, and testing requirements shall conform to the requirements specified in paragraph Proportioning Studies for Normal Weight Concrete, except that proportions shall be based on flexural strength as determined by test specimens (beams) fabricated in accordance with ASTM C 192/C 192M and tested in accordance with ASTM C 78. Procedures given in ACI 211.1 shall be modified as necessary to accommodate flexural strength.

#### 1.9.3 Omitted

#### 1.9.4 Average Compressive Strength Required for Mixtures

The mixture proportions selected during mixture design studies shall produce a required average compressive strength (f'cr) exceeding the specified compressive strength (f'c) by the amount indicated below. This required average compressive strength, f'cr, will not be a required acceptance criteria during concrete production. However, whenever the daily average compressive strength at 28 days drops below f'cr during concrete production, or daily average 7-day strength drops below a strength correlated with the 28-day f'cr, the mixture shall be adjusted, as approved, to bring the daily average back up to f'cr. During production, the required f'cr shall be adjusted, as appropriate, based on the standard deviation being attained on the job.

#### 1.9.4.1 Computations from Test Records

Where a concrete production facility has test records, a standard deviation shall be established in accordance with the applicable provisions of ACI 214.3R. Test records from which a standard deviation is calculated shall represent materials, quality control procedures, and conditions similar to those expected; shall represent concrete produced to meet a specified strength or strengths (f'c) within 1,000 psi of that specified for proposed work; and shall consist of at least 30 consecutive tests. A strength test shall be the average of the strengths of two cylinders made from the same sample of concrete and tested at 28 days. Required average compressive strength f'cr used as the basis for selection of concrete proportions shall be the larger of the equations that follow using the standard deviation as determined above:

f'cr = f'c + 1.34S where units are in psi

f'cr = f'c + 2.33S - 500 where units are in psi

Where S = standard deviation

Where a concrete production facility does not have test records meeting the

requirements above but does have a record based on 15 to 29 consecutive tests, a standard deviation shall be established as the product of the calculated standard deviation and a modification factor from the following table:

NUMBER OF TESTS	MODIFICATION FACTOR FOR STANDARD DEVIATION
15	1.16
20	1.08
25	1.03
30 or more	1.00

#### 1.9.4.2 Computations without Previous Test Records

When a concrete production facility does not have sufficient field strength test records for calculation of the standard deviation, the required average strength f'cr shall be determined as follows:

a. If the specified compressive strength f'c is less than 3,000 psi,

f'cr = f'c + 1000 psi

b. If the specified compressive strength f'c is 3,000 to 5,000 psi,

f'cr = f'c + 1,200 psi

c. If the specified compressive strength f'c is over 5,000 psi,

f'cr = f'c + 1,400 psi

## 1.9.5 Average Flexural Strength Required for Mixtures

The mixture proportions selected during mixture design studies for flexural strength mixtures and the mixture used during concrete production shall be designed and adjusted during concrete production as approved, except that the overdesign for average flexural strength shall simply be 15 percent greater than the specified flexural strength at all times.

#### 1.10 STORAGE OF MATERIALS

Cement and other cementitious materials shall be stored in weathertight buildings, bins, or silos which will exclude moisture and contaminants and keep each material completely separated. Aggregate stockpiles shall be arranged and used in a manner to avoid excessive segregation and to prevent contamination with other materials or with other sizes of aggregates. Aggregate shall not be stored directly on ground unless a sacrificial layer is left undisturbed. Reinforcing bars and accessories shall be stored above the ground on platforms, skids or other supports. Other materials shall be stored in such a manner as to avoid contamination and deterioration. Admixtures which have been in storage at the project site for longer than 6 months or which have been subjected to freezing shall not be used unless retested and proven to meet the specified requirements. Materials shall be capable of being accurately identified after bundles or containers are opened.

#### 1.11 GOVERNMENT ASSURANCE INSPECTION AND TESTING

Day-to day inspection and testing shall be the responsibility of the

Contractor Quality Control (CQC) staff. However, representatives of the Contracting Officer can and will inspect construction as considered appropriate and will monitor operations of the Contractor's CQC staff. Government inspection or testing will not relieve the Contractor of any of his CQC responsibilities.

### 1.11.1 Materials

The Government will sample and test aggregates, cementitious materials, other materials, and concrete to determine compliance with the specifications as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with ASTM D 75. Other materials will be sampled from storage at the jobsite or from other locations as considered appropriate. Samples may be placed in storage for later testing when appropriate.

### 1.11.2 Fresh Concrete

Fresh concrete will be sampled as delivered in accordance with ASTM C 172 and tested in accordance with these specifications, as considered necessary.

### 1.11.3 Hardened Concrete

Tests on hardened concrete will be performed by the Government when such tests are considered necessary.

## 1.11.4 Inspection

Concrete operations may be tested and inspected by the Government as the project progresses. Failure to detect defective work or material will not prevent rejection later when a defect is discovered nor will it obligate the Government for final acceptance.

### PART 2 PRODUCTS

#### 2.1 CEMENTITIOUS MATERIALS

Cementitious Materials shall be portland cement, or portland cement in combination with pozzolan and shall conform to appropriate specifications listed below. Use of cementitious materials in concrete which will have surfaces exposed in the completed structure shall be restricted so there is no change in color, source, or type of cementitious material.

### 2.1.1 Portland Cement

ASTM C 150, Type I with a maximum 15 percent amount of tricalcium aluminate, or Type II.

### 2.1.2 Omitted

## 2.1.3 Omitted

## 2.1.4 Pozzolan (Fly Ash)

ASTM C 618, Class C with the optional requirements for multiple factor, drying shrinkage, and uniformity from Table 2A of ASTM C 618. If pozzolan is used, it shall never be less than 15 percent nor more than 35 percent by

weight of the total cementitious material. The Contractor shall comply with EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

## 2.2 AGGREGATES

Aggregates shall conform to the following.

## 2.2.1 Fine Aggregate

Fine aggregate shall conform to the quality and gradation requirements of  $ASTM\ C\ 33$ .

## 2.2.2 Coarse Aggregate

Coarse aggregate shall conform to ASTM C 33, Class 5S, size designation 67.

### 2.3 CHEMICAL ADMIXTURES

Chemical admixtures, when required or permitted, shall conform to the appropriate specification listed. Admixtures shall be furnished in liquid form and of suitable concentration for easy, accurate control of dispensing.

### 2.3.1 Air-Entraining Admixture

ASTM C 260 and shall consistently entrain the air content in the specified ranges under field conditions.

## 2.3.2 Accelerating Admixture

ASTM C 494/C 494M, Type C or E, except that calcium chloride or admixtures containing calcium chloride shall not be used.

## 2.3.3 Water-Reducing or Retarding Admixture

ASTM C 494/C 494M, Type A, B, or D, except that the 6-month and 1-year compressive and flexural strength tests are waived.

## 2.3.4 Omitted

### 2.3.5 Surface Retarder

COE CRD-C 94.

## 2.3.6 Expanding Admixture

Aluminum powder type expanding admixture conforming to ASTM C 937.

### 2.4 CURING MATERIALS

## 2.4.1 Impervious-Sheet

Impervious-sheet materials shall conform to ASTM C 171, type optional, except, that polyethylene sheet shall not be used.

## 2.4.2 Membrane-Forming Compound

Membrane-Forming curing compound shall conform to ASTM C 309, Type 1-D or 2, except that only a styrene acrylate or chlorinated rubber compound

meeting Class B requirements shall be used for surfaces that are to be painted or are to receive bituminous roofing, or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing, or flooring specified. Nonpigmented compound shall contain a fugitive dye, and shall have the reflective requirements in ASTM C 309 waived.

## 2.4.3 Burlap and Cotton Mat

Burlap and cotton mat used for curing shall conform to AASHTO M 182.

### 2.5 WATER

Water for mixing and curing shall be fresh, clean, potable, and free of injurious amounts of oil, acid, salt, or alkali, except that non-potable water may be used if it meets the requirements of COE CRD-C 400.

### 2.6 NONSHRINK GROUT

Nonshrink grout shall conform to ASTM C 1107, Grade B, and shall be a commercial formulation suitable for the proposed application.

### 2.7 NONSLIP SURFACING MATERIAL

Nonslip surfacing material shall consist of 55 percent, minimum, aluminum oxide or silicon-dioxide abrasive ceramically bonded together to form a homogeneous material sufficiently porous to provide a good bond with portland cement paste; or factory-graded emery aggregate consisting of not less than 45 percent aluminum oxide and 25 percent ferric oxide. The aggregate shall be well graded from particles retained on the No. 30 sieve to particles passing the No. 8 sieve.

## 2.8 LATEX BONDING AGENT

Latex agents for bonding fresh to hardened concrete shall conform to ASTM C 1059.

## 2.9 EPOXY RESIN

Epoxy resins for use in repairs shall conform to ASTM C 881, Type V, Grade 2. Class as appropriate to the existing ambient and surface temperatures.

## 2.10 EMBEDDED ITEMS

Embedded items shall be of the size and type indicated or as needed for the application. Dovetail slots shall be galvanized steel. Hangers for suspended ceilings shall be as specified in Section 09510 ACOUSTICAL CEILINGS. Inserts for shelf angles and bolt hangers shall be of malleable iron or cast or wrought steel.

## 2.11 OMITTED

### 2.12 OMITTED

#### 2.13 VAPOR BARRIER

Vapor barrier shall be polyethylene sheeting with a minimum thickness of 6 mils or other equivalent material having a vapor permeance rating not

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exceeding 0.5 perms as determined in accordance with ASTM E 96.

#### 2 14 JOINT MATERIALS

## 2.14.1 Joint Fillers, Sealers, and Waterstops

Expansion joint fillers shall be preformed materials conforming to ASTM D 1751. Materials for waterstops shall be in accordance with Section 03150 EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS. Materials for and sealing of joints shall conform to the requirements of Section 07900 JOINT SEALING.

#### PART 3 EXECUTION

### 3.1 PREPARATION FOR PLACING

Before commencing concrete placement, the following shall be performed. Surfaces to receive concrete shall be clean and free from frost, ice, mud, and water. Forms shall be in place, cleaned, coated, and adequately supported, in accordance with Section 03100 STRUCTURAL CONCRETE FORMWORK. Reinforcing steel shall be in place, cleaned, tied, and adequately supported, in accordance with Section 03200 CONCRETE REINFORCEMENT. Transporting and conveying equipment shall be in-place, ready for use, clean, and free of hardened concrete and foreign material. Equipment for consolidating concrete shall be at the placing site and in proper working order. Equipment and material for curing and for protecting concrete from weather or mechanical damage shall be at the placing site, in proper working condition and in sufficient amount for the entire placement. When hot, windy conditions during concreting appear probable, equipment and material shall be at the placing site to provide windbreaks, shading, fogging, or other action to prevent plastic shrinkage cracking or other damaging drying of the concrete.

### 3.1.1 Foundations

### 3.1.1.1 Concrete on Earth Foundations

Earth (subgrade, base, or subbase courses) surfaces upon which concrete is to be placed shall be clean, damp, and free from debris, frost, ice, and standing or running water. Prior to placement of concrete, the foundation shall be well drained and shall be satisfactorily graded and uniformly compacted.

#### 3.1.1.2 Omitted

#### 3.1.1.3 Excavated Surfaces in Lieu of Forms

Concrete for footings may be placed directly against the soil provided the earth or rock has been carefully trimmed, is uniform and stable, and meets the compaction requirements of Section 02315EXCAVATION, FILLING, AND BACKFILLING FOR BUILDINGS. The concrete shall be placed without becoming contaminated by loose material, and the outline of the concrete shall be within the specified tolerances.

## 3.1.2 Previously Placed Concrete

#### 3.1.2.1 Omitted

### 3.1.2.2 Omitted

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#### 3.1.2.3 Omitted

#### 3.1.2.4 Omitted

#### 3.1.2.5 Preparation of Previously Placed Concrete

Concrete surfaces to which other concrete is to be bonded shall be abraded in an approved manner that will expose sound aggregate uniformly without damaging the concrete. Laitance and loose particles shall be removed. Surfaces shall be thoroughly washed and shall be moist but without free water when concrete is placed.

#### 3.1.3 Vapor Barrier

Vapor barrier shall be provided beneath the interior on-grade concrete floor slabs. The greatest widths and lengths practicable shall be used to eliminate joints wherever possible. Joints shall be lapped a minimum of 12 inches. Torn, punctured, or damaged vapor barrier material shall be removed and new vapor barrier shall be provided prior to placing concrete. For minor repairs, patches may be made using laps of at least 12 inches. Lapped joints shall be sealed and edges patched with pressure-sensitive adhesive or tape not less than 2 inches wide and compatible with the membrane. Vapor barrier shall be placed directly on underlying subgrade, base course, or capillary water barrier, unless it consists of crushed material or large granular material which could puncture the vapor barrier. In this case, the surface shall be choked with a light layer of sand, as approved, before placing the vapor barrier. Concrete placement shall be controlled so as to prevent damage to the vapor barrier.

#### 3.1.4 Omitted

#### 3.1.5 Embedded Items

Before placement of concrete, care shall be taken to determine that all embedded items are firmly and securely fastened in place as indicated on the drawings, or required. Conduit and other embedded items shall be clean and free of oil and other foreign matter such as loose coatings or rust, paint, and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. Voids in sleeves, inserts, and anchor slots shall be filled temporarily with readily removable materials to prevent the entry of concrete into voids. Welding shall not be performed on embedded metals within 1 feet of the surface of the concrete. Tack welding shall not be performed on or to embedded items.

#### 3.2 CONCRETE PRODUCTION

#### 3.2.1 Batching, Mixing, and Transporting Concrete

Concrete shall either be batched and mixed onsite or shall be furnished from a ready-mixed concrete plant. Ready-mixed concrete shall be batched, mixed, and transported in accordance with ASTM C 94/C 94M, except as otherwise specified. Truck mixers, agitators, and nonagitating transporting units shall comply with NRMCA TMMB 100. Ready-mix plant equipment and facilities shall be certified in accordance with NRMCA QC 3. Approved batch tickets shall be furnished for each load of ready-mixed concrete. Site-mixed concrete shall conform to the following subparagraphs.

### 3.2.1.1 General

The batching plant shall be located off site close to the project. The batching, mixing and placing system shall have a capacity of at least 100 cubic yards per hour. The batching plant shall conform to the requirements of NRMCA CPMB 100 and as specified; however, rating plates attached to batch plant equipment are not required.

#### 3.2.1.2 Batching Equipment

The batching controls shall be semiautomatic or automatic, as defined in NRMCA CPMB 100. A semiautomatic batching system shall be provided with interlocks such that the discharge device cannot be actuated until the indicated material is within the applicable tolerance. The batching system shall be equipped with accurate recorder or recorders that meet the requirements of NRMCA CPMB 100. The weight of water and admixtures shall be recorded if batched by weight. Separate bins or compartments shall be provided for each size group of aggregate and type of cementitious material, to prevent intermingling at any time. Aggregates shall be weighed either in separate weigh batchers with individual scales or, provided the smallest size is batched first, cumulatively in one weigh batcher on one scale. Aggregate shall not be weighed in the same batcher with cementitious material. If both portland cement and other cementitious material are used, they may be batched cumulatively, provided that the portland cement is batched first. Water may be measured by weight or volume. Water shall not be weighed or measured cumulatively with another ingredient. Filling and discharging valves for the water metering or batching system shall be so interlocked that the discharge valve cannot be opened before the filling valve is fully closed. Piping for water and for admixtures shall be free from leaks and shall be properly valved to prevent backflow or siphoning. Admixtures shall be furnished as a liquid of suitable concentration for easy control of dispensing. An adjustable, accurate, mechanical device for measuring and dispensing each admixture shall be provided. Each admixture dispenser shall be interlocked with the batching and discharging operation of the water so that each admixture is separately batched and individually discharged automatically in a manner to obtain uniform distribution throughout the water as it is added to the batch in the specified mixing period. Different admixtures shall not be combined prior to introduction in water and shall not be allowed to intermingle until in contact with the cement. Admixture dispensers shall have suitable devices to detect and indicate flow during dispensing or have a means for visual observation. The plant shall be arranged so as to facilitate the inspection of all operations at all times. Suitable facilities shall be provided for obtaining representative samples of aggregates from each bin or compartment, and for sampling and calibrating the dispensing of cementitious material, water, and admixtures. Filling ports for cementitious materials bins or silos shall be clearly marked with a permanent sign stating the contents.

#### 3.2.1.3 Scales

The weighing equipment shall conform to the applicable requirements of CPMB Concrete Plant Standard, and of NIST HB 44, except that the accuracy shall be plus or minus 0.2 percent of scale capacity. The Contractor shall provide standard test weights and any other auxiliary equipment required for checking the operating performance of each scale or other measuring devices. The tests shall be made at the specified frequency in the presence of a Government inspector. The weighing equipment shall be arranged so that the plant operator can conveniently observe all dials or indicators.

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## 3.2.1.4 Batching Tolerances

(A) Tolerances with Weighing Equipment

PERCENT OF REQUIRED WEIGHT

MATERIAL

Cementitious materials 0 to plus 2
Aggregate plus or minus 2
Water plus or minus 1
Chemical admixture 0 to plus 6

(B) Tolerances with Volumetric Equipment

For volumetric batching equipment used for water and admixtures, the following tolerances shall apply to the required volume of material being batched:

PERCENT OF REQUIRED

MATERIAL MATERIAL

Water: plus or minus 1 percent Chemical admixtures: 0 to plus 6 percent

## 3.2.1.5 Moisture Control

The plant shall be capable of ready adjustment to compensate for the varying moisture content of the aggregates and to change the weights of the materials being batched.

### 3.2.1.6 Concrete Mixers

Mixers shall be stationary mixers. Mixers shall be capable of combining the materials into a uniform mixture and of discharging this mixture without segregation. The mixers shall not be charged in excess of the capacity recommended by the manufacturer. The mixers shall be operated at the drum or mixing blade speed designated by the manufacturer. The mixers shall be maintained in satisfactory operating condition, and the mixer drums shall be kept free of hardened concrete. Should any mixer at any time produce unsatisfactory results, its use shall be promptly discontinued until it is repaired.

## 3.2.1.7 Stationary Mixers

Concrete plant mixers shall be drum-type mixers of tilting, nontilting, horizontal-shaft, or vertical-shaft type, or shall be pug mill type and shall be provided with an acceptable device to lock the discharge mechanism until the required mixing time has elapsed. The mixing time and uniformity shall conform to all the requirements in ASTM C 94/C 94M applicable to central-mixed concrete.

- 3.3 OMITTED
- 3.4 OMITTED
- 3.5 OMITTED

## 3.6 TRANSPORTING CONCRETE TO PROJECT SITE

Concrete shall be transported to the placing site in truck mixers.

### 3.7 CONVEYING CONCRETE ON SITE

Concrete shall be conveyed from mixer or transporting unit to forms as rapidly as possible and within the time interval specified by methods which will prevent segregation or loss of ingredients using following equipment. Conveying equipment shall be cleaned before each placement.

### 3.7.1 Buckets

The interior hopper slope shall be not less than 58 degrees from the horizontal, the minimum dimension of the clear gate opening shall be at least 5 times the nominal maximum-size aggregate, and the area of the gate opening shall not be less than 2 square feet. The maximum dimension of the gate opening shall not be greater than twice the minimum dimension. The bucket gates shall be essentially grout tight when closed and may be manually, pneumatically, or hydraulically operated except that buckets larger than 2 cubic yardsshall not be manually operated. The design of the bucket shall provide means for positive regulation of the amount and rate of deposit of concrete in each dumping position.

## 3.7.2 Transfer Hoppers

Concrete may be charged into nonagitating hoppers for transfer to other conveying devices. Transfer hoppers shall be capable of receiving concrete directly from delivery vehicles and shall have conical-shaped discharge features. The transfer hopper shall be equipped with a hydraulically operated gate and with a means of external vibration to effect complete discharge. Concrete shall not be held in nonagitating transfer hoppers more than 30 minutes.

### 3.7.3 Trucks

Truck mixers operating at agitating speed or truck agitators used for transporting plant-mixed concrete shall conform to the requirements of ASTM C 94/C 94M. Nonagitating equipment shall be used only for transporting plant-mixed concrete over a smooth road and when the hauling time is less than 15 minutes. Bodies of nonagitating equipment shall be smooth, watertight, metal containers specifically designed to transport concrete, shaped with rounded corners to minimize segregation, and equipped with gates that will permit positive control of the discharge of the concrete.

### 3.7.4 Chutes

When concrete can be placed directly from a truck mixer, agitator, or nonagitating equipment, the chutes normally attached to this equipment by the manufacturer may be used. A discharge deflector shall be used when required by the Contracting Officer. Separate chutes and other similar equipment will not be permitted for conveying concrete.

# 3.7.5 Belt Conveyors

Belt conveyors shall be designed and operated to assure a uniform flow of concrete from mixer to final place of deposit without segregation of ingredients or loss of mortar and shall be provided with positive means,

such as discharge baffle or hopper , for preventing segregation of the concrete at the transfer points and the point of placing. Belt conveyors shall be constructed such that the idler spacing shall not exceed 36 inches. The belt speed shall be a minimum of 300 feet per minute and a maximum of 750 feet per minute. If concrete is to be placed through installed horizontal or sloping reinforcing bars, the conveyor shall discharge concrete into a pipe or elephant truck that is long enough to extend through the reinforcing bars.

#### 3.7.6 Concrete Pumps

Concrete may be conveyed by positive displacement pump when approved. The pumping equipment shall be piston or squeeze pressure type; pneumatic placing equipment shall not be used. The pipeline shall be rigid steel pipe or heavy-duty flexible hose. The inside diameter of the pipe shall be at least 3 times the nominal maximum-size coarse aggregate in the concrete mixture to be pumped but not less than 4 inches. Aluminum pipe shall not be used.

#### PLACING CONCRETE 3.8

Mixed concrete shall be discharged within 1-1/2 hours or before the mixer drum has revolved 300 revolutions, whichever comes first after the introduction of the mixing water to the cement and aggregates. When the concrete temperature exceeds 85 degrees F, the time shall be reduced to 45 minutes. Concrete shall be placed within 15 minutes after it has been discharged from the transporting unit. Concrete shall be handled from mixer or transporting unit to forms in a continuous manner until the approved unit of operation is completed. Adequate scaffolding, ramps and walkways shall be provided so that personnel and equipment are not supported by in-place reinforcement. Placing will not be permitted when the sun, heat, wind, or limitations of facilities furnished by the Contractor prevent proper consolidation, finishing and curing. Sufficient placing capacity shall be provided so that concrete can be kept free of cold joints.

#### 3.8.1 Depositing Concrete

Concrete shall be deposited as close as possible to its final position in the forms, and there shall be no vertical drop greater than 5 feet except where suitable equipment is provided to prevent segregation and where specifically authorized. Depositing of the concrete shall be so regulated that it will be effectively consolidated in horizontal layers not more than 12 inches thick, except that all slabs shall be placed in a single layer. Concrete to receive other construction shall be screeded to the proper level. Concrete shall be deposited continuously in one layer or in layers so that fresh concrete is deposited on in-place concrete that is still plastic. Fresh concrete shall not be deposited on concrete that has hardened sufficiently to cause formation of seams or planes of weakness within the section. Concrete that has surface dried, partially hardened, or contains foreign material shall not be used. When temporary spreaders are used in the forms, the spreaders shall be removed as their service becomes unnecessary. Concrete shall not be placed in slabs over columns and walls until concrete in columns and walls has been in-place at least two hours or until the concrete begins to lose its plasticity. Concrete for beams, girders, brackets, column capitals, haunches, and drop panels shall be placed at the same time as concrete for adjoining slabs.

### 3.8.2 Consolidation

Immediately after placing, each layer of concrete shall be consolidated by internal vibrators, except for slabs 4 inches thick or less. The vibrators shall at all times be adequate in effectiveness and number to properly consolidate the concrete; a spare vibrator shall be kept at the jobsite during all concrete placing operations. The vibrators shall have a frequency of not less than 10,000 vibrations per minute, an amplitude of at least 0.025 inch, and the head diameter shall be appropriate for the structural member and the concrete mixture being placed. Vibrators shall be inserted vertically at uniform spacing over the area of placement. The distance between insertions shall be approximately 1-1/2 times the radius of action of the vibrator so that the area being vibrated will overlap the adjacent just-vibrated area by a reasonable amount. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the preceding layer if there is such. Vibrator shall be held stationary until the concrete is consolidated and then vertically withdrawn slowly while operating. Form vibrators shall not be used unless specifically approved and unless forms are constructed to withstand their use. Vibrators shall not be used to move concrete within the forms. Slabs 4 inches and less in thickness shall be consolidated by properly designed vibrating screeds or other approved technique. Frequency and amplitude of vibrators shall be determined in accordance with COE CRD-C 521. Grate tampers ("jitterbugs") shall not be used.

#### 3.8.3 Cold Weather Requirements

Special protection measures, approved by the Contracting Officer, shall be used if freezing temperatures are anticipated before the expiration of the specified curing period. The ambient temperature of the air where concrete is to be placed and the temperature of surfaces to receive concrete shall be not less than 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F nor more than 75 degrees F. Heating of the mixing water or aggregates will be required to regulate the concrete placing temperature. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Upon written approval, an accelerating admixture conforming to ASTM C 494/C 494M, Type C or E may be used, provided it contains no calcium chloride. Calcium chloride shall not be used.

#### 3.8.4 Hot Weather Requirements

When the ambient temperature during concrete placing is expected to exceed 85 degrees F, the concrete shall be placed and finished with procedures previously submitted and as specified herein. The concrete temperature at time of delivery to the forms shall not exceed the temperature shown in the table below when measured in accordance with ASTM C 1064/C 1064M. Cooling of the mixing water or aggregates or placing concrete in the cooler part of the day may be required to obtain an adequate placing temperature. A retarder may be used, as approved, to facilitate placing and finishing. Steel forms and reinforcements shall be cooled as approved prior to concrete placement when steel temperatures are greater than 120 degrees F. Conveying and placing equipment shall be cooled if necessary to maintain proper concrete-placing temperature.

# Maximum Allowable Concrete Placing Temperature

Relative Humidity, Percent, During Time of Concrete Placement	Maximum Allowable Concrete Temperature Degrees
<del></del>	<del></del>
Greater than 60	90 F 85 F
Less than 40	7 CO
Less chall 40	OU F

## 3.8.5 Prevention of Plastic Shrinkage Cracking

During hot weather with low humidity, and particularly with appreciable wind, as well as interior placements when space heaters produce low humidity, the Contractor shall be alert to the tendency for plastic shrinkage cracks to develop and shall institute measures to prevent this. Particular care shall be taken if plastic shrinkage cracking is potentially imminent and especially if it has developed during a previous placement. Periods of high potential for plastic shrinkage cracking can be anticipated by use of Fig. 2.1.5 of ACI 305R. In addition the concrete placement shall be further protected by erecting shades and windbreaks and by applying fog sprays of water, sprinkling, ponding or wet covering. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin as directed, after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry.

#### 3.8.6 Omitted

## 3.8.7 Placing Concrete in Congested Areas

Special care shall be used to ensure complete filling of the forms, elimination of all voids, and complete consolidation of the concrete when placing concrete in areas congested with reinforcing bars, embedded items, waterstops and other tight spacing. An appropriate concrete mixture shall be used, and the nominal maximum size of aggregate (NMSA) shall meet the specified criteria when evaluated for the congested area. Vibrators with heads of a size appropriate for the clearances available shall be used, and the consolidation operation shall be closely supervised to ensure complete and thorough consolidation at all points. Where necessary, splices of reinforcing bars shall be alternated to reduce congestion. Where two mats of closely spaced reinforcing are required, the bars in each mat shall be placed in matching alignment to reduce congestion.

#### 3.9 JOINTS

Joints shall be located and constructed as indicated or approved. Joints not indicated on the drawings shall be located and constructed to minimize the impact on the strength of the structure. In general, such joints shall be located near the middle of the spans of supported slabs, beams, and girders unless a beam intersects a girder at this point, in which case the joint in the girder shall be offset a distance equal to twice the width of the beam. Joints in walls and columns shall be at the underside of floors, slabs, beams, or girders and at the tops of footings or floor slabs, unless otherwise approved. Joints shall be perpendicular to the main reinforcement. All reinforcement shall be continued across joints; except that reinforcement or other fixed metal items shall not be continuous

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through expansion joints, or through construction or contraction joints in slabs on grade. Reinforcement shall be 2 inches clear from each joint. Except where otherwise indicated, construction joints between interior slabs on grade and vertical surfaces shall consist of 30 pound asphalt-saturated felt, extending for the full depth of the slab. perimeters of the slabs shall be free of fins, rough edges, spalling, or other unsightly appearance. Reservoir for sealant for construction and contraction joints in slabs shall be formed to the dimensions shown on the drawings by removing snap-out joint-forming inserts, by sawing sawable inserts, or by sawing to widen the top portion of sawed joints. Joints to be sealed shall be cleaned and sealed as indicated and in accordance with Section 07900 JOINT SEALING.

#### 3.9.1 Construction Joints

For concrete other than slabs on grade, construction joints shall be located so that the unit of operation does not exceed 100 feet. Concrete shall be placed continuously so that each unit is monolithic in construction. Fresh concrete shall not be placed against adjacent hardened concrete until it is at least 24 hours old. Construction joints shall be located as indicated or approved. Where concrete work is interrupted by weather, end of work shift or other similar type of delay, location and type of construction joint shall be subject to approval of the Contracting Officer. Unless otherwise indicated and except for slabs on grade, reinforcing steel shall extend through construction joints. Construction joints in slabs on grade shall be keyed or doweled as shown. Concrete columns, walls, or piers shall be in place at least 2 hours, or until the concrete begins to lose its plasticity, before placing concrete for beams, girders, or slabs thereon.

#### 3.9.2 Contraction Joints in Slabs on Grade

Contraction joints shall be located and detailed as shown on the drawings. Contraction Joints shall be produced by forming a weakened plane in the concrete slab by sawing a continuous slot with a concrete saw. Regardless of method used to produce the weakened plane, it shall be 1/4 the depth of the slab thickness and between 1/8 and 3/16 inch wide. For saw-cut joints, cutting shall be timed properly with the set of the concrete. Cutting shall be started as soon as the concrete has hardened sufficiently to prevent ravelling of the edges of the saw cut. Cutting shall be completed before shrinkage stresses become sufficient to produce cracking. Reservoir for joint sealant shall be formed as previously specified.

#### 3.9.3 Expansion Joints

Installation of expansion joints and sealing of these joints shall conform to the requirements of Section 03150 EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS and Section 07900 JOINT SEALING.

#### 3.9.4 Waterstops

Waterstops shall be installed in conformance with the locations and details shown on the drawings using materials and procedures specified in Section 03150 EXPANSION JOINTS, CONTRACTION JOINTS, AND WATERSTOPS.

#### Dowels and Tie Bars 3.9.5

Dowels and tie bars shall be installed at the locations shown on the drawings and to the details shown, using materials and procedures specified

in Section 03200 CONCRETE REINFORCEMENT and herein. Conventional smooth "paving" dowels shall be installed in slabs using approved methods to hold the dowel in place during concreting within a maximum alignment tolerance of 1/8 inch in 12 inches. "Structural" type deformed bar dowels, or tie bars, shall be installed to meet the specified tolerances. Care shall be taken during placing adjacent to and around dowels and tie bars to ensure there is no displacement of the dowel or tie bar and that the concrete completely embeds the dowel or tie bar and is thoroughly consolidated.

#### 3.10 FINISHING FORMED SURFACES

Forms, form materials, and form construction are specified in Section 03100 STRUCTURAL CONCRETE FORMWORK. Finishing of formed surfaces shall be as specified herein. Unless another type of architectural or special finish is specified, surfaces shall be left with the texture imparted by the forms except that defective surfaces shall be repaired. Unless painting of surfaces is required, uniform color of the concrete shall be maintained by use of only one mixture without changes in materials or proportions for any structure or portion of structure that requires a Class A or B finish. Except for major defects, as defined hereinafter, surface defects shall be repaired as specified herein within 24 hours after forms are removed. Repairs of the so-called "plaster-type" will not be permitted in any location. Tolerances of formed surfaces shall conform to the requirements of ACI 117/117R. These tolerances apply to the finished concrete surface, not to the forms themselves; forms shall be set true to line and grade. Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter shall be repaired as specified in paragraph Damp-Pack Mortar Repair. Defects whose surface diameter is greater than their depth shall be repaired as specified in paragraph Repair of Major Defects. Repairs shall be finished flush with adjacent surfaces and with the same surface texture. The cement used for all repairs shall be a blend of job cement with white cement proportioned so that the final color after curing and aging will be the same as the adjacent concrete. Concrete with excessive honeycomb, or other defects which affect the strength of the member, will be rejected. Repairs shall be demonstrated to be acceptable and free from cracks or loose or drummy areas at the completion of the contract and, for Class A and B Finishes, shall be inconspicuous. Repairs not meeting these requirements will be rejected and shall be replaced.

#### 3.10.1 Class B Finish

Class B finish is required in all areas exposed to view. Fins, ravelings, and loose material shall be removed, all surface defects over 1/2 inch in diameter or more than 1/2 inch deep, shall be repaired and, except as otherwise indicated or as specified in Section 03100 STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Defects more than 1/2 inch in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep. The Contractor shall prepare a sample panel for approval (as specified in PART 1) before commencing repair, showing that the surface texture and color match will be attained.

# 3.10.2 Class D Finish

Class D finish is required in all areas not exposed to view. Fins, ravelings, and loose material shall be removed, and, except as otherwise indicated or as specified in Section 03100 STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Honeycomb and other defects more than 1/2 inch deep or more than 2 inches in diameter shall be repaired. Defects more than 2 inches in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep.

## 3.11 REPAIRS

### 3.11.1 Damp-Pack Mortar Repair

Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter but not over 4 inchesshall be repaired by the damp-pack mortar method. Form tie holes shall be reamed and other similar defects shall be cut out to sound concrete. The void shall then be thoroughly cleaned, thoroughly wetted, brush-coated with a thin coat of neat cement grout and filled with mortar. Mortar shall be a stiff mix of 1 part portland cement to 2 parts fine aggregate passing the No. 16 mesh sieve, and minimum amount of water. Only sufficient water shall be used to produce a mortar which, when used, will stick together on being molded into a ball by a slight pressure of the hands and will not exude water but will leave the hands damp. Mortar shall be mixed and allowed to stand for 30 to 45 minutes before use with remixing performed immediately prior to use. Mortar shall be thoroughly tamped in place in thin layers using a hammer and hardwood block. Holes passing entirely through walls shall be completely filled from the inside face by forcing mortar through to the outside face. All holes shall be packed full. Damp-pack repairs shall be moist cured for at least 48 hours.

# 3.11.2 Repair of Major Defects

Major defects will be considered to be those more than 1/2 inch deep or, for Class B finishes, more than 1/2 inch in diameter and, for Class D finishes, more than 2 inches in diameter. Also included are any defects of any kind whose depth is over 4 inches or whose surface diameter is greater than their depth. Major defects shall be repaired as specified below.

# 3.11.2.1 Surface Application of Mortar Repair

Defective concrete shall be removed, and removal shall extend into completely sound concrete. Approved equipment and procedures which will not cause cracking or microcracking of the sound concrete shall be used. If reinforcement is encountered, concrete shall be removed so as to expose the reinforcement for at least 2 inches on all sides. All such defective areas greater than 12 square inchesshall be outlined by saw cuts at least 1 inch deep. Defective areas less than 12 square inches shall be outlined by a 1 inch deep cut with a core drill in lieu of sawing. All saw cuts shall be straight lines in a rectangular pattern in line with the formwork panels. After concrete removal, the surface shall be thoroughly cleaned by high pressure washing to remove all loose material. Surfaces shall be kept continually saturated for the first 12 of the 24 hours immediately before placing mortar and shall be damp but not wet at the time of commencing mortar placement. The Contractor, at his option, may use either hand-placed mortar or mortar placed with a mortar gun. If hand-placed mortar is used, the edges of the cut shall be perpendicular to the surface of the concrete. The prepared area shall be brush-coated with a thin coat of neat cement grout. The repair shall then be made using a stiff mortar, preshrunk by allowing the mixed mortar to stand for 30 to 45 minutes and then remixed, thoroughly tamped into place in thin layers. If hand-placed mortar is used, the Contractor shall test each repair area for drumminess by firm tapping with a hammer and shall inspect for cracks, both in the presence of the Contracting Officer's representative, immediately before completion of the contract, and shall replace any showing drumminess or

cracking. If mortar placed with a mortar gun is used, the gun shall be a small compressed air-operated gun to which the mortar is slowly hand fed and which applies the mortar to the surface as a high-pressure stream, as approved. Repairs made using shotcrete equipment will not be accepted. The mortar used shall be the same mortar as specified for damp-pack mortar repair. If gun-placed mortar is used, the edges of the cut shall be beveled toward the center at a slope of 1:1. All surface applied mortar repairs shall be continuously moist cured for at least 7 days. Moist curing shall consist of several layers of saturated burlap applied to the surface immediately after placement is complete and covered with polyethylene sheeting, all held closely in place by a sheet of plywood or similar material rigidly braced against it. Burlap shall be kept continually wet.

## 3.12 FINISHING UNFORMED SURFACES

The finish of all unformed surfaces shall meet the requirements of paragraph Tolerances in PART 1, when tested as specified herein.

### 3.12.1 General

The ambient temperature of spaces adjacent to unformed surfaces being finished and of the base on which concrete will be placed shall be not less than 50 degrees F. In hot weather all requirements of paragraphs Hot Weather Requirements and Prevention of Plastic Shrinkage Cracking shall be met. Unformed surfaces that are not to be covered by additional concrete or backfill shall have a float finish, with additional finishing as specified below, and shall be true to the elevation shown on the drawings. Surfaces to receive additional concrete or backfill shall be brought to the elevation shown on the drawings, properly consolidated, and left true and regular. Unless otherwise shown on the drawings, exterior surfaces shall be sloped for drainage, as directed. Where drains are provided, interior floors shall be evenly sloped to the drains. Joints shall be carefully made with a jointing or edging tool. The finished surfaces shall be protected from stains or abrasions. Grate tampers or "jitterbugs" shall not be used for any surfaces. The dusting of surfaces with dry cement or other materials or the addition of any water during finishing shall not be permitted. If bleedwater is present prior to finishing, the excess water shall be carefully dragged off or removed by absorption with porous materials such as burlap. During finishing operations, extreme care shall be taken to prevent over finishing or working water into the surface; this can cause "crazing" (surface shrinkage cracks which appear after hardening) of the surface. Any slabs with surfaces which exhibit significant crazing shall be removed and replaced. During finishing operations, surfaces shall be checked with a 10 foot straightedge, applied in both directions at regular intervals while the concrete is still plastic, to detect high or low areas.

## 3.12.2 Rough Slab Finish

As a first finishing operation for unformed surfaces and as final finish for slabs to receive mortar setting beds, the surface shall receive a rough slab finish prepared as follows. The concrete shall be uniformly placed across the slab area, consolidated as previously specified, and then screeded with straightedge strikeoffs immediately after consolidation to bring the surface to the required finish level with no coarse aggregate visible. Side forms and screed rails shall be provided, rigidly supported, and set to exact line and grade. Allowable tolerances for finished surfaces apply only to the hardened concrete, not to forms or screed rails.

Forms and screed rails shall be set true to line and grade. "Wet screeds" shall not be used.

### 3.12.3 Floated Finish

Slabs to receive more than a rough slab finish shall next be given a wood float finish. The screeding shall be followed immediately by darbying or bull floating before bleeding water is present, to bring the surface to a true, even plane. Then, after the concrete has stiffened so that it will withstand a man's weight without imprint of more than 1/4 inch and the water sheen has disappeared, it shall be floated to a true and even plane free of ridges. Floating shall be performed by use of suitable hand floats or power driven equipment. Sufficient pressure shall be used on the floats to bring a film of moisture to the surface. Hand floats shall be made of wood, magnesium, or aluminum. Concrete that exhibits stickiness shall be floated with a magnesium float. Care shall be taken to prevent over-finishing or incorporating water into the surface.

### 3.12.4 Troweled Finish

All interior slabs shall be given a trowel finish. After floating is complete and after the surface moisture has disappeared, unformed surfaces shall be steel-troweled to a smooth, even, dense finish, free from blemishes including trowel marks. In lieu of hand finishing, an approved power finishing machine may be used in accordance with the directions of the machine manufacturer. Additional trowelings shall be performed, either by hand or machine until the surface has been troweled 2 times, with waiting period between each. Care shall be taken to prevent blistering and if such occurs, troweling shall immediately be stopped and operations and surfaces corrected. A final hard steel troweling shall be done by hand, with the trowel tipped, and using hard pressure, when the surface is at a point that the trowel will produce a ringing sound. The finished surface shall be thoroughly consolidated and shall be essentially free of trowel marks and be uniform in texture and appearance. The concrete mixture used for troweled finished areas shall be adjusted, if necessary, in order to provide sufficient fines (cementitious material and fine sand) to finish properly.

- 3.13 OMITTED
- 3.14 EXTERIOR SLAB AND RELATED ITEMS
- 3.14.1 Omitted
- 3.14.2 Omitted
- 3.14.3 Omitted
- 3.14.4 Pits and Trenches

Pits and trenches shall be constructed as indicated on the drawings. Bottoms and walls shall be placed monolithically or waterstops and keys, shall be provided as approved.

- 3.15 CURING AND PROTECTION
- 3.15.1 General

Concrete shall be cured by an approved method for 7 days.

Immediately after placement, concrete shall be protected from premature drying, extremes in temperatures, rapid temperature change, mechanical injury and damage from rain and flowing water for the duration of the curing period. Air and forms in contact with concrete shall be maintained at a temperature above 50 degrees F for the first 3 days and at a temperature above 32 degrees F for the remainder of the specified curing period. Exhaust fumes from combustion heating units shall be vented to the outside of the enclosure, and heaters and ducts shall be placed and directed so as not to cause areas of overheating and drying of concrete surfaces or to create fire hazards. Materials and equipment needed for adequate curing and protection shall be available and at the site prior to placing concrete. No fire or excessive heat, including welding, shall be permitted near or in direct contact with the concrete at any time. Except for plastic coated burlap, impervious sheeting alone shall not be used for curing.

## 3.15.2 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, commencing immediately after finishing. If water or curing materials used stain or discolor concrete surfaces which are to be permanently exposed, the concrete surfaces shall be cleaned as approved. When wooden forms are left in place during curing, they shall be kept wet at all times. If steel forms are used in hot weather, nonsupporting vertical forms shall be broken loose from the concrete soon after the concrete hardens and curing water continually applied in this void. If the forms are removed before the end of the curing period, curing shall be carried out as on unformed surfaces, using suitable materials. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Burlap and mats shall be clean and free from any contamination and shall be completely saturated before being placed on the concrete. The Contractor shall have an approved work system to ensure that moist curing is continuous 24 hours per day.

# 3.15.3 Omitted

# 3.15.4 Omitted

## 3.15.5 Ponding or Immersion

Concrete shall be continually immersed throughout the curing period. shall not be more than 20 degrees F less than the temperature of the concrete.

## 3.15.6 Cold Weather Curing and Protection

When the daily ambient low temperature is less than 32 degrees F the temperature of the concrete shall be maintained above 40 degrees F for the first seven days after placing. During the period of protection removal, the air temperature adjacent to the concrete surfaces shall be controlled so that concrete near the surface will not be subjected to a temperature differential of more than 25 degrees F as determined by suitable temperature measuring devices furnished by the Contractor, as required, and installed adjacent to the concrete surface and 2 inches inside the surface of the concrete. The installation of the thermometers shall be made by the Contractor as directed.

#### SETTING BASE PLATES AND BEARING PLATES 3.16

After being properly positioned, column base plates, bearing plates for beams and similar structural members, and machinery and equipment base plates shall be set to the proper line and elevation with damp-pack bedding mortar, except where nonshrink grout is indicated. The thickness of the mortar or grout shall be approximately 1/24 the width of the plate, but not less than 3/4 inch. Concrete and metal surfaces in contact with grout shall be clean and free of oil and grease, and concrete surfaces in contact with grout shall be damp and free of laitance when grout is placed.

#### 3.16.1 Damp-Pack Bedding Mortar

Damp-pack bedding mortar shall consist of 1 part cement and 2-1/2 parts fine aggregate having water content such that a mass of mortar tightly squeezed in the hand will retain its shape but will crumble when disturbed. The space between the top of the concrete and bottom of the bearing plate or base shall be packed with the bedding mortar by tamping or ramming with a bar or rod until it is completely filled.

#### 3.16.2 Nonshrink Grout

Nonshrink grout shall be a ready-mixed material requiring only the addition of water. Water content shall be the minimum that will provide a flowable mixture and completely fill the space to be grouted without segregation, bleeding, or reduction of strength.

#### 3.16.2.1 Mixing and Placing of Nonshrink Grout

Mixing and placing shall be in conformance with the material manufacturer's instructions and as specified therein. Ingredients shall be thoroughly dry-mixed before adding water. After adding water, the batch shall be mixed for 3 minutes. Batches shall be of size to allow continuous placement of freshly mixed grout. Grout not used within 30 minutes after mixing shall be discarded. The space between the top of the concrete or machinery-bearing surface and the plate shall be filled solid with the grout. Forms shall be of wood or other equally suitable material for completely retaining the grout on all sides and on top and shall be removed after the grout has set. The placed grout shall be carefully worked by rodding or other means to eliminate voids; however, overworking and breakdown of the initial set shall be avoided. Grout shall not be retempered or subjected to vibration from any source. Where clearances are unusually small, placement shall be under pressure with a grout pump. Temperature of the grout, and of surfaces receiving the grout, shall be maintained at 65 to 85 degrees F until after setting.

#### 3.16.2.2 Treatment of Exposed Surfaces

For metal-oxidizing nonshrink grout, exposed surfaces shall be cut back 1 inch and immediately covered with a parge coat of mortar consisting of 1 part portland cement and 2-1/2 parts fine aggregate by weight, with sufficient water to make a plastic mixture. The parge coat shall have a smooth finish. For other mortars or grouts, exposed surfaces shall have a smooth-dense finish and be left untreated. Curing shall comply with paragraph CURING AND PROTECTION.

#### 3.17 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL

The Contractor shall perform the inspection and tests described below and,

based upon the results of these inspections and tests, shall take the action required and shall submit specified reports. When, in the opinion of the Contracting Officer, the concreting operation is out of control, concrete placement shall cease and the operation shall be corrected. The laboratory performing the tests shall be onsite and shall conform with ASTM C 1077. Materials may be subjected to check testing by the Government from samples obtained at the manufacturer, at transfer points, or at the project site. The Government will inspect the laboratory, equipment, and test procedures prior to start of concreting operations and at least once per month thereafter for conformance with ASTM C 1077.

#### 3.17.1 Grading and Corrective Action

#### 3.17.1.1 Fine Aggregate

At least once during each shift when the concrete plant is operating, there shall be one sieve analysis and fineness modulus determination in accordance with ASTM C 136 and COE CRD-C 104 for the fine aggregate or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits. When the amount passing on any sieve is outside the specification limits, the fine aggregate shall be immediately resampled and retested. If there is another failure on any sieve, the fact shall immediately reported to the Contracting Officer, concreting shall be stopped, and immediate steps taken to correct the grading.

# 3.17.1.2 Coarse Aggregate

At least once during each shift in which the concrete plant is operating, there shall be a sieve analysis in accordance with ASTM C 136 for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor shall be responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations shall show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling. When the amount passing any sieve is outside the specification limits, the coarse aggregate shall be immediately resampled and retested. If the second sample fails on any sieve, that fact shall be reported to the Contracting Officer. Where two consecutive averages of 5 tests are outside specification limits, the operation shall be considered out of control and shall be reported to the Contracting Officer. Concreting shall be stopped and immediate steps shall be taken to correct the grading.

## 3.17.2 Quality of Aggregates

Thirty days prior to the start of concrete placement, the Contractor shall perform all tests for aggregate quality required by ASTM C 33. In addition, after the start of concrete placement, the Contractor shall perform tests for aggregate quality at least every three months, and when the source of aggregate or aggregate quality changes. Samples tested after the start of concrete placement shall be taken immediately prior to entering the concrete mixer.

#### 3.17.3 Scales, Batching and Recording

The accuracy of the scales shall be checked by test weights prior to start of concrete operations and at least once every three months. Such tests shall also be made as directed whenever there are variations in properties of the fresh concrete that could result from batching errors. Once a week the accuracy of each batching and recording device shall be checked during a weighing operation by noting and recording the required weight, recorded weight, and the actual weight batched. At the same time, the Contractor shall test and ensure that the devices for dispensing admixtures are operating properly and accurately. When either the weighing accuracy or batching accuracy does not comply with specification requirements, the plant shall not be operated until necessary adjustments or repairs have been made. Discrepancies in recording accuracies shall be corrected immediately.

#### 3.17.4 Batch-Plant Control

The measurement of concrete materials including cementitious materials, each size of aggregate, water, and admixtures shall be continuously controlled. The aggregate weights and amount of added water shall be adjusted as necessary to compensate for free moisture in the aggregates. The amount of air-entraining agent shall be adjusted to control air content within specified limits. A report shall be prepared indicating type and source of cement used, type and source of pozzolan or slag used, amount and source of admixtures used, aggregate source, the required aggregate and water weights per cubic yard, amount of water as free moisture in each size of aggregate, and the batch aggregate and water weights per cubic yard for each class of concrete batched during each day's plant operation.

### 3.17.5 Concrete Mixture

a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of concrete production. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Tests shall be made in accordance with ASTM C 231 for normal weight concrete and ASTM C 173 for lightweight concrete. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single test result reaches either the upper or lower action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the air content of the batch to plot on both the air content and the control chart for range, and for determining need for any remedial action. The result of each test, or average as noted in the previous sentence, shall be plotted on a separate control chart for each mixture on which an "average line" is set at the midpoint of the specified air content range from paragraph Air Entrainment. An upper warning limit and a lower warning limit line shall be set 1.0 percentage point above and below the average line, respectively. An upper action limit and a lower action limit line shall be set 1.5 percentage points above and below the average line, respectively. The range between each two consecutive tests shall be plotted on a secondary control

chart for range where an upper warning limit is set at 2.0 percentage points and an upper action limit is set at 3.0 percentage points. Samples for air content may be taken at the mixer, however, the Contractor is responsible for delivering the concrete to the placement site at the stipulated air content. If the Contractor's materials or transportation methods cause air content loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the air content at the mixer controlled as directed.

- b. Air Content Corrective Action. Whenever points on the control chart for percent air reach either warning limit, an adjustment shall immediately be made in the amount of air-entraining admixture batched. As soon as practical after each adjustment, another test shall be made to verify the result of the adjustment. Whenever a point on the secondary control chart for range reaches the warning limit, the admixture dispenser shall be recalibrated to ensure that it is operating accurately and with good reproducibility. Whenever a point on either control chart reaches an action limit line, the air content shall be considered out of control and the concreting operation shall immediately be halted until the air content is under control. Additional air content tests shall be made when concreting is restarted.
- c. Slump Testing. In addition to slump tests which shall be made when test specimens are fabricated, at least four slump tests shall be made on randomly selected batches in accordance with ASTM C 143/C 143M for each separate concrete mixture produced during each 8-hour or less period of concrete production each day. Also, additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single slump test reaches or goes beyond either the upper or lower action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the slump of the batch to plot on both the control charts for slump and the chart for range, and for determining need for any remedial action. Limits shall be set on separate control charts for slump for each type of mixture. The upper warning limit shall be set at 1/2 inch below the maximum allowable slump specified in paragraph Slump in PART 1 for each type of concrete and an upper action limit line and lower action limit line shall be set at the maximum and minimum allowable slumps, respectively, as specified in the same paragraph. The range between each consecutive slump test for each type of mixture shall be plotted on a single control chart for range on which an upper action limit is set at 2 inches. Samples for slump shall be taken at the mixer. However, the Contractor is responsible for delivering the concrete to the placement site at the stipulated slump. If the Contractor's materials or transportation methods cause slump loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the slump at the mixer controlled as directed.

- Slump Corrective Action. Whenever points on the control charts d. for slump reach the upper warning limit, an adjustment shall immediately be made in the batch weights of water and fine aggregate. The adjustments are to be made so that the total water content does not exceed that amount allowed by the maximum w/c ratio specified, based on aggregates which are in a saturated surface dry condition. When a single slump reaches the upper or lower action limit, no further concrete shall be delivered to the placing site until proper adjustments have been made. Immediately after each adjustment, another test shall be made to verify the correctness of the adjustment. Whenever two consecutive individual slump tests, made during a period when there was no adjustment of batch weights, produce a point on the control chart for range at or above the upper action limit, the concreting operation shall immediately be halted, and the Contractor shall take appropriate steps to bring the slump under control. Additional slump tests shall be made as directed.
- Temperature. The temperature of the concrete shall be measured when compressive strength specimens are fabricated. Measurement shall be in accordance with ASTM C 1064/C 1064M. The temperature shall be reported along with the compressive strength data.
- f. Strength Specimens. At least one set of test specimens shall be made, for compressive or flexural strength as appropriate, on each different concrete mixture placed during the day for each 500 cubic yards or portion thereof of that concrete mixture placed each day. Additional sets of test specimens shall be made, as directed by the Contracting Officer, when the mixture proportions are changed or when low strengths have been detected. A truly random (not haphazard) sampling plan shall be developed by the Contractor and approved by the Contracting Officer prior to the start of construction. The plan shall assure that sampling is done in a completely random and unbiased manner. A set of test specimens for concrete with a 28-day specified strength per paragraph Strength Requirements in PART 1 shall consist of four specimens, two to be tested at 7 days and two at 28 days. Test specimens shall be molded and cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 39/C 39M for test cylinders and ASTM C 78 for test beams. Results of all strength tests shall be reported immediately to the Contracting Officer. Quality control charts shall be kept for individual strength "tests", ("test" as defined in paragraph Strength Requirements in PART 1) moving average of last 3 "tests" for strength, and moving average for range for the last 3 "tests" for each mixture. The charts shall be similar to those found in ACI 214.3R.

#### 3.17.6 Inspection Before Placing

Foundations, construction joints, forms, and embedded items shall be inspected by the Contractor in sufficient time prior to each concrete placement in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

### 3.17.7 Placing

The placing foreman shall supervise placing operations, shall determine that the correct quality of concrete or grout is placed in each location as specified and as directed by the Contracting Officer, and shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of placement, volume placed, and method of placement. The placing foreman shall not permit batching and placing to begin until it has been verified that an adequate number of vibrators in working order and with competent operators are available. Placing shall not be continued if any pile of concrete is inadequately consolidated. If any batch of concrete fails to meet the temperature requirements, immediate steps shall be taken to improve temperature controls.

#### 3.17.8 Vibrators

The frequency and amplitude of each vibrator shall be determined in accordance with COE CRD-C 521 prior to initial use and at least once a month when concrete is being placed. Additional tests shall be made as directed when a vibrator does not appear to be adequately consolidating the concrete. The frequency shall be determined while the vibrator is operating in concrete with the tachometer being held against the upper end of the vibrator head while almost submerged and just before the vibrator is withdrawn from the concrete. The amplitude shall be determined with the head vibrating in air. Two measurements shall be taken, one near the tip and another near the upper end of the vibrator head, and these results averaged. The make, model, type, and size of the vibrator and frequency and amplitude results shall be reported in writing. Any vibrator not meeting the requirements of paragraph Consolidation, shall be immediately removed from service and repaired or replaced.

## 3.17.9 Curing Inspection

- a. Moist Curing Inspections. At least once each shift, and not less than twice per day on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded.
- b. Moist Curing Corrective Action. When a daily inspection report lists an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for those areas shall be extended by 1 day.
- c. Omitted.
- d. Omitted.
- e. Sheet Curing Inspection. At least once each shift and once per day on non-work days, an inspection shall be made of all areas being cured using impervious sheets. The condition of the covering and the tightness of the laps and tapes shall be noted and recorded.
- f. Sheet Curing Corrective Action. When a daily inspection report lists any tears, holes, or laps or joints that are not completely closed, the tears and holes shall promptly be repaired or the sheets replaced, the joints closed, and the required curing period for those areas shall be extended by 1 day.

#### 3.17.10 Cold-Weather Protection

At least once each shift and once per day on non-work days, an inspection

shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

#### 3.17.11 Mixer Uniformity

- a. Stationary Mixers. Prior to the start of concrete placing and once every 6 months when concrete is being placed, or once for every 75,000 cubic yards of concrete placed, whichever results in the shortest time interval, uniformity of concrete mixing shall be determined in accordance with ASTM C 94/C 94M.
- b. Truck Mixers. Prior to the start of concrete placing and at least once every 6 months when concrete is being placed, uniformity of concrete mixing shall be determined in accordance with ASTM C 94/C 94M. The truck mixers shall be selected randomly for testing. When satisfactory performance is found in one truck mixer, the performance of mixers of substantially the same design and condition of the blades may be regarded as satisfactory.
- c. Mixer Uniformity Corrective Action. When a mixer fails to meet mixer uniformity requirements, either the mixing time shall be increased, batching sequence changed, batch size reduced, or adjustments shall be made to the mixer until compliance is achieved.

#### 3.17.12 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report shall be prepared for the updating of control charts covering the entire period from the start of the construction season through the current week. During periods of cold-weather protection, reports of pertinent temperatures shall be made daily. These requirements do not relieve the Contractor of the obligation to report certain failures immediately as required in preceding paragraphs. Such reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all contractor quality control records.

-- End of Section --